# REQUEST FOR PROPOSAL FOR WATER STORAGE TANK COVER FOR GLENCLIFF IMRPOVEMENT COMPANY WATER DISTRICT GLENCLIFF, NEW HAMPSHIIRE

# PUBLISHED November 5, 2024 PROPOSAL DUE: November 26, 2024 @ 2:00pm

The Glencliff Improvement Company Water District (GICWD) in Glencliff, NH is requesting proposals for removal of the existing plexi-glass cover over the 8000 gallon +/- water storage tank and the installation of a new gasketed and sealed painted steel cover within their existing pump station. GICWD is seeking proposals from qualified vendors/contractors to furnish and install the new watertight cover on the existing water storage tank.

# **Background Information**

The Glencliff Improvement Company Water District (GICWD) owns and operates a public community water system constructed around 1929 which serves a population of approximately 43 people through 14 water service connections. All of these connections service 2 seasonal residences and 1 seasonal hiker hostel with the remaining year-round residences. The estimated average daily water use is approximately 4,200 gallons per day. Fire flows are not provided. Water usage is predominantly residential in nature. The water distribution system is supplied by two bedrock wells. Only one of these wells is currently active. A small wood-framed structure sited over a 15,000-gallon concrete atmospheric storage tank along with necessary controls and piping comprises the existing pump station. Approximately 3,600 linear feet of water distribution main along with associated gate valves and service laterals comprise the distribution system.

The existing pump station is located at the end of High Street. It is a single-story, wood-framed structure which was originally constructed around 1929 and rebuilt in 2021. The primary function of the structure is to house the existing 15,000-gallon concrete atmospheric storage tank. The tank has an open top with a plexiglass cover for the purpose of keeping rodents out and the stored water potable. The storage tank was also originally constructed around 1929 and relined in 1980. Currently, both the storage tank and the structure are in poor condition as the tank is subject to rodents and other animal infestations. Currently the storage tank has been listed as a deficiency by NHDES.

### **Basis of Design**

The purpose of this project is to provide a cover with access maintenance hatch for the existing water storage tank supplying the Glencliff community. The steel cover was designed to be installed over the existing tank and should require minimal modifications to the tank and structure. The system is designed to be installed in pieces in the existing structure without the need for demolition. It is designed for 150 PSF loading for maintenance purposes and an additional 2000 lbs concentrated load for equipment. It is imperative to create a water tight seal on the tank to prevent contamination of the town water supply. Appropriate paints and sealants should be used as outlined in the tank cover plans. It is also imperative that the existing tank should be inspected and dimensioned, as the tank was originally built in 1929 and been repaired and modified since then. Tank walls may not be straight and square, and any conflicts with

the provided plans should be brought to the attention of the engineer of record immediately with a proper description of the problem, including measurements

### **Proposal Information**

Please provide the following information:

- Summary of qualifications with similar projects per attached qualification sheet
- Cost of complete new cover system per attached Quote Schedule and Measurement and Payment Section and per plan set for Contract #1 Glencliff Improvement Water Company Water Storage Tank Cover Dated September 2024.
- The project must be substantially complete within 90 calendar days with final completion within 120 calendar days.
- Liquidated damages will be in the amount of \$500 for each calendar day of delay from the date established from final and substantial completion.
- The successful contractor will furnish 100% Performance and Payment Bonds and will be required to execute the Contract Agreement within 10 days following notification of award.
- Any contract is expected to be funded in whole or in part by Drinking Water Trust Funds (DWGT-100) and NHDES ARPA and WIIN funding.
- The successful bidder will submit an electronic copy of final record drawings upon completion of the project and maintenance of copies on file by the water system owner.

### Selection Process

All Proposals received will be reviewed by Horizons Engineering for responsiveness and presented to the GICWD. Proposals will be weighed on total cost (furnishing, installation, and anticipated long term operation and maintenance) and experience with similar systems.

### Submission of Proposals and Schedule

11/5/2024 - RFP sent to contractors.

11/19/2024 by 4:00pm – All questions must be submitted to Horizons Engineering by this time.

11/21/2024 by 4:00pm – A single response to all questions will be provided to all parties

11/26/2024 – Please submit either one hard copy or one complete electronic copy of the Proposal **no later than 2:00** pm Eastern Time to:

Horizons Engineering Inc.		
Attn: Matt Graber	OR	mgraber@horizonsengineering.com
34 School Street		
Littleton, NH 03561		Fax: (603) 444-1343

GICWD reserves the right to reject any and all proposals. All submissions will receive consideration without regard to race, color, religion, creed, age, sex, or national origin. Neither Horizons Engineering, Inc nor the GICWD accept responsibility for costs incurred by respondents in the preparation of Proposals for the Work.

# ADDITIONAL INFORMATION Proposal/Quote/Bid

Bids will be received by: Glencliff Improvement Company Water District (GICWD) herein called the "OWNER" at:

Address:	34 School Street	Littleton	NH	03561

Each BID must be submitted in a sealed envelope, addressed to: Horizons Engineering, Inc. at 34 School Street, Littleton, NH 03561.

The OWNER may waive any informalities or minor defects or reject any and all BIDS. Any BID may be withdrawn prior to the above scheduled time for the opening of BIDS or authorized postponement thereof. Any BID received after the time and date specified shall not be considered. No BIDDER may withdraw a BID within 60 days after the actual date of the opening thereof. Should there be reasons why the contract cannot be awarded within the specified period, the time may be extended by mutual agreement between the OWNER and the BIDDER.

BIDDERS must satisfy themselves of the accuracy of the estimated quantities in the BID SCHEDULE by examination of the site and a review of the drawings and specifications including ADDENDA. After BIDS have been submitted, the BIDDER shall not assert that there was a misunderstanding concerning the quantities of WORK or of the nature of the WORK to be done.

The OWNER shall provide to BIDDERS prior to BIDDING, all information which is pertinent to, and delineates and describes, the land owned and rights-of-way acquired or to be acquired.

The CONTRACT DOCUMENTS contain the provisions required for the construction of the PROJECT. Information obtained from an officer, agent, or employee of the OWNER or any other person shall not affect the risks or obligations assumed by the CONTRACTOR or relieve them from fulfilling any of the conditions of the contract.

Each BID must be accompanied by a BID BOND payable to the OWNER in the amount of five percent (5%) of the total amount of the BID. As soon as the BID prices have been compared, the OWNER will return the BONDS of all except the three lowest responsive BIDDERS. When the AGREEMENT is executed, the bonds of the two remaining unsuccessful BIDDERS will be returned. The BID BOND of the successful BIDDER will be retained until the PAYMENT BOND and PERFORMANCE BOND have been executed and approved, after which it will be returned. A certified check may be used in lieu of a BID BOND.

A PERFORMANCE BOND and a PAYMENT BOND, each in the amount of 100 percent of the CONTRACT PRICE, with a corporate surety approved by the OWNER, will be required for the faithful performance of the contract.

Attorneys-in-fact who sign BID BONDS or PAYMENT BONDS and PERFORMANCE BONDS must file with each BOND a certified and effective dated copy of their power of attorney.

The party to whom the contract is awarded will be required to execute the AGREEMENT and obtain the PAYMENT BOND and PERFORMANCE BOND within ten (10) calendar days from the date when NOTICE OF AWARD is delivered to the BIDDER. The NOTICE OF AWARD shall be accompanied by the necessary AGREEMENT and BOND forms. In case of failure of the BIDDER to execute the AGREEMENT, the OWNER

may at their option consider the BIDDER in default, in which case the BID BOND accompanying the proposal shall become the property of the OWNER.

The OWNER within ten (10) days of receipt of acceptable PAYMENT BOND, PEERFORMANCE BOND and AGREEMENT signed by the party to whom the AGREEMENT was awarded shall sign the AGREEMENT and return to such party an executed duplicate of the AGREEMENT. Should the OWNER not execute the AGREEMENT within such period, the BIDDER may by WRITTEN NOTICE withdraw their signed AGREEMENT. Such notice of withdrawal shall be effective upon receipt of the notice by the OWNER.

The NOTICE TO PROCEED shall be issued within ten (10) days of the execution of the Agreement by the OWNER. Should there be reasons why the NOTICE TO PROCEED cannot be issued within such period, the time may be extended by mutual agreement between the OWNER and CONTRACTOR. If the NOTICE TO PROCEED has not been issued within the ten (10) day period or within the period mutually agreed upon, the CONTRACTOR may terminate the AGREEMENT without further liability on the part of either party.

The OWNER may make such investigations as Owner deems necessary to determine the ability of the BIDDER to perform the WORK, and the BIDDER shall furnish to the OWNER all such information and data for this purpose as the OWNER may request. The OWNER reserves the right to reject any BID if the evidence submitted by, or investigation of, such BIDDER fails to satisfy the OWNER that such BIDDER is properly qualified to carry out the obligations of the AGREEMENT and to complete the WORK contemplated therein.

A conditional or qualified BID will **not** be accepted.

Award will be made to the lowest responsive and responsible BIDDER.

All applicable laws, ordinances, and the rules and regulations of all authorities having jurisdiction over construction of the PROJECT shall apply to the contract throughout.

Each BIDDER is responsible for inspecting the site and for reading and being thoroughly familiar with the CONTRACT DOCUMENTS. The failure or omission of any BIDDER to complete any of the foregoing shall in no way relieve any BIDDER from any obligation in respect to their BID.

The low BIDDER shall supply the names and addresses of major material SUPPLIERS and SUBCONTRACTORS when requested to do so by the OWNER.

# MANUFACTURER'S EXPERIENCE

Wherever it may be written that an equipment manufacturer must have a specified period of experience with their product, equipment which does not meet the specified experience period can be considered if the equipment supplier or manufacturer is willing to provide a bond or cash deposit for the duration of the specified time period which will guarantee replacement of that equipment in the event of failure.

# **PROJECT SIGN**

The Contractor shall construct a sign in accordance with the Detail included in these specifications. The sign shall be erected in a location selected by the Engineer or Owner in coordination with NHDES. The Contractor shall maintain the sign throughout the duration of the contract.

# SAFETY AND HEALTH REGULATIONS

This project is subject to all the Safety and Health Regulations (CFR 29 Part 1926 and all subsequent amendments) as promulgated by the U.S. Department of Labor on June 24, 1974. Contractors shall comply with the requirements of these regulations.

# NONDISCRIMINATION IN EMPLOYMENT

Contracts for work under this proposal will obligate the contractors and sub-contractors not to discriminate in employment practices.

# STATE INSPECTION

Work performed on this project shall be subject to inspection by representatives of the New Hampshire Department of Environmental Services (NHDES). Such inspection shall in no sense make the State Government a party to this contract, unless said Government is also the Owner, and will in no way interfere with the rights of either party hereunder.

Representatives of NHDES shall be given Right of Access to all portions of the proposed work, including but not limited to actual work site, storage yards, offsite manufacturing and fabricating location and job records.

# COPIES OF THE CONTRACT

There shall be at least five (5) executed copies of the Contract to be distributed as follows:

- a) One (1) copy each to the Owner, Engineer and Contractor.
- b) One electronic copy in PDF format to NHDES.
- c) Additional copies as required for other federal or state agencies contributing to or participating in project costs.

# NON-RESIDENT CONTRACTORS

The successful bidder, if a corporation established under laws other than the State of New Hampshire, shall file, at the time of the execution of the contract, with the Owner, notice of the name of its resident attorney, appointed as required by the laws of the State of New Hampshire.

The successful bidder, if not a resident of New Hampshire, and not a corporation, shall file, at the time of execution of the contract, with the Owner a written appointment of a resident of the state of New Hampshire, having an office or place of business therein, to be their true and lawful attorney upon whom all lawful processes in any actions or proceedings against them may be served; and in such writing, which shall set forth said attorney's place of residence, shall agree that any lawful process against them which is served on said attorney shall be of the same legal force and validity as if served on them and that the authority shall continue in force so long as any liability remains outstanding against them in New Hampshire.

The power of attorney shall be filed in the office of the Secretary of State if required, and copies certified by the Secretary shall be sufficient evidence thereof. Such appointment shall continue in force until revoked by an instrument in writing, designating in a like manner some other person upon whom such processes may be served, which instrument shall be filed in the manner provided herein for the original appointment. A Non-resident Contractor shall be deemed to be:

- a) A person who is not a resident of the State of New Hampshire.
- b) Any partnership that has no member thereof resident of the State of New Hampshire.
- c) Any corporation established under laws other than those of the State of New Hampshire.

### **BIDDERS' QUALIFICATIONS**

No award will be made to any Bidder who cannot meet all of the following requirements:

- A. The Bidder shall not have defaulted nor turned the work over to the bonding company on any contract within three years prior to the bid date.
- B. The Bidder shall maintain a permanent place of business.
- C. The Bidder shall have adequate personnel and equipment to perform the work expeditiously.
- D. The Bidder shall have suitable financial status to meet obligations incidental to the work.
- E. The Bidder shall have appropriate technical experience satisfactory to the Engineer and the Division in the class of work involved.
- F. The Bidder shall be registered with the Secretary of State to do business in New Hampshire.
- G. The Bidder shall have performed to the satisfaction of the Engineer and the Division on previous contracts of a similar nature.
- H. The Bidder shall not have failed to complete previous contracts on time, including approved time extensions.

### WITHDRAWAL OF BIDS

Prior to Bid Opening, bids may be withdrawn upon written or telegraphic request of the Bidder provided confirmation of any telegraphic withdrawal over the signature of the Bidder is placed in the mail and postmarked prior to the time set for Bid Opening. Bid documents and security of any Bidder withdrawing their bid in accordance with the foregoing conditions will be returned.

# Bid/Proposal

Proposal of \_\_\_\_\_ [company](hereinafter called the "BIDDER", organized and existing under the laws of the State of \_\_\_\_\_ doing business as Corporation, Partnership, or Individual to the \_\_\_\_\_ [owner name](herein after called "OWNER").

In compliance with your Advertisement for Bids, BIDDER hereby proposes to perform all WORK For the construction of \_\_\_\_\_\_ [project name] in strict accordance with the CONTRACT DOCUMENTS, within the time set forth therein, and at the prices stated below.

By submission of this BID, each BIDDER certifies, and in the case of a joint BID each party thereto certifies as to their own organization, that this BID has been arrived at independently, without consultation, communication, or agreement as to any matter relating to the BID with any other BIDDER or with any competitor.

BIDDER hereby agrees to commence WORK under this contract on or before a date to be specified in the NOTICE TO PROCEED and to complete the PROJECT within:

calendar days for substantial completion.

calendar days for final completion

Liquidated damages will be in the amount of \$ for each calendar day of delay from the date established for substantial completion and \$ for each calendar day of delay from the date established for final completion, as provided in Section 18 of the General Conditions.

BIDDER acknowledges receipt of the following ADDENDUM:

1	
2	
3	
4	
5	

The Bidder shall state below what works of a similar character to that of the proposed contract they have performed and provide such references as will enable the Owner to judge their experience, skill, and business standing.

All questions must be answered, and the data given must be clear and comprehensive. This statement must be notarized. If necessary, add separate sheets.

Bidder Na	ame:					
Permane	nt Main Office	e Address:				
			Street # and name	City/Town	State	ZIP
When wa	as it organized	?	Where incorporated?			
Is the bid	der registered	d with the Secretary of	of State to do business in NH?	🗌 Yes 🗌 No		
For how	many years ha	as your firm engaged	in the contracting business under	r its present name?		
Please lis	t previous firn	n names and dates if	applicable.			
Y	'ears		Previous Nar	ne		
	-					
	-					
	-					
Contracts	s on hand, atta	ach a schedule or list	showing gross amount of each co	ontract and the approxi	imate antic	ipated
dates of o	completion.					
Describe	the general cl	haracter of work per	formed by your company.			
	Науе уоц ех	ver failed to complete	e any work awarded you in the sc	heduled contract time	including	
🗆 Yes	•	me extensions?	e any work awarded you in the sc	neutrea contract time,	menuumg	
🗆 No	If so where					
□ Yes		ver defaulted on a co	ntract?			
	If so where					
☐ Yes			mages assessed on a contract?			
	If so where					
			executed by your company:			
					Month	/Year
		Recent Contract	Name	Approximate Cost	Comp	-
List vour	major equipm	nent <b>available for thi</b>	s contract: (Attach additional she	ets as necessarv.)	I	
			(			
List your key personnel available for this contract: (Attach additional sheets as necessary.)						
Staff Name Role (i.e. Project Superintendent, Foreman)						
					,	

List any subcontractors whom you would expect to use for the following (unless this work is to be done by your own organization) Civil Engineering:

Utility Installation:

Other please describe:

Please list banks with whom you conduct business.

□ Yes □ No Do you grant the Engineer permission to contact this (these) institutions?

**NOTE:** Bidders may be required to furnish their latest financial statement as part of the award process.

Respectfully Submitted:	
Signature:	Date:
Printed Name:	Title:
Street # and name	City/Town State ZIP
[Signed Name] Being duly sworn,	deposes and says that they are [Position Title] of
[Organization] and all the answe	ers to the foregoing questions and all statement contained therein are
true and correct.	
Sworn to before me th	is day of,
	, Notary Public
	My Commission Expires
	Seal
Attest:	
BIDDER agrees to perform all the work describe	ed in the CONTRACT DOCUMENTS for the following unit prices or lump
sum:	

**NOTE:** BIDS shall include sales tax and all other applicable taxes and fees.

BASE	BID		
*****	****************	*****	******
Item	Brief Description; Unit or Lump Sum Price	Quantity	ltem
No.	(both words and numbers)	and Units	Price
*****	***************************************	*****	******
1.	General Conditions and Miscellaneous Work, Per Lump Sum: Dollars AndCents (\$)	1 LS	Ş
2.	Water Storage Tank Cover and Installation Dollars AndCents (\$)		Ś
	/ dia/	1 13	۲

# **BID / PROPOSAL SCHEDULE**

**Total Base Bid Price in Words** 

The BIDDER hereby certifies, by checking the boxes below, that the following documents are included with this bid proposal:

DBE Subcontractor Utilization Form NHDES Form #NHDES-W-09-059.

DBE Subcontractor Performance Forms NHDES-09-NHDES-W-09-058 Submit one form for each DBE subcontractor.

☐ Bidder's American Iron and Steel acknowledgement.

All of these forms are in the SRF Federal Provisions: <u>Section D</u> of the front end documents.



# **CONTRACT DOCUMENTS, AND TECHNICAL SPECIFICATIONS**

**GLENCLIFF IMPROVEMENT COMPANY WATER DISTRICT** GICWD – WATER TANK COVER GLENCLIFF, NEW HAMPSHIRE

SEPTEMBER 2024



34 SCHOOL STREET • LITTLETON, NH 03561 • PHONE 603-444-4111 • FAX 603-444-1343 • www.horizonsengineering.com

# CONTRACT DOCUMENTS, AND TECHNICAL SPECIFICATIONS FOR GLENCLIFF IMPROVEMENT COMPANY WATER DISTRICT GICWD – WATER TANK COVER

### **GLENCLIFF, NEW HAMPSHIRE**

September 2024

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Horizons Engineering, Inc.

New London, NH • Newport, VT • Littleton, NH • Sharon, VT • Kennebunk, ME • Conway, NH • Hill, NH

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# **DIVISION 00 – CONTRACTING REQUIREMENTS**

2024

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# Section B: Contract

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# NOTICE OF AWARD

TO	Dated:			
TO: ADDRESS:				
	Street Address	City/Town	State	ZIP
Project Number: Project Name: Contract For:	Owner Cont	ract Number:		
contract i or.	Insert the name of the contract as it appears on the bid doo	cuments		

You are notified that your bid dated \_\_\_\_\_\_ for the above contract has been considered. You are the apparent successful bidder and have been awarded a contract for:

Insert a brief description of the scope of work for the contract. Indicate total work, alternates or sections of work awarded.

The Contract Price of your contract is \_\_\_\_\_ dollars (\$\_\_\_\_\_). \_\_\_\_ copies of each of the proposed Contract Documents (except Drawings) accompany this Notice of Award. The same number of sets of the drawings will be delivered separately or otherwise made available to you immediately.

You must comply with the following conditions precedent within 10 days of receiving this Notice of Award.

- 1. You must deliver to the OWNER all of the fully executed counterparts of the Agreement including all the Contract Documents. This includes the sets of drawings. Each of the Contract Documents must bear your signature on (the cover) and (every) page.
- 2. You must deliver with the executed Agreement the Contract Security (Bonds) as specified in the Information for Bidders and General Conditions.
- 3. List all other conditions of precedent.

Failure to comply with these conditions within the time specified will entitle **OWNER** to consider your bid abandoned, to annul this Notice of Award and to declare your Bid Security forfeited.

Within 10 days after receipt of acceptable performance **BOND**, payment **BOND** and agreement signed by the party to whom the Agreement was awarded, the **OWNER** will return to you one fully signed counterpart of the Agreement with the Contract Documents attached.

Owner

Authorized Signature

Title

# ACKNOWLEDGEMENT OF NOTICE

Receipt of the above NOTICE OF AWARD is hereby acknowledged:

By: \_\_\_\_\_\_, The \_\_ day of \_\_\_\_\_\_, 20\_\_\_\_ by \_\_\_\_\_\_

Copy to ENGINEER (Use Certified Mail, Return Receipt Requested).

# AGREEMENT

THIS AGREEMENT, made this _	day of _	, 20	by and between	
-----------------------------	----------	------	----------------	--

\_\_\_\_\_ doing business as \_\_\_\_\_ (an hereinafter called "OWNER" and

individual, a partnership or a corporation) hereinafter called "CONTRACTOR".

WITNESSETH: That for and in consideration of the payments and agreements hereinafter mentioned:

- 1. The CONTRACTOR will commence and complete the construction of
- 2. The CONTRACTOR will furnish all of the material, supplies, tools, equipment, labor and other services necessary for the construction and completion of the **PROJECT** described herein.
- 3. The **CONTRACTOR** will commence the work required by the **CONTRACT DOCUMENTS** within \_\_\_\_\_calendar days after the date of the NOTICE TO PROCEED unless the period for completion is extended otherwise by the **CONTRACT DOCUMENTS.** Completion time for the project will be calculated as calendar days from the date specified in the NOTICE TO PROCEED as follows:

\_\_\_\_\_calendar days for substantial completion.

calendar days for final completion.

Liquidated damages will be in the amount of \$\_\_\_\_\_ for each calendar day of delay from the date established for the substantial completion and \$ for each calendar day of delay from the date established for final completion.

- 4. The CONTRACTOR agrees to perform all of the WORK described in the CONTRACT DOCUMENTS and comply with the terms therein for the sum of \$ or as shown in the **BID** schedule.
- 5. The term "CONTRACT DOCUMENTS" means and includes the following:
  - a. ADVERTISEMENT FOR BIDS.
  - b. INFORMATION FOR BIDDERS.
  - c. BID.
  - d. BID BOND.
  - e. NOTICE OF AWARD.
  - f. AGREEMENT.
  - g. PAYMENT BOND.
  - h. PERFORMANCE BOND.
  - i. CERTIFICATE OF INSURANCE.
  - j. NOTICE TO PROCEED.
  - k. CHANGE ORDER(S).
  - I. CERTIFICATON OF SUBSTANTIAL COMPLETION.
  - m. CERTIFICATION OF FINAL COMPLETION.
  - n. CONTRACTOR'S AFFIDAVIT.
  - o. CONTRACTOR'S RELEASE.
  - p. GENERAL CONDITIONS.
  - g. SUPPLEMENTAL GENERAL CONDITIONS.
  - r. SPECIAL CONDITIONS.
  - s. FEDERAL PROVISIONS, RULES, REGULATIONS AND FORMS.
  - t. DRAWINGS prepared by: \_\_\_\_\_\_ and dated \_\_\_\_\_, 20\_\_\_.
  - u. SPECIFICATIONS prepared or issued by: \_\_\_\_\_\_ and dated \_\_\_\_\_, 20\_\_\_.
  - v. ADDENDA
    - No. \_\_\_\_\_ dated \_\_\_\_\_, 20\_\_\_. No. \_\_\_\_\_ dated \_\_\_\_\_\_, 20\_\_\_\_.

No. \_\_\_\_\_ dated \_\_\_\_\_, 20\_\_\_. No. \_\_\_\_\_ dated \_\_\_\_\_, 20\_\_\_.

- 6. The **OWNER** will pay to the **CONTRACTOR** in the manner and at such times as set forth in the General Conditions such amounts as required by the **CONTRACT DOCUMENTS.**
- 7. This agreement shall be binding upon all parties hereto and their respective heirs, executors, administrators, successors and assigns.

**IN WITNESS WHEREOF**, the parties hereto have executed, or caused to be executed by their duly authorized officials this Agreement in \_\_\_\_\_ copies, each of which shall be deemed an original on the date first above written.

	OWNER:	
	BY:	
	NAME:	
(SEAL)		
ATTEST:		
NAME:		
TITLE:		
	CONTRACTOR:	
	BY:	
	NAME:	
	ADDRESS:	
(SEAL)		
ATTEST:		
NAME:		
TITLE:		

# **PAYMENT BOND**

#### KNOW ALL MEN BY THESE PRESENTS: that

	, (contractor name),
	, (contractor address), a
	(corporation partnership, individual), hereinafter called
Principal, and	, (surety name),
	, (surety address) herein after called
surety, are held and firmly bound unto	

(owner name), \_\_\_\_\_\_\_, (owner address) hereinafter called OWNER and unto all persons, firms, and corporations who or which may furnish labor, or who furnish materials to perform as described under the contract and to their successors and assigns, in the total aggregate penal sum of \_\_\_\_\_\_\_dollars, (\$\_\_\_\_\_) in lawful money of the United States, for the payment of which sum well and truly to be made, we bind ourselves, our heirs, executors, administrators, successors, and assigns, jointly and severally, firmly by these presents.

THE CONDITION OF THIS OBLIGATION is such that whereas, the Principal entered into a certain contract with the OWNER, dated the \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_, a copy of which is hereto attached and made a part hereof for the construction of \_\_\_\_\_\_.

**NOW, THEREFORE**, if the Principal shall promptly make payment to all persons, firms, and corporations furnishing materials for or performing labor in the prosecution of the **WORK** provided for in such contract, and any authorized extension or modification thereof, including all amounts due for materials, lubricants, oil, gasoline, coal and coke, repairs on machinery, equipment and tools, consumed or used in connection with the construction of such **WORK**, and for all labor cost incurred in such WORK including that be a subcontractor, and to any mechanic or materialman lienholder whether it acquires its lien by operation of State or Federal Law; then this obligation shall be void; otherwise to remain in full force and effect.

PROVIDED, that beneficiaries or claimants hereunder shall be limited to the subcontractors, and persons, firms, and corporations having a direct contract with the PRINCIPAL or its SUBCONTRACTORS.

**PROVIDED FURTHER,** that the said Surety for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or to the **WORK** to be performed thereunder or the **SPECIFICATIONS** accompanying the same shall in any way affect its obligation on this **BOND**, and it does hereby waive notice of any such change, extension of time, alteration or addition to the terms of the contract or to the **SPECIFICATIONS**.

**PROVIDED, FURTHER** that no suit or action shall be commenced hereunder by any claimant: (a) Unless claimant, other than one having a direct contract with the PRINCIPAL shall have given written notice to any two of the following: The PRINCIPAL, the OWNER, or the SURETY above named within ninety (90) days after such claimant did or performed the last of the work or labor, or furnished the last of the materials for which said claim is made, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were furnished, or for whom the work or labor was done or performed. Such notice shall be served by mailing the same by registered mail or certified mail, postage prepaid, in an envelope addressed to the PRINCIPAL, OWNER, or SURETY, at any place where an office is regularly maintained for the transaction business, or served in any manner in which legal process may be served in the state in which the aforesaid project is located, save that such service need not be made by a public officer; (b) After the expiration of one (1) year following the date on which PRINCIPAL ceased work on said CONTRACT, it being understood, however, that if any limitation embodied in the BOND is prohibited by any law controlling the construction hereof, such limitation shall be deemed to be amended so as to be equal to the minimum period of limitation permitted by such law.

**PROVIDED, FURTHER**, that it is expressly agreed that this BOND shall be deemed amended automatically and immediately, without formal and separate amendments hereto, upon amendment to the Contract not increasing the contract price more than 20 percent, so as to bind the PRINCIPAL and the SURETY to the full and faithful performance of the Contract as so amended. The term "Amendment", wherever used in this BOND and whether referring to this BOND, the contract or the loan Documents shall include any alteration, addition, extension or modification of any character whatsoever.

**PROVIDED FURTHER**, that no final settlement between the **OWNER** and the **CONTRACTOR** shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

		<b>REOF</b> , this instrument is executed in of, 20	counterparts,	each one of which shall be deemed
ATTEST:				
	BY:		BY:	(PRINCIPAL)
	ы. <u>-</u>	(PRINCIPAL SECRETARY)	D1.	
				(ADDRESS)
	BY:			
	_	(WITNESS AS TO PRINCIPAL)		
	-	(ADDRESS)		
				(SURETY)
ATTEST:			BY:	
	BY:			(ATTORNEY IN FACT)
	DT	(WITNESS TO SURETY)		(ADDRESS)
	-			

**NOTE**: Date of **BOND** must not be prior to date of Contract.

If **CONTRACTOR** is partnership, all partners should execute BOND.

**IMPORTANT**: Surety companies executing **BONDS** must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the State of New Hampshire.

# **PERFORMANCE BOND**

### KNOW ALL MEN BY THESE PRESENTS: that

	, (contractor name	<u>),</u>
	, (contractor addre	ess), a
	(corporation partnership, individual), he	ereinafter called
Principal, and	, (sure	ty name),
	, (surety address) I	herein after called
surety, are held and firmly bound unto		, (owner name),
	, (owner address)	hereinafter called
OWNER in the total aggregate penal sum of	dollars, (\$	)in lawful money of
the United States, for the payment of which sum well	and truly to be made, we bind ourselves, ou	r heirs, executors,
administrators, successors, and assigns, jointly and se	verally, firmly by these presents.	

THE CONDITION OF THIS OBLIGATION is such that whereas, the Principal entered into a certain contract with the OWNER, dated the \_\_\_\_\_\_ day of \_\_\_\_\_\_, 20\_\_\_\_, a copy of which is hereto attached and made a part hereof for the construction of \_\_\_\_\_\_.

**NOW, THEREFORE**, if the Principal shall well, truly and faithfully perform its duties, all the undertakings, covenants, terms, conditions, and agreements of said contract during the original term thereof, and any extension thereof which may be granted by the **OWNER**, with or without notice to the Surety and during the one year guaranty period, and if the **PRINCIPAL** shall satisfy all claims and demands incurred under such contract, and shall fully indemnify and save harmless the **OWNER** from all costs and damages which it may suffer by reason of failure to do so, and shall reimburse and repay the **OWNER** all outlay and expense which the **OWNER** may incur in making good any default, then this obligation shall be void: otherwise to remain in full force and effect.

**PROVIDED, FURTHER**, that the said surety, for value received hereby stipulates and agrees that no change, extension of time, alteration or addition to the terms of the contract or to **WORK** to be performed thereunder or the specifications accompanying same shall in any way affect its obligation on this **BOND**, and it does hereby waive notice of any such change, extension of time alteration or addition to the terms of the contract or to the **WORK** or to the specifications.

**PROVIDED, FURTHER**, that it is expressly agreed that this **BOND** shall be deemed amended automatically and immediately, without formal and separate amendments hereto, upon amendment to the Contract not increasing the contract price more than 20 percent, so as to bind the **PRINCIPAL** and the **SURETY** to the full and faithful performance of the Contract as so amended. The term "Amendment", wherever used in this **BOND** and whether referring to this **BOND**, the contract or the loan Documents shall include any alteration, addition, extension or modification of any character whatsoever.

**PROVIDED, FURTHER**, that no final settlement between the **OWNER** and the **CONTRACTOR** shall abridge the right of any beneficiary hereunder, whose claim may be unsatisfied.

		<b>REOF</b> , this instrument is executed in y of, 20	counterpa	rts, each one of which shall be deemed
ATTEST:			_	
	BY:		BY:	(PRINCIPAL)
		(PRINCIPAL SECRETARY)	-	
				(ADDRESS)
	BY:		-	
		(WITNESS AS TO PRINCIPAL)		
		(ADDRESS)		
			-	(SURETY)
ATTEST:			BY:	
	BY:		-	(ATTORNEY IN FACT)
	511	(WITNESS TO SURETY)	-	(ADDRESS)
			-	

**NOTE**: Date of **BOND** must not be prior to date of Contract.

If **CONTRACTOR** is partnership, all partners should execute BOND.

**IMPORTANT**: Surety companies executing **BONDS** must appear on the Treasury Department's most current list (Circular 570 as amended) and be authorized to transact business in the State of New Hampshire.

# NOTICE TO PROCEED

	DATE:	
то:		
	(Insert Name of Contractor as it appears in the Bid Documents)	
ADDRESS:		
OWNER'S PROJECT NO.:		
PROJECT:		
OWNER'S CONTRACT NO.:		
CONTRACT FOR:		
	tract Time under the above contract will commence to run on	
	performing your obligations under the Contract Documents. In access of Substantial Completion and Final Completion are respectively.	
each deliver to the other (wit	rk at the site, paragraph 27 of the General Conditions provides that h copies to ENGINEER) certificates of insurance which each is requi	red to purchase and
maintain in accordance with	the Contract Documents. Also, before you may start any Work at th	ie site, you must:
	································	
Copy to ENGINEER.		
(Use Certified Mail, return re	ceipt Requested).	
	OWNER:	
	BY:	
		zed Signature
	NAME:	
		Title
	ACKNOWLEDGEMENT OF NOTICE	
Receipt of the above NOTICE	TO PROCEED is hereby acknowledged by:	
	(Contractor)	
This the, c	lay of 20, by	
Employee Identification Nu		

# **CHANGE ORDER**

	No.		
	DATE OF ISSUANCE:		
	OWNER PROJECT NO.		
Street Name	City/Town	State	ZIP
	ENG. PROJECT NO.		
Street Name	City/Town	State	ZIP
		DATE OF ISSUANCE: OWNER PROJECT NO.	DATE OF ISSUANCE:         OWNER PROJECT NO.         Street Name       City/Town         Street Name       ENG. PROJECT NO.

You are directed to make the following changes in the Contract Documents:

Description: \_\_\_\_

Purpose of Change Order: \_\_\_\_\_

Justification: \_\_\_\_\_

Attachments: (List documents supporting change).

-				
CHANGE IN CONTRACT PRICE	CHANGE IN CONTRACT TIME			
Original Contract Price	Original Contract Time			
		days		date
Previous Change Orders	Net change from previous Change Orders		Orders	
		days		date
Contract Price prior to this Change Order	Contract Time prior to this Change Order		e Order	
		days		date
Net Increase (Decrease) of this Change Order	Net Increase (decrease) this Change Order		ge Order	
		days		date
Contract Price with all approved Change Orders	ers Contract Time with all Change Orders		orders	
		days		date

This document will become a supplement to the CONTRACT and all provisions will apply hereto. The attached Contractor's Revised Project Schedule reflects increases or decreases in Contract Time as authorized by this Change Order.

Stipulated price and time adjustment includes all costs and time associated with the above-described change. Contractor waives all rights for additional time extension for said change. Contractor and Owner agree that the price(s) and time adjustment(s) stated above are equitable and acceptable to both parties.

RECOMMENDED BY:	APPROVED BY:	APPROVED BY:	APPROVED BY:
Engineer	Owner	Contractor	NHDES
Date	Date	Date	Date

# **CERTIFICATE OF SUBSTANTIAL COMPLETION**

	CLAIT	
Owner Pi Project:	roject No.:	Engineer Project No.:
Contract	or:	
Contract	For:	Contract Date:
This Certif parts ther	•	on applies to all work under the Contract Documents or to the following specified
  To:		
And to:	(Owner)	
Anu to.	(Contractor)	
and ENGIN	NEER, and that Work is hereby s on Documents on	es has been inspected by authorized representatives of OWNER, CONTRACTOR declared to be substantially complete in accordance with the Contract  stantial Completion)
to include	an item in it does not alter th	d or corrected is attached hereto. This list may not be all-inclusive, and the failure e responsibility of CONTRACTOR to complete all the work in accordance with the entative list shall be completed or corrected by CONTRACTOR within

calendar days of the above Substantial Completion.

The responsibilities between OWNER and CONTRACTOR for security, operation, safety, maintenance, heat, utilities, insurance and warranties shall be as follows:

RESPONSIBILITIES: OWNER:	
CONTRACTOR:	

The following documents are attached to and made a part of this Certificate:

This certificate does not constitute an acceptance of work not in accordance with the Contract Documents nor is it a release of CONTRACTOR's obligation to complete the work in accordance with the Contract Documents.

Executed by the Engineer on:		, 20
	By:	(Engineer)
CONTRACTOR accepts this Certificate of Substantial Completion on:	<u> </u>	, 20
	By:	(Contractor)
OWNER accepts this Certificate of Substantial Completion on:	by.	, 20
	By:	(Owner)
	. <sub>-</sub>	



# **CERTIFICATE OF FINAL COMPLETION**

Clean Water and Drinking Water State Revolving Fund



Owner Project No.:	Engineer Project	t No.:
Project:		
Owner:		
Contractor:		
Engineer:		
Agreement Date:	-	
Notice to Proceed Date:		
Contractual Substantial Completion date as modified	fied by change orders:	
Actual Substantial Completion date		
Contractual final completion date as modified by	-	
The work to which this certificate applies has been		•
Engineer and NHDES, the punch list has been comp		contract is hereby declared to be Finally
Complete in accordance with the Contract Docume	(Date of Final Complet	tion
This certificate does not constitute an acceptance of release of contractor's obligation to complete the work completed subsequent to the date of Substan Acceptance.	work in accordance with the	e Contract Documents. The warranty for all
Executed by the Engineer on:		, 20
		(Engineer)
	By:	
CONTRACTOR accepts this Certificate of Final Con	npletion on:	, 20
		(Contractor)
	By:	
OWNER accepts this Certificate of Final Completion	on on:	, 20
		(Owner)
	By:	(Owner)
NHDES accepts this Certificate of Final Completion	n on:	, 20
		(NHDES)
	By:	

# **CONTRACTORS AFFIDAVIT**

Before me the undersi	gned a	(Notary Public, Justice of the Peace,
Alderman) in and for said Cour	nty and State Personally appear	ed (Individual,
partner or duly) who being dul	y sworn according to law depos	es and says that the cost of all the Work, and outstanding
claims and indebtedness of wh	atever nature arising out of the	performance of the contract between
	(Owner) and	(Contractor) of
	(Contractor Address) dat	ed for the
construction of the	(Proj	ect Name) and necessary appurtenant installations have
been paid in full.		
	-	(Individual, Partner, or duly authorized representative of
		corporate contractor)
	-	(Title)
Sworn to and subscribed before	ore me this	
day of		

(Notary Public)

# CONTRACTOR'S FINAL RELEASE AND WAIVER OF LIEN

Project Name:				
Project Address:				
	Street Name	City/Town	State	ZIP
Owner Name:				
Contractor Name:				
Contractor Address:				
	Street Name	City/Town	State	ZIP

### TO ALL WHOM IT MAY CONCERN:

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the undersigned Contractor hereby waives, discharges, and releases any and all liens, claims, and rights to liens against the abovementioned project, and any and all other property owned by or the title to which is in the name of the above-referenced Owner and against any and all funds of the Owner appropriated and available for the construction of said project, and any and all warrants drawn upon or issued against any such funds or monies, which the undersigned Contractor may have or may hereafter acquire or process as a result of the furnishing of labor, materials and/or equipment, and the performance of work by the Contractor on or in connection with said project, whether under and pursuant to the abovementioned contract between the Contractor and the Owner pertaining to said project or otherwise, and which said liens, claims or rights of lien may arise and exist.

The undersigned further hereby acknowledges that the sum of:

\_\_\_\_\_\_ Dollars (\$ \_\_\_\_\_) constitutes the entire **unpaid** balance due the undersigned in connection with said project whether under said contract or otherwise and that the payment of said sum to the contractor will constitute payment in full and will fully satisfy any and all liens, claims, and demands which the contractor may have or assert against the owner in connection with said contract or project.

Dated this \_\_\_\_ day of \_\_\_\_\_\_ 20\_\_\_\_\_

	(Witness to Signature)		(Contractor)
BY:		BY:	
Title:		Title:	

NHDES Front End Documents Section C: General Conditions

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### **1.** Contract and Contract Documents.

The plans, information for bidders, bids, advertisement for bids, bid payment and performance bonds, agreements, change orders, notice to proceed, specifications and addenda, hereinafter enumerated in the agreement, shall form part of this Contract and the provisions thereof shall be as binding upon the parties hereto as if they were herein fully set forth. The table of contents, titles, headings, running headlines and marginal notes contained herein and in said documents are solely to facilitate reference to various provisions of the Contract Documents and in no way affect, limit or cast light on the interpretation of the provisions to which they refer.

### 2. Definitions.

- 2.1 "Addenda" means written or graphic instruments issued prior to the execution of the agreement which modify or interpret the Contract Documents, drawings and specifications, by additions, deletions, clarifications or corrections. Such written or graphic instruments will be issued no less than five days before the bid opening.
- 2.2 "Bid" means the offer or proposal of the bidder submitted on the prescribed form setting forth the prices for the work to be performed.
- 2.3 "Bidder" means any person, firm or corporation submitting a bid for the work.
- 2.4 "Bonds" means bid, performance, and payment bonds and other instruments of security, furnished by the Contractor and his surety in accordance with the Contract Documents.
- 2.5 "Change Order" means a written order to the Contractor authorizing an addition, deletion or revision in the work within the general scope of the Contract Documents, or authorizing an adjustment in the Contract Price or Contract Time.
- 2.6 "Contract Documents" means the Contract, including any advertisement for bids, information for bidders, bid, bid bond, agreement, payment bond, performance bond, notice of award, notice to proceed, change orders, drawings, specifications and addenda.
- 2.7 "Contract Price" means the total monies payable to the Contractor under the terms and conditions of the Contract Documents.
- 2.8 "Contract Time" means the number of calendar days stated in the Contract Documents for the completion of the work.
- 2.9 "Contractor" means the person, firm or corporation with whom the owner has executed the agreement.
- 2.10 "Division" means the state of New Hampshire Department of Environmental Services, Water Division.
- 2.11 "Drawings" mean the part of the Contract Documents which show the characteristics and scope of the work to be performed and which have been prepared or approved by the engineer.
- 2.12 "Engineer" means the person, firm or corporation named as such in the Contract Documents.
- 2.13 "Field order" means a written order effecting a change in the work not relating to an adjustment in the Contract price or an extension of the Contract time and issued by the engineer to the Contractor during construction.
- 2.14 "Notice of Award" means the written notice of the acceptance of the bid from the owner to the successful Bidder.

- 2.15 "Notice to Proceed" means the written communication issued by the owner to the Contractor authorizing him to proceed with the Work and establishing the date of commencement of the work.
- 2.16 "Owner" means a public or quasi-public body or authority, corporation, association, partnership, or individual for whom the work is to be performed.
- 2.17 "Plans" means the Contract drawings or exact reproductions thereof which show the scope, character, dimensions and details of the work and which have been prepared or approved by the engineer.
- 2.18 "Project" means the undertaking to be performed as provided in the Contract Documents.
- 2.19 "Resident Project Representative" means the authorized representative of the owner who is assigned to the project site or any part thereof.
- 2.20 "Shop Drawings" means all drawings, diagrams, illustrations, brochures, schedules and other data which are prepared by the Contractor, a subcontractor, manufacturer, supplier or distributor, which illustrates how specific portions of the work shall be fabricated or installed.
- 2.21 "Special conditions" means revisions or additions to these general conditions, supplemental general conditions or specifications applicable to an individual project.
- 2.22 "Specifications" means a part of the Contract Documents consisting of written descriptions of a technical nature of materials, equipment, construction systems, standards and workmanship.
- 2.23 "Subcontractor" means an individual, firm or corporation having a direct Contract with the Contractor or with any other Subcontractor for the performance of a part of the work at the site.
- 2.24 "Substantial Completion" means that date as certified by the engineer when the construction of the Project or a specified part thereof is sufficiently completed, in accordance with the Contract Documents, so that the project or specified part can be utilized for the purposes for which it is intended.
- 2.25 "Supplemental General Conditions" means modifications to these general conditions required by a federal agency for participation in the Project and approved by the agency in writing prior to inclusion in the Contract Documents, or such documents that may be imposed by applicable state laws.
- 2.26 "Supplier" means any person or organization who supplies materials or equipment for the work, including that fabricated to a special design, but who does not perform labor at the site.
- 2.27 "Work" means all labor necessary to produce the construction required by the Contract Documents, and all materials and equipment incorporated or to be incorporated in the project.
- 2.28 "Written Notice" means any notice to any party of the agreement relative to any part of this agreement in writing and considered delivered and the service thereof completed, when posted by certified or registered mail to the said party at his last given address, or delivered in person to said party or his authorized representative on the work.

### 3. Additional Instructions and Detail Drawings.

The Contractor may be furnished additional instructions and detail drawings as necessary to carry out the work included in the Contract. The additional drawings and instructions thus supplied to the Contractor will coordinate with the Contract Documents and will be so prepared that they can be reasonably interpreted as part thereof.

- 4. Shop or Setting Drawings. Shop or setting drawings shall be in accordance with the following:
- 4.1 The Contractor shall furnish 6 copies of the manufacturer's shop drawings, specific design data as required in the detailed specifications, and technical literature covering all equipment and fabricated materials which he proposes to furnish under this Contract in sufficient detail to indicate full compliance with the specifications. Shop drawings shall indicate the method of installing, the exact layout dimensions of the equipment or materials, including the location, size and details of valves, pipe connections, etc.
- 4.2 No equipment or materials shall be shipped until the manufacturer's shop drawings and specifications or other identifying data, assuring compliance with these specifications, are approved by the engineer.
- 4.3 The Contractor shall check and verify all field measurements and shall be responsible for the prompt submission of all shop and working drawings so that there shall be no delay in the work.
- 4.4 Regardless of corrections made in or approval given to such drawings by the engineer, the Contractor will nevertheless be responsible for the accuracy of such drawings and for their conformity to the plans and specifications. The Contractor shall notify the engineer in writing of any deviations at the time he furnishes such drawings. He shall remain responsible for the accuracy of the drawings showing the deviations but not for the acceptance of the deviations from the original design shown in the plans and specification. Approval by the engineer and the owner of any deviation in material, workmanship or equipment proposed subsequent to approval of the shop drawings or design data, shall be requested in writing by the Contractor.
- 4.5 When submitted for the engineer's review, shop drawings shall bear the Contractor's certification that he has reviewed, checked and approved the shop drawings and that they are in conformance with the requirements of the Contract Documents.
- 5. Materials, Services, Facilities and Workmanship shall be furnished as follows:
- 5.1 Except as otherwise specifically stated in the Contract Documents, the Contractor shall provide and pay for all materials, labor, tools, equipment, water, light, power, transportation, superintendence, temporary construction of every nature, and all other services and facilities of every nature whatsoever necessary to execute, complete, and deliver the work within the specified time.
- 5.2 Unless otherwise specifically provided for in the specifications, all workmanship, equipment, materials and articles incorporated in the work shall be new and the best grade of the respective kinds for the purpose.
- 5.3 The Contractor shall furnish to the engineer for approval the manufacturer's detailed specifications for all machinery, mechanical and other special equipment, which he contemplates installing together with full information as to type, performance characteristics, and all other pertinent information as required.
- 5.4 Materials which are specified by reference to the number or symbol of a specific standard, such as an ASTM standard, a federal specification or other similar standard, shall comply with requirements in the latest revision thereof and any amendment or supplement thereto in effect on the date of the advertisement for bids, except as limited to type, class or grade, or modified in such reference. The standards referred to shall have full force and effect as though printed therein.
- 5.5 For equipment or for materials, when requested by the engineer, the Contractor shall submit certificates of compliance from the manufacturer, certifying that the equipment or the materials comply with the requirements of the specifications or the standards.

- 5.6 Manufactured articles, materials, and equipment shall be applied, installed, connected, erected, used, cleaned and conditioned as directed by the manufacturer.
- 5.7 Materials, supplies, and equipment shall be in accordance with samples submitted by the Contractor and approved by the engineer.

#### 6. Contractor's Title To Materials.

No material, supplies, or equipment to be installed or furnished under this Contract shall be purchased subject to any chattel mortgage or under a conditional sale, lease purchase or other agreement by which an interest therein or in any part thereof is retained by the seller or supplier. The Contractor shall warrant good title to all materials, supplies, and equipment installed or incorporated in the work and upon completion of all work, shall deliver the same together with all improvements and appurtenances constructed or placed thereon by him to the owner free from any claims, liens, or charges. Neither the Contractor nor any person, firm or corporation furnishing any material or labor for any work covered by this Contract shall have any right to a lien upon any improvement or appurtenance thereon. Nothing contained in this paragraph, however, shall defeat or impair the right of persons furnishing materials or labor to recover under any bond given by the Contractor for their protection or any rights under any law permitting such persons to look to funds due the Contractor in the hands of the owner. The provisions of this paragraph shall be inserted in all Subcontracts and material Contracts and notice of its provisions shall be given to all persons furnishing materials for the work when formal Contract is entered into for such materials.

#### 7. Inspection and Testing of Materials shall be as follows:

- 7.1 All materials and equipment used in the construction of the project shall be subject to inspection and testing by the engineer in accordance with accepted standards at any and all times during manufacture or during the project construction and at any or all places where such manufacture is carried on.
- 7.2 The Contractor shall furnish promptly upon request by the engineer, all materials required to be tested. All tests made by the engineer shall be performed in such manner and ahead of scheduled installation, as not to delay the work of the Contractor. When required, testing of concrete, masonry, soils, pipe and pipe materials will be made in accordance with provisions in the specifications.
- 7.3 Material required to be tested which is delivered to the job site shall not be incorporated into the work until the tests have been completed and approval or acceptance given in writing by the engineer.
- 7.4 Each sample submitted by the Contractor for testing shall carry an identification label containing such information as is requested by the engineer. It shall also include a statement that the samples are representative of the remaining materials to be used on the project.
- 7.5 Approval of any materials shall be general only and shall not constitute a waiver of the owner's right to demand full compliance with the Contract requirements.
- 7.6 The engineer may, at his own discretion, undertake the inspection of materials at the source. In the event plant inspection is undertaken, the following conditions shall be met:
  - a. The engineer shall have the cooperation and assistance of the Contractor and the producer with whom he has Contracted for materials.
  - b. The engineer shall have full entry at all reasonable times to such areas as may concern the manufacture or production of the materials being furnished.

- c. If required, the Contractor shall arrange for a building for the use of the inspector; such building to be located near the plant, independent of any building used by the material producer, in which to house and use the equipment necessary to carry on the required tests. Cost for such arrangement shall be paid by the owner as a stated allowance in the bid.
- d. Adequate safety measures shall be provided and maintained at all times.
- 7.7 Except as otherwise specifically stated in the Contract, the costs of sampling and testing will be divided as follows:

  a. The Contractor shall furnish the engineer, without extra cost, all samples required for testing purposes. All sampling and testing including the number and selection of samples shall be determined by the engineer for his own information and use.
  - b. When testing of materials is specified in the appropriate section of the specifications, the cost of the same shall be charged to the owner or Contractor, as detailed in the specifications. However, costs of equipment performance tests shall be borne by the Contractor, as detailed in the appropriate section of the specifications.
  - c. When the Contractor proposes a material, article or component as equal to the ones specified, reasonable tests may, or may not, be required by the engineer. If the engineer requires tests of a proposed equal item, the Contractor will be required to assume all costs of such testing.
  - d. Any material, article or component which fails to pass tests required by the Engineer or by the specifications, will be rejected and shall be removed from the project site. However, if, upon request of the Contractor, retesting or further tests are permitted by the Engineer, the Contractor shall assume all costs related to such retesting or further tests.
  - e. Neither the Owner nor the Engineer will in any way be charged for the manufacturer's costs in supplying certificates of compliance.
- 7.8 If the Contract Documents, laws, ordinances, rules, regulations or orders of any public authority having jurisdiction require any Work to specifically be inspected, tested or approved by someone other than the Contractor, the Contractor will give the Engineer timely notice of readiness. The Contractor will then furnish the Engineer with the required certificates of inspection, testing or approval.
- 7.9 Inspections, tests, or approvals by the engineer or others shall not relieve the Contractor from obligations to perform the Work in accordance with the requirements of the Contract Documents.

#### 8. "Or Equal " Clause, Substitutions and Contractor Options.

- 8.1 Whenever a material, article, or piece of equipment is identified on the plans or in the specifications by reference to manufacturer's or vendor's names, trade names, catalogue numbers, etc., it is intended merely to establish a standard of quality and performance. Any material, article, or equipment of other manufacturers and vendors, which will perform satisfactorily the duties imposed by the general design, shall be considered equally acceptable provided the material, article, or equipment so proposed is, in the opinion of the Engineer, of equal quality and function. The Engineer shall determine equality based on such information, tests, or other supporting data that may be required of the Contractor.
- 8.2 Upon acceptance and approval by the Engineer of an equal product, it shall remain the responsibility of the Contractor to coordinate installation of the item with all other items to be furnished to assure proper fitting together of all items. Similar responsibility applies to items which are left to the Contractor's option. Any

additional cost of equal items and any additional cost incidental to the coordination and/or fitting together of such items shall be borne by the Contractor at no extra cost to the Owner.

- 8.3 If a specified or equal item is not available to meet the construction schedule, the Contractor may propose a substitute item of less than equal performance and quality. If this substitute is acceptable to the Engineer, any difference in purchase cost or costs incidental to the installation of such item will be negotiated between the parties to the Contract.
- 8.4 Neither equal nor substitute items shall be installed without written approval of the Engineer.
- 8.5 The Contractor shall warrant that if substitutes are approved, no major changes in the function or general design of the Project will result.
- 9. Patents. Patent information is as follows:
- 9.1 The Contractor shall hold and save the owner and its officers, agents, servants, and employees harmless from liability of any nature or kind, including cost and expenses for, or on account of, any patented or unpatented invention, process, article, or appliance manufactured or used in the performance of the Contract, including its use by the owner, unless otherwise specifically stipulated in the Contract Documents.
- 9.2 License and/or royalty fees for the use of a process used in wastewater plant design which is authorized by the owner for the project, must be reasonable, and paid to the holder of the patent, or his authorized licensee.
- 9.3 If the Contractor uses any design, device or materials in the construction methods for the project covered by patents or copyrights, he shall provide for such use by suitable agreement with the owner of such patented or copyrighted design, device or material. It is mutually agreed and understood, that, without exception, the Contract prices shall include all royalties or costs arising from the use of such design, device or materials, in any way involved in the work. The Contractor and/or his sureties shall indemnify and save harmless the owner of the project from any and all claims for infringement by reason of the use of such patented or copyrighted design, device or materials or any trademark or copyright in connection with work agreed to be performed under this Contract, and shall indemnify the Owner for any cost, expense or damage which it may be obliged to pay by reason of such infringement at any time during the construction of the work or after completion of the work.

#### 10. Surveys. Surveys of land, property and construction shall be as follows:

- 10.1 The owner will provide all land surveys and will establish and locate all property lines relating to the project.
- 10.2 For structures, the Engineer will establish and stake out one or more base lines as needed and will establish bench marks in and around the project site for the use of the Contractor and for the Engineer's own reference in checking the work in progress. For structures such as pipelines, the Engineer will establish the location of the pipe, manholes and other appurtenances, and will establish bench marks along the route of the pipeline at intervals for the using of the Contractor and for his own reference in checking the pipe and manhole inverts and other elevations throughout the project. The Contractor shall utilize the lines and bench marks established by the Engineer to set up whatever specific detail controls he may need for establishing location, elevation lines and grades of all structures. All this work is subject to checking, approval, and continuous surveillance by the Engineer to avoid error. The Contractor shall provide the Engineer with a qualified man or men to assist in this checking as needed and on request of the Engineer.
- 10.3 For construction other than pipelines and appurtenances in roadways and cross country, the Contractor shall be responsible for the location and setting lines and grades. The Contractor shall establish the location for pump

station and wastewater treatment facility structures, associated yard piping including electrical conduits, internal piping and all equipment. Base lines and benchmarks for setting of the lines and grades for the above shall be provided by the Engineer.

10.4 Protection of stakes. The Contractor shall protect and preserve all of the established baseline stakes, bench marks, or other controls placed by the Engineer. Any of these items destroyed or lost through fault of the Contractor will be replaced by the Engineer at the Contractor's expense.

#### 11. Contractor's Obligations are as follows:

The Contractor shall and in good workmanlike manner, do and perform all work and furnish and pay for all supplies and materials, machinery, equipment, facilities and means, except as herein otherwise expressly specified, necessary or proper to perform and complete all the work required by this Contract, within the time stated in the proposal in accordance with the plans and drawings covered by this Contract, and any and all supplemental plans and drawings, in accordance with the directions of the Engineer as given from time to time during the progress of the work, whether or not he considers the direction in accordance with the terms of the Contract. He shall furnish, erect, maintain and remove such construction plant and such temporary works as may be required. The Contract Documents, and shall do, carry on and complete the entire work to the satisfaction of the Engineer and Owner.

Contractor shall carry on the work and adhere to the progress schedule during all disputes, disagreements or unresolved claims with the owner. No work shall be delayed or postponed pending the resolution of any disputes, disagreements, or claims except as the owner and Contractor may otherwise agree in writing.

#### 12. Weather Conditions.

In the event of temporary suspension of work, or during inclement weather, or whenever the Engineer shall direct, the Contractor and his Subcontractors shall protect their work and materials against damage or injury from the weather. If, in the opinion of the Engineer, any work or material shall have been damaged or injured by reason of failure on the part of the Contractor or any of his Subcontractors to so protect his work, such materials shall be removed and replaced at the expense of the Contractor.

#### 13. Protection of Work and Property shall be provided as follows:

- 13.1 The Contractor shall at all times safely guard the Owner's property from injury or loss in connection with this Contract. He shall at all times safely guard and protect his own work, and that of adjacent property, from damage. The Contractor shall replace or make good any such damage, loss or injury unless caused directly by errors contained in the Contract, or by the Owner, or his authorized representatives. The Contractor will notify owners of adjacent utilities when prosecution of the Work may affect them.
- 13.2 The Contractor shall take all necessary precautions for the safety of employees on the work site, and shall comply with all applicable provisions of federal, state and municipal safety laws and building codes to prevent accidents or injury to persons on, about or adjacent to the premises where the work is being performed. He shall erect and properly maintain at all times, as required by the conditions and progress of the work, all necessary safeguards for the protection of the workmen and the public and shall post danger signs warning against the hazards created by such features of construction as protruding nails, hoists, well holes, elevator hatchways, scaffolding, window openings, stairways, trenches and other excavations, and falling materials, and he shall designate a responsible member of his organization on the work, whose duty shall be the prevention of accidents. The name and position

of any person so designated shall be reported to the Engineer by the Contractor. The person so designated shall be available by phone during nonworking hours.

- 13.3 In case of emergency which threatens loss or injury of property, and/or safety of life, the Contractor is allowed to act, without previous instructions from the Engineer. He shall notify the Engineer immediately thereafter. Any claim for compensation by the Contractor due to such extra work shall be promptly submitted in writing to the Engineer for approval.
- 13.4 When the Contractor has not taken action but has notified the Engineer of an emergency threatening injury to persons or damage to the work or any adjoining property, he shall act as instructed or authorized by the Engineer.
- 13.5 The intention is not to relieve the Contractor from acting, but to provide for consultations between Engineer and Contractor in an emergency which permits time for such consultations.
- 13.6 The amount of reimbursement claimed by the Contractor on account of any emergency action shall be determined in the manner provided in Article 17 (extra work and change orders) of the general conditions.

#### 14. Inspection of work for conformance with plans and specifications.

- 14.1 For purposes of inspection and for any other purpose, the Owner, the Engineer, and agents and employees of the Division or of any funding agency may enter upon the work and the premises used by the Contractor, and the Contractor shall provide safe and proper facilities therefore. The Engineer shall be furnished with every facility for ascertaining that the work is in accordance with the requirements and intention of this Contract, even to the extent of uncovering or taking down portions of finished work.
- 14.2 During construction and on its completion, all work shall conform to the location, lines, levels and grades indicated on the drawings or established on the site by the Engineer and shall be built in a workmanlike manner, in accordance with the drawings and specifications and the supplementary directions given from time to time by the Engineer. In no case shall any work which exceeds the requirements of the drawings and specifications be paid for as extra work unless ordered in writing by the Engineer.
- 14.3 Unauthorized work and work not conforming to plans and specifications shall be handled as follows:
  - a. Work considered by the Engineer to be outside of or different from the plans and specifications and done without instruction by the Engineer, or in wrong location, or done without proper lines or levels, may be ordered by the Engineer to be uncovered or dismantled.
  - b. Work done in the absence of the Engineer or his agent may be ordered by the Engineer to be uncovered or dismantled.
  - c. Should the work thus exposed or examined prove satisfactory, the uncovering or dismantling and the replacement of material and rebuilding of the work shall be considered as "Extra Work" to be processed in accordance with article 17.
  - d. Should the work thus exposed or examined prove to be unsatisfactory the uncovering or dismantling and the replacement of material and rebuilding of the work shall be at the expense of the Contractor.
- **15. Reports, Records and Data** shall be furnished as follows: The Contractor shall submit to the owner such schedule of quantities and costs, progress schedules, payrolls, reports, estimates, records and other data as are required by the Contract Documents or as the owner, division or any funding agency may request concerning work performed or to be performed under this Contract.

- 16. Superintendence by Contractor shall be furnished as follows: At the site of the work, the Contractor shall employ a competent construction superintendent or foreman who shall have full authority to act for the Contractor. The superintendent or foreman shall have been designated in writing by the Contractor as the Contractor's representative at the site. It is understood that such representative shall be acceptable to the Engineer and shall be the one who can be continued in that capacity for the particular job involved unless he ceases to be on the Contractor's payroll. Such representative shall be present on the site at all times as required to perform adequate supervision and coordination of the Work.
- 17. Extra Work and Change Orders shall be processed as follows:
- 17.1 The Engineer may at any time by written order and without notice to the sureties require the performance of such extra work or changes in the work as may be found necessary. The amount of compensation to be paid to the Contractor for any extra work so ordered shall be made in accordance with one or more of the following methods in the order of precedence listed below:
  - a. A price based on unit prices previously approved; or
  - b. A lump sum price agreed upon between the parties and stipulated in the order for the extra work;
  - c. A price determined by adding 15 percent to the "reasonable cost" of the extra work performed, such "reasonable cost" to be determined by the Engineer in accordance with the following paragraph.
- 17.2 The Engineer shall include the reasonable cost to the Contractor of all materials used, of all labor, both common and skilled, of foreman, trucks, and the fair-market rental rate for all machinery and equipment for the period employed directly on the work. The reasonable cost for extra work shall include the cost to the Contractor of any additional insurance that may be required covering public liability for injury to persons and property, the cost of workmen's compensation insurance, federal social security, and any other costs based on payrolls, and required by law. The cost of extra work shall not include any cost or rental of small tools, buildings, or any portion of the time of the Contractor, his project supervisor or his superintendent, as assessed upon the amount of extra work, these items being considered covered by the 15 percent added to the reasonable cost. The reasonable cost for extra work shall also include the premium cost, if any, for additional bonds and insurance required because of the changes in the work.
- 17.3 In the case of extra work which is done by Subcontractors under the specific Contract, or otherwise if so approved by the Engineer, the 15 percent added to the reasonable cost of the work will be allowed only to the Subcontractor performing the work. On such work an additional 5 percent for reasonable cost will be paid to the Contractor for their work in directing the operations of the Subcontractor, for administrative supervision, and for any overhead costs. If two or more tiers of Subcontractors are involved in the extra work, a maximum of 27 percent of the cost incurred by the Subcontractor actually performing the work will be allowed to be added to the reasonable cost of the work. The 27 percent maximum represents 15 percent added to the reasonable cost of the subcontractor performing the work, an additional 5 percent allowed to the next tier higher subcontractor and 5 percent allowed to the Contractor for their work in directing supervision, and for any overhead costs. for administrative supervision, and for any overhead cost of the subcontractor performing the work, an additional 5 percent added to the reasonable cost of the subcontractor performing the work, an additional 5 percent allowed to the next tier higher subcontractor and 5 percent allowed to the Contractor for their work in directing the operations of the Subcontractor, for administrative supervision, and for any overhead costs.
- 17.4 The Engineer may authorize minor changes or alterations in the work not involving extra cost and not inconsistent with the overall intent of the Contract Documents. These shall be accomplished by a written field order. However, if the Contractor believes that any minor change or alteration authorized by the Engineer entitles him to an increase in the Contract price, he may make a claim therefore as provided in article 21.

- **18. Time For Completion and Liquidated Damages.** The following paragraphs address time for completion and liquidated damages:
- 18.1 It is hereby understood and mutually agreed, by and between the Contractor and the Owner, that the date of beginning and the time for completion as specified in the Contract of the work to be done hereunder are Essential Conditions of this Contract; and it is further mutually understood and agreed that the work embraced in this Contract shall be commenced on a date to be specified in the "Notice to Proceed."
- 18.2 The Contractor agrees that said work shall be pursued regularly, diligently and continuously at such rate of progress as will insure full completion thereof within the time specified. It is expressly understood and agreed, by and between the Contractor and the Owner, that the time for the completion of the work described herein is a reasonable time, taking into consideration the average climatic range and usual industrial conditions prevailing in this locality.
- 18.3 If the Contractor shall neglect, fail or refuse to complete the work within the time herein specified, or any proper extension thereof granted by the Owner, then the Contractor does hereby agree, as a part consideration for the awarding of this Contract, to pay to the Owner the amount specified in the Contract, not as a penalty but as liquidated damages for such breach of Contract as hereinafter set forth, for each and every calendar day that the Contractor shall be in default after the time stipulated in the Contract for completing the work.
- 18.4 The liquidated damages amount is fixed and agreed upon by and between the Contractor and the Owner because of the impracticability and extreme difficulty of fixing and ascertaining the actual damages the Owner would in such event sustain. Said amount is agreed to be the amount of damages which the Owner would sustain and said amount shall be deducted from time to time by the owner from current periodical payments.
- 18.5 It is further agreed that "time is of the essence" of each and every portion of this Contract and of the specifications wherein a definite and certain length of time is fixed for the performance of any act whatsoever; and where under the Contract an additional time is allowed for the completion of any work, the new time limit fixed by such extension shall "be of the essence." Provided, that the Contractor shall not be charged with liquidated damages or any excess cost when the Owner determines that the Contractor is without fault and the Contractor's reasons for the time extension are acceptable to the Owner; provided, further, that the Contractor shall not be charged with liquidated damages or any excess cost when the delay in the completion of the work is due to:
  - a. A preference, priority or allocation order duly issued by the government.
  - b. An unforeseeable cause beyond the control and without the fault or negligence of the Contractor, including, but not restricted to, acts of God, or of the public enemy, acts of the Owner, acts of another Contractor in the performance of a Contract with the Owner, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes and severe weather.
  - c. Any delays of Subcontractors or suppliers occasioned by any of the causes specified in subsections (a) and (b) of this article.
- 18.6 The Contractor shall promptly notify the Owner in writing of the causes of the delay. The Owner shall ascertain the facts and extent of the delay and notify the Contractor within a reasonable time of his decision in the matter.

- **19. Defective Work.** Defective work shall be processed as follows:
- 19.1 The Contractor shall promptly remove from the premises all materials and work condemned by the Engineer as failing to meet Contract requirements, whether incorporated in the work or not, and the Contractor shall promptly replace and re-execute his own work in accordance with the Contract and without expense to the Owner and shall bear the expense of making good all work of other Contractors which was destroyed or damaged by such removal or replacement.
- 19.2 All removal and replacement work shall be done at the Contractor's expense. If the Contractor does not take action to remove such condemned work and materials within10 days after receipt of written notice, the Owner may remove them and store the material at the expense of the Contractor. If the Contractor does not pay the expense of such removal and storage within 10 days time thereafter, the Owner may, upon 10 days written notice, sell such materials at auction or at private sale and shall pay to the Contractor any net proceeds thereof, after deducting all the costs and expenses that should have been borne by the Contractor.
- 20. Differing Site Conditions. Claims for differing site conditions shall be processed as follows:
- 20.1 The Contractor shall promptly and before such conditions are disturbed, notify the Engineer in writing of:
  - a. Subsurface or latent physical conditions at the site differing materially from those indicated in this Contract; or,
  - b. Unknown physical conditions at the site, differing materially from those ordinarily encountered and generally recognized as inherent in the type of work provided for in this Contract.
- 20.2 The Engineer shall promptly investigate the conditions. If he finds that conditions differ materially and will cause an increase or decrease in the Contractor's cost or the time required to perform any part of the work under this Contract whether or not changed as a result of such conditions, the Engineer will notify the Owner and recommend an equitable adjustment. Contractor and Owner will enter into negotiations via the Engineer to modify the contact in writing.
- 20.3 No claim of the Contractor under this clause shall be allowed unless the Contractor has given proper notice as required in paragraph 20.1 of this clause.
- 20.4 No claim by the Contractor for an equitable adjustment shall be allowed if asserted after final payment under this Contract.
- 21. Claims For Extra Cost. Claims for extra cost shall be processed as follows:
- 21.1 No claim for extra work or cost shall be allowed unless the same was done pursuant to a written order by the Engineer, approved by the Owner and the claim presented for payment with the first estimate after the changed or extra work is done. When work is performed under the terms of article 17, the Contractor shall furnish satisfactory bills, payrolls and vouchers covering all items of cost when requested by the Owner and shall allow the Owner access to accounts relating thereto.
- 21.2 If the Contractor claims that any instructions by drawings or similar documents issued after the date of the Contract involve extra cost under the Contract, he shall give the Engineer written notice after the receipt of such instruction and before proceeding to execute the work, except in an emergency which threatens life or property, then the procedure shall be as provided for under article 17, "Extra Work & Change Orders." No claim shall be valid unless so made.

#### 22. Right of Owner to Terminate Contract.

- 22.1 In the event that any of the provisions of this Contract are violated by the Contractor, or by any of his Subcontractors, the Owner may serve written notice upon the Contractor and the surety of its intention to terminate the Contract, and unless within 10 days after the serving of such notice upon the Contractor, such violation or delay shall cease and satisfactory arrangement for correction be made, the Contract shall, upon the expiration of said 10 days cease and terminate. In the event of any such termination, the Owner shall immediately serve notice thereof upon the surety and the Contractor and the surety shall have the right to take over and perform the Contract; provided, however, that if the surety does not commence performance thereof within 10 days from the date of the mailing to such surety of notice of termination, the Owner may take over the work and prosecute the same to completion by Contract or by force account for the account and at the expense of the Contractor and the Surety shall be liable to the Owner for any excess cost occasioned the Owner thereby, and in such event the Owner may take possession of and utilize in completing the work, such materials, appliances, and plant as may be on the site of the work and necessary therefore.
- 22.2 If the Contractor should be adjudged bankrupt, or if he should make a general assignment for the benefit of his creditors, or if a receiver should be appointed on account of his insolvency, or if he should refuse or should fail, except in cases for which extensions of time are provided, to supply enough skilled workmen or materials, or if he should fail to make payments to Subcontractors or for material or labor, so as to affect the progress of the work, or be guilty of a violation of the Contract, then the Owner, upon the written notice of the Engineer that sufficient cause exists to justify such action may, without prejudice to any other right or remedy and after giving the Contractor and his surety 7 days' written notice, terminate the employment of the Contractor and take possession of the premises and of all materials, tools, equipment and other facilities installed on the work and paid for by the Owner, and finish the work by whatever method he may deem expedient. In the case of termination of this Contract before completion from any cause whatever, the Contractor, if notified to do so by the Owner, shall promptly remove any part or all of his equipment and supplies at the expense of the Contractor. If such expense exceeds such unpaid balance, the Contractor shall pay the difference to the Owner. The expense incurred by the Owner as herein provided, and the damage incurred through the Contractor's default, shall be approved by the Engineer.
- 22.3 Where the Contract has been terminated by the Owner, said termination shall not affect or terminate any of the rights of the Owner as against the Contractor or his surety then existing or which may thereafter accrue because of such default. Any retention or payment of monies by the Owner due the Contractor under the terms of the Contract, shall not release the Contractor or his surety from liability for his default.
- 22.4 After ten (10) days from delivery of a Written Notice to the Contractor and the Engineer, the Owner may, without cause and without prejudice to any other remedy, elect to abandon the Project and terminate the Contract. In such case the Contractor shall be paid for all Work executed and any expense sustained plus reasonable profit.
- 22.5 If through no act or fault of the Contractor, the work is suspended for a period of more than ninety (90) days by the Owner or under an order of court or other public authority, or the Engineer fails to act on any request for payment within thirty (30) days after approved by the engineer, or the Owner fails to pay the Contractor substantially the sum approved by the Engineer or awarded by arbitrators within thirty (30) days of its approval and presentation, then the Contractor may, after ten (10) days from delivery of a Written Notice to the Owner and the Engineer terminate the Contract and recover from the Owner payment for all Work executed and all expenses sustained. In addition and in lieu of terminating the Contract, if the Engineer has failed to act on a request for payment or if the Owner has failed to make any payment as aforesaid, the Contractor may upon ten (10) days written notice to the Owner and the Engineer stop the Work until paid all amounts then due, in which event and

upon resumption of the Work Change Orders shall be issued for adjusting the Contract Price or Extending the Contract Time or both to compensate for the costs and delays attributable to the stoppage of the work.

- 22.6 If the performance of all or any portion of the Work is suspended, delayed, or interrupted as a result of failure of the Owner or Engineer to act within the time specified in the Contract Documents, or if no time is specified, within a reasonable time, an adjustment in the Contract Price or an extension of the Contract Time, or both, shall be made by Change Order to compensate the Contractor for the costs and delays necessarily caused by the failure of the Owner or Engineer.
- 23. Construction Schedule and Periodic Estimates shall provide for the following:
- 23.1 Before starting the work or upon request by the Engineer during its progress, the Contractor shall submit to the Engineer a work plan showing construction methods and the various steps he intends to take in completing the work.
- 23.2 Before the first partial payment is made, the Contractor shall prepare and submit to the Engineer:
  - a. A written schedule fixing the dates for submission of drawings; and
  - b. A written schedule fixing the respective dates for the start and completion of segments of the work. Each such schedule shall be subject to review and change during the progress of the work.
  - c. Respective dates for submission of Shop Drawings and for the beginning of manufacture, the testing, and the installation of materials, supplies, and equipment.
  - d. A schedule of payments that the Contractor anticipates will be earned during the course of the Work.
- 24. Payments to Contractor. Payments to the Contractor shall be made as follows:
- 24.1 Progress payments. The Owner will once each month make a progress payment to the Contractor on the basis of an estimate of the total amount of work done to the time of the estimate and its value as prepared by the Contractor and approved by the Engineer.
- 24.2 Retainage by Owner. The Owner will retain a portion of the progress payment, each month, in accordance with the following procedures:
  - a. The Owner will establish an escrow account in the bank of the Owner's choosing. The account will be established such that interest on the principal will be paid to the Contractor. The principal will be the accumulated retainage paid into the account by the Owner. The principal will be held by the bank, available only to the Owner, until termination of the Contract.
  - b. Until the work is 50% complete, as determined by the Engineer, retainage shall be 10% of the monthly payments claimed. The computed amount of retainage will be deposited in the escrow account established above.
  - c. After the work is 50% complete, and provided the Contractor has satisfied the Engineer in quality and timeliness of the work, and provided further that there is no specific cause for withholding additional retainage no further amount will be withheld. The escrow account will remain at the same balance throughout the remainder of the project, unless drawn upon by the Owner in accordance with articles 19, 22, and 56.
  - d. Upon substantial or final completion (as defined in article 25), the amount of retainage will be reduced to 2% of the total Contract Price plus an additional retainage based on the Engineer's estimate of the fair value of

the punch list items and the cost of completing and/or correcting such items of work, with specified amounts for each incomplete or defective item of work. As these items are completed or corrected, they shall be paid for out of the retainage until the entire project is declared completed (See article 25). The final 2% retainage shall be held during the one-year warranty period and released only after the Owner has accepted the project.

- 24.3 In reviewing monthly estimates for payments of the value of work done, the Engineer may accept in the estimate, prior to subtracting the retainage, the delivered cost of certain equipment and nonperishable material which have been delivered to the site or off-site location and which are properly stored and protected from damage. With the estimate, the Contractor shall submit to the Engineer invoices as evidence that the material has been delivered to the site. Prior to submitting the next monthly estimate, the Contractor shall provide the Engineer with paid invoices or other evidence that the materials have been paid for. If the Contractor fails to submit such evidence, the Engineer may then subtract the value of such materials or equipment for which the Owner has previously paid, from the next monthly estimate. The type of equipment and material eligible for payment prior to being incorporated in the work will be at the Engineer's discretion. Material and equipment made specifically for the subject job will be eligible for payment.
- 24.4 All material and work for which partial payments have been made shall thereupon become the sole property of the Owner. This provision shall not be construed as relieving the Contractor from the sole responsibility for the care and protection of materials and work upon which payments have been made or for the restoration of any damaged work, or as a waiver of the right of the Owner to require compliance with all of the terms of the Contract.
- 24.5 Owner's right to withhold payments and make application. The Contractor agrees that he will indemnify and save the Owner or the Owner's agents harmless from all claims growing out of the lawful demands of Subcontractors, laborers, workmen, mechanics, material men, and furnishers of machinery and parts, equipment, power, tools and all supplies, including commissary, incurred in the furtherance of the performance of this Contract. The Contractor shall, at the Owner's request, furnish satisfactory evidence that all claims of the nature hereinabove designated have been paid, discharged, or waived. If the Contractor fails to do so, then the Owner may, upon written notice to the Contractor either pay unpaid bills of which the Owner has written notice directly, or withhold from the Contractor's unpaid compensation a sum of money to pay any and all such lawful claims until satisfactory evidence is furnished that all liabilities have been fully discharged. Payment to the Contractor shall then be resumed in accordance with the terms of this Contract but in no event shall the above provisions be construed to impose any obligations upon the Owner to either the Contractor or his surety or any third party. In paying any unpaid bills of the Contractor, the Owner shall be deemed the agent of the Contractor, and any payment so made by the Owner shall be considered as payment made under Contract by the Owner to the Contractor and the Owner shall not be liable to the Contractor for any such payments made in good faith.
- 24.6 If the Owner fails to make payment forty-five (45) days after approval by the Engineer, in addition to other remedies available to the Contractor, there shall be added to each such payment interest at an annual rate of 10% commencing on the first day after said payment is due and continuing until the payment is received by the Contractor.
- 25. Acceptance and Final Payment provisions shall be as follows:
- 25.1 Substantial completion and payment.
  - a. Substantial completion shall be that point, as certified by the Engineer, at which the Contract or specified part thereof, has been completed to the extent that the Owner may occupy and/or make use of the work

performed for the purposes for which it was intended. Upon substantial completion there may be minor items, such as seeding, landscaping, etc., yet to be completed or items of work to be corrected.

- b. Upon receipt of written notice from the Contractor that the work is substantially complete, the Engineer shall promptly make an inspection, and when he finds the work complies with the terms of the Contract and the Contract is substantially completed, he will issue a signed and dated certificate, and a list of all items to be completed or corrected, stating that the work required by this Contract has been substantially completed and is accepted by him.
- c. Upon substantial completion, the entire balance due and payable to the Contractor less 2 percent of the Contract Price, and less a retention based on the Engineer's estimate of the fair value for the cost of completing or correcting listed items of work with specified amounts for each incomplete or defective item of work shall be made.
- d. The general guarantee period for the work shall begin on the date certified by the Engineer that the work is substantially completed.
- 25.2 Final completion shall be that point at which all work has been completed and all defective work has been corrected. Unless the Engineer has issued a certificate of substantial completion, the general guarantee period shall begin upon certification by the Engineer of final completion.
- 25.3 At the end of the general guarantee period for the entire Contract which has been certified finally completed or substantially completed, the Owner, through the Engineer, shall make a guarantee inspection of all or portions of the work. When it is found that the work is satisfactory and that no work has become defective under the terms of the Contract, the Owner will accept the entire project and make final payment, including the reimbursement of monies retained pursuant to the guarantee period.
- 25.4 If the guarantee inspection discloses any work as being unsatisfactory, the Engineer will give the Contractor the necessary instructions for correction of such work, and the Contractor shall immediately execute such instructions. Upon correction of the work, another inspection will be made which shall constitute the guarantee inspection, provided the work has been satisfactorily completed.
- 25.5 Before issuance of final payment, the Contractor shall certify in writing to the Engineer that all payrolls, material bills, and other indebtedness connected with the work have been paid or otherwise satisfied; except that in case of disputed indebtedness or liens, if the Contract does not include a payment bond, the Contractor may submit in lieu of certification of payment a surety bond in the amount of the disputed indebtedness or liens, guaranteeing payment of all such disputed amounts, including all related costs and interest in connection with said disputed indebtedness or liens which the Owner may be compelled to pay upon adjudication.
- 25.6 If upon substantial completion, full completion is delayed through no fault of the Contractor, and the Engineer so certifies, the Owner may, upon certificate of the Engineer, and without termination of the Contract, make payment of the balance due for that portion of the work fully completed and accepted. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of claims.
- 25.7 The acceptance by the Contractor of final payment shall release the Owner from all claims and all liability to the Contractor for all things relating to this work and for every act and neglect of the Owner and others relating to or arising out of this work. No payment, however, final or otherwise, shall operate to release the Contractor or his sureties from any obligations of the performance and payment bond under this Contract.

- 26. Payments by Contractor. The Contractor shall pay the costs:
- 26.1 For all transportation and utility services not later than the 20th day of the calendar month following that in which services are rendered;
- 26.2 For all materials, tools, and other expendable equipment to the extent of 90 percent of the cost thereof, not later than the 20th day of the calendar month following that in which such materials, tools and equipment are delivered at the site of the work and the balance of the cost thereof not later than the 30th day following the completion of that part of the work in or on which such materials, tools and equipment are incorporated or used; and
- 26.3 To each of his Subcontractors, not later than the 5th day following each payment to the Contractor, the respective amounts allowed the Contractor on account of the work performed by his Subcontractors to the extent of each Subcontractor's interest therein.
- **27. Insurance.** The Contractor and any Subcontractor shall obtain all the insurance required under this article and such insurance shall be approved by the Owner.
- 27.1 The Contractor and all Subcontractors shall procure and shall maintain during the life of this Contract workmen's compensation insurance as required by applicable state law. The Contractor shall provide and shall cause each Subcontractor to provide adequate employer's liability insurance.

Limits of Liability: \$100,000 each accident; \$500,000 disease - policy limit; \$100,000 disease - each employee.

27.2 The Contractor shall procure and shall maintain during the life of this Contract Commercial General liability insurance to include Contractual liability, explosion, collapse and underground coverages.

Limits of liability: \$1,000,000 each occurrence bodily injury and property damage;

\$2,000,000 general aggregate-include per project aggregate endorsement;

\$2,000,000 products/completed operations aggregate.

If blasting or demolition or both is required by the Contract, the Contractor or Subcontractor shall obtain the respective coverage and shall furnish the Engineer a certificate of insurance evidencing the required coverages prior to commencement of any operations involving blasting or demolition or both.

- 27.3 The Contractor shall procure and shall maintain during the life of this Contract comprehensive automobile liability insurance to include all motor vehicles including owned, hired, borrowed and non-owned vehicles. Limits of liability: \$1,000,000 combined single limit for bodily injury and property damage.
- 27.4 The Contractor shall either:
  - a. Require each of his Subcontractors to procure and to maintain during the life of his subcontract commercial general liability insurance and comprehensive automobile liability insurance of the type and in the amounts specified in articles 27.2 and 27.3; or
  - b. Insure the activities of his Subcontractors in his policy.
- 27.5 The required insurance shall provide adequate protection for the Contractor and his Subcontractors, respectively, against damage claims which may arise from work under this Contract, whether such work be by the insured or by anyone employed by him and also against any of the special hazards which may be encountered in the performance of this Contract.

- 27.6 The Contractor shall furnish the Owner with certificates showing the type, amount, class of operations covered, effective dates and dates of expiration of policies. Such insurance shall not be canceled or materially altered, except after 10 days written notice has been received by the Owner.
- 27.7 For builder's risk insurance (fire and extended coverage) and until the work is completed and accepted by the Owner, the Contractor is required to maintain builder's risk type insurance on a 100 percent completed value basis on the insurable portion of the work for the benefit of the Owner, the Contractor, and Subcontractors as their interests may appear.
- 27.8 The Contractor shall take out and furnish to the Owner and maintain during the life of this Contract, complete Owner's protective liability insurance.

Limits of Liability: \$1,000,000 each occurrence; \$2,000,000 aggregate.

- 28. Contract Security. The Contractor shall within ten (10) days after the receipt of the Notice of Award furnish the Owner with a performance bond and a payment bond in penal sums equal to the amount of the Contract price conditioned upon the performance by the Contractor of all undertakings, covenants, terms, conditions and agreements of the Contract Documents, and upon the prompt payment by the Contractor to all persons supplying labor and materials in the prosecution of the Work provided by the Contract Documents. Such Bonds shall be executed by the Contractor and a corporate bonding company licensed to transact business in the state in which the Work is to be performed and named on the current list of "Surety Companies Acceptable on Federal Bonds" as published in the Treasury Department Circular Number 570. The expense of these Bonds shall be borne by the Contractor.
- **29.** Additional or Substitute Bond. If at any time a surety on any such Bond is declared as bankrupt or loses its right to do business in the state in which the Work is to be performed, or is removed from the list of Surety Companies accepted on Federal Bonds, the Contractor shall within ten (10) days after notice from the Owner to do so, substitute an acceptable bond (or bonds) in such form and sum and signed by such other surety or sureties as may be satisfactory to the Owner. The premiums on such bond shall be paid by the Contractor. No further payments shall be deemed due nor shall be made until the new surety or sureties shall have furnished such an acceptable bond to the Owner.
- **30.** Assignments. The Contractor shall not assign the whole or any part of this Contract or any monies due or to become due hereunder without written consent of the Owner. In case the Contractor assigns all or any part of any monies due or to become due under this Contract, the instrument of assignment shall contain a clause substantially to the effect that it is agreed that the right of the assignee in and to any monies due or to become due to the Contractor shall be subject to prior claims of all persons, firms and corporations for services rendered or materials supplied for the performance of the work called for in this Contract.
- **31. Mutual Responsibility of Contractors.** If, through acts of neglect on the part of the Contractor, any other Contractor or any Subcontractor shall suffer loss or damage on the work site, the Contractor agrees to settle with such other Contractor or Subcontractor by agreement or arbitration if such other Contractor or Subcontractors will so settle. If such other Contractor or Subcontractors shall assert any claim against the Owner on account of any damage alleged to have been sustained, the Owner shall notify the Contractor, who shall indemnify and save harmless the Owner against any such claim.

- **32.** Subcontracting. When subcontracting, the Contractor:
- 32.1 May utilize the services of specialty Subcontractors on those parts of the work which, under usual Contracting practices, are performed by specialty Subcontractors.
- 32.2 Shall be as fully responsible to the Owner for the acts and omissions of his Subcontractors, and of persons either directly or indirectly employed by them, as he is for the acts and omissions of persons directly employed by him.
- 32.3 Shall cause appropriate provisions to be inserted in all subcontracts relative to the work to bind Subcontractors to the Contractor by the terms of the Contract Documents insofar as applicable to the work of Subcontractors and to give the Contractor the same power as regards terminating any subcontract that the Owner may exercise over the Contractor under any provision of the Contract Documents.
- 32.4 Shall not create any Contractual relation between any Subcontractor and the Owner.
- 32.5 Shall not award Work to Subcontractor(s), in excess of fifty percent (50%) of the Contract Price, without prior written approval of the Owner.
- 33. Authority of the Engineer. In performing his duties, the Engineer or his representative shall:
- 33.1 Have the authority to suspend the work in whole or in part for such periods as he may deem necessary due to the failure of the Contractor to carry out provisions of the Contract or for failure of the Contractor to suspend work in weather conditions considered by the Engineer to be unsuitable for the prosecution of the work. The Engineer shall give all orders and directions under this Contract, relative to the execution of the work. The Engineer shall determine the amount, quality, acceptability, and fitness of the several kinds of work and materials which are to be paid for under this Contract and shall decide all questions which may arise in relation to the work. The Engineer's estimates and decisions shall be final and conclusive, except as otherwise provided. In case any question shall arise between the parties hereto relative to said Contract or specifications, the determination or decision of the Engineer shall be a condition precedent to the right of the Contractor to receive any money or payment for work under this Contract affected to any extent by such question. The Engineer shall decide the meaning and intent of any portion of the specifications and of any plans or drawings where the same may be found unclear. Any differences or conflicts in regard to their work which may arise between the Contractor under this Contract performing work for the Owner shall be adjusted and determined by the Engineer.
  - a. The purpose of the above article is not in any way to relieve the Contractor of his responsibilities for the safety of workmen or general public in the execution of the work. Attention is drawn to Article 13 of these Conditions which refers to the safety obligations of the Contractor.
  - b. The Engineer, acting on behalf of the Owner, has the authority to enforce corrective action for work not in accordance with the specifications.
  - c. In addition, the Engineer, acting on behalf of the Owner, is to ensure that the work is in accordance with the Contract Documents. He is not held responsible, however, for the methods of construction, sequences, schedules and procedures in the execution of the work. The Engineer does have the opportunity under 33.1 to reject the method of construction, work plan schedule, procedures, as he thinks appropriate.
- 33.2 Appoint assistants and representatives as he desires, and they shall be granted full access to the work under the Contract. They have the authority to give directions pertaining to the work, to approve or reject materials, to suspend any work that is being improperly performed, to make measurements of quantities, to keep records of

costs, and otherwise represent the Engineer in all matters except as provided below. The Contractor may, however, appeal from their decision to the Engineer himself, but any work done pending its resolution is at the Contractor's own risk. Except as permitted and instructed by the Engineer, the assistants and representatives are not authorized to revoke, alter, enlarge, relax, or release any requirements of these specifications, nor to issue instructions contrary to the plans and specifications. They are not authorized to act as superintendents or foremen for the Contractor, or to interfere with the management of the work by the Contractor. Any advice which the assistants or representatives of the Engineer may give the Contractor shall not be construed as binding the Engineer or the Owner in any way, nor as releasing the Contractor from the fulfillment of the terms of the Contract. All transactions between the Contractor and the representatives of the Engineer which are liable to protest or where payments are involved shall be made in writing.

- **34. Stated Allowances.** The Contractor shall include in his proposal for costs of materials not shown in his bid under "cash allowances" or "allowed materials," any cash allowances stated in the supplemental general conditions or other Contract Documents. The Contractor shall purchase the "allowed materials" as directed by the Owner on the basis of the lowest and best bid of at least 3 competitive bids. If the actual price for purchasing the "allowed materials" is more or less than the "cash allowance," the Contract price shall be adjusted accordingly. The adjustment in Contract price shall be made on the basis of the purchase price without additional charges for overhead, profit, insurance or any other incidental expenses. The cost of installation of the "allowed materials" shall be included in the applicable sections of the Contract specifications covering this work.
- **35.** Use of Premises, Removal of Debris, Sanitary Conditions. In the use of premises or removal of debris, the Contractor expressly undertakes at his own expense: to take every precaution against injuries to persons or damage to property; to maintain sanitary conditions; to store his apparatus, materials, supplies and equipment in such orderly fashion at the site of the work as will not interfere with the progress of his work or the work of any other Contractors; to place upon the work or any part thereof only such loads as are consistent with the safety of that portion of the work; to clean up frequently all refuse, rubbish, scrap materials and debris caused by his operations, to the end that at all times the site of the work shall present an orderly and workmanlike appearance; before final payment to remove all surplus material falsework, temporary structures, including foundations thereof, plant of any description and debris of every nature resulting from his operations, and to put the site in an orderly condition; to effect all cutting, fitting or patching of his work required to make the same conform to the plans and specifications and, except with the consent of the Engineer, not to cut or otherwise alter the work of any other Contractor; to provide and maintain in a sanitary condition such toilet accommodations for the use of his employees as may be necessary to comply with the requirements of the state and local boards of health, or of other bodies or authorities having jurisdiction.
- **36. Quantities of Estimate.** Wherever the estimated quantities of work to be done and materials to be furnished under this Contract are shown in any of the documents including the proposal, they are given for use in comparing bids and the right is specifically reserved except as herein otherwise specifically limited, to increase or decrease them as may be deemed reasonably necessary by the Owner to complete the work contemplated by this Contract, and such increase or decrease shall in no way invalidate this Contract, nor shall any such increase or decrease give cause for claims or liability for damages. Such increases or decreases shall not exceed 25 percent of the estimated quantities of work. An increase or decrease in quantities for subsurface materials (e.g. ledge, unsuitable backfill), which overrun or underrun by 25% or more of the bid quantity may be the basis for a Contract price adjustment, at the rate of a negotiated adjusted unit rate. Negotiated unit price rates shall be equitable and shall take into account, but not be limited to the following factors; bid unit rate, distribution of rates and bid balance, and the scope of work as affected by the changed quantities. Claims for extra work resulting from changed quantities shall be processed under article 21.

- 37. Lands and Rights-of-Way. Acquisition and usage of lands and rights-of-way shall be as follows:
- 37.1 Prior to issuing the Notice to Proceed, the Owner shall legally obtain all lands and rights-of-way necessary for carrying out and completing the work to be performed under this Contract.
- 37.2 The Contractor shall not (except after written consent from the Owner) enter or occupy with men, tools, materials, or equipment, any land outside the rights-of-way or property of the Owner. A copy of the written consent shall be given to the Engineer.
- 37.3 The Owner shall provide to the Contractor information which delineates and describes the lands owned and the rights-of-way acquired.
- 37.4 The Contractor shall provide at its own expense and without liability to the Owner any additional land and access thereto that the Contractor may desire for temporary construction facilities, or for storage of materials.
- **38. General Guarantee.** With reference to warranties, neither the final certificate of payment nor any provision in the Contract Documents, nor partial or entire occupancy of the premises by the Owner, shall constitute an acceptance of work not done in accordance with the Contract Documents or relieve the Contractor of liability in respect to any express warranties or responsibility for faulty materials or workmanship. The Contractor shall remedy any defects in the work and pay for any damage to other work resulting therefrom, which appear within the warranty period one year or longer if required by the Contract, from the certified date of completion or substantial completion of the work. The Owner will give notice of observed defects within two working days of their discovery.
- **39.** Errors and Inconsistencies. With reference to errors and inconsistency in Contract Documents, any provisions in any of the Contract Documents which may be in conflict with the paragraphs in these general conditions shall be subject to the following order of precedence for interpretation:
- 39.1 Drawings will govern technical specifications.
- 39.2 General conditions will govern drawings and technical specifications.
- 39.3 Supplemental general conditions will govern general conditions, drawings and technical specifications.
- 39.4 Special conditions will govern supplemental general conditions, general conditions, drawings and technical specifications.
- 39.5 The Contractor shall take no advantage of any apparent error or omission in the plans or specifications. In the event the Contractor discovers such an error or omission, he shall notify the Engineer. The Engineer will then make such corrections and interpretations as may be deemed necessary for fulfilling the intent of the plans and specifications.
- 39.6 Figure dimensions on Drawings shall govern over general drawings.
- **40.** Notice and Service Thereof. Any notice to the Contractor from the Owner relative to any part of this Contract will be in writing and will be considered delivered and the service completed, when said notice is mailed, by certified registered mail, to the Contractor at his last given address, or delivered in person to the Contractor or his authorized representative on the work.
- **41. Required Provisions Deemed Inserted.** Each and every provision of law and clause required by law to be inserted in this Contract shall be deemed to be inserted herein and the Contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted or is not correctly

inserted (example; miswording, etc.), then upon the application of either party the Contract shall forthwith be physically amended to make such insertion or correction.

**42. Protection of Lives and Health.** The work under this Contract is subject to the safety and health regulations (CRF 29, part 1926, and all subsequent amendments) as promulgated by the U.S. Department of Labor on June 24, 1974. Contractors are urged to become familiar with the requirements of these regulations.

#### 43. OSHA Construction Safety Program.

- 43.1 Pursuant to NHRSA 277:5-a, the Contractor shall provide an Occupational Health and Safety Administration (OSHA) 10-hour construction safety program for its on-site employees. All employees are required to complete the program prior to beginning work. The training program shall utilize an OSHA-approved curriculum. Graduates shall receive a card from OSHA certifying the successful completion of the training program.
- 43.2 Any employee required to complete the OSHA 10-hour construction safety program, and who cannot within 15 days provide documentation of completion of such program, shall be subject to removal from the job site.
- 43.3 The following individuals are exempt from the requirements of the 10-hour construction safety program: law enforcement officers involved with traffic control or jobsite security; flagging personnel who have completed the training required by the Department of Transportation; all relevant federal, state and municipal government employees and inspectors; and all individuals who are not considered to be on the site of work under the federal Davis-Bacon Act, including, but not limited to, construction and non-construction delivery personnel and non-trade personnel.
- **44. Equal Employment Opportunity.** Under equal employment opportunity requirements and during the performance of this Contract the Contractor agrees to the following:
- 44.1 The Contractor will not discriminate against any employee or applicant for employment because of race, creed, color, national origin, or sex. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, creed, color, national origin, or sex. Such action shall include, but not be limited to, the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- 44.2 The Contractor will in all solicitations or advertisements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment, without regard to race, creed, color, national origin, or sex.
- 44.3 The Contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other Contract or understanding, a notice to be provided advising the labor union or worker's representative of the Contractor's commitment under section 202 of executive order no. 11246 of September 24, 1965, and 11375 of October, 13, 1967, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- 44.4 The Contractor will comply with all provisions of executive orders no. 11246 and 11375.
- 44.5 The Contractor will furnish all information and reports required by executive orders no. 11246 and 11375.

- 44.6 In the event of the Contractor's noncompliance with the nondiscrimination clauses of this Contract or with any of such rules, regulations, or orders, this Contract may be canceled, terminated, or suspended in whole or in part by the Owner or the Department of Labor and the Contractor may be declared ineligible for further government Contracts or federally-assisted construction, however, that in the event the Contractor becomes involved in, or is threatened with, litigation with a Subcontractor or vendor as a result of such direction by the Department of Labor, the Contractor may request the United States to enter into such litigation to protect the interests of the United States.
- 44.7 A breach of this article may be grounds for termination of this Contract and for debarment as provided in 29 CFR 5.6.
- **45.** Interest of Federal, State or Local Officials. No federal, state or local official shall be admitted to any share or part of this Contract or to any benefit that may arise therefrom, but this provision shall not be construed to extend to this Contract if made with a corporation for its general benefit.
- **46. Other Prohibited Interests.** No official of the Owner who is authorized in such capacity and on behalf of the Owner to negotiate, make, accept or approve, or to take part in negotiating, making, accepting, or approving any architectural, Engineering, inspection, construction or material supply Contract or any subcontract in connection with the construction of the project, shall become directly or indirectly interested personally in this Contract or in any part hereof. No officer, employee, architect, attorney, Engineer or inspector of or for the Owner who is authorized in such capacity and on behalf of the Owner to exercise any legislative, executive, supervisory or other similar functions in connection with the construction of the project, shall become directly contract, subcontract, insurance Contract, or any other Contract pertaining to the project.
- **47.** Use and Occupancy Prior to Acceptance. Use and occupancy of a portion or unit of the project, upon completion of that portion or unit, and before substantial completion of the project, shall be a condition of this Contract with the following provisions:
- 47.1 The Owner will make his request for use or occupancy to the Contractor in writing.
- 47.2 There must be no significant interference with the Contractor's work or performance of duties under the Contract.
- 47.3 The Engineer, upon request of the Owner and agreement by the Contractor, will make an inspection of the complete part of the work to confirm its status of completion.
- 47.4 Consent of the surety and endorsement of the insurance carrier must be obtained prior to use and/or occupancy by the Owner. Also, prior to occupancy, the Owner will secure the required insurance coverage on the building.
- 47.5 The Owner will have the right to exclude the Contractor from the subject portion of the project after the date of occupancy but will allow the Contractor reasonable access to complete or correct items.
- 47.6 The warranty period shall begin upon substantial completion.
- **48. Suspension of Work.** The Owner may, at any time and without cause, suspend the work or any portion thereof for a period of not more than 90 days by notice in writing to the Contractor and the Engineer. The Owner shall fix the date on which work shall be resumed. The Contractor will be allowed an increase in the Contract price or an extension of the Contract time, or both, directly attributable to any suspension if he makes a claim therefore as provided in articles 17 and 21.

#### 49. [Reserved]

50. [Reserved]

#### 51. [Reserved]

**52. Project Sign.** Furnish and erect a sign at the project site to identify the project and to indicate that the State Government is participating in the development of the project. Place the sign in a prominent location as directed by the Engineer. Do not place or allow the placement of other advertising signboards at the project site or along rights-of-way furnished for the project work. See Exhibit 1 for details of construction.

#### 53. [Reserved]

- 54. Public Convenience and Traffic Control requirements:
- 54.1 The Contractor shall at all times so conduct his work as to assure minimal obstruction to traffic. The safety and convenience of the general public and the residents along the work site route and the protection of property shall be provided for by the Contractor. The Contractor shall be responsible for timely notification to local residents before causing any interruptions of their access.
- 54.2 Fire hydrants and water holes for fire protection on or adjacent to the work site shall be kept accessible to fire apparatus at all times, and no obstructions shall be placed within 10 feet of any such facility. No footways, gutters, drain inlets, or portions of highways adjoining the work site shall be obstructed. In the event that all or part of a roadway is officially closed to traffic during construction, the Contractor shall provide and maintain safe and adequate traffic accessibility, satisfactory to the Engineer, for residences and businesses along and adjacent to the roadway so closed.
- 54.3 When the maintenance of traffic is considered by the Engineer to be minimal, the Contract may not show this work as a pay item. In such cases, the Contractor shall bear all expense of maintaining traffic over the sections of road undergoing improvement and of constructing and maintaining such approaches, crossings, intersections, and other features as may be necessary, without direct reimbursement.
- **55. Pre-Construction Conference.** The Contractor shall not commence work until a pre-construction conference has been held at which representatives of the Contractor, Engineer, Division and Owner are present. The pre-construction conference shall be scheduled by the Engineer.

#### 56. Maintenance During Construction.

- 56.1 The Contractor shall maintain the work during construction and until it is accepted by the Owner. This maintenance shall be continuous and effective work prosecuted day by day, with adequate equipment and forces, to the end that roads or structures are kept in satisfactory condition at all times.
- 56.2 All cost of maintenance during construction and before the work is accepted by the Owner shall be included in the unit prices bid on the various pay items and the Contractor shall not be paid an additional amount for such maintenance.
- 56.3 If the Contractor, at any time, fails to comply with the provisions above, the Engineer may direct the Contractor to do so. If the Contractor fails to remedy unsatisfactory maintenance within the time specified by the Engineer, the Engineer may immediately cause the project to be maintained and the entire cost of this maintenance will be deducted from money to become due the Contractor on this Contract.

#### 57. Cooperation with Utilities.

- 57.1 The Owner will notify all utility companies, all pipe line owners, or other parties affected, and have all necessary adjustments of the public or private utility fixtures, pipe lines, and other appurtenances within or adjacent to the limits of construction made as soon as practicable.
- 57.2 Water lines, gas lines, wire lines, service connections, water and gas meter boxes, water and gas valve boxes, light standards, cableways, signals, and all other utility appurtenances within the limits of the proposed construction which are to be relocated or adjusted are to be moved by the owners of such utilities at their expense, except as may otherwise be provided for in the special conditions or as noted on the plans.
- 57.3 It is understood and agreed that the Contractor has considered in his bid all of the permanent and temporary utility appurtenances in their present or relocated positions as shown on the plans and as evident on the site, and that no additional compensation will be allowed for any delays, inconvenience, damage sustained by him due to any interference from such utility appurtenances or the operation of moving them.
- 57.4 The Contractor shall cooperate with the Owners of any underground or overhead utility lines in their removal and rearrangement operations in order that these operations may progress in a reasonable manner, that duplication of rearrangements may be reduced to a minimum, and that services rendered by those parties will be minimal.
- 57.5 In the event of interruption to a water or utility service as a result of accidental breakage or as a result of being exposed or unsupported, the Contractor shall promptly notify the proper authority and shall cooperate with said authority in the restoration of services. If water service is interrupted, repair work shall be continuous until the service is restored. No work shall be undertaken around fire hydrants until provisions for continued service have been approved by the local fire authority. If any utility service is interrupted for more than 4 hours, the Contractor shall make provisions for temporary service at his own expense until service is resumed.
- 58. Work Performed at Night and on Sundays and Holidays shall comply with the following:
- 58.1 No work will be permitted at night or on Sundays or holidays except as approved in writing by the Engineer, and provided such work is not in violation of a local ordinance. When working at night, the Contractor shall provide flood lighting sufficient to insure the same quality of workmanship and the same conditions regarding safety as would be achieved in daylight.
- 58.2 Whenever Memorial Day or Fourth-of-July is observed on a Friday or a Monday and during the weekend of Labor Day, the Contractor may be required to suspend work for the 3 calendar days. Prior to the close of work, the work site shall be placed in a condition acceptable to the Engineer for the comfort and safety of the traveling public. An arrangement shall be made for responsible personnel acceptable to the Engineer to maintain the project in the above conditions.
- 59. Laws to be Observed. With reference to laws that shall be observed:
- 59.1 The Contractor shall keep fully informed of all federal and state laws, all local laws, ordinances, and regulations, and all orders and decrees of tribunals having any jurisdiction or authority, which in any manner affect those engaged or employed on the work. He shall at all times observe and comply with all such laws, ordinances, regulations, orders, and decrees; and shall protect and indemnify the state and its representatives against any claim or liability arising from or based on the violation of any such law, ordinance, regulation, order, or decree, whether by himself or his employees.

#### 59.2 Indemnification

The Contractor will indemnify and hold harmless the Owner and the Engineer and their agents and employees from and against all claims, damages, losses, and expenses including attorney's fees arising out of or resulting from the performance of the Work, provided that any such claims, damage, loss or expense is attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property including the loss of use resulting therefrom; and is caused in whole or in part by any negligent or willful act or omission of the Contractor, and Subcontractor, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable.

In any and all claims against the Owner or the Engineer, or any of their agents of employees, by any employees of the Contractor, and Subcontractor, anyone directly or indirectly employed by any of them, or anyone for whose acts any of them may be liable, the indemnification obligation shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by disability benefit or other employee benefit acts.

The obligation of the Contractor under this paragraph shall not extend to the liability of the Engineer, his agents or employees arising out of the preparation or approval of maps, Drawings, opinions, reports, surveys, Change Orders, designs or Specifications.

- 60. Permits. Permits to be obtained by the Contractor shall be in accordance with the following:
- 60.1 Permits and licenses of a temporary nature necessary for the prosecution of the work shall be obtained and paid for by the Contractor. Permits, licenses and easements for permanent structures or permanent changes in existing facilities will be secured and paid for by the Owner. Permits may include:
  - a. New Hampshire Department of Transportation Highway Trench Permits.
  - b. RSA 485-A:17 and 483-A N.H. DES Wetlands Bureau Dredge and Fill Permit.
  - c. RSA 485-A:17 N.H. DES Site Specific Permit (Water Quality)
  - d. RSA 149-M:10 N.H. DES Solid Waste Management Bureau disposal of construction debris and/or demolition waste.
  - e. N.H. Department of Environmental Services Air Resources Division (burning permits).
  - f. Other permits, as required by State and Local laws and ordinances.
  - g. Notice of intent for coverage under EPA's General NPDES Permit for construction dewatering activities.
- 61. Control of Pollution due to construction shall comply with the following:
- 61.1 During construction, the Contractor shall take precautions sufficient to avoid the leaching or runoff of polluting substances such as silt, clay, fuels, oils, bitumens, calcium chloride and any other polluting materials which are unsightly or which may be harmful to humans, fish, or other life, into groundwaters and surface waters of the State.
- 61.2 In waters used for public water supply or used for trout, salmon, or other game or forage fish spawning or nursery, control measures must be adequate to assure that turbidity in the receiving water will be increased not more than 10 standard turbidity units (s.t.u.) in the absence of other more restrictive locally-established limitations, unless otherwise permitted by the Division. In no case shall the classification for the surface water be violated.

61.3 In water used for other purposes, the turbidity must not exceed 25 s.t.u. unless otherwise permitted by the Division.

#### 62. Use of Explosives.

- 62.1 When the use of explosives is necessary for the prosecution of the Work, exercise the utmost care not to endanger life or property. The Contractor shall be responsible for any and all damage resulting from the use of explosives.
- 62.2 Store all explosives in a secure manner, in compliance with all State and local laws and ordinances, and legally mark all such storage places. Storage shall be limited to such quantity as may be needed for the work underway.
- 62.3 Designate as a "Blasting Area" all sites where electric blasting caps are located and where explosive charges are being placed. Mark all blasting areas with signs as required by law. Place signs as required by law from each end of the blasting area and leave in place while the above conditions prevail. Immediately remove signs after blasting operations or the storage of caps is over.
- 62.4 Notify each property Owner and public utility company having structures in proximity to the site of the work sufficiently in advance to enable the companies to take such steps as they may deem necessary to protect their property. Such notice shall not relieve the Contractor of any of his responsibility for damage resulting from his blasting operation. Warn all persons within the danger zone of blasting operations and do not perform blasting work until the area is cleared. Provide sufficient flagmen outside the danger zone to stop all approaching traffic and pedestrians. Provide watchmen during the loading period and until charges have been exploded. Place adequate protective covering over all charges before being exploded.

#### 63. Arbitration by Mutual Agreement.

- 63.1 All claims, disputes, and other matters in question arising out of, or relating to, the Contract Documents or the breach thereof, except for claims which have been waived by making an acceptance of final payment as provided in Section 25, may be decided by arbitration if the parties mutually agree. Any agreement to arbitrate shall be specifically enforceable under the prevailing arbitration law. The award rendered by the arbitrators shall be final, and judgment may be entered upon it in any court having jurisdiction thereof.
- 63.2 Notice of the request for arbitration shall be filed in writing with the other party to the Contract Documents and a copy shall be filed with the Engineer. Request for arbitration shall in no event be made on any claim, dispute, or other matter in question which would be barred by the applicable statute of limitations.
- 63.3 The Contractor will carry on the Work and maintain the progress schedule during any arbitration proceedings, unless otherwise mutually agreed in writing.
- **64. Taxes.** The Contractor shall pay all sales, consumer, use, and other similar taxes required by the laws of the place where the Work is performed.

#### 65 Separate Contracts.

65.1 The Owner reserves the right to let other Contracts in connection with this Project. The Contractor shall afford other Contractors reasonable opportunity for the introduction and storage of their materials and the execution of their Work, and shall properly connect and coordinate the Work with theirs. If the proper execution or results of any part of the Contractor's Work depends upon the Work of any other Contractor, the Contractor shall inspect

and promptly report to the Engineer any defects in such Work that render it unsuitable for such proper execution and results.

- 65.2 The Owner may perform additional Work related to the Project or the Owner may let other Contracts containing provisions similar to these. The Contractor will afford the other Contractors who are parties to such Contracts (or the Owner, if the Owner is performing the additional Work) reasonable opportunity for the introduction and storage of materials and equipment and the execution of the Work, and shall properly connect and coordinate the Work with theirs.
- 65.3 If the performance of the additional Work by other Contractors or the Owner is not noted in the Contract Documents prior to the execution of the Contract, written notice shall thereof be given to the Contractor prior to starting such additional Work. If the Contractor believes that the performance of such additional Work by the Owner or others involves it in additional expense or entitles it to an extension of the Contract Time, the Contractor may make a claim thereof as provided in Sections 17 and 18.

EXHIBIT 1

Project Sign Detail

[Insert project sign detail here - Contact NHDES for appropriate detail]

# **DIVISION 01 – GENERAL REQUIREMENTS**

# **SECTION 01 22 13**

# MEASUREMENT AND PAYMENT

# PART 1 – GENERAL

## 1.1 SUMMARY

**A.** This Section specifies administrative and procedural requirements for the measurement and payment of Contract pay items.

# 1.2 SUBMITTALS

A. Provide the following submittals in accordance with Section 01 33 23.1. Field notes of all measurements for payment purposes.

# 1.3 <u>SCHEDULING</u>

- **A.** Notify Engineer as far in advance as possible of pay item measurements a minimum of three days prior to submission of the application for payment.
- **B.** Allow for and afford Engineer ample time, space, and equipment to observe and verify measurements.

## 1.4 **DESCRIPTION**

- A. For unit price items, the Contractor shall be paid for the actual amount of work accepted and for the actual amount of materials in place during the period of construction. After the work is completed and before final payment is made, the Engineer shall make final measurements to determine the quantities of the various items of work accepted as the basis for final payment.
- **B.** For lump sum items, the Contractor shall be paid on the basis of actual work accepted until the work item is completed. Upon completion of the item, 100 percent of the lump sum price may be paid, subject to the terms of the General Conditions or Supplemental Conditions.
- **C.** All units of measurement shall be standard United States convention as applied to the specific items of work by tradition and as interpreted by the Engineer.

## 1.5 MEASUREMENT REQUIREMENTS

**A.** Where payments are to be made on a unit price or adjustment item unit price basis, notify Engineer so that they may observe existing conditions and the status of work-in-place and may witness measurements being made. Where Engineer has not witnessed required measurements and cannot verify or substantiate quantities, they may not recommend payment for same.

- **B.** Maintain complete and legible field notes for all measured items. Notes shall contain spaces for Contractor's and Engineer's signatures plus additional space for comments. An original and copy shall be made for all notes with the copy being submitted to Engineer. The Engineer's signature shall not be construed as an acceptance of the Work or the measurements made but shall mean the Engineer was present when the measurements were made.
- **C.** The Owner reserves the right to reject the Contractor's measurement of work-in-place and to have this Work measured by the Engineer or independent party acceptable to the Contractor at the Owner's expense.

# 1.6 LIMITS OF PAYMENT

- A. Payments will be made for the quantities installed and accepted in accordance with the Contract. Upon completion of construction, if actual quantities are different than the quantities estimated in the Bid, the Contract unit prices will still prevail, except as follows. When alterations in the quantities of work not requiring Change Orders are ordered and performed, the Contractor shall accept payment in full at the Contract price for the actual quantities of work done. No allowance will be made for anticipated profits. Increased or decreased work involving Change Orders will be paid for as stipulated in such Change Orders.
- **B.** The Contractor shall accept as full payment for furnishing all materials, labor, tools, equipment, and incidentals necessary to complete the work and for performing all work; also for all loss or damage arising from the nature of the Work, or from the action of the elements, or from any unforeseen difficulties which may be encountered during the prosecution of the work. No extra payment shall be made to the Contractor for any delays caused by defective workmanship or rescheduling of work by others.

# 1.7 WORK ELIMINATED FROM CONTRACT

**A.** Should any work be deleted from the Contract a Change Order shall be issued as stipulated in the General Conditions.

# 1.8 PARTIAL PAYMENTS

A. Partial payments shall be made monthly as the work progresses. All partial invoices and payments shall be subject to correction in the final quantity invoice and payment. No monthly payment shall be required to be made when, in the judgment of the Engineer, the Work is not proceeding in accordance with the provisions of the Contract.

- **B.** No partial payment shall be made upon fuels, supplies, lumber, false work, or other materials, or on temporary structures of any kind which are not a permanent part of the Contract.
- **C.** Each subsequent Application for Payment shall include an affidavit of the Contractor stating that all previous progress payments received on account of the Work have been applied to discharge in full all of the Contractor's obligations reflected in prior Applications for Payment. The Owner shall have the right to deduct from the next progress payment an amount equal to payment for said material and/or equipment if reasonable and adequate proof is not submitted.

# 1.9 FINAL PAYMENT

**A.** The Contractor will prepare a final payment requisition for review by the Engineer for the work performed. Upon approval by the Engineer, the Owner will pay the entire sum found to be due less any retainage provided for in the General Conditions and any previous payments.

# 1.11 PAYMENT FOR MATERIALS DELIVERED

A. Payment may be made for all or part of the value of materials stored on site. The application for payment shall be accompanied by a summary of materials stored on site that will establish the Owner's title to the materials and protect the Owner's interest therein, including insurance. The amount thus paid by the Owner shall reduce the estimated amounts due the Contractor as the material is incorporated into the Work. Materials stored on site, that have been paid for by the Owner, shall become the property of the Owner and, in the event of default on the part of the Contractor, the Owner may use these materials in the construction of the Work. The Contractor shall be responsible for any damage to, or loss of, any materials.

# PART 2 – PRODUCTS

# 2.1 <u>GENERAL</u>

**A.** Provide all labor, materials, facilities, measuring devices and all other equipment necessary to perform all measurements for payment purposes.

# PART 3 – EXECUTION

# 3.1 <u>GENERAL</u>

- A. Perform all measuring required by this Section.
- **B.** No separate payments will be made for Work under this Contract except for the pay items stipulated in this Part 3. All costs in connection with the Work shall be included in one or more of the pay items as appropriate.

**C.** The names of pay items in this Section, the Schedule of Values, or the Bid Form may be abbreviated or non-comprehensive and are for general identification purposes of the item only. The names shall not be construed to represent a complete description of all the Work included under each pay item. Refer to the subsequent paragraphs of this Section for more complete descriptions of Work to be included under each Contract pay item.

# 3.2 <u>LUMP SUM PRICE PAY ITEMS</u>

- A. Measurement no measurements will be made.
- **B.** Payment shall be on a lump sum basis, based on the percentage of work completed and accepted by the engineer for each lump sum pay item.

# 3.3 UNIT PRICE PAY ITEMS

A. Measurement and payment shall be made by the unit.

# 3.4 DESCRIPTION OF PAY ITEMS

The following pay items describe the measurement of and payment for the work to be done under the items listed in the Bid.

Item 1 – General Conditions and Miscellaneous Work

- **A.** Measurement: General Conditions and Miscellaneous Work shall consist of preparatory work and operations including but not limited to, the following items:
  - 1. Project Management
  - 2. Mobilization/demobilization
  - 3. Submittals
  - 4. Testing not paid for by the Owner
  - 5. Equipment delivery
  - 6. Sanitary facilities
  - 7. Furnishing of insurance
  - 8. Permits and permit conditions
  - 9. Clean-up
  - 10. Coordination with utilities, other contractors, and other project-related entities
  - 11. Installation and removal of temporary facilities
  - 12. Temporary water service for the community during the duration of construction/installation.
  - 13. Materials, maintenance and other incidentals to ensure water service to the existing community is maintained throughout construction.
  - 14. Project Closeout
  - 15. Project record drawings

- **B.** Payments:
  - 1. Payment shall be made at the contract lump sum price, which price shall be full compensation for all costs incurred in furnishing labor, tools, materials an equipment and incidental work item costs for the preparatory work and operations described in the above measurement section for this item.
  - 2. For the purpose of computing payments, the adjusted contract price shall include all contract unit price and lump sum items except the contract lump sum price for the item General Conditions, Mobilization, and Demobilization.
  - 3. Payments shall be made as follows:
    - a. First payment of fifty percent (50%) of the contract lump sum price for Mobilization or 2.5 percent of the adjusted contract price, whichever is less, will be made not later than payment of the first application for payment following the completion of five percent (5%) of the total contract price.
    - b. Second payment of twenty five percent (25%) of the contract lump sum price for Mobilization or 1.2 percent of the adjusted contract price, whichever is less, will be made not later than payment of the first application for payment following the completion of fifty percent (50%) of the total contract price.
    - c. Upon substantial completion of all work on the project, payment of the remainder of the contract lump sum price for Mobilization will be paid.

## Item 2 - Water Storage Tank Cover and Installation

- **A.** Measurement for payment shall be proportional to the percentage of work installed and accepted by the Engineer.
- **B.** Payment for this item shall be at the lump sum price as stated in the Bid Schedule. Payment shall include all work found to be necessary to complete the removal of the existing plexiglass cover and installation of new storage tank cover as shown on the Plans, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

## **End of Section**

## SECTION 01 26 13

## **REQUESTS FOR INFORMATION**

## PART 1 – GENERAL

#### 1.1 GENERAL

- A. The Contractor shall prepare and submit Requests for Information ("RFIs") if it requires clarification of the Contract Documents. All RFIs shall be in writing using the attached form. The Contractor may fax, deliver, or mail RFIs to the Engineer. RFIs from the Contractor's subcontractors or suppliers will not be accepted or processed.
- B. If the information can be found in the Contract Documents, it will be indicated in the RFI and returned to the Contractor. The Engineer's time for preparing responses to RFIs that are self-evident in the Contract Documents will be charged to the Contractor.
- C. The Engineer's review of the RFI will be conducted with reasonable promptness while allowing sufficient time in the Engineer's judgment to permit adequate review. In general, the Engineer anticipates responding to the RFI within four (4) business days.

## PART 2 – PRODUCTS (Not Applicable)

# PART 3 – EXECUTION

#### 3.1 GENERAL

A. All RFIs shall be numbered sequentially starting with No. 001. The Contractor shall maintain a log of all RFIs submitted including at a minimum the date of submittal, subject and receipt of response from the Engineer. An electronic copy of the following form will be provided to the Contractor.

## **End of Section**

# **REQUEST FOR INFORMATION**

PROJECT: [CLIENT NAME] [PROJECT NAME]

TO: Horizons Engineering Attn: \_\_\_\_\_\_ 34 School Street Littleton, NH 03561 Fax: 603.444.1343

REQUEST								
Title:					Date:			
Drawing No.:		Specification Section No.:		on No.:				
Date Response Required:								
Attachments:								
Contractor:			Submitted by:					

RESPONSE				
	D · 11	<b></b>		1
Horizons Engineering	Reviewed by:		Date:	

## SECTION 01 31 13

## **PROJECT COORDINATION**

## PART 1 – GENERAL

#### 1.1 SUMMARY

- **A.** This Section specifies administrative and supervisory requirements necessary for Project coordination including, but not necessarily limited to:
  - 1. Administrative and supervisory personnel.
  - 2. General installation provisions.
  - 3. Cleaning and protection.

# 1.2 COORDINATION

- **A.** Coordination: Coordinate construction activities to ensure efficient and orderly installation of each part of the Work. Coordinate construction operations for proper installation, connection, and operation.
  - 1. Where installation of one part of the Work is dependent on installation of other components, either before or after its own installation, schedule construction activities in the sequence required to obtain the best results.
  - 2. Where availability of space is limited, coordinate installation of different components to ensure maximum accessibility for required maintenance, service and repair that meets each component manufacturer's written installation requirements.
  - 3. Make adequate provisions to accommodate items scheduled for later installation.
- **B.** Where specified, prepare memoranda for distribution to each party involved outlining special procedures required for coordination. Include such items as required notices, reports, and attendance at meetings.
  - 1. Prepare similar memoranda for the Owner and separate contractors where coordination of their work is required.
- **C.** Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities to avoid conflicts and ensure orderly and timely progress of the Work. Such administrative activities include, but are not limited to, the following:
  - 1. Preparation of schedules.
  - 2. Installation and removal of temporary facilities.
  - 3. Delivery and processing of submittals.

- 4. Progress meetings.
- 5. Project close-out activities.
- **D.** Conservation: Coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials.
  - 1. Salvage materials and equipment involved in performance of, but not actually incorporated in, the Work. Refer to other sections for disposition of salvaged materials that are designated as Owner's property.
- **E.** Utilities: Coordinate Work with applicable utilities within the Project limits. Contact DigSafe at 811 or 888-DIG-SAFE to locate utilities prior to starting Work as well as if damage occurs or if conflicts or emergencies arise during the Work.

# 1.4 SUBMITTALS

- A. Provide the following submittals in accordance with Section 01 33 23.
- **B.** Coordination Drawings: Prepare and submit coordination drawings where close and careful coordination is required for installation of products and materials fabricated off-site by separate entities, and where limited space availability necessitates maximum utilization of space for efficient installation of different components.
  - 1. Show the interrelationship of components shown on separate Shop Drawings.
  - 2. Indicate required installation sequences.
- **C.** Staff Names: Within 15 days of Notice to Proceed, submit a list of the Contractor's principal staff assignments, including the Superintendent and other onsite personnel; identify individuals with their duties and responsibilities; list their addresses and telephone numbers.
  - 1. Post copies of the list in the Project meeting room, in the temporary field office, and at each temporary land telephone.

# PART 2 – PRODUCTS (Not Applicable)

# PART 3 – EXECUTION

# 3.1 GENERAL INSTALLATION PROVISIONS

**A.** Inspection of Conditions: Require the installer of each major component to inspect both the substrate and conditions under which Work is to be performed. Do not proceed until unsatisfactory conditions have been corrected in an acceptable manner.

- **B.** Manufacturer's Instructions: Comply with manufacturer's written installation instructions and recommendations to the extent that those instructions and recommendations are more explicit or stringent than the Contract Documents' requirements.
- **C.** Inspect materials or equipment immediately upon delivery and again prior to installation. Reject damaged and defective items.
- **D.** Provide attachment and connection devices and methods necessary for securing Work. Secure Work true to line and level. Allow for expansion and structure movement.
- **E.** Visual Effects: Provide uniform joint widths in exposed Work. Arrange joints in exposed Work to obtain the best visual effect. Refer questionable choices to the Engineer for final decision.
- F. Recheck measurements and dimensions before starting each installation.
- **G.** Install each component during weather conditions and Project status that meet industry and manufacturer installation requirements. Isolate each part of the completed construction from incompatible material as necessary to prevent deterioration.
- **H.** Coordinate temporary enclosures with required inspections and tests, to minimize the necessity of uncovering completed construction for that purpose.
- I. Mounting Heights: Where mounting heights are not indicated, install individual components at standard mounting heights recognized within the industry for the particular application indicated. Refer questionable mounting height decisions to the Engineer for final decision.

## 3.2 CLEANING AND PROTECTION

- **A.** During handling and installation, clean and protect construction in progress and adjoining materials in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- **B.** Clean and maintain completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- **C.** Limiting Exposures: Supervise construction activities to ensure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

### SECTION 01 31 19

### **PROJECT MEETINGS**

### PART 1 – GENERAL

#### 1.1 SUMMARY

- **A.** This Section specifies administrative and procedural requirements for project meetings including but not limited to:
  - 1. Pre-Construction Conference.
  - 2. Pre-Installation Conferences.
  - 3. Coordination Meetings.
  - 4. Progress Meetings.

### 1.2 PRE-CONSTRUCTION CONFERENCE

- A. Schedule a pre-construction conference and organizational meeting at the Project site or other convenient location no later than 15 days after the Effective Date of the Contract and prior to commencement of construction activities. Conduct the meeting to review responsibilities and personnel assignments.
- **B.** Attendees: The Owner, Engineer and their consultants, the Contractor and its superintendent, major subcontractors, and other concerned parties shall each be represented at the conference by persons familiar with and authorized to conclude matters relating to the Work.
- C. Agenda: Discuss items of significance that could affect progress including such topics as:
  - 1. Designation of responsible personnel
  - 2. Owner authority and responsibilities
  - 3. Contractor authority and responsibilities
  - 4. Engineer authority and responsibilities
  - 5. Distribution of Contract Documents
  - 6. Office, Work, and storage areas
  - 7. Tentative construction schedule
  - 8. Temporary utilities
  - 9. Subcontractors
  - 10. Equipment deliveries and priorities
  - 11. Schedule of Values
  - 12. Preliminary Progress Schedule, critical Work sequencing
  - 13. Submittals
  - 14. Procedures for processing Applications for Payment
  - 15. Preparation of record documents

- 16. Procedures for processing field decisions and Change Orders
- 17. Use of the premises, staging, storage
- 18. Safety procedures, first aid
- 19. Security
- 20. Housekeeping
- 21. Working hours
- 22. Project permits
- 23. Quality control and testing
- 24. Work of other contractor(s) that Contractor needs to coordinate with to complete the Work
- 25. Progress meetings

# 1.4 PRE-INSTALLATION CONFERENCES

- A. Conduct a pre-installation conference at the site before each construction activity that requires coordination with other construction. The installer and representatives of manufacturers and fabricators involved in or affected by the installation and its coordination or integration with other materials and installations that have preceded or will follow shall attend the meeting. Advise the Engineer of scheduled meeting dates.
  - 1. Review the progress of other construction activities and preparations for the particular activity under consideration at each pre-installation conference, including requirements for:
    - a. Contract Documents
    - b. Options
    - c. Related Change Orders
    - d. Purchases
    - e. Deliveries
    - f. Shop Drawings, Product Data and quality control Samples
    - g. Possible conflicts
    - h. Compatibility problems
    - i. Time schedules
    - j. Weather limitations
    - k. Manufacturer's recommendations
    - 1. Compatibility of materials
    - m. Acceptability of substrates
    - n. Temporary facilities
    - o. Space and access limitations
    - p. Governing regulations
    - q. Safety
    - r. Inspection and testing requirements
    - s. Required performance results
    - t. Recording requirements
    - u. Protection

- 2. Record significant discussions and agreements and disagreements of each conference along with the approved schedule. Promptly distribute the record of the meeting to everyone concerned including the Owner and Engineer.
- 3. Do not proceed if the conference cannot be successfully concluded. Initiate whatever actions are necessary to resolve impediments to performance of Work and reconvene the conference at the earliest feasible date.

### 1.5 PROGRESS MEETINGS

- A. Conduct progress meetings at the Project site at regularly scheduled intervals. Notify the Owner, Engineer, and other concerned parties of scheduled meeting dates. Coordinate dates of meetings with preparation of the payment request.
- **B.** Attendees: In addition to representatives of the Contractor, Owner, and Engineer, each subcontractor, supplier, or other entity concerned with current progress or involved in planning, coordination, or performance of future activities shall be represented at these meetings by persons familiar with the Project and authorized to conclude matters relating to progress.
- **C.** Agenda: Review and correct or approve minutes of the previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to the current status of the Project.
  - 1. Contractor's Construction Schedule: Review progress since the last meeting. Determine the status of each activity in relation to the Contractor's construction schedule, whether on time, ahead of schedule, or behind schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so. Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
  - 2. Review the present and future needs of each entity present, including such items as:
    - a. Interface requirements
    - b. Time
    - c. Sequences
    - d. Deliveries
    - e. Off-site fabrication problems
    - f. Access
    - g. Site utilization
    - h. Temporary facilities and services
    - i. Hours of work
    - j. Hazards and risks
    - k. Housekeeping

- l. Quality and work standards
- m. Change orders
- n. Documentation of information for payment requests
- o. Inspection and acceptance of equipment
- p. Requirements for equipment start-up
- 3. Status of submittals
- 4. Status of progress payments
- 5. Any conflicts, discrepancies, or other difficulties requiring resolution
- **D.** Reporting: No later than 3 days after each progress meeting date, distribute copies of minutes of the meeting to each party present and to other parties who should have been present. Include a brief summary, in narrative form, of progress since the previous meeting and report.
  - 1. Schedule Updating: Revise the construction schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue the revised schedule concurrently with the report of each meeting.

PART 2 – PRODUCTS (Not Applicable)

**<u>PART 3 – EXECUTION</u>** (Not Applicable)

### SECTION 01 33 23

### SUBMITTALS

### PART 1 – GENERAL

#### 1.1 SUMMARY

- A. This Specification specifies administrative and procedural requirements for submittals required for performance of the Work. Submittals covered by these requirements include project schedule, manufacturers' information, shop drawings, test procedures, test results, samples, requests for substitutions, operation and maintenance manuals, record drawings, and miscellaneous work-related submittals. Submittals shall also include, but not be limited to, all mechanical, electrical and electronic equipment and systems, materials, reinforcing steel, fabricated items, and piping and conduit details.
- **B.** Administrative submittals may include, but are not limited to:
  - 1. Permits
  - 2. Applications for payment
  - 3. Performance and payment bonds
  - 4. Insurance certificates
  - 5. List of Subcontractors
  - 6. Documentation confirming conformance to Equal Employment Opportunity and Labor Laws
- **C.** The Contractor shall furnish all drawings, specifications, descriptive data, certificates, samples, tests, methods, schedules, and manufacturer's installation and other instructions as specifically required in the Contract Documents to demonstrate fully that the materials and equipment to be furnished and the methods of work comply with the provisions and intent of the Contract Documents.

### 1.2 <u>RESPONSIBILITIES</u>

- A. The Contractor shall be responsible for the accuracy and completeness of the information contained in each submittal and shall assure that the material, equipment or method of work shall be as described in the submittal. The Contractor shall verify that all features of all products conform to the specified requirements. Submittal documents shall be clearly edited to indicate only those items, models, or series of equipment, which are being submitted for review. All extraneous materials shall be crossed out or otherwise obliterated.
- B. The Contractor shall coordinate submittals with the work so that work will not be delayed. The Contractor shall coordinate and schedule different categories of submittals, so that one will not be delayed for lack of coordination with another. No extension of time will be allowed because of failure to properly schedule submittals.

### 1.3 <u>SUBMITTAL PROCEDURES</u>

- A. Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit each submittal sufficiently in advance of performance of related construction activities to avoid delay. Provide six (6) copies of submittals to the Engineer for review.
  - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals and related activities that require sequential activity.
  - 2. Coordinate transmittal of different types of submittals for related elements of the Work so processing will not be delayed by the need to review submittals concurrently for coordination.
    - a. The Engineer reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
  - 3. Processing: Allow sufficient review time so that installation will not be delayed as a result of the time required to process submittals, including time for resubmittals.
    - a. Allow two weeks for initial review. Allow additional time if processing must be delayed to permit coordination with subsequent submittals. The Engineer will promptly advise the Contractor when a submittal being processed must be delayed for coordination.
    - b. If an intermediate submittal is necessary, process the same as the initial submittal.
    - c. No extension of Contract Time will be authorized because of failure to transmit submittals to the Engineer sufficiently in advance of the Work to permit processing.
- **B.** Submittal Preparation: Place a permanent label or title block on each submittal for identification. Indicate the name of the entity that prepared each submittal on the label or title block.
  - 1. Provide a space approximately 4" x 5" on the label or beside the title block on Shop Drawings to record the Contractor's review and approval markings and the action taken.
  - 2. Include the following information on the label for processing and recording action taken.
    - a. Project name
    - b. Date
    - c. Name and address of Engineer
    - d. Name and address of Contractor

- e. Name and address of subcontractor
- f. Name and address of supplier
- g. Name of manufacturer
- h. Number and title of appropriate Specification section
- i. Drawing number and detail references, as appropriate
- **C.** Package each submittal appropriately for transmittal and handling. Transmit each submittal from Contractor to Engineer using a transmittal form. Submittals received from sources other than the Contractor will be returned without action.
  - 1. On the transmittal record relevant information and requests for data. On the form, or separate sheet, record deviations from Contract Document requirements, including minor variations and limitations. Include Contractor's certification that information complies with Contract Document requirements.
  - 2. A unique, sequential number shall be noted on the transmittal form accompanying each item submitted.

## 1.4 CONTRACTOR'S CONSTRUCTION PROGRESS SCHEDULES

- **A.** Prepare fully-developed, horizontal bar chart (Gantt) type construction progress schedules prepared by the critical path method or other approved means and in accordance with Specification section 00 72 43-2.03.A and 2.05.
  - 1. Provide a separate time bar for each significant construction activity and all items of work listed in the bid. Provide a continuous vertical line to identify the first working day of each week. Use the same breakdown of units of the Work as indicated in the Schedule of Values, if applicable. The dependencies between activities shall be indicated so that it may be established what effect the progress of any one activity has on the schedule.
  - 2. Schedule items included in Section 01 11 13 must be addressed on the schedule.
  - 3. Within each time bar indicate estimated completion percentage in 10 percent increments. As Work progresses, place a contrasting mark in each bar to indicate actual completion.
  - 4. Time for completion, materials delivery dates, specific dates for construction activities, and sequencing requirements shall be shown on the schedule. Activities making up the critical path shall be identified.
  - 5. No activity on the schedule shall have a duration longer than 21 days, excepting a delivery schedule, or assigned value greater than \$50,000.

- 6. The schedule duration of each activity shall be based on the work being performed during the normal 40-hour work week with allowances made for legal holidays and normal weather conditions.
- 7. Prepare the schedule on a sheet, or series of sheets, of sufficient width to show data for the entire construction period.
- 8. Secure time commitments for performing critical elements of the Work from parties involved. Coordinate each element on the schedule with other construction activities; include minor elements involved in the sequence of the Work. Show each activity in proper sequence. Indicate graphically sequences necessary for completion of related portions of the Work.
- 9. Coordinate the Contractor's construction schedule with the Schedule of Values, list of subcontracts, submittal schedule, progress reports, payment requests and other schedules.
- 10. The Contractor shall be responsible for revising the schedule when changes occur, when requested by the Owner and with each application for progress payment. Changes from the previous submission shall be highlighted for ease of identification. The Contractor shall provide a brief narrative report summarizing anticipated problems, recommended actions and effects upon the schedule and the schedule of other trades or activities.
- 11. The Engineer's review is only for the purpose of checking conformity with the Contract Documents and assisting the Contractor in coordinating the work with the needs of the Project. It is not to be construed as relieving the Contractor from any responsibility to determine the means, methods, techniques, sequences, and procedures of construction and site safety as provided in the Contract Documents.
- **B.** Phasing: Provide notations on the schedule to show how the sequence of the Work is affected by requirements for phased completion to permit work by separate Contractors and partial occupancy by the Owner prior to Substantial Completion.
- **C.** Work Stages: Indicate important stages of construction for each major portion of the Work, including testing and installation.
- **D.** Area Separations: Provide a separate time bar to identify each major construction area for each major portion of the Work. Indicate where each element in an area must be sequenced or integrated with other activities.
- **E.** Distribution: Following response to the initial submittal, print and distribute copies to the Engineer, Owner, subcontractors, and other parties required to comply with scheduled dates. Post copies in the Project meeting room and temporary field office.

- 1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.
- **F.** Schedule Updating: Revise the schedule after each meeting or activity, where revisions have been recognized or made. Issue the updated schedule concurrently with report of each meeting.

## 1.5 <u>SCHEDULE OF SUBMITTALS</u>

- **A.** Refer to Specification section 00 72 43 for additional Schedule of Submittals requirements. Engineer shall review the Contractor's Schedule of Submittals and may add or delete submittals from the list as deemed appropriate by the Engineer.
- **B.** Distribution: Following response to initial submittal, print and distribute copies to the Engineer, Owner, subcontractors, and other parties required to comply with submittal dates indicated. Post copies in the Project meeting room and field office.
  - 1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.
- **C.** Schedule Updating: Revise the schedule after each meeting or activity, where revisions have been recognized or made. Issue the updated schedule concurrently with report of each meeting.

## 1.6 SHOP DRAWINGS

- **A.** Submit newly prepared information, drawn to accurate scale. Indicate deviations from the Contract Documents. Do not reproduce Contract Documents or copy standard information as the basis for Shop Drawings.
- **B.** Shop Drawings shall adequately provide the dimensions and layout of equipment and shall include plan and elevation views, blow-up drawings to depict all key components and materials, sections to depict how parts fit together and function, and other details as required to provide full detail of the equipment and its component parts. Shop Drawings include fabrication and installation drawings, setting diagrams, schedules, patterns, templates and similar drawings. Include the following information:
  - 1. Dimensions
  - 2. Identification of products and materials included
  - 3. Compliance with specified standards

- 4. Notation of coordination requirements
- 5. Notation of dimensions established by field measurement
- 6. Sheet Size: Except for templates, patterns and similar full size drawings, submit Shop Drawings on sheets at least 8-1/2" x 11" but no larger than 24" x 36"
- 7. Distribution: Furnish copies of final submittal to installers, subcontractors, suppliers, manufacturers, fabricators, and others required for performance of construction activities. Show distribution on transmittal forms.
- 8. Do not use Shop Drawings for construction without an appropriate final stamp indicating action taken.
- **C.** Shop Drawings shall not fulfill the requirements for record drawings but may be included with record drawings when applicable.

## 1.7 PRODUCT DATA

A. Collect Product Data into a single submittal for each element of construction or system. Product Data includes printed information such as manufacturer's catalog cut sheets, installation instructions, standard color charts, roughing-in diagrams and templates, standard wiring diagrams, performance curves, brochures, model number identification, and standard published manufacturer's material specifying the quality, make-up, application and materials of fabrication for the specified products.

### 1.8 SAMPLES

- A. Submit samples as required. Samples include, but are not limited to, physical examples of the work, such as sections of manufactured or fabricated work, small cuts or containers of materials, complete units of repetitively-used products, color/texture/pattern swatches and range sets, specimens for coordination of visual effects, graphic symbols, and units of work to be used by the Engineer or Owner for independent inspection and testing, as applicable to the work.
  - 1. Submittals: Except for samples illustrating assembly details, workmanship, fabrication techniques, connections, operation and similar characteristics, submit 3 sets; one will be returned marked with the action taken.
  - 2. Maintain sets of samples as returned at the Project site for quality comparisons throughout the course of construction.
  - 3. Distribution of Samples: Prepare and distribute additional sets to subcontractors, manufacturers, fabricators, suppliers, installers, and others as required for performance of the Work. Show distribution on transmittal forms.

### 1.9 TEST DATA

- A. The Contractor shall notify the Owner not less than 7 working days prior to the date that the equipment installation(s) and/or other materials or portions of the Work will be ready for inspection and testing.
- B. Test certification shall be provided and signed by the responsible party to the satisfaction of the Owner within two weeks of the actual test. It shall include the following:
  - 1. Date of report, name, address, telephone number and signatures of individuals performing the test or inspection and of individuals issuing the report.
  - 2. Project name, number, and Contract number.
  - 3. Dates, times, temperature, weather conditions, and locations of tests and inspections.
  - 4. Identify the work or product by specification section and test or inspection method.
  - 5. Complete inspection or test data, results of test, interpretation of test results, compliance with the Contract Documents, and recommendations regarding retesting.

#### 1.10 ENGINEERED PRODUCTS

A. Products requiring professional engineering design and/or certification shall be stamped by a professional engineer with valid registration in the state in which the Project is located. Such stamp shall be consistent with the rules and regulations of the state governing professional engineering registration.

### 1.11 ENGINEER'S ACTION

- A. Except for submittals for record, information, or similar purposes, where action and return is required or requested, the Engineer will review each submittal, mark to indicate action taken, and return two (2) marked-up copies to the Contractor within 20 calendar days after receipt of a submittal for review and comment unless otherwise specified. Compliance with specified characteristics is the Contractor's responsibility.
  - 1. Action Stamp: The Engineer will stamp each submittal with a uniform, self-explanatory action stamp. The stamp will be appropriately marked as follows to indicate the action taken:
    - a. Reviewed as submitted: Where submittals are marked "Reviewed as submitted," that part of the work covered by the submittal may proceed provided it complies with requirements of the Contract Documents; final acceptance will depend upon that compliance.

- b. Reviewed make corrections noted: When submittals are marked "Reviewed make corrections noted," that part of the Work covered by the submittal may proceed provided it complies with notations or corrections on the submittal and the requirements of the Contract Documents; final acceptance will depend on that compliance. Where submittal information will be incorporated in operation and maintenance data, a corrected copy shall be provided.
- c. Rejected revise and re-submit: When submittal is marked "Rejected revise and resubmit," the Contractor shall not proceed with that part of the Work covered by the submittal, including purchasing, fabrication, delivery, or other activity. Revise or prepare a new submittal in accordance with the notations; resubmit without delay.

#### 1.12 EFFECT OF REVIEW OF CONTRACTOR'S SUBMITTALS

A. Review of drawings, methods of work, or information regarding materials or equipment the Contractor proposes to provide shall not relieve the Contractor of its responsibility for errors therein and shall not be regarded as an assumption of risks or liability by the Engineer or the Owner, or by any officer or employee thereof, and the Contractor shall have no claim under the contract on account of the failure, or partial failure, of the method of work, material, or equipment so reviewed. A mark of "Reviewed as submitted" or "Reviewed – make corrections noted" shall mean that the Owner has no objection to the Contractor, upon the Contractor's own responsibility, using the plan or method of work proposed or providing the materials or equipment proposed.

PART 2 – PRODUCTS (Not Applicable)

**<u>PART 3 – EXECUTION</u>** (Not Applicable)

### SECTION 01 77 19

### PROJECT CLOSEOUT

### PART 1 – GENERAL

#### 1.1 GENERAL

- **A.** Substantial Completion: Before requesting inspection for Substantial Completion, complete the following:
  - 1. In the Application for Payment that coincides with the date Substantial Completion is claimed, show 100 percent completion for the portion of the Work claimed substantially complete.
  - 2. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications and similar documents.
  - 3. Submit record drawings, maintenance manuals, final project photographs, damage or settlement survey, property survey, and similar record information.
  - 4. Change-over permanent locks and transmit keys to the Owner.
  - 5. Complete start-up testing of systems, and instruction of the Owner's personnel. Remove temporary facilities from the site, along with construction tools, mock-ups, and similar elements.
  - 6. Complete final clean up. Touch-up and repair and restore marred exposed finishes.
- **B.** Inspection Procedures: On receipt of a request for inspection, the Engineer will proceed or advise the Contractor of unfilled requirements. The Engineer will prepare the Certificate of Substantial Completion following inspection or advise the Contractor of construction that must be completed or corrected before the certificate will be issued.
  - 1. The Engineer will repeat inspection when requested and assured that the Work has been substantially completed.
  - 2. Results of the completed inspection will form the basis of requirements for final acceptance.
- **C.** Final Acceptance: Before requesting inspection as basis for final acceptance and final payment, complete the following:
  - 1. Submit final payment request with releases.
  - 2. Submit a final statement, accounting for changes to the Contract Sum.

- 3. Submit a copy of the final inspection list stating that each item has been completed or otherwise resolved for acceptance.
- 4. Submit final meter readings for utilities, a record of stored fuel, and similar data as of Substantial Completion.
- 5. Submit consent of surety to final payment.
- 6. Submit evidence of continuing insurance coverage complying with insurance requirements.
- **D.** Reinspection Procedure: The Engineer will reinspect the Work upon receipt of notice that the Work has been completed, except items whose completion has been delayed because of circumstances acceptable to the Engineer.
  - 1. Upon completion of reinspection, the Engineer will advise the Contractor if Work is incomplete or if obligations that have not been fulfilled but are required for final acceptance.
  - 2. If necessary, reinspection will be repeated.
- **E.** Training: Arrange for the installer of equipment that requires regular maintenance to meet with the Owner's personnel to provide instruction in proper operation and maintenance. Include a detailed review of the submitted operations and maintenance manuals. As part of the instruction, demonstrate the following procedures:
  - 1. Start-up and shutdown.
  - 2. Control Sequences.
  - 3. Adjustments.
  - 4. Inspection procedures.
  - 5. Safety procedures.
  - 6. Emergency operations.
- **F.** Final Cleaning: The Contractor must clean the Site before Final Acceptance will be made. Employ experienced workers for final cleaning. Clean each surface to the condition expected in a commercial building cleaning and maintenance program. Such cleaning shall include, but not be limited to, the following:
  - 1. Remove labels that are not permanent labels.
  - 2. Clean transparent materials. Remove glazing compound. Replace chipped or broken glass.
  - 3. Clean exposed hard-surfaced finishes to a dust-free condition, free of stains, films and similar foreign substances. Restore reflective surfaces to their original reflective

condition. Leave concrete floors broom clean. Vacuum carpeted surfaces.

- 4. Clean surfaces of mechanical and electrical equipment using cleaning materials appropriate to the surface and material being cleaned. Remove excess lubrication.
- 5. Clean plumbing fixtures to a sanitary condition. Clean light fixtures and lamps.
- 6. Clean the site of rubbish, litter and other foreign substances. Sweep paved areas; remove stains, spills and other foreign deposits. Rake grounds that are neither paved nor planted, to a smooth even-textured surface.
- 7. Remove waste and surplus materials, rubbish, and temporary construction facilities from the Site.
- G. Removal of Protection: Remove temporary protection and facilities.
- **H.** Compliance: Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Remove waste materials from the site and dispose of in a lawful manner.

PART 2 – PRODUCTS (Not Applicable)

**<u>PART 3 – EXECUTION</u>** (Not Applicable)

### **SECTION 01 78 36**

### WARRANTIES

## PART 1 – GENERAL

### 1.1 GENERAL

- **A.** Standard Product Warranties are pre-printed written warranties published by individual manufacturers for particular products and are specifically endorsed by the manufacturer to the Owner.
- **B.** Special Warranties are written warranties required by or incorporated in Contract Documents, to extend time limits provided by standard warranties or to provide greater rights for the Owner.
  - 1. Refer to the General Conditions for terms of the Contractor's special warranty of workmanship and materials.
- **C.** Requirements for warranties for products and installations that are specified to be warranted, are included in the individual Specifications.
- **D.** Disclaimers and Limitations: Manufacturer's disclaimers and limitations on product warranties do not relieve the Contractor of the warranty on the Work that incorporates the products, nor does it relieve suppliers, manufacturers, and subcontractors required to countersign special warranties with the Contractor.
- **E.** Related Damages and Losses: When correcting warranted Work that has failed, remove and replace other Work that has been damaged as a result of such failure or that must be removed and replaced to provide access for correction of warranted Work.
- **F.** Reinstatement of Warranty: When Work covered by a warranty has failed and been corrected, reinstate the warranty by written endorsement. The reinstated warranty shall be equal to the original warranty with an equitable adjustment for depreciation.
- **G.** Replacement Cost: On determination that Work covered by a warranty has failed, replace or rebuild the Work to an acceptable condition complying with requirements of Contract Documents. The Contractor is responsible for the cost of replacing or rebuilding defective Work regardless of whether the Owner has benefited from use of the Work through part of its useful service life.
- **H.** Owner's Recourse: Written warranties made to the Owner are in addition to implied warranties, and shall not limit duties, obligations, rights and remedies otherwise available under the law, nor shall warranty periods be interpreted as limitations on time in which the Owner can enforce such other duties, obligations, rights, or remedies.
  - 1. Rejection of Warranties: The Owner reserves the right to reject warranties and limit selections to products with warranties not in conflict with requirements of the Contract Documents.

- 2. The Owner reserves the right to refuse to accept Work where a special warranty, or similar commitment is required, until evidence is presented that entities required to countersign commitments are willing to do so.
- I. Submit written warranties to the Engineer prior to the date for Substantial Completion. If the Engineer's Certificate of Substantial Completion designates a commencement date for warranties other than the date of Substantial Completion, submit written warranties on the Engineer's request.
  - 1. When a designated portion of the Work is completed and occupied or used, by separate agreement with the Contractor during the construction period, submit properly executed warranties to the Engineer within fifteen days of completion of that designated portion of the Work.
- **J.** When a special warranty is to be executed by the Contractor or the Contractor and a subcontractor, supplier, or manufacturer, prepare a written document that contains appropriate terms and identification ready for execution by the required parties. Submit a draft to the Owner through the Engineer for approval prior to final execution.
  - 1. Refer to individual Specifications for specific content and particular requirements for submittal of special warranties.
- **K.** Bind warranties in heavy-duty, commercial quality, durable 3-ring vinyl covered loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2" by 11" paper.
  - 1. Provide heavy paper dividers with celluloid covered tabs for each warranty. Mark the tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product, and the name, address and telephone number of the installer.
  - 2. Identify each binder on the front and the spine with the typed or printed title "WARRANTIES", the Project title or name, and the name of the Contractor.
  - 3. When operating and maintenance manuals are required for warranted construction, provide additional copies of each warranty, as necessary, for inclusion in each required manual.

# PART 2 – PRODUCTS (Not Applicable)

PART 3 – EXECUTION (Not Applicable)