





BIDDING DOCUMENTS, CONTRACT DOCUMENTS AND TECHNICAL SPECIFICATIONS

TOWN OF NORTHUMBERLAND, NH WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS NORTHUMBERLAND, NEW HAMPSHIRE **JULY 2024**



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BIDDING, CONTRACT DOCUMENTS AND TECHNICAL SPECIFICATIONS

FOR

TOWN OF NORTHUMBERLAND
WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS
NORTHUMBERLAND, NEW HAMPSHIRE
JULY 2024

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Horizons Engineering, Inc.

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SECTION 00 01 10

TABLE OF CONTENTS

DIVISION 00 – BIDDING AND CONTRACTING REQUIREMENTS

00 11 13	
00 21 13	
00 41 43	Bid Form
00 41 44	
	Debarment Certification Form AD-1048
00 41 46	
	Bid Bond
00 45 13	Qualification Statement
	Notice of Award
00 52 13	Standard Form of Agreement
	Notice to Proceed
	Performance Bond
00 61 14	Payment Bond
00 61 15	Certificate of Attorney 1780-26 Exhibit A
00 62 76	
00 63 63	
00 63 64	
00 63 65	Field Order Form
00 65 16	Certificate of Substantial Completion
00 65 17	
00 72 43	Standard General Conditions
00 73 00	Supplementary Conditions
00 73 44	NHDES Information For Bidders
	NHDES Front End Documents Section D
00 73 46	Davis Bacon Wage Rates General Wage Decision Guidance
	Davis Bacon Wage Rates NH20240035 Highway Coos County
	Davis Bacon Wage Rates NH20240028 Heavy Coos County
	Special Conditions
00 73 81	Project Sign
00 81 16	Engineers Certification for AIS 1780-26 Exhibit A.6
	DIVISION 01 – GENERAL REQUIREMENTS
01 11 12	
01 44 13	ivicasurement and rayment

01 31 13Project Coordination01 31 19Project Meetings01 32 23Project Survey01 32 33Construction Photographs01 33 23Submittals01 35 43Environmental Procedures01 45 29Testing Laboratory Services01 51 36Temporary Water Service01 52 13Temporary Field Offices01 55 26Traffic Control01 58 13Temporary Project Sign01 77 19Project Closeout01 78 39Record Drawings			
DIVISION 02 – EXISTING CONDITIONS			
02 01 00 Existing Utilities and Underground Structures			
DIVISION 31 – EARTHWORK			
31 08 00			
31 23 16 Earthwork			
31 23 16.26			
31 23 19Dewatering			
31 25 00 Erosion Control			
DIVISION 32 – EXTERIOR IMPROVEMENTS			
32 12 16.31 Bituminous Concrete Paving 32 92 00 Loaming Seeding and Fertilizing			
DIVISION 33 – UTILITIES			
33 14 00			

DIVISION 00 – BIDDING AND CONTRACTING REQUIREMENTS

ADVERTISEMENT FOR BIDS

TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE

WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS

General Notice

The Town of Northumberland, New Hampshire (Owner) is requesting Bids for the construction of the following Project:

WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS

Bids for the construction of the Project will be received at the office of Horizons Engineering, Inc, located at 34 School Street, Littleton, New Hampshire 03561, until WEDNESDAY, August 7, 2024, at 3:00 pm local time or submitted electronically via email to Stephen LaFrance, P.E. at slafrance@horizonsengineering.com by the time and date listed above. At that time the Bids received will be publicly opened and read.

The Project includes the following Work:

Water, sewer, drainage and roadway improvements in the "Hill" area of the Village of Groveton in the Town of Northumberland, New Hampshire.

Bids are requested for the following Contract: **Town of Northumberland, New Hampshire – Water, Sewer, Drainage and Roadway Improvements.**

Obtaining the Bidding Documents

Information and Bidding Documents for the Project can be found at the following designated website:

www.horizonsengineering.com

The Issuing Office for the Bidding Documents is:

Horizons Engineering, Inc.

34 School Street Littleton, New Hampshire 03561

Prospective Bidders may obtain or examine the Bidding Documents at the Issuing Office on Monday through Friday between the hours of **8:00 AM and 4:30 PM**, and may obtain copies of the Bidding Documents from the Issuing Office as described below. Partial sets of Bidding Documents will not be available from the Issuing Office. Neither Owner nor Engineer will be responsible for full or partial sets of Bidding Documents, including addenda, if any, obtained from sources other than the Issuing Office.

Printed copies of the Bidding Documents may be obtained from the Issuing Office. Make deposit checks for Bidding Documents payable to **HORIZONS ENGINEERING INC.**

Bidding Documents (hard copy) may be purchased from the Issuing Office during the hours indicated above upon receipt of a non-refundable payment of \$250 for each set. Cost does not include shipping charges. Upon Issuing Office's receipt of payment, printed Bidding Documents or electronic documents on compact disk will be sent via the prospective Bidder's delivery service. The shipping charge amount will depend on the shipping method chosen.

Pre-bid Conference

A pre-bid conference for the Project will be held on JULY 31, 2024 at 10:00 AM at the Northumberland Town Offices, 19 Main Street, Groveton, New Hampshire 03582. Attendance at the pre-bid conference is encouraged but not required.

Instructions to Bidders.

For all further requirements regarding bid submittal, qualifications, procedures, and contract award, refer to the Instructions to Bidders and NHDES Information For Bidders that are included in the Bidding Documents.

American Iron and Steel

Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference applies an American Iron and Steel requirement to this project. All iron and steel products used in this project must be produced in the United States. The term "iron and steel products" means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and Construction Materials.

The following waivers apply to this Contract: De Minimis, Minor Components, Pig iron and direct reduced iron

DBE RULE PROGRAM REQUIREMENTS

Bidders on this project are required to demonstrate compliance with the US Environmental Protection Agency's MBE/WBE rules in order to be deemed responsive. The existing Fair Share Goals are 2.25% MBE and 8.31% WBE. The MBE/WBE documentation, DBE Subcontractor Utilization Form and DBE Subcontractor Performance Forms shall be submitted with the bid.

DAVIS-BACON WAGE RATES

This project is funded in whole or in part by a loan available through NHDES' Clean Water and/or Drinking Water SRF programs and hence is subject to federal Davis-Bacon wage provisions.

SUSPENSION AND DEBARMENT

By entering into this agreement, the Bidders and contractors certify that the Bidder and contractor is not debarred or suspended. Furthermore, the Bidder and contractors certify that no part of this contract will be subcontracted to a debarred or suspended person or firm.

TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS

PROHIBITION ON CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT

This term and condition implements 2 CFR 200.216 and is effective for obligations and expenditures of EPA financial assistance funding on or after 8/13/2020. Bidders/contractors and their subcontractors must comply with the above provision when procuring or obtaining equipment, services, or systems that use covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system.

CIVIL RIGHTS COMPLIANCE

The sub-grantee, contractor, subcontractor, successor, transferee, and assignee shall comply, and shall include in every contract or agreement funded with these funds this same requirement to comply, with Title VI of the Civil Rights Act of 1964, which prohibits recipients of federal financial assistance from excluding from a program or activity, denying benefits of, or otherwise discriminating against a person on the basis of race, color, or national origin (42 U.S.C. § 2000d et seq.), as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, which are herein incorporated by reference and made a part of this contract (or agreement). Title VI also includes protection to persons with "Limited English Proficiency" in any program or activity receiving federal financial assistance, 42 U.S.C. § 2000d et seq., as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, and herein incorporated by reference and made a part of this contract or agreement.

RESTRICTIONS ON LOBBYING

The Contractor shall comply with the terms of 15 CFR part 28 and 2 CFR Part 200 Subpart E which prohibit the use of federal Contract funds to influence (or attempt to influence) a federal employee, and requires the submission of Standard Form LLL ("Disclosure of Lobbying Activities") if *non*federal funds have been used to influence (or attempt to influence) a federal employee.

DRUG-FREE WORKPLACE

The Contractor shall comply with the terms of 2 CFR part 1329 which require that as a condition of the Agreement, certification that they maintain a drug-free workplace. By signing and submitting the Agreement, the Contractor certifies that they will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity associated with the Agreement.

PROTECTION FOR WHISTLEBLOWERS

The Contractor shall comply with the terms of 41 U.S.C. §471 regarding Whistleblower protections. As described in 41 USC §471 "an employee of a contractor, subcontractor, grantee, or subgrantee or personal services contractor may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing to a person or body described in paragraph (2) information that the employee reasonably believes is evidence of gross mismanagement of a Federal contract or grant, a gross waste of Federal funds, an abuse of authority relating to a Federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a Federal contract (including the competition for or negotiation of a contract) or grant."

BIDDER'S FORMS

Bidders are required to complete and submit the following NHDES forms with their bid proposal:

1. NHDES-W-09-060 Bidder's American Iron and Steel Acknowledgement.

TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS

SECTION 00 11 13

- 2. NHDES-W-09-059 Disadvantaged Business Enterprise Program-Subcontractor Utilization Form.
- 3. NHDES-W-09-058 Disadvantaged Business Enterprise Program-Subcontractor Performance Form. (Submit one form per subcontractor).
- 4. NHDES-W-09-057 Disadvantaged Business Enterprise Program-Subcontractor Participation Form.

This Advertisement is issued by:

Owner: Town of Northumberland, New Hampshire

Date: July 10, 2024

INSTRUCTIONS TO BIDDERS FOR CONSTRUCTION CONTRACT

TABLE OF CONTENTS

	Page
Article 1— Defined Terms	1
Article 2— Bidding Documents	1
Article 3— Qualifications of Bidders	2
Article 4— Pre-Bid Conference	4
Article 5— Site and Other Areas; Existing Site Conditions; Examination of Site; Owner's Sat Other Work at the Site	
Article 6— Bidder's Representations and Certifications	7
Article 7— Interpretations and Addenda	7
Article 8— Bid Security	7
Article 9— Contract Times	8
Article 10— Substitute and "Or Equal" Items	8
Article 11— Subcontractors, Suppliers, and Others	9
Article 12— Preparation of Bid	10
Article 13— Basis of Bid	11
Article 14— Submittal of Bid	13
Article 15— Modification and Withdrawal of Bid	13
Article 16— Opening of Bids	14
Article 17— Bids to Remain Subject to Acceptance	14
Article 18— Evaluation of Bids and Award of Contract	14
Article 19— Bonds and Insurance	15
Article 20— Signing of Agreement	16
Article 21— Sales and Use Taxes	16
Article 22— Contracts to Be Assigned	16

ARTICLE 1—DEFINED TERMS

- 1.01 Terms used in these Instructions to Bidders have the meanings indicated in the General Conditions and Supplementary Conditions. Additional terms used in these Instructions to Bidders have the meanings indicated below:
 - A. *Issuing Office*—The office from which the Bidding Documents are to be issued, and which registers plan holders.

ARTICLE 2—BIDDING DOCUMENTS

- 2.01 Bidder shall obtain a complete set of Bidding Requirements and proposed Contract Documents (together, the Bidding Documents). See the Agreement for a list of the Contract Documents. It is Bidder's responsibility to determine that it is using a complete set of documents in the preparation of a Bid. Bidder assumes sole responsibility for errors or misinterpretations resulting from the use of incomplete documents, by Bidder itself or by its prospective Subcontractors and Suppliers.
- 2.02 Bidding Documents are made available for the sole purpose of obtaining Bids for completion of the Project and permission to download or distribution of the Bidding Documents does not confer a license or grant permission or authorization for any other use. Authorization to download documents, or other distribution, includes the right for plan holders to print documents solely for their use, and the use of their prospective Subcontractors and Suppliers, provided the plan holder pays all costs associated with printing or reproduction. Printed documents may not be re-sold under any circumstances.
- 2.03 Owner has established a Bidding Documents Website as indicated in the Advertisement or invitation to bid. Owner recommends that Bidder register as a plan holder with the Issuing Office at such website, and obtain a complete set of the Bidding Documents from such website. Bidders may rely that sets of Bidding Documents obtained from the Bidding Documents Website are complete, unless an omission is blatant. Registered plan holders will receive Addenda issued by Owner.
- 2.04 Bidder may register as a plan holder and obtain complete sets of Bidding Documents, in the number and format stated in the Advertisement or invitation to bid, from the Issuing Office. Bidders may rely that sets of Bidding Documents obtained from the Issuing Office are complete, unless an omission is blatant. Registered plan holders will receive Addenda issued by Owner.
- 2.05 Plan rooms (including construction information subscription services, and electronic and virtual plan rooms) may distribute the Bidding Documents, or make them available for examination. Those prospective bidders that obtain an electronic (digital) copy of the Bidding Documents from a plan room are encouraged to register as plan holders from the Bidding Documents Website or Issuing Office. Owner is not responsible for omissions in Bidding Documents or other documents obtained from plan rooms, or for a Bidder's failure to obtain Addenda from a plan room.

2.06 Electronic Documents

A. When the Bidding Requirements indicate that electronic (digital) copies of the Bidding Documents are available, such documents will be made available to the Bidders as Electronic Documents in the manner specified.

- 1. Bidding Documents will be provided in Adobe PDF (Portable Document Format) (.pdf) that is readable by Adobe Acrobat Reader Version 2023 or later. It is the intent of the Engineer and Owner that such Electronic Documents are to be exactly representative of the paper copies of the documents. However, because the Owner and Engineer cannot totally control the transmission and receipt of Electronic Documents nor the Contractor's means of reproduction of such documents, the Owner and Engineer cannot and do not guarantee that Electronic Documents and reproductions prepared from those versions are identical in every manner to the paper copies.
- B. Unless otherwise stated in the Bidding Documents, the Bidder may use and rely upon complete sets of Electronic Documents of the Bidding Documents, described in Paragraph 2.06.A above. However, Bidder assumes all risks associated with differences arising from transmission/receipt of Electronic Documents versions of Bidding Documents and reproductions prepared from those versions and, further, assumes all risks, costs, and responsibility associated with use of the Electronic Documents versions to derive information that is not explicitly contained in printed paper versions of the documents, and for Bidder's reliance upon such derived information.
- C. After the Contract is awarded, the Owner will provide or direct the Engineer to provide for the use of the Contractor documents that were developed by Engineer as part of the Project design process, as Electronic Documents in native file formats.
 - 1. Electronic Documents that are available in native file format include:
 - a. Design Plans in AutoCAD format.
 - 2. Release of such documents will be solely for the convenience of the Contractor. No such document is a Contract Document.
 - 3. Unless the Contract Documents explicitly identify that such information will be available to the Successful Bidder (Contractor), nothing herein will create an obligation on the part of the Owner or Engineer to provide or create such information, and the Contractor is not entitled to rely on the availability of such information in the preparation of its Bid or pricing of the Work. In all cases, the Contractor shall take appropriate measures to verify that any electronic/digital information provided in Electronic Documents is appropriate and adequate for the Contractor's specific purposes.
 - 4. In no case will the Contractor be entitled to additional compensation or time for completion due to any differences between the actual Contract Documents and any related document in native file format.

ARTICLE 3—QUALIFICATIONS OF BIDDERS

- 3.01 To demonstrate Bidder's qualifications to perform the Work, after submitting its Bid and within **7** days of Owner's request, Bidder must submit the following information:
 - A. Written evidence establishing its qualifications such as financial data, previous experience, and present commitments.

- B. A written statement that Bidder is authorized to do business in the state where the Project is located, or a written certification that Bidder will obtain such authority prior to the Effective Date of the Contract.
- C. Bidder's state or other contractor license number, if applicable.
- D. Subcontractor and Supplier qualification information.
- E. Other required information regarding qualifications.
- 3.02 Prospective Bidders must submit required information regarding their qualifications by [insert deadline for prequalification submittals]. Owner will review the submitted information to determine which contractors are qualified to bid on the Work. Owner will issue an Addendum listing those contractors that Owner has determined to be qualified to construct the project. Bids will only be accepted from listed contractors. The information that each prospective Bidder must submit to seek prequalification includes the following:
 - A. Written evidence establishing its qualifications such as financial data, previous experience, and present commitments.
 - B. A written statement that Bidder is authorized to do business in the state where the Project is located, or a written certification that Bidder will obtain such authority prior to the Effective Date of the Contract.
 - C. Prospective Bidder's state or other contractor license number, if applicable.
 - D.—Subcontractor and Supplier qualification information.
 - E. Other required information regarding qualifications.

Deleted

- 3.03 Bidder is to submit the following information with its Bid to demonstrate Bidder's qualifications to perform the Work:
 - A. Written evidence establishing its qualifications such as financial data, previous experience, and present commitments.
 - B. A written statement that Bidder is authorized to do business in the state where the Project is located, or a written certification that Bidder will obtain such authority prior to the Effective Date of the Contract.
 - C. Bidder's state or other contractor license number, if applicable.
 - D.—Subcontractor and Supplier qualification information.
 - E. Other required information regarding qualifications.

- 3.04 A Bidder's failure to submit required qualification information within the times indicated may disqualify Bidder from receiving an award of the Contract.
- 3.05 No requirement in this Article 3 to submit information will prejudice the right of Owner to seek additional pertinent information regarding Bidder's qualifications.

ARTICLE 4—PRE-BID CONFERENCE

- 4.01 A non-mandatory pre-bid conference will be held at the time and location indicated in the Advertisement or invitation to bid. Representatives of Owner and Engineer will be present to discuss the Project. Bidders are encouraged to attend and participate in the conference; however, attendance at this conference is not required to submit a Bid.
- 4.02 Information presented at the pre-bid conference does not alter the Contract Documents. Owner will issue Addenda to make any changes to the Contract Documents that result from discussions at the pre-Bid conference. Information presented, and statements made at the pre-bid conference will not be binding or legally effective unless incorporated in an Addendum.

ARTICLE 5—SITE AND OTHER AREAS; EXISTING SITE CONDITIONS; EXAMINATION OF SITE; OWNER'S SAFETY PROGRAM; OTHER WORK AT THE SITE

5.01 Site and Other Areas

A. The Site is identified in the Bidding Documents. By definition, the Site includes rights-of-way, easements, and other lands furnished by Owner for the use of the Contractor. Any additional lands required for temporary construction facilities, construction equipment, or storage of materials and equipment, and any access needed for such additional lands, are to be obtained and paid for by Contractor.

5.02 Existing Site Conditions

- A. Subsurface and Physical Conditions; Hazardous Environmental Conditions
 - The Supplementary Conditions identify the following regarding existing conditions at or adjacent to the Site:
 - a. Those reports of explorations and tests of subsurface conditions at or adjacent to the Site that contain Technical Data.
 - b. Those drawings known to Owner of existing physical conditions at or adjacent to the Site, including those drawings depicting existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities), that contain Technical Data.
 - c. Reports and drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site.
 - d. Technical Data contained in such reports and drawings.
 - Owner will make copies of reports and drawings referenced above available to any Bidder on request. These reports and drawings are not part of the Contract Documents, but the Technical Data contained therein upon whose accuracy Bidder is entitled to rely, as provided in the General Conditions, has been identified and established in the

- Supplementary Conditions. Bidder is responsible for any interpretation or conclusion Bidder draws from any Technical Data or any other data, interpretations, opinions, or information contained in such reports or shown or indicated in such drawings.
- 3. If the Supplementary Conditions do not identify Technical Data, the default definition of Technical Data set forth in Article 1 of the General Conditions will apply.
- 4. Geotechnical Baseline Report/Geotechnical Data Report: The Bidding Documents contain a Geotechnical Baseline Report (GBR) and Geotechnical Data Report (GDR).
 - a. As set forth in the Supplementary Conditions, the GBR describes certain select subsurface conditions that are anticipated to be encountered by Contractor during construction in specified locations ("Baseline Conditions"). The GBR is a Contract Document.
 - b. The Baseline Conditions in the GBR are intended to reduce uncertainty and the degree of contingency in submitted Bids. However, Bidders cannot rely solely on the Baseline Conditions. Bids should be based on a comprehensive approach that includes an independent review and analysis of the GBR, all other Contract Documents, Technical Data, other available information, and observable surface conditions. Not all potential subsurface conditions are baselined.
 - c. Nothing in the GBR is intended to relieve Bidders of the responsibility to make their own determinations regarding construction costs, bidding strategies, and Bid prices, nor of the responsibility to select and be responsible for the means, methods, techniques, sequences, and procedures of construction, and for safety precautions and programs incident thereto.
 - d. As set forth in the Supplementary Conditions, the GDR is a Contract Document containing data prepared by or for the Owner in support of the GBR.
- B. *Underground Facilities:* Underground Facilities are shown or indicated on the Drawings, pursuant to Paragraph 5.05 of the General Conditions, and not in the drawings referred to in Paragraph 5.02.A of these Instructions to Bidders. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data.

5.03 Other Site-related Documents

A. In addition to the documents regarding existing Site conditions referred to in Paragraph 5.02.A, the following other documents relating to conditions at or adjacent to the Site are known to Owner and made available to Bidders for reference:

NONE.

Owner will make copies of these other Site-related documents available to any Bidder on request.

- B. Owner has not verified the contents of these other Site-related documents, and Bidder may not rely on the accuracy of any data or information in such documents. Bidder is responsible for any interpretation or conclusion Bidder draws from the other Site-related documents.
- C. The other Site-related documents are not part of the Contract Documents.

- D. Bidders are encouraged to review the other Site-related documents, but Bidders will not be held accountable for any data or information in such documents. The requirement to review and take responsibility for documentary Site information is limited to information in (1) the Contract Documents and (2) the Technical Data.
- E. No other Site-related documents are available.

5.04 Site Visit and Testing by Bidders

- A. Bidder is required to visit the Site and conduct a thorough visual examination of the Site and adjacent areas. During the visit the Bidder must not disturb any ongoing operations at the Site.
- B. A Site visit is scheduled following the pre-bid conference. Directions to the Site will be available at the pre-Bid conference.
- C. Bidders visiting the Site are required to arrange their own transportation to the Site.
- D. Bidder is not required to conduct any subsurface testing, or exhaustive investigations of Site conditions.
- E. On request, and to the extent Owner has control over the Site, and schedule permitting, the Owner will provide Bidder general access to the Site to conduct such additional examinations, investigations, explorations, tests, and studies as Bidder deems necessary for preparing and submitting a successful Bid. Owner will not have any obligation to grant such access if doing so is not practical because of existing operations, security or safety concerns, or restraints on Owner's authority regarding the Site. Bidder is responsible for establishing access needed to reach specific selected test sites.
- F. Bidder must comply with all applicable Laws and Regulations regarding excavation and location of utilities, obtain all permits, and comply with all terms and conditions established by Owner or by property owners or other entities controlling the Site with respect to schedule, access, existing operations, security, liability insurance, and applicable safety programs.
- G. Bidder must fill all holes and clean up and restore the Site to its former condition upon completion of such explorations, investigations, tests, and studies.

5.05 Owner's Safety Program

A. Site visits and work at the Site may be governed by an Owner safety program. If an Owner safety program exists, it will be noted in the Supplementary Conditions.

5.06 Other Work at the Site

A. Reference is made to Article 8 of the Supplementary Conditions for the identification of the general nature of other work of which Owner is aware (if any) that is to be performed at the Site by Owner or others (such as utilities and other prime contractors) and relates to the Work contemplated by these Bidding Documents. If Owner is party to a written contract for such other work, then on request, Owner will provide to each Bidder access to examine such contracts (other than portions thereof related to price and other confidential matters), if any.

ARTICLE 6—BIDDER'S REPRESENTATIONS AND CERTIFICATIONS

- 6.01 Express Representations and Certifications in Bid Form, Agreement
 - A. The Bid Form that each Bidder will submit contains express representations regarding the Bidder's examination of Project documentation, Site visit, and preparation of the Bid, and certifications regarding lack of collusion or fraud in connection with the Bid. Bidder should review these representations and certifications, and assure that Bidder can make the representations and certifications in good faith, before executing and submitting its Bid.
 - B. If Bidder is awarded the Contract, Bidder (as Contractor) will make similar express representations and certifications when it executes the Agreement.

ARTICLE 7—INTERPRETATIONS AND ADDENDA

- 7.01 Owner on its own initiative may issue Addenda to clarify, correct, supplement, or change the Bidding Documents.
- 7.02 Bidder shall submit all questions about the meaning or intent of the Bidding Documents to Engineer in writing. Contact information and submittal procedures for such questions are as follows:
 - A. Horizons Engineering, 34 School Street, Littleton, New Hampshire 03561 Attn: Stephen LaFrance, P.E. or to slaftance@horizonsengineering.com
- 7.03 Interpretations or clarifications considered necessary by Engineer in response to such questions will be issued by Addenda delivered to all registered plan holders. Questions received less than seven days prior to the date for opening of Bids may not be answered.
- 7.04 Only responses set forth in an Addendum will be binding. Oral and other interpretations or clarifications will be without legal effect. Responses to questions are not part of the Contract Documents unless set forth in an Addendum that expressly modifies or supplements the Contract Documents.

ARTICLE 8—BID SECURITY

- 8.01 A Bid must be accompanied by Bid security made payable to Owner in an amount of **5** percent of Bidder's maximum Bid price (determined by adding the base bid and all alternates) and in the form of a Bid bond issued by a surety meeting the requirements of Paragraph 6.01 of the General Conditions. Such Bid bond will be issued in the form included in the Bidding Documents. **Bid security must be at least 5% of the Bidder's maximum Bid price.**
- 8.02 The Bid security of the apparent Successful Bidder will be retained until Owner awards the contract to such Bidder, and such Bidder has executed the Contract, furnished the required Contract security, and met the other conditions of the Notice of Award, whereupon the Bid security will be released. If the Successful Bidder fails to execute and deliver the Contract and furnish the required Contract security within 15 days after the Notice of Award, Owner may consider Bidder to be in default, annul the Notice of Award, and the Bid security of that Bidder will be forfeited, in whole in the case of a penal sum bid bond, and to the extent of Owner's

- damages in the case of a damages-form bond. Such forfeiture will be Owner's exclusive remedy if Bidder defaults.
- 8.03 The Bid security of other Bidders that Owner believes to have a reasonable chance of receiving the award may be retained by Owner until the earlier of 7 days after the Effective Date of the Contract or 61 days after the Bid opening, whereupon Bid security furnished by such Bidders will be released.
- 8.04 Bid security of other Bidders that Owner believes do not have a reasonable chance of receiving the award will be released within 7 days after the Bid opening.

ARTICLE 9—CONTRACT TIMES

- 9.01 The number of days within which, or the dates by which, the Work is to be (a) substantially completed and (b) ready for final payment, and (c) Milestones (if any) are to be achieved, are set forth in the Agreement.
- 9.02 Bidder must set forth in the Bid the time by which Bidder must achieve Substantial Completion, subject to the restrictions established in Paragraph 13.07 of these Instructions. The Owner will take Bidder's time commitment regarding Substantial Completion into consideration during the evaluation of Bids, and it will be necessary for the apparent Successful Bidder to satisfy Owner that it will be able to achieve Substantial Completion within the time such Bidder has designated in the Bid. [If applicable include the following: Bidder must also set forth in the Bid its commitments regarding the achievement of Milestones and readiness for final payment.] The Successful Bidder's time commitments will be entered into the Agreement or incorporated in the Agreement by reference to the specific terms of the Bid.

Deleted

9.03 Provisions for liquidated damages, if any, for failure to timely attain a Milestone, Substantial Completion, or completion of the Work in readiness for final payment, are set forth in the Agreement.

ARTICLE 10—SUBSTITUTE AND "OR EQUAL" ITEMS

10.01 The Contract for the Work, as awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents without consideration during the bidding and Contract award process of possible substitute or "or-equal" items. In cases in which the Contract allows the Contractor to request that Engineer authorize the use of a substitute or "or-equal" item of material or equipment, application for such acceptance may not be made to and will not be considered by Engineer until after the Effective Date of the Contract.

Deleted

10.02 The Contract for the Work, as awarded, will be on the basis of materials and equipment specified or described in the Bidding Documents, and those "or-equal" or substitute or materials and equipment subsequently approved by Engineer prior to the submittal of Bids and identified by Addendum. No item of material or equipment will be considered by Engineer as an "or-equal" or substitute unless written request for approval has been submitted by Bidder and has been received by Engineer within 10 days of the issuance of the Advertisement for Bids or invitation to

Bidders. Each such request must comply with the requirements of Paragraphs 7.05 and 7.06 of the General Conditions, and the review of the request will be governed by the principles in those paragraphs. Each such request shall include the Manufacturer's Certification for Compliance with AIS. Refer to the Manufacturer's Certification form provided in these construction Contract Documents. The burden of proof of the merit of the proposed item is upon Bidder. Engineer's decision of approval or disapproval of a proposed item will be final. If Engineer approves any such proposed item, such approval will be set forth in an Addendum issued to all registered Bidders. Bidders cannot rely upon approvals made in any other manner. Substitutes and "or-equal" materials and equipment may be proposed by Contractor in accordance with Paragraphs 7.05 and 7.06 of the General Conditions after the Effective Date of the Contract. Each such request shall include Manufacturer's Certification letter to document compliance with AIS requirements of Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference, if applicable. Refer to Manufacturer's Certification Letter provided in these Contract Documents.

10.03 All prices that Bidder sets forth in its Bid will be based on the presumption that the Contractor will furnish the materials and equipment specified or described in the Bidding Documents, as supplemented by Addenda. Any assumptions regarding the possibility of post-Bid approvals of "or-equal" or substitution requests are made at Bidder's sole risk.

ARTICLE 11—SUBCONTRACTORS, SUPPLIERS, AND OTHERS

- 11.01 A Bidder must be prepared to retain specific Subcontractors and Suppliers for the performance of the Work if required to do so by the Bidding Documents or in the Specifications. If a prospective Bidder objects to retaining any such Subcontractor or Supplier and the concern is not relieved by an Addendum, then the prospective Bidder should refrain from submitting a Bid.
- 11.02 The apparent Successful Bidder, and any other Bidder so requested, must submit to Owner a list of the Subcontractors or Suppliers proposed for the following portions of the Work within five days after Bid opening:

A. List key categories of the Work. None

- 11.03 If requested by Owner, such list must be accompanied by an experience statement with pertinent information regarding similar projects and other evidence of qualification for each such Subcontractor or Supplier. If Owner or Engineer, after due investigation, has reasonable objection to any proposed Subcontractor or Supplier, Owner may, before the Notice of Award is given, request apparent Successful Bidder to submit an acceptable substitute, in which case apparent Successful Bidder will submit a substitute, Bidder's Bid price will be increased (or decreased) by the difference in cost occasioned by such substitution, and Owner may consider such price adjustment in evaluating Bids and making the Contract award.
- 11.04 If apparent Successful Bidder declines to make any such substitution, Owner may award the Contract to the next lowest Bidder that proposes to use acceptable Subcontractors and Suppliers. Declining to make requested substitutions will constitute grounds for forfeiture of the Bid security of any Bidder. Any Subcontractor or Supplier, so listed and against which Owner or Engineer makes no written objection prior to the giving of the Notice of Award will be deemed acceptable

to Owner and Engineer subject to subsequent revocation of such acceptance as provided in Paragraph 7.07 of the General Conditions.

11.05 – The Contractor shall not award work to Subcontractor(s) in excess of the limits stated in SC 7.07A.

ARTICLE 12—PREPARATION OF BID

- 12.01 The Bid Form is included with the Bidding Documents.
 - A. All blanks on the Bid Form must be completed in ink and the Bid Form signed in ink. Erasures or alterations must be initialed in ink by the person signing the Bid Form. A Bid price must be indicated for each section, Bid item, alternate, adjustment unit price item, and unit price item listed therein.
 - B. If the Bid Form expressly indicates that submitting pricing on a specific alternate item is optional, and Bidder elects to not furnish pricing for such optional alternate item, then Bidder may enter the words "No Bid" or "Not Applicable."
- 12.02 If Bidder has obtained the Bidding Documents as Electronic Documents, then Bidder shall prepare its Bid on a paper copy of the Bid Form printed from the Electronic Documents version of the Bidding Documents. The printed copy of the Bid Form must be clearly legible, printed on 8½ inch by 11-inch paper and as closely identical in appearance to the Electronic Document version of the Bid Form as may be practical. The Owner reserves the right to accept Bid Forms which nominally vary in appearance from the original paper version of the Bid Form, providing that all required information and submittals are included with the Bid.
- 12.03 A Bid by a corporation must be executed in the corporate name by a corporate officer (whose title must appear under the signature), accompanied by evidence of authority to sign. The corporate address and state of incorporation must be shown.
- 12.04 A Bid by a partnership must be executed in the partnership name and signed by a partner (whose title must appear under the signature), accompanied by evidence of authority to sign. The official address of the partnership must be shown.
- 12.05 A Bid by a limited liability company must be executed in the name of the firm by a member or other authorized person and accompanied by evidence of authority to sign. The state of formation of the firm and the official address of the firm must be shown.
- 12.06 A Bid by an individual must show the Bidder's name and official address.
- 12.07 A Bid by a joint venture must be executed by an authorized representative of each joint venturer in the manner indicated on the Bid Form. The joint venture must have been formally established prior to submittal of a Bid, and the official address of the joint venture must be shown.
- 12.08 All names must be printed in ink below the signatures.
- 12.09 The Bid must contain an acknowledgment of receipt of all Addenda, the numbers of which must be filled in on the Bid Form.
- 12.10 Postal and e-mail addresses and telephone number for communications regarding the Bid must be shown.

- 12.11 The Bid must contain evidence of Bidder's authority to do business in the state where the Project is located, or Bidder must certify in writing that it will obtain such authority within the time for acceptance of Bids and attach such certification to the Bid.
- 12.12 If Bidder is required to be licensed to submit a Bid or perform the Work in the state where the Project is located, the Bid must contain evidence of Bidder's licensure, or Bidder must certify in writing that it will obtain such licensure within the time for acceptance of Bids and attach such certification to the Bid. Bidder's state contractor license number, if any, must also be shown on the Bid Form.

ARTICLE 13—BASIS OF BID

13.01 Lump Sum

A. Bidders must submit a Bid on a lump sum basis as set forth in the Bid Form.

13.02 Base Bid with Alternates

- A. Bidders must submit a Bid on a lump sum basis for the base Bid and include a separate price for each alternate described in the Bidding Documents and as provided for in the Bid Form. The price for each alternate will be the amount added to or deleted from the base Bid if Owner selects the alternate.
- B. In the comparison of Bids, alternates will be applied in the same order of priority as listed in the Bid Form.

13.03 Sectional Bids

- A. Bidders may submit a Bid on any individual section or any combination of sections, as set forth in the Bid Form.
- B. Submission of a Bid on any section signifies Bidder's willingness to enter into a Contract for that section alone at the price offered.
- C. If Bidder submits Bids on individual sections and a Bid based on a combination of those sections, such combined Bid need not be the sum of the Bids on the individual sections.
- D. Bidders offering a Bid on one or more sections must be capable of completing the Work covered by those sections within the time period stated in the Agreement.

13.04 Cost-Plus-Fee Bids

- A. Bidders must submit a Bid on the Contractor's fee, which must be in addition to compensation for Cost of the Work. Such fee must be either (1) a fixed fee, (2) percentages of specified categories of costs, or (3) a percentage applicable to the Cost of the Work as a whole, as set forth in the Bid Form.
- B. If the Contractor's fee, as set forth in the Bid Form, is to be based on percentages of categories of cost, or on a percentage applicable to the Cost of the Work as a whole, then Bidders must enter a maximum amount limiting the total fee if required by the Bid Form to do so.

C. Bidders must submit a Bid on the Guaranteed Maximum Price, setting a maximum amount on the compensable Cost of the Work plus Contractor's fee, if required by the Bid Form to do so.

13.05 Unit Price

- A. Bidders must submit a Bid on a unit price basis for each item of Work listed in the unit price section of the Bid Form.
- B. The "Bid Price" (sometimes referred to as the extended price) for each unit price Bid item will be the product of the "Estimated Quantity", which Owner or its representative has set forth in the Bid Form, for the item and the corresponding "Bid Unit Price" offered by the Bidder. The total of all unit price Bid items will be the sum of these "Bid Prices"; such total will be used by Owner for Bid comparison purposes. The final quantities and Contract Price will be determined in accordance with Paragraph 13.03 of the General Conditions.
- C. Discrepancies between the multiplication of units of Work and unit prices will be resolved in favor of the unit prices. Discrepancies between the indicated sum of any column of figures and the correct sum thereof will be resolved in favor of the correct sum.

13.06 Allowances

A. For cash allowances the Bid price must include such amounts as the Bidder deems proper for Contractor's overhead, costs, profit, and other expenses on account of cash allowances, if any, named in the Contract Documents, in accordance with Paragraph 13.02.B of the General Conditions.

13.07 Price-Plus-Time Bids

- A. The Owner will consider the time of Substantial Completion commitment made by the Bidder in the comparison of Bids.
- B. Bidder must designate the number of days required to achieve Substantial Completion of the Work and enter that number in the Bid Form as the total number of calendar days to substantially complete the Work.
- C. The total number of calendar days for Substantial Completion designated by Bidder must be less than or equal to a maximum of [number], but not less than the minimum of [number]. If Bidder purports to designate a time for Substantial Completion that is less than the allowed minimum, or greater than the allowed maximum, Owner will reject the Bid as nonresponsive.
- D. The Agreement as executed will contain the Substantial Completion time designated in Successful Bidder's Bid, and the Contractor will be assessed liquidated damages at the rate stated in the Agreement for failure to attain Substantial Completion within that time.
- E. Bidder must also designate the time in which it will achieve Milestones, and achieve readiness for final payment. Such time commitments must be consistent with the "Time of Substantial Completion" to which Bidder commits. The Agreement as executed will contain, as binding Contract Times, Successful Bidder's time commitments regarding Milestones, as applicable, and readiness for final payment.

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ARTICLE 14—SUBMITTAL OF BID

- 14.01 The Bidding Documents include one separate unbound copy of the Bid Form, and, if required, the Bid Bond Form. The unbound copy of the Bid Form is to be completed and submitted with the Bid security and the other documents required to be submitted under the terms of Article 2 of the Bid Form.
- 14.02 A Bid must be received no later than the date and time prescribed and at the place indicated in the Advertisement or invitation to bid and must be enclosed in a plainly marked package with the Project title, and, if applicable, the designated portion of the Project for which the Bid is submitted, the name and address of Bidder, and must be accompanied by the Bid security and other required documents. If a Bid is sent by mail or other delivery system, the sealed envelope containing the Bid must be enclosed in a separate package plainly marked on the outside with the notation "BID ENCLOSED." A mailed Bid must be addressed to the location designated in the Advertisement.
- 14.03 Bids received after the date and time prescribed for the opening of bids, or not submitted at the correct location or in the designated manner, will not be accepted and will be returned to the Bidder unopened.

ARTICLE 15—MODIFICATION AND WITHDRAWAL OF BID

- 15.01 An unopened Bid may be withdrawn by an appropriate document duly executed in the same manner that a Bid must be executed and delivered to the place where Bids are to be submitted prior to the date and time for the opening of Bids. Upon receipt of such notice, the unopened Bid will be returned to the Bidder.
- 15.02 If a Bidder wishes to modify its Bid prior to Bid opening, Bidder must withdraw its initial Bid in the manner specified in Paragraph 15.01 and submit a new Bid prior to the date and time for the opening of Bids.
- 15.03 If within 24 hours after Bids are opened any Bidder files a duly signed written notice with Owner and promptly thereafter demonstrates to the reasonable satisfaction of Owner that there was a material and substantial mistake in the preparation of its Bid, the Bidder may withdraw its Bid,

and the Bid security will be returned. Thereafter, if the Work is rebid, the Bidder will be disqualified from further bidding on the Work.

ARTICLE 16—OPENING OF BIDS

- 16.01 Bids will be opened at the time and place indicated in the advertisement or invitation to bid and, unless obviously non-responsive, read aloud publicly. An abstract of the amounts of the base Bids and major alternates, if any, will be made available to Bidders after the opening of Bids.
- 16.02 Bids will be opened privately.

ARTICLE 17—BIDS TO REMAIN SUBJECT TO ACCEPTANCE

17.01 All Bids will remain subject to acceptance for the period of time stated in the Bid Form, but Owner may, in its sole discretion, release any Bid and return the Bid security prior to the end of this period.

ARTICLE 18—EVALUATION OF BIDS AND AWARD OF CONTRACT

- 18.01 Owner reserves the right to reject any or all Bids, including without limitation, nonconforming, nonresponsive, unbalanced, or conditional Bids. Owner also reserves the right to waive all minor Bid informalities not involving price, time, or changes in the Work.
- 18.02 Owner will reject the Bid of any Bidder that Owner finds, after reasonable inquiry and evaluation, to not be responsible.
- 18.03 If Bidder purports to add terms or conditions to its Bid, takes exception to any provision of the Bidding Documents, or attempts to alter the contents of the Contract Documents for purposes of the Bid, whether in the Bid itself or in a separate communication to Owner or Engineer, then Owner will reject the Bid as nonresponsive.
- 18.04 If Owner awards the contract for the Work, such award will be to the responsible Bidder submitting the lowest responsive Bid.

18.05 Evaluation of Bids

- A. In evaluating Bids, Owner will consider whether the Bids comply with the prescribed requirements, and such alternates, unit prices, and other data, as may be requested in the Bid Form or prior to the Notice of Award.
- B. In the comparison of Bids, alternates will be applied in the same order of priority as listed in the Bid Form. To determine the Bid prices for purposes of comparison, Owner will announce to all bidders a "Base Bid plus alternates" budget after receiving all Bids, but prior to opening them. For comparison purposes alternates will be accepted, following the order of priority established in the Bid Form, until doing so would cause the budget to be exceeded. After determination of the Successful Bidder based on this comparative process and on the responsiveness, responsibility, and other factors set forth in these Instructions, the award may be made to said Successful Bidder on its base Bid and any combination of its additive alternate Bids for which Owner determines funds will be available at the time of award.

- C. For determination of the apparent low Bidder(s) when sectional bids are submitted, Bids will be compared on the basis of the aggregate of the Bids for separate sections and the Bids for combined sections that result in the lowest total amount for all of the Work.
- D. For the determination of the apparent low Bidder when unit price bids are submitted, Bids will be compared on the basis of the total of the products of the estimated quantity of each item and unit price Bid for that item, together with any lump sum items.
- E. For the determination of the apparent low Bidder when cost-plus-fee bids are submitted, Bids will be compared on the basis of the Guaranteed Maximum Price set forth by Bidder on the Bid Form.

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- F. Bid prices will be compared after adjusting for differences in time of Substantial Completion (total number of calendar days to substantially complete the Work) designated by Bidders. The adjusting amount will be determined at the rate set forth in the Agreement for liquidated damages for failing to achieve Substantial Completion, or such other amount that Owner has designated in the Bid Form.
 - The method for calculating the lowest bid for comparison will be the summation of the Bid price shown in the Bid Form plus the product of the Bidder-specified time of Substantial Completion in calendar days times the rate for liquidated damages [or other Owner designated daily rate] in dollars per day.
 - 2. This procedure is only used to determine the lowest bid for comparison and contractor selection purposes. The Contract Price for compensation and payment purposes remains the Bid price shown in the Bid Form.

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- 18.06 In evaluating whether a Bidder is responsible, Owner will consider the qualifications of the Bidder and may consider the qualifications and experience of Subcontractors and Suppliers proposed for those portions of the Work for which the identity of Subcontractors and Suppliers must be submitted as provided in the Bidding Documents.
- 18.07 Owner may conduct such investigations as Owner deems necessary to establish the responsibility, qualifications, and financial ability of Bidders and any proposed Subcontractors or Suppliers.

ARTICLE 19—BONDS AND INSURANCE

19.01 Article 6 of the General Conditions, as may be modified by the Supplementary Conditions, sets forth Owner's requirements as to performance and payment bonds, other required bonds (if any),

- and insurance. When the Successful Bidder delivers the executed Agreement to Owner, it must be accompanied by required bonds and insurance documentation.
- 19.02 Article 8, Bid Security, of these Instructions, addresses any requirements for providing bid bonds as part of the bidding process.

ARTICLE 20—SIGNING OF AGREEMENT

20.01 When Owner issues a Notice of Award to the Successful Bidder, it will be accompanied by the unexecuted counterparts of the Agreement along with the other Contract Documents as identified in the Agreement. Within 15 days thereafter, Successful Bidder must execute and deliver the required number of counterparts of the Agreement and any bonds and insurance documentation required to be delivered by the Contract Documents to Owner. Within 10 days thereafter, Owner will deliver one fully executed counterpart of the Agreement to Successful Bidder, together with printed and electronic copies of the Contract Documents as stated in Paragraph 2.02 of the General Conditions.

ARTICLE 21—SALES AND USE TAXES

21.01 Owner is exempt from [name of state] state sales and use taxes on materials and equipment to be incorporated in the Work. (Exemption No. [number]). Said taxes must not be included in the Bid. Refer to Paragraph SC-7.10 of the Supplementary Conditions for additional information.

ARTICLE 22—CONTRACTS TO BE ASSIGNED

ARTICLE 23 – FEDERAL REQUIREMENTS

- 23.01 If the contract price is in excess of \$100,000, provisions of the Contract Work Hours and Safety Standards Act at 29 CFR 5.5(b) apply.
- 23.02 Federal requirements at Article 19 of the Supplementary Conditions apply to this Contract.
- 23.03 American Iron and Steel requirements apply to this project.

BID FORM FOR CONSTRUCTION CONTRACT

The terms used in this Bid with initial capital letters have the meanings stated in the Instructions to Bidders, the General Conditions, and the Supplementary Conditions.

ARTICLE 1—OWNER AND BIDDER

- 1.01 This Bid is submitted to: The Town of Northumberland, 19 Main Street, Groveton, New Hampshire, 03582
- 1.02 The undersigned Bidder proposes and agrees, if this Bid is accepted, to enter into an Agreement with Owner in the form included in the Bidding Documents to perform all Work as specified or indicated in the Bidding Documents for the prices and within the times indicated in this Bid and in accordance with the other terms and conditions of the Bidding Documents.

ARTICLE 2—ATTACHMENTS TO THIS BID

- 2.01 The following documents are submitted with and made a condition of this Bid:
 - A. Required Bid security;
 - B. List of Proposed Subcontractors;
 - C. List of Proposed Suppliers;
 - D. Evidence of authority to do business in the state of the Project; or a written covenant to obtain such authority within the time for acceptance of Bids;
 - E. Contractor's license number as evidence of Bidder's State Contractor's License or a covenant by Bidder to obtain said license within the time for acceptance of Bids;
 - F. Required Bidder Qualification Statement with supporting data; and
 - G. If Bid amount exceeds \$10,000, signed Compliance Statement (RD 400-6). Refer to specific equal opportunity requirements set forth in the Supplementary Conditions of the Construction Contract (EJCDC C-800);
 - H. If Bid amount exceeds \$25,000, signed Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions (AD-1048);
 - I. If Bid amount exceeds \$100,000, signed RD Instruction 1940-Q Exhibit A-1, Certification for Contracts, Grants, and Loans.
 - J. The following NHDES Forms:
 - 1. NHDES-W-09-060 Bidder's American Iron and Steel Acknowledgement.
 - 2. <u>NHDES-W-09-059</u> Disadvantaged Business Enterprise Program-Subcontractor Utilization Form.
 - 3. NHDES-W-09-058 Disadvantaged Business Enterprise Program-Subcontractor Performance Form. (Submit one form per subcontractor).
 - 4. NHDES-W-09-057 Disadvantaged Business Enterprise Program-Subcontractor Participation Form.

ARTICLE 3—BASIS OF BID—LUMP SUM BID AND UNIT PRICES

- 3.01 Unit Price Bids
 - A. Bidder will perform the following Work at the indicated unit prices:
 - B. Bidder acknowledges that:
 - 1. each Bid Unit Price includes an amount considered by Bidder to be adequate to cover Contractor's overhead and profit for each separately identified item, and
 - estimated quantities are not guaranteed, and are solely for the purpose of comparison of Bids, and final payment for all Unit Price Work will be based on actual quantities, determined as provided in the Contract Documents.
 - 3. Bidders must bid on each item
 - 4. Bids must include sales tax and other associated fees
 - 5. All bids must be written clearly in ink in both words and figures
 - 6. In the event that the total item bid does not equal the unit bid price written in words multiplied by the bid quantity, the extended total item bid price shall be corrected accordingly and accepted as the assumed total item price bid.
 - 7. Bidder will complete the Work in accordance with the Contract Documents for the following price(s):

BID				
*****	************	******	******	******
Item	Brief Description; Unit or Lump Sum Price	C	Quantity	Item
No.	(both words and numbers)	а	nd Units	Price
*****	**********	******	******	******
BASE	BID – INCLUDES ALL WORK EXCEPT	GRAND	VIEW STREET	
WAT	ER MAIN AND APPURTENANCES			
1	Mahilipation, Doubleman Come			
1.	Mobilization; Per Lump Sum: Dolla	rs		
	andCents (\$)	1 LS	\$
2.	Ordered Excavation and Test Pitting; Per Cubi	c Vard·		
۷.	Dolla			
	andCents (\$)	500 CY	\$

8 Inch Water	Main; Per Linear Foot:	Dollars		
and	Cents (\$		7,382 LF	\$
6 Inch Water	Main; Per Linear Foot:	Dollars		
and	Cents (\$		233 LF	\$
-	er Service Pipe; Per Line			
	Cents (\$		1,963 LF	\$
	Service Pipe; Per Linear			
	Cents (\$		73 LF	\$
	ng Sleeve and Valve; Per			
	Cents (\$		1 EA	\$
8 Inch Gate V	/alve; Per Each:			
	Cents (\$		30 EA	\$
6 Inch Gate V	alve; Per Each:	Dollars		
and	Cents (\$		21 EA	\$
	Furnished Fire Hydrant			
	Cents (\$		16 EA	\$
3/4" Saddle, with Box; Per	Corporation Stop, and C Each:	urb Stop		
		Dollars		
and	Cents (\$)	97 EA	\$

12.	1" Saddle, with Box;	Corporation Stop, and Per Each:	Curb Stop		
			Dollars		
	and	Cents (\$)	3 EA	\$
13.	Two Inch ⁻ Linear Foo			Per	
	and	Cents (\$	Dollars)	1,217 LF	\$
14.	Temporar	y Water Service; Per Lu	•		
	and	Cents (\$)	1 LS	\$
SEW	ER AND S	TORM DRAIN			
15.	Precast Co Per Each:	oncrete Catch Basin wit	h Frame and Grate;		
	and	Cents (\$)	13 EA	\$
16.		OPE Storm Drain Pipe; I	Dallana		
	and	Cents (\$)	962 LF	\$
17.	15 Inch HI	DPE Storm Drain Pipe; I	Per Linear Foot:Dollars		
	and	Cents (\$)	30 LF	\$
18.	Four foot	Precast Concrete Sewe	D - II	ical Foot:	
	and	Cents (\$)	244 VF	\$
19.		Precast Concrete Drop		al Foot:	
		Cents (\$		58 VF	\$

20.		cast Concrete Drain Man	5 !!	Foot:	
	and	Cents (\$)	20 VF	\$
21.		ne and Cover; Per Each:	Dollars		
		Cents (\$		39 EA	\$
22.	_	C Gravity Sewer; Per Line	~ "		
	and	Cents (\$)	6,091 LF	\$
23.		Gravity Sewer Service; F			
	and	Cents (\$)	1,629 LF	\$
24.		minous Sidewalk; Per Lin			
	and	Cents (\$)	20 LF	\$
25.		ete Drywell; Per Each:	Dollars		
	and	Cents (\$)	1 EA	\$
26.		crete Sidewalk; Per Linea	r Foot: Dollars		
	and	Cents (\$)	46 LF	\$
27.	Vertical Grani	te Curb; Per Linear Foot:	Dollars		
	and	Cents (\$)	46 LF	\$
28.	Bituminous Cu	urb; Per Linear Foot:	Dollars		
	and	Cents (\$)	712 LF	\$

29.	Concrete Si	dewalk Handicap Ramp; Po			
	and	Cents (\$)	1 EA	\$
30.	Thick); Per 1	Bituminous Concrete Pave Fon:		es	
		Cents (\$		1,954 TON	\$
31.	Per Ton:	Concrete Pavement for Ro	•		
		Cents (\$		3,909 TON	\$
32.	Bituminous Per Square	Concrete Pavement for Di Yard:	•		
	and	Cents (\$)	299 SY	\$
33.		Underdrain; Per Linear Fo			
	and	Cents (\$)	50 LF	\$
34.		Material; Per Cubic Yard:	Dollars		
	and	Cents (\$)	600 CY	\$
35.	Rock Excava	etion; Per Cubic Yard: Cents (\$	Dollars	4,356 CY	\$
36.		ravel; Per Cubic Yard:	Dollars	,	
	and	Cents (\$		652 CY	\$
37.		avel; Per Cubic Yard:			
	and	Cents (\$)	6,516 CY	\$
38.		itrol; Per Lump Sum:	Dollars		
	and	Cents (\$)	1 LS	\$

			Dollard		
	and	Cents (\$	Dollars)	1 LS	\$
	Loaming, So (Restoratio	eeding, Fertilizing & on of Growth); Per L	ι Mulching, ump Sum:	1 LS	\$
	anu	cents (\$	/	1 L3	>
	Landscapin	g, Etc.); Per Lump S			
	and	Cents (\$)	1 LS	\$
	·	e with Railroad Req	Dollars		4 07 000 00
	and	Cents (\$)	Allowance	\$ 25,000.00
			TOTAL BASE BID		\$
	TIVE ALTE	RNATE #1 – GR	m and Unit Price Bid Am RANDVIEW STREET		id Price in Words
ΆΤΙ	TIVE ALTE	RNATE #1 – GR AND APPURTEN	RANDVIEW STREET		id Price in Words
ATI	TIVE ALTE	RNATE #1 — GR AND APPURTEN	RANDVIEW STREET		
ATI 1-1.	TIVE ALTE ER MAIN A Mobilization and	ERNATE #1 – GR AND APPURTEN on; Per Lump Sum: Cents (\$	RANDVIEW STREET		id Price in Words
ATI 1-1.	TIVE ALTE ER MAIN A Mobilization and Ordered Ex	ERNATE #1 – GR AND APPURTEN on; Per Lump Sum: Cents (\$	RANDVIEW STREET NANCES Dollars) Pitting; Per Cubic Yard:		
ATI 1-1. 1-2.	Mobilization and Ordered Example.	ERNATE #1 — GRAND APPURTEN on; Per Lump Sum: Cents (\$	RANDVIEW STREET NANCES Dollars) Pitting; Per Cubic Yard:Dollars)	1 LS	\$

AA1-4.	6 Inch Wa	ter Main; Per Linear Fo	oot: Dollars			
	and	Cents (\$		24 LF	\$	
AA1-5.	3/4 Inch W	Vater Service Pipe; Per	Linear Foot: Dollars			
	and	Cents (\$		289 LF	\$	
AA1-6.		ter Service Pipe; Per Li	- "			
	and	Cents (\$)	0 LF	\$	
AA1-7.	8 Inch Tap	ping Sleeve and Valve				
	and	Cents (\$)	0 EA	\$	
AA1-8.		e Valve; Per Each:	Dollars			
		Cents (\$		0 EA	\$	
AA1-9.	6 Inch Gat	e Valve; Per Each:	Dollars			
	and	Cents (\$)	2 EA	\$	
AA1-10	.Install Owi	ner Furnished Fire Hyd	rant; Per Each: Dollars			
	and	Cents (\$)	2 EA	\$	
AA1-11	.3/4" Saddl with Box;	le, Corporation Stop, a Per Each:	•			
		C. 1. 14	Dollars	42.54	A	
	and	Cents (\$)	12 EA	S	

AA1-1	.2.1" Saddle, with Box;	. Corporation Stop, a Per Each:	nd Curb Stop			
			Dollars			
	and	Cents (\$)	0 EA	\$	
AA1-1	3.Two Inch . Linear Foo	•	ulation, 2 Feet Wide; F	Per		
		Cents (\$	Dollars	200 1 5	.	
	and	Cents (\$)	289 LF	\$	
AA1-1	<u> </u>	y Water Service; Per	Dollars			
	and	Cents (\$)	1 LS	\$	
SEW	ER AND S	TORM DRAIN				
AA1-1	5.Precast Co Per Each:		vith Frame and Grate;			
			Dollars			
	and	Cents (\$)	0 EA	\$	
AA1-1		DPE Storm Drain Pipe				
	and	Cents (\$)	0 LF	\$	
AA1-1	7.15 Inch HI	DPE Storm Drain Pipe	; Per Linear Foot: Dollars			
	and	Cents (\$)	0 LF	\$	
AA1-1	8.Four foot	Precast Concrete Sev	ver Manhole; Per Vert Dollars	ical Foot:		
	and	Cents (\$)	18 VF	\$	
AA1-1	9.Five foot F	Precast Concrete Dro	p Manhole; Per Vertic Dollars	al Foot:		
	and	Cents (\$)	0 VF	\$	
AA1-2	0.Four foot	Precast Concrete Dra	in Manhole; Per Verti Dollars	cal Foot:		
	and	Cents (\$	١	0 VF	\$	

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A1-21.	Manhole Fr	ame and Cover; Per	Each: Dollars		
	and	Cents (\$		3 EA	\$
AA1-22	.Eight Inch P	VC Gravity Sewer; P	er Linear Foot: Dollars		
	and	Cents (\$)	438 LF	\$
AA1-23	Four Inch P	√C Gravity Sewer Se	rvice; Per Linear Foot Dollars	:	
	and	Cents (\$)	218 LF	\$
AA1-24	.Five Foot Bi	tuminous Sidewalk;	Per Linear Foot:Dollars		
	and	Cents (\$)	0 LF	\$
AA1-25	.Precast Con	crete Drywell; Per E	ach: Dollars		
	and	Cents (\$)	0 EA	\$
AA1-26	.Five Foot Co	oncrete Sidewalk; Pe	er Linear Foot: Dollars		
	and	Cents (\$)	O LF	\$
AA1-27	.Vertical Gra	nite Curb; Per Linea	r Foot: Dollars		
	and	Cents (\$)	0 LF	\$
AA1-28	Bituminous	Curb; Per Linear Fo	ot: Dollars		
	and	Cents (\$)	0 LF	\$
AA1-29		dewalk Handicap Ra	Dallana		
	and	Cents (\$)	0 EA	\$

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A1-30.		tuminous Concrete Pave	ment (1 ½ Inches		
	Thick); Per To		Dollars		
	and	Cents (\$)	233 TON	\$
AA1-31	Per Ton:	oncrete Pavement for Ro	• .		
		Cents (\$		466 TON	\$
AA1-32	.Bituminous Co Per Square Ya		•		
	and	Cents (\$	Dollars)	128 SY	\$
AA1-33		Jnderdrain; Per Linear Fo			
	and	Cents (\$)	0 LF	\$
AA1-34	.Unsuitable Ma	aterial; Per Cubic Yard:	Dollars		
	and	Cents (\$)	100 CY	\$
AA1-35		on; Per Cubic Yard:	Dollars		
	and	Cents (\$)	581 CY	\$
AA1-36		vel; Per Cubic Yard:	Dollars		
	and	Cents (\$)	78 CY	\$
AA1-37		el; Per Cubic Yard:	Dollars		
	and	Cents (\$)	777 CY	\$
AA1-38		ol; Per Lump Sum:	Dollars		
	and	Cents (\$)	1 LS	\$
AA1-39		l; Per Lump Sum:	Dollars		
	and	Cents (\$)	1 LS	\$

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	•	eeding, Fertilizing n of Growth); Per	•				
	and	Cents (\$		_)	1 LS	\$	
		n of Surfaces (Fend g, Etc.); Per Lump	ces, Steps, Boulder Sum: Dollars	Walls			
	and	Cents (\$		_)	1 LS	\$	
	TC	TAL ADDITIVE	ALTERNATE #1	L BID		\$	
Ado	litive Altern	ate #1 - Total of L	ump Sum and Unit	Price Bio	d Amoun	ts = Total Bid Price	in Words

Bidder acknowledges that (1) each Bid Unit Price includes an amount considered by Bidder to be adequate to cover Contractor's overhead and profit for each separately identified item, and (2) estimated quantities are not guaranteed, and are solely for the purpose of comparison of Bids, and final payment for all unit price Bid items will be based on actual quantities, determined as provided in the Contract Documents.

NOTE: AWARD SHALL BE TO THE RESPONSIBLE BIDDER SUBMITTING THE LOWEST RESPONSIVE BID FOR THE <u>BASE BID</u>. THE OWNER MAY SELECT ADDITIVE ALTERNATE AS AVAILABLE FUNDS ALLOW.

ARTICLE 4—BASIS OF BID—COST PLUS FEE

- 4.01 The Contract Price will be the Cost of the Work, determined as provided in Paragraph 13.01 of the General Conditions, together with the following fee, and subject to the Guaranteed Maximum Price.
- 4.02 Contractor's Fee
 - A. Contractor's fee will be **[number]** percent of the Cost of the Work. No fee will be payable on the basis of costs itemized as excluded in Paragraph 13.01.C of the General Conditions.

- 1. The maximum amount payable by Owner as a percentage fee (Guaranteed Maximum Fee) will not exceed \$[insert cap amount], subject to increases or decreases for changes in the Work.
- B. Contractor's fee will be determined by applying the following percentages to the various portions of the Cost of the Work as defined in Article 13 of the General Conditions. No fee will be payable on the basis of costs itemized as excluded in Paragraph 13.01.C of the General Conditions:

Costs	Percent
Payroll costs (See Paragraph 13.01.B.1, General Conditions)	
Materials and Installed Equipment cost (GC-13.01.B.2)	
Amounts to be paid to Subcontractors (GC-13.01.B.3)	
Amount to be paid to special consultants (GC-13.01.B.4)	
Other costs (GC-13.01.B.5)	

- The maximum amount payable by Owner as a percentage fee (Guaranteed Maximum Fee)
 will not exceed \$[insert cap amount], subject to increases or decreases for changes in the
 Work.
- C.—Contractor's fee will be the fixed sum of \$[number].
- 4.03 Guaranteed Maximum Price
 - A. The Guaranteed Maximum Price to Owner of the Cost of the Work including Contractor's Fee will not exceed \$[Bidder fill in GMP].

Deleted

ARTICLE 5—PRICE-PLUS-TIME BID

- 5.01 Price-Plus-Time Contract Award (Stipulated Price Contract)
 - A.—The Bidder to which an award of the Contract will be made will be determined in part on the basis of the Total Bid Price and the total number of calendar days to substantially complete the Work, in accordance with the following:

	Description		Amount
A	1. Total Bid Price		\$[number]
	2. Total number of calendar days to substantially	[number] days	
	complete the Work		
	3. Liquidated Damages Rate (from Agreement)	\$[number]/day	
B	4. Adjustment Amount (2 x 3)		\$[number]
A+B	5. Amount for Comparison of Bids		\$[number]

- B. The purpose of the process in the table above is only to calculate the lowest price-plus-time (A+B) bid amount for bid comparison purposes. The price for completion of the Work (the Contract Price) is the Total Bid Price.
- C. Bonds required under Paragraph 6.01 of the General Conditions will be based on the Contract Price.

- 5.02 Price Plus Time Contract Award (Cost Plus Fee with Guaranteed Maximum Price Contract)
 - A. The Bidder to which an award of Contract will be made will be determined in part on the basis of the Guaranteed Maximum Price and the total number of calendar days to substantially complete the Work, in accordance with the following:

	Description		Amount
A	1. Guaranteed Maximum Price		\$[number]
	2. Total number of calendar days to substantially	[number] days	
	complete the Work		
	3. Liquidated Damages Rate (from Agreement)	\$[number]/day	
B	4. Adjustment Amount (2 x 3)		\$[number]
A+B	5. Amount for Comparison of Bids		\$[number]

- B. The purpose of the process in the table above is only to calculate the lowest price-plus-time (A+B) bid amount for bid comparison purposes. The price for completion of the Work (the Contract Price) is based on the cost of the Work, plus a fee, subject to a guaranteed maximum price, as set forth in the Agreement.
- C.—Bonds required under Paragraph 6.01 of the General Conditions will be based on the Contract Price.

Deleted

ARTICLE 6—TIME OF COMPLETION

- 6.01 Bidder agrees that the Work will be substantially complete and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before the dates or within the number of calendar days indicated in the Agreement.
- 6.02 Bidder agrees that the Work will be substantially complete on or before [Bidder inserts date], and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions on or before [Bidder inserts date].

Deleted

6.03 Bidder agrees that the Work will be substantially complete within [Bidder inserts number] calendar days after the date when the Contract Times commence to run as provided in Paragraph 4.01 of the General Conditions, and will be completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions within [Bidder inserts number] calendar days after the date when the Contract Times commence to run.

Deleted

6.04 Bidder accepts the provisions of the Agreement as to liquidated damages.

ARTICLE 7—BIDDER'S ACKNOWLEDGEMENTS: ACCEPTANCE PERIOD, INSTRUCTIONS, AND RECEIPT OF ADDENDA

7.01 Bid Acceptance Period

A. This Bid will remain subject to acceptance for 60 days after the Bid opening, or for such longer period of time that Bidder may agree to in writing upon request of Owner.

7.02 Instructions to Bidders

A. Bidder accepts all of the terms and conditions of the Instructions to Bidders, including without limitation those dealing with the disposition of Bid security.

7.03 Receipt of Addenda

A. Bidder hereby acknowledges receipt of the following Addenda:

Addendum Number	Addendum Date

ARTICLE 8—BIDDER'S REPRESENTATIONS AND CERTIFICATIONS

8.01 *Bidder's Representations*

- A. In submitting this Bid, Bidder represents the following:
 - 1. Bidder has examined and carefully studied the Bidding Documents, including Addenda.
 - 2. Bidder has visited the Site, conducted a thorough visual examination of the Site and adjacent areas, and become familiar with the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
 - 3. Bidder is familiar with all Laws and Regulations that may affect cost, progress, and performance of the Work, including all American Iron and Steel requirements.
 - 4. Bidder has carefully studied the reports of explorations and tests of subsurface conditions at or adjacent to the Site and the drawings of physical conditions relating to existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, with respect to the Technical Data in such reports and drawings.
 - 5. Bidder has carefully studied the reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, with respect to Technical Data in such reports and drawings.
 - 6. Bidder has considered the information known to Bidder itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Bidding Documents; and the Technical Data identified in the Supplementary Conditions or by definition, with respect to the effect of such information, observations, and Technical Data on (a) the cost, progress, and performance of the Work; (b) the means, methods, techniques, sequences, and

- procedures of construction to be employed by Bidder, if selected as Contractor; and (c) Bidder's (Contractor's) safety precautions and programs.
- 7. Based on the information and observations referred to in the preceding paragraph, Bidder agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
- 8. Bidder is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Bidding Documents.
- 9. Bidder has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Bidder has discovered in the Bidding Documents, and of discrepancies between Site conditions and the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- 10. The Bidding Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- 11. The submission of this Bid constitutes an incontrovertible representation by Bidder that without exception the Bid and all prices in the Bid are premised upon performing and furnishing the Work required by the Bidding Documents.

8.02 Bidder's Certifications

A. The Bidder certifies the following:

- This Bid is genuine and not made in the interest of or on behalf of any undisclosed individual or entity and is not submitted in conformity with any collusive agreement or rules of any group, association, organization, or corporation.
- 2. Bidder has not directly or indirectly induced or solicited any other Bidder to submit a false or sham Bid.
- 3. Bidder has not solicited or induced any individual or entity to refrain from bidding.
- 4. Bidder has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the Contract. For the purposes of this Paragraph 8.02.A:
 - a. Corrupt practice means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process.
 - b. Fraudulent practice means an intentional misrepresentation of facts made (a) to influence the bidding process to the detriment of Owner, (b) to establish bid prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition.
 - c. Collusive practice means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish bid prices at artificial, non-competitive levels.
 - d. Coercive practice means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

BIDDER hereby submits this Bid as set forth above:

Bidder:	
	(typed or printed name of organization)
By:	
	(individual's signature)
Name:	(typed or printed)
Title:	
ricie.	(typed or printed)
Date:	
	(typed or printed)
If Bidder is	s a corporation, a partnership, or a joint venture, attach evidence of authority to sign.
Attest:	
	(individual's signature)
Name:	(typed or printed)
Title:	(special of printed)
	(typed or printed)
Date:	
A ddross f	(typed or printed)
Address	for giving notices:
Bidder's	Contact:
Name:	
	(typed or printed)
Title:	(typed or printed)
Phone:	(typed of printed)
Email:	
Address:	
Addicss.	
Bidder's	Contractor License No.: (if applicable)

USDA Form RD 400-6 (Rev.12-09)

COMPLIANCE STATEMENT

Form Approved OMB No. 0575-0018

This statement relates to a proposed contract with
(Name of borrower or grantee)
who expects to finance the contract with assistance from either the Rural Housing Service (RHS), Rural Business-Cooperative Service (RBS), or the Rural Utilities Service (RUS) or their successor agencies, United States Department of Agriculture (whether by a loan, grant, loan insurance, guarantee, or other form of financial assistance). I am the undersigned bidder or prospective contractor, I represent that:
1. I have, have not, participated in a previous contract or subcontract subject to Executive Order 11246 (regarding equal employment opportunity) or a preceding similar Executive Order.
2. If I have participated in such a contract or subcontract, I have, have not, filed all compliance reports that have been required to file in connection with the contract or subcontract.
☐ If the proposed contract is for \$50,000 or more: or ☐ If the proposed nonconstruction contract is for \$50,000 or more and I have 50 or more employees, I also represent that:
3. I have, have not previously had contracts subject to the written affirmative action programs requirements of the Secretary of Labor.
4. If I have participated in such a contract or subcontract, I have, have not developed and placed on file at each establishment affirmative action programs as required by the rules and regulations of the Secretary of Labor.

I understand that if I have failed to file any compliance reports that have been required of me, I am not eligible and will not be eligible to have my bid considered or to enter into the proposed contract unless and until I make an arrangement regarding such reports that is satisfactory to either the RHS, RBS or RUS, or to the office where the reports are required to be filed.

I also certify that I do not maintain or provide for my employees any segregated facilities at any of my establishments, and that I do not permit my employees to perform their services at any location, under my control, where segregated facilities are maintained. I certify further that I will not maintain or provide for my employees any segregated facilities at any of my establishments, and that I will not permit my employees to perform their services at any location, under my control, where segregated facilities are maintained. I agree that a breach of this certification is a violation of the Equal Opportunity clause in my contract. As used in this certification, the term "segregated facilities" means any waiting rooms, work areas, restrooms and wash rooms, restaurants and other eating areas time clocks, locker rooms and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. I further agree that (except where I have obtained identical certifications for proposed subcontractors for specific time periods) I will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity clause; that I will retain such certifications in my files; and that I will forward the following notice to such proposed subcontractors (except where the proposed subcontractors have submitted identical certifications for specific time periods):

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays the valid OMB control number. The valid OMB control number for this information collection is 0575-0018. The time required to complete this information collection is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

NOTICE TO PROSPECTIVE SUBCONTRACTORS OF REQUIREMENTS FOR CERTIFICATIONS OF NON-SEGREGATED FACILITIES

A certification of Nonsegregated Facilities, as required by the May 9, 1967, order (32F.R. 7439, may 19, 1967) on Elimination of Segregated Facilities, by the Secretary of Labor, must be submitted prior to the award of a subcontract exceeding \$ 10,000 which is not exempt from the provisions of the Equal Opportunity clause. The certification may be submitted either for each subcontract or for all subcontracts during a period (i.e., quarterly, semiannually, or annually).

submitted either for each subcontract or for all subcontract	ets during a period (i.e., quarterly, semiannually, or annually).
NOTE: The penalty for making false statements in o	offers is prescribed in 18 U.S.C. 1001.
DATE	(Signature of Bidder or Prospective Contractor)
Address (including Zip Code)	

U.S. DEPARTMENT OF AGRICULTURE

CERTIFICATION REGARDING DEBARMENT, SUSPENSION, INELIGIBILITY AND VOLUNTARY EXCLUSION - LOWER TIER COVERED TRANSACTIONS

This certification is required by the regulations implementing Executive Order 12549, Debarment and Suspension, 7 CFR Part 3017, Section 3017.510, Participants' responsibilities. The regulations were published as Part IV of the January 30, 1989, <u>Federal Register</u> (pages 4722-4733). Copies of the regulations may be obtained by contacting the Department of Agriculture agency with which this transaction originated.

(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)

- (1) The prospective lower tier participant certifies, by submission of this proposal, that neither it not its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participant shall attach an explanation to this proposal.

Organization Name	PR/Award Number or Project Name
Name(s) and Title(s) of Authorized Representative(s)	
Signature(s)	Date

Form AD-1048 (1/92)

Instructions for Certification

- 1. By signing and submitting this form, the prospective lower tier participant is providing the certification set out on the reverse side in accordance with these instructions.
- 2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later than determined that the prospective lower tier participant knowingly

rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

- 3. The prospective lower tier participant shall provide immediate written notice to the person to which this proposal is submitted if at any time the prospective lower tier participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- 4. The terms "covered transactions," debarred," "suspended," "ineligible,", "lower tier covered transactions," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549. You may contact the person to which this proposal is submitted for assistance in obtaining a copy of those regulations.
- 5. The prospective lower tier participant agrees by submitting this form that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency with which this transaction originated.
- 6. The prospective lower tier participant further agrees by submitting this form that it will include this clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transactions," without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- 7. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
- 8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
- 9. Except for transactions authorized under paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

BID BOND (PENAL SUM FORM)

Bidder	Surety
Name: [Full formal name of Bidder]	Name: [Full formal name of Surety]
Address (principal place of business):	Address (principal place of business):
[Address of Bidder's principal place of business]	[Address of Surety's principal place of business]
Owner	Bid
Name: Town of Northumberland, NH	Project (name and location):
Address (principal place of business):	Water, Sewer, Drainage and Roadway
19 Main Street	Improvements
Groveton, NH 03582	
	Bid Due Date: [Enter date bid is due]
Bond	
Penal Sum: [Amount]	
Date of Bond: [Date]	
Surety and Bidder, intending to be legally bound he do each cause this Bid Bond to be duly executed by	reby, subject to the terms set forth in this Bid Bond, an authorized officer, agent, or representative.
Bidder	Surety
(Full formal name of Bidder)	(Full formal name of Surety) (corporate seal)
By:(Signature)	By:
	(Cianatura) (Attach Daylor of Attornay)
· ·	(Signature) (Attach Power of Attorney)
Name:	Name:
· ·	
Name: (Printed or typed)	Name: (Printed or typed)
Name: (Printed or typed) Title:	Name: (Printed or typed) Title:
Name: (Printed or typed) Title:	Name: (Printed or typed) Title: (Signature) Name: (Signature)
Name: (Printed or typed) Title:	Name: (Printed or typed) Title: (Signature) Name: (Printed or typed)
Name: (Printed or typed) Title:	Name: (Printed or typed) Title: (Signature) Name: (Signature)

- 1. Bidder and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to pay to Owner upon default of Bidder the penal sum set forth on the face of this Bond. Payment of the penal sum is the extent of Bidder's and Surety's liability. Recovery of such penal sum under the terms of this Bond will be Owner's sole and exclusive remedy upon default of Bidder.
- 2. Default of Bidder occurs upon the failure of Bidder to deliver within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents.
- 3. This obligation will be null and void if:
 - 3.1. Owner accepts Bidder's Bid and Bidder delivers within the time required by the Bidding Documents (or any extension thereof agreed to in writing by Owner) the executed Agreement required by the Bidding Documents and any performance and payment bonds required by the Bidding Documents, or
 - 3.2. All Bids are rejected by Owner, or
 - 3.3. Owner fails to issue a Notice of Award to Bidder within the time specified in the Bidding Documents (or any extension thereof agreed to in writing by Bidder and, if applicable, consented to by Surety when required by Paragraph 5 hereof).
- 4. Payment under this Bond will be due and payable upon default of Bidder and within 30 calendar days after receipt by Bidder and Surety of written notice of default from Owner, which notice will be given with reasonable promptness, identifying this Bond and the Project and including a statement of the amount due.
- 5. Surety waives notice of any and all defenses based on or arising out of any time extension to issue Notice of Award agreed to in writing by Owner and Bidder, provided that the total time for issuing Notice of Award including extensions does not in the aggregate exceed 120 days from the Bid due date without Surety's written consent.
- 6. No suit or action will be commenced under this Bond prior to 30 calendar days after the notice of default required in Paragraph 4 above is received by Bidder and Surety, and in no case later than one year after the Bid due date.
- 7. Any suit or action under this Bond will be commenced only in a court of competent jurisdiction located in the state in which the Project is located.
- 8. Notices required hereunder must be in writing and sent to Bidder and Surety at their respective addresses shown on the face of this Bond. Such notices may be sent by personal delivery, commercial courier, or by United States Postal Service registered or certified mail, return receipt requested, postage pre-paid, and will be deemed to be effective upon receipt by the party concerned.
- 9. Surety shall cause to be attached to this Bond a current and effective Power of Attorney evidencing the authority of the officer, agent, or representative who executed this Bond on behalf of Surety to execute, seal, and deliver such Bond and bind the Surety thereby.
- 10. This Bond is intended to conform to all applicable statutory requirements. Any applicable requirement of any applicable statute that has been omitted from this Bond will be deemed to be included herein as if set forth at length. If any provision of this Bond conflicts with any applicable statute, then the provision of said statute governs and the remainder of this Bond that is not in conflict therewith continues in full force and effect.
- 11. The term "Bid" as used herein includes a Bid, offer, or proposal as applicable.

QUALIFICATIONS STATEMENT

ARTICLE 1—GENERAL INFORMATION

1.01 Provide contact information for the Business:

	Legal Na	ame of Busine	ss:					
	Corpora	Corporate Office						
	Name:					Phone numb	er:	
	Title:					Email addres	s:	
	Busines	s address of co	orporate offic	e:	•			
	Local Of	ffice						
	Name:					Phone numb	er:	
	Title:					Email addres	s:	
	Busines	s address of lo	cal office:					
1.02	Provide i	nformation or	the Business	s's orga	anizationa	l structure:		
	Form of	Business:	□ Solo Bropri	otorch	uin □ Dart	nership 🗆 Co	rnoration	-
							following companies	
	1.	ed Liability Co		iit veii	ture com	or the	ionowing companies	5.
	2.							
	3.							
		a separate Qu	ualification St	ateme	nt for eac	h Joint Ventu	ırer.	
		isiness was for			1		iness was formed:	
	Is this B	usiness autho	rized to opera	ate in t	he Projec	t location?	☐ Yes ☐ No ☐ Per	nding
			<u> </u>		<u> </u>			<u>-</u>
1.03		all businesses [·] (25% or great				or in part (259	% or greater), or tha	it are wholly
	or partiy	(23% Of great	er, owned by	DUSIN	ess.			
	Name o	f business:				Affiliation:		
	Address	S:						
	Name o	f business:				Affiliation:		
	Address	S:						
	Name o	f business:				Affiliation:		

	Address:							
1.04	Provide information	regarding the Business's	officers,	partn	iers, and	l lim	its of au	thority.
	Name:		Titl	e:				
	Authorized to sign of	contracts: 🗆 Yes 🗆 No	Lim	it of A	Authorit	y:	\$	
	Name:		Titl	e:		•		
	Authorized to sign of	contracts: 🗆 Yes 🗆 No	Lim	it of A	Authorit	y:	\$	
	Name:		Titl	e:				
	Authorized to sign of	contracts: 🗆 Yes 🗆 No	Lim	it of A	Authorit	y:	\$	
	Name:		Titl	e:				
ARTIC 2.01	LE 2—LICENSING Provide information	regarding licensure for E	Business:					
	Name of License:							
	Licensing Agency:							
	License No:		Expirat	ion Da	ate:			
	Name of License:		1 .					
	Licensing Agency:							
	License No:		Expirat	ion Da	ate:			
ARTIC 3.01	Provide information of current certification	regarding Business's Div	verse Bus	iness	Certifica	atior	n, if any.	Provide evidence
	Ce	rtification		Cer	tifying A	Certification Date		
	☐ Disadvantaged B	usiness Enterprise						
	☐ Minority Busines	☐ Minority Business Enterprise						
	☐ Woman-Owned							
	☐ Small Business E							
	☐ Disabled Business Enterprise							
	☐ Veteran-Owned	☐ Veteran-Owned Business Enterprise						
	☐ Service-Disabled	☐ Service-Disabled Veteran-Owned Business						
	☐ HUBZone Busine Underutilized) Busin	•						
	☐ Other						·	

	□ None									
CLI	E 4—SAFETY									
	Provide information rega	rding Bus	siness's s	safety o	ganizati	ion and	safety p	erforma	nce.	
	Name of Business's Safe	ty Office	r:							
	Safety Certifications		I							
	Certification	Name			Issu	ing Ager	псу		Expirati	on
Provide Worker's Compensation Insurance Experience Modification Rate (EMR), Total Recordable Frequency Rate (TRFR) for incidents, and Total Number of Recorded Manhours (MH) for the last 3 years and the EMR, TRFR, and MH history for the last 3 years of any proposed Subcontractor(s) that will provide Work valued at 10% or more of the Contract Price. Provide documentation of the EMR history for Business and Subcontractor(s).										
	Year									
	Company	EMR	TRFR	МН	EMR	TRFR	МН	EMR	TRFR	МН
	Provide information rega financial statement, and current financial stateme	if such au				•				
	Financial Institution:									
	Business address:									
Date of Business's most recent financial statement:							☐ Attac	hed		
Date of Business's most recent audited financial statement:								☐ Attac	hed	
	Financial indicators fron	n the mos	st recen	t financi	al stater	nent				
	Contractor's Current Ra	tio (Curre	ent Asse	ts ÷ Curr	ent Liab	ilities)				
	Contractor's Quick Ration Short Term Investments	• •		•	ents + A	ccounts	Receiva	ıble +		
		• •		•	ents + A	ccounts	Receiva	ıble +		

ARTICLE 6—SURETY INFORMATION

Phone (main):

6.01 Provide information regarding the surety company that will issue required bonds on behalf of the Business, including but not limited to performance and payment bonds.

	Surety Name:							
	Surety is a corporation organized and existing under the laws of the state of:							
	Is surety author	ized to provid	e surety bonds in	the Project locatior	n? ☐ Yes ☐] No		
	Federal Bonds a	nd as Accepta	ble Reinsuring Co	tes of Authority as Ampanies" published e, U.S. Department	d in Departm	nent Circular 570		
	Mailing Address							
	(principal place	of business):						
	Physical Address							
	(principal place of business):							
	Phone (main):			Phone (claims):				
7.01				nce company(s), in ormation for each p	•	not limited to its		
	Name of insurar	nce provider, a	and type of policy	(CLE, auto, etc.):				
	In:	surance Provi	der	Type of Policy (Coverage Provided)				
	Are providers lic	ensed or auth	norized to issue po	olicies in the Project	t location?	☐ Yes ☐ No		
	Does provider have an A.M. Best Rating of A-VII or better? ☐ Yes ☐ No							
	Mailing Address (principal place of business):							
	(principal place	oi busilless).						
	Physical Address	ς						

Phone (claims):

ARTICLE 8—CONSTRUCTION EXPERIENCE

Average number of current full-time employees:	
Estimate of revenue for the current year:	
Estimate of revenue for the previous year:	

8.02 Provide information regarding the Business's previous contracting experience.

Years of experience with projects like the proposed project:							
As a general contractor:		As a joint venturer:					
Has Business, or a predecessor in interest, or an affiliate identified in Paragraph 1.03:							
Been disqualified as a bidder by any local, state, or federal agency within the last 5 years?							
☐ Yes ☐ No							
Been barred from contracting by any local, state, or federal agency within the last 5 years?							
☐ Yes ☐ No							
Been released from a bid in	the pas	t 5 years? 🗆 Yes 🗆 No)				
Defaulted on a project or failed to complete any contract awarded to it? ☐ Yes ☐ No							
Refused to construct or refused to provide materials defined in the contract documents or in							
a change order? ☐ Yes ☐ No							
Been a party to any currently pending litigation or arbitration? ☐ Yes ☐ No							
Provide full details in a separate attachment if the response to any of these questions is Yes.							

- 8.03 List all projects currently under contract in Schedule A and provide indicated information.
- 8.04 List a minimum of three and a maximum of six projects completed in the last 5 years in Schedule B and provide indicated information to demonstrate the Business's experience with projects similar in type and cost of construction.
- 8.05 In Schedule C, provide information on key individuals whom Business intends to assign to the Project. Provide resumes for those individuals included in Schedule C. Key individuals include the Project Manager, Project Superintendent, Quality Manager, and Safety Manager. Resumes may be provided for Business's key leaders as well.

ARTICLE 9—REQUIRED ATTACHMENTS

- 9.01 Provide the following information with the Statement of Qualifications:
 - A. If Business is a Joint Venture, separate Qualifications Statements for each Joint Venturer, as required in Paragraph 1.02.
 - B. Diverse Business Certifications if required by Paragraph 3.01.
 - C. Certification of Business's safety performance if required by Paragraph 4.02.
 - D. Financial statements as required by Paragraph 5.01.

- E. Attachments providing additional information as required by Paragraph 8.02.
- F. Schedule A (Current Projects) as required by Paragraph 8.03.
- G. Schedule B (Previous Experience with Similar Projects) as required by Paragraph 8.04.
- H. Schedule C (Key Individuals) and resumes for the key individuals listed, as required by Paragraph 8.05.
- I. Additional items as pertinent.

This Statement of Qualifications is offered by:

Business:	
	(typed or printed name of organization)
Ву:	
	(individual's signature)
Name:	(typed or printed)
Title:	
	(typed or printed)
Date:	
/If Duniman in	(date signed)
(IJ Business is	a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)
Attest: —	(individual's signature)
Name:	
Naiiie. —	(typed or printed)
Title:	
	(typed or printed)
Address for g	viving notices:
_	
<u> </u>	
Designated R	epresentative:
Name:	
	(typed or printed)
Title:	(typed or printed)
Address:	(7)
_	
Phone:	
Email:	
Liliuli.	

Schedule A—Current Projects

Name of Organization								
Project Owner			Project Nam	е				
General Description of Pr	roject							
Project Cost			Date Project					
Key Project Personnel	Project Manager	Project Super	intendent	Safe	ety Manager	Quality Control Manager		
Name								
Reference Contact Inform	Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)							
	Name	Title/Position	Organi	ization	Telephone	Email		
Owner								
Designer								
Construction Manager								
Droject Owner			Project Nam	_				
Project Owner General Description of Programmer Programmer Programmer Programmer Programmer Project Owner Project	roinst		Project Nam	е				
Project Cost	oject		Date Project					
Key Project Personnel	Project Manager	Project Super			Quality Control Manager			
	Project Manager	Project Super	intendent	Sali	ety Manager	Quality Control Manager		
Name Reference Contact Inform	nation (listing names indica	tos approval to contactin	a the names inc	dividuale ac a	roforoncol			
Reference Contact Infort	· •	Title/Position			·	Email		
0	Name	Title/Position	Organi	12411011	Telephone	Email		
Owner								
Designer								
Construction Manager								
Project Owner			Project Nam	е				
General Description of Pr	oject		•					
Project Cost	<u> </u>		Date Project					
Key Project Personnel	Project Manager	Project Manager Project Superio		Safe	ety Manager	Quality Control Manager		
Name								
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)								
	Name	Title/Position	Organi	ization	Telephone	Email		
Owner								
Designer								

TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE
WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS

				13

Construction Manager			

Schedule B—Previous Experience with Similar Projects

Name of Organization						
Project Owner			Project Nam	ne		
General Description of Pr	roject					
Project Cost			Date Projec	t		
Key Project Personnel	Project Manager	Project Super	intendent	Saf	ety Manager	Quality Control Manager
Name						
Reference Contact Inform	nation (listing names indica	tes approval to contacting	g the names in	dividuals as a	reference)	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						
Construction Manager						
Project Owner			Project Nam	ne		
General Description of Pr	roject		1 Toject Ivan	ic		
Project Cost	oject		Date Project	+		
Key Project Personnel	Project Manager	Project Super	<u> </u>		ety Manager	Quality Control Manager
Name	r roject manager	i i oject super	e.iideiit	541	ety manager	Quality control manage.
	nation (listing names indica	ntes approval to contacting	g the names in	ı dividuals as a	reference)	
	Name	Title/Position	_	ization	Telephone	Email
Owner			0.80			
Designer						
Construction Manager						
9						
Project Owner			Project Nam	ne		
General Description of Pr	oject		Date Projec			
Project Cost						
Key Project Personnel	Project Manager	Project Super	intendent	Saf	ety Manager	Quality Control Manager
Name						
Reference Contact Inform	nation (listing names indica	•	_		· · · · · · · · · · · · · · · · · · ·	
	Name	Title/Position	Organ	ization	Telephone	Email
Owner						
Designer						

TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE
WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS

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Construction Manager			

Schedule B—Previous Experience with Similar Projects

Name of Organization							
Project Owner			Project Nam	ne			
General Description of Pr	roject						
Project Cost			Date Project	t			
Key Project Personnel	Project Manager	Project Super	intendent	Safe	ty Manager	Quality Control Manager	
Name							
Reference Contact Information (listing names indicates approval to contacting the names individuals as a reference)							
	Name	Title/Position	Organ	ization	Telephone	Email	
Owner							
Designer							
Construction Manager							
Project Owner			Project Nam				
-	roinst		Project Nam	ie			
General Description of Pr	oject		Date Project				
Project Cost	Droject Manager	Project Super		,	atu Managar	Quality Control Manager	
Key Project Personnel	Project Manager	Project Super	ntendent Safety Manager		Quality Control Manager		
Name	nation (listing names indicat	as approval to contactin	a tha namas in	dividuals as a	rafarancal		
Reference Contact Inform			_		1	F.m.a.il	
0	Name	Title/Position	Organ	ization	Telephone	Email	
Owner			_				
Designer			_				
Construction Manager							
Project Owner			Project Nam	ne			
General Description of Pi	roject						
Project Cost			Date Project	t			
Key Project Personnel	Project Manager	Project Super			ety Manager	Quality Control Manager	
Name							
Reference Contact Inform	nation (listing names indicat	es approval to contactin	g the names inc	dividuals as a	reference)		
	Name	Title/Position	Organ	ization	Telephone	Email	
Owner							
Designer							

TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE
WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS

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Construction Manager			

Schedule C—Key Individuals

Name of individual Years of experience as project manager Years of experience with this organization Number of similar projects as project manager Number of similar projects in other positions Current Project Assignments Name of assignment Percent of time used for this project completion date In this project Reference Contact Information (listing names indicates approval to contact named individuals as a reference) Name Reference Contact Information (listing names indicates approval to contact named individuals as a reference) Name Title/Position Organization Title/Position Organization Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience as project superintendent Years of experience with this organization	Project Manager			
Years of experience with this organization Image: Company or Similar Projects as project manager Number of similar projects in other positions Percent of time used for this project Estimated project completion date Name of assignment Percent of time used for this project Estimated project completion date Reference Contact Information (listing names indicates approval to contact named individuals as a reference) Name Name Name Title/Position Title/Position Organization Organization Telephone Email Email Email Project Project Candidate's role on project Candidate's role on project Project Superintendent Project Superintendent Years of experience with this organization Here the company of the company	Name of individual			
Number of similar projects as project manager Number of similar projects in other positions Current Project Assignments Name of assignment Percent of time used for this project completion date Reference Contact Information (listing names indicates approval to contact named individuals as a reference) Name Name Name Name Title/Position Organization Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience with this organization	Years of experience as project manager			
Number of similar projects in other positions Current Project Assignments Name of assignment Percent of time used for this project completion date Percent of time used for this project completion date Reference Contact Information (listing names indicates approval to contact named individuals as a reference) Name Name Name Title/Position Organization Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience as project superintendent Years of experience with this organization	Years of experience with this organization			
Current Project Assignment Name of assignment Percent of time used for this project completion date Reference Contact Information (listing names indicates approval to contact named individuals as a reference) Name Name Name Title/Position Organization Organization Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience with this organization	Number of similar projects as project manager			
Name of assignment Percent of time used for this project completion date Reference Contact Information (listing names indicates approval to contact named individuals as a reference) Name Name Name Title/Position Organization Organization Telephone Email Project Candidate's role on project Candidate's role on project Project Superintendent Name of individual Years of experience as project superintendent Years of experience with this organization	Number of similar projects in other positions			
this project completion date Completion date Completion date	Current Project Assignments			
Name Title/Position Title/Position Organization Telephone Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience with this organization Title/Position Title/Position Title/Position Title/Position Title/Position Title/Position Title/Position Totale Telephone	Name of assignment			
Name Title/Position Title/Position Organization Telephone Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience with this organization Title/Position Title/Position Title/Position Title/Position Title/Position Title/Position Title/Position Totale Telephone				
Name Title/Position Title/Position Organization Telephone Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience with this organization Title/Position Title/Position Title/Position Title/Position Title/Position Title/Position Title/Position Totale Telephone Telephone Telephone Telephone Candidate's role Project Project Project Project Project Project Project Superintendent Years of experience as project superintendent Years of experience with this organization				
Name Title/Position Title/Position Organization Telephone Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience with this organization Title/Position Title/Position Title/Position Title/Position Title/Position Title/Position Title/Position Totale Telephone Telephone Telephone Telephone Candidate's role Project Project Project Project Project Project Project Superintendent Years of experience as project superintendent Years of experience with this organization				
Title/Position Organization Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience with this organization Title/Position Organization Telephone Email Email Project Candidate's role on project Project Superintendent Years of experience as project superintendent Years of experience with this organization	Reference Contact Information (listing names indicates app	proval to contact named indi	viduals as a reference)	
Organization Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience with this organization Organization Organization Organization Organization Email Project Candidate's role on project project Project Superintendent Years of experience as project superintendent Years of experience with this organization	Name	Name		
Telephone Email Project Candidate's role on project Project Superintendent Name of individual Years of experience as project superintendent Years of experience with this organization	Title/Position	Title/Position		
Email Project Project Candidate's role on project Project Superintendent Name of individual Years of experience as project superintendent Years of experience with this organization	Organization	Organization		
Project Candidate's role on project Candidate's role on project Project Superintendent Name of individual Years of experience as project superintendent Years of experience with this organization	Telephone	Telephone		
Candidate's role on project Project Superintendent Name of individual Years of experience as project superintendent Years of experience with this organization	Email	Email		
project project project Project Superintendent Name of individual Years of experience as project superintendent Years of experience with this organization	Project	Project		
Project Superintendent Name of individual Years of experience as project superintendent Years of experience with this organization	Candidate's role on	Candidate's role on		
Name of individual Years of experience as project superintendent Years of experience with this organization	project	project		
Years of experience as project superintendent Years of experience with this organization				
Years of experience with this organization	Name of individual			
· · · · · · · · · · · · · · · · · · ·				
	<u> </u>			
Number of similar projects as project superintendent				
Number of similar projects in other positions	Number of similar projects in other positions			
Current Project Assignments	Current Project Assignments			
Name of assignment Percent of time used for this project completion date	Name of assignment		, -	
this project completion date		tilis project	Completion date	
Reference Contact Information (listing names indicates approval to contact named individuals as a reference)	Reference Contact Information (listing names indicates app	proval to contact named indi	viduals as a reference)	
Name Name			,	
Title/Position Title/Position	Title/Position	Title/Position		
Organization Organization		·		
Telephone Telephone				
Email Email	·	•		
Project Project	Project	Project		
Candidate's Candidate's		•		
role on project role on project	role on project	role on project		

TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS	SECTION 00 45 13
EJCDC® C-451, Qualifications Statement—	Schedule C—Key Individuals.

SECTION 00 45 13

Safety Manager		
Name of individual		
Years of experience as project manager		
Years of experience with this organization		
Number of similar projects as project manager		
Number of similar projects in other positions		
Current Project Assignments		
Name of assignment	Percent of time used for	Estimated project
	this project	completion date
Reference Contact Information (listing names indica	ites approval to contact named ind	ividuals as a reference)
Name	Name	
Title/Position	Title/Position	
Organization	Organization	
Telephone	Telephone	
Email	Email	
Project	Project	
Candidate's role on	Candidate's role on	
project	project	
Quality Control Manager		
Name of individual		
Years of experience as project superintendent		
Years of experience with this organization		
Number of similar projects as project superintender	nt	
Number of similar projects in other positions		
Current Project Assignments		
Name of assignment	Percent of time used for	Estimated project
	this project	completion date
Reference Contact Information (listing names indica		ividuals as a reference)
Name	Name	
Title/Position	Title/Position	
Organization	Organization	
Telephone	Telephone	
Email	Email	
Project	Project	
Candidate's	Candidate's	
role on project	role on project	

NOTICE OF AWARD

Date of Issuance:	
Owner: Town of Northumberland, New Hampshire	Owner's Project No.:
Engineer: Horizons Engineering, Inc.	Engineer's Project No.: 221174
Project: Water, Sewer, Drainage and Roadway	
Contract Name:	
Bidder:	
Bidder's Address:	

You are notified that Owner has accepted your Bid dated [date] for the above Contract, and that you are the Successful Bidder and are awarded a Contract for:

[Describe Work, alternates, or sections of Work awarded]

The Contract Price of the awarded Contract is \$[Contract Price]. Contract Price is subject to adjustment based on the provisions of the Contract, including but not limited to those governing changes, Unit Price Work, and Work performed on a cost-plus-fee basis, as applicable.

[Number of copies sent] unexecuted counterparts of the Agreement accompany this Notice of Award, and one copy of the Contract Documents accompanies this Notice of Award, or has been transmitted or made available to Bidder electronically.

☐ Drawings	will he	delivered	senaratel	v from	the oth	er Contrac	t Documents.
⊔ Diawings	WIII DE	uelivereu	separater	y II OIII	נווכ טנוו	iei Contrac	t Documents.

You must comply with the following conditions precedent within 15 days of the date of receipt of this Notice of Award:

- 1. Deliver to Owner [number of copies sent] counterparts of the Agreement, signed by Bidder (as Contractor).
- 2. Deliver with the signed Agreement(s) the Contract security (such as required performance and payment bonds) and insurance documentation, as specified in the Instructions to Bidders and in the General Conditions, Articles 2 and 6.
- 3. Other conditions precedent (if any): [Describe other conditions that require Successful Bidder's compliance]

Failure to comply with these conditions within the time specified will entitle Owner to consider you in default, annul this Notice of Award, and declare your Bid security forfeited.

Within 10 days after you comply with the above conditions, Owner will return to you one fully signed counterpart of the Agreement, together with any additional copies of the Contract Documents as indicated in Paragraph 2.02 of the General Conditions.

WATER, SEWER, D	RAINAGE AND ROADWAY IMPROVEMENTS
Owner:	Town of Northumberland, New Hampshire
By (signature):	
Name (printed):	
Title:	

SECTION 00 51 00

Copy: Engineer

TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE

AGREEMENT BETWEEN OWNER AND CONTRACTOR FOR CONSTRUCTION CONTRACT (STIPULATED PRICE)

This Agreement is by and between the Town of Northumberland, New Hampshire ("Owner") and [name of contracting entity] ("Contractor").

Terms used in this Agreement have the meanings stated in the General Conditions and the Supplementary Conditions.

Owner and Contractor hereby agree as follows:

ARTICLE 1—WORK

1.01 Contractor shall complete all Work as specified or indicated in the Contract Documents. The Work is generally described as follows:

ARTICLE 2—THE PROJECT

2.01 The Project, of which the Work under the Contract Documents is a part, is generally described as follows: Water, Sewer, Drainage and Roadway Improvements

ARTICLE 3—ENGINEER

- 3.01 The Owner has retained **Horizons Engineering Inc** ("Engineer") to act as Owner's representative, assume all duties and responsibilities of Engineer, and have the rights and authority assigned to Engineer in the Contract.
- 3.02 The part of the Project that pertains to the Work has been designed by Horizons Engineering Inc.

ARTICLE 4—CONTRACT TIMES

- 4.01 Time is of the Essence
 - A. All time limits for Milestones, if any, Substantial Completion, and completion and readiness for final payment as stated in the Contract Documents are of the essence of the Contract.
- 4.03 Contract Times: Days
 - A. The Work will be substantially complete within **345** days after the date when the Contract Times commence to run as provided in Paragraph 4.01 of the General Conditions, and completed and ready for final payment in accordance with Paragraph 15.06 of the General Conditions within **375** days after the date when the Contract Times commence to run.
- 4.05 Liquidated Damages
 - A. Contractor and Owner recognize that time is of the essence as stated in Paragraph 4.01 above and that Owner will suffer financial and other losses if the Work is not completed and Milestones not achieved within the Contract Times, as duly modified. The parties also recognize the delays, expense, and difficulties involved in proving, in a legal or arbitration proceeding, the actual loss suffered by Owner if the Work is not completed on time.

Accordingly, instead of requiring any such proof, Owner and Contractor agree that as liquidated damages for delay (but not as a penalty):

- Substantial Completion: Contractor shall pay Owner \$1,500 for each day that expires after the time (as duly adjusted pursuant to the Contract) specified above for Substantial Completion, until the Work is substantially complete.
- Completion of Remaining Work: After Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Times (as duly adjusted pursuant to the Contract) for completion and readiness for final payment, Contractor shall pay Owner \$750 for each day that expires after such time until the Work is completed and ready for final payment.
- 3. Liquidated damages for failing to timely attain Milestones, Substantial Completion, and final completion are not additive, and will not be imposed concurrently.
- B. If Owner recovers liquidated damages for a delay in completion by Contractor, then such liquidated damages are Owner's sole and exclusive remedy for such delay, and Owner is precluded from recovering any other damages, whether actual, direct, excess, or consequential, for such delay, except for special damages (if any) specified in this Agreement.
- C. Bonus: Contractor and Owner further recognize the Owner will realize financial and other benefits if the Work is completed prior to the time specified for Substantial Completion. Accordingly, Owner and Contractor agree that as a bonus for early completion, Owner shall pay Contractor \$[number] for each day prior to the time specified above for Substantial Completion (as duly adjusted pursuant to the Contract) that the Work is substantially complete. The maximum value of the bonus will be limited to \$[number].

Deleted

4.06 Special Damages

- A.—Contractor shall reimburse Owner (1) for any fines or penalties imposed on Owner as a direct result of the Contractor's failure to attain Substantial Completion according to the Contract Times, and (2) for the actual costs reasonably incurred by Owner for engineering, construction observation, inspection, and administrative services needed after the time specified in Paragraph 4.02 for Substantial Completion (as duly adjusted pursuant to the Contract), until the Work is substantially complete.
- B. After Contractor achieves Substantial Completion, if Contractor shall neglect, refuse, or fail to complete the remaining Work within the Contract Times, Contractor shall reimburse Owner for the actual costs reasonably incurred by Owner for engineering, construction observation, inspection, and administrative services needed after the time specified in Paragraph 4.02 for Work to be completed and ready for final payment (as duly adjusted pursuant to the Contract), until the Work is completed and ready for final payment.
- C. The special damages imposed in this paragraph are supplemental to any liquidated damages for delayed completion established in this Agreement.

ARTICLE 5—CONTRACT PRICE

- 5.01 Owner shall pay Contractor for completion of the Work in accordance with the Contract Documents, the amounts that follow, subject to adjustment under the Contract:
 - A. For all Work other than Unit Price Work, a lump sum of \$[number].
 - All specific cash allowances are included in the above price in accordance with Paragraph 13.02 of the General Conditions.
 - B. For all Unit Price Work, an amount equal to the sum of the extended prices (established for each separately identified item of Unit Price Work by multiplying the unit price times the actual quantity of that item).

Unit Price Work							
Item No.	Description	Unit	Estimated Quantity	Unit Price	Extended Price		
				\$	\$		
				\$	\$		
				\$	\$		
				\$	\$		
				\$	\$		
Total adjus	\$						

The extended prices for Unit Price Work set forth as of the Effective Date of the Contract are based on estimated quantities. As provided in Paragraph 13.03 of the General Conditions, estimated quantities are not guaranteed, and determinations of actual quantities and classifications are to be made by Engineer.

- C. Total of Lump Sum Amount and Unit Price Work (subject to final Unit Price adjustment) \$[number].
- D. For all Work, at the prices stated in Contractor's Bid, attached hereto as an exhibit.

ARTICLE 6—PAYMENT PROCEDURES

- 6.01 Submittal and Processing of Payments
 - A. Contractor shall submit Applications for Payment in accordance with Article 15 of the General Conditions. Applications for Payment will be processed by Engineer as provided in the General Conditions.
- 6.02 Progress Payments; Retainage
 - A. Owner shall make progress payments on the basis of Contractor's Applications for Payment on or about the **15th** day of each month during performance of the Work as provided in Paragraph 6.02.A.1 below, provided that such Applications for Payment have been submitted in a timely manner and otherwise meet the requirements of the Contract. All such payments

will be measured by the Schedule of Values established as provided in the General Conditions (and in the case of Unit Price Work based on the number of units completed) or, in the event there is no Schedule of Values, as provided elsewhere in the Contract.

- 1. Prior to Substantial Completion, progress payments will be made in an amount equal to the percentage indicated below but, in each case, less the aggregate of payments previously made and less such amounts as Owner may withhold, including but not limited to liquidated damages, in accordance with the Contract.
 - a. 95 percent of the value of the Work completed (with the balance being retainage).
 - If 50 percent or more of the Work has been completed, as determined by Engineer, and if the character and progress of the Work have been satisfactory to Owner and Engineer, then as long as the character and progress of the Work remain satisfactory to Owner and Engineer, there will be no additional retainage; and

Deleted

- b. 95 percent of cost of materials and equipment not incorporated in the Work (with the balance being retainage).
- B. Upon Substantial Completion of the entire construction to be provided under the construction Contract Documents, Owner shall pay an amount sufficient to increase total payments to Contractor to 100 percent of the Work completed, less such amounts set off by Owner pursuant to Paragraph 15.01.E of the General Conditions, and less 100 percent of Engineer's estimate of the value of Work to be completed or corrected as shown on the punch list of items to be completed or corrected prior to final payment.

6.03 Final Payment

A. Upon final completion and acceptance of the Work, Owner shall pay the remainder of the Contract Price in accordance with Paragraph 15.06 of the General Conditions.

6.04 Consent of Surety

A. Owner will not make final payment, or return or release retainage at Substantial Completion or any other time, unless Contractor submits written consent of the surety to such payment, return, or release.

6.05 Interest

A. All amounts not paid when due will bear interest at the rate of 0 percent per annum.

ARTICLE 7—CONTRACT DOCUMENTS

7.01 Contents

- A. The Contract Documents consist of all of the following:
 - 1. This Agreement.
 - 2. Bonds:
 - a. Performance bond (together with power of attorney).

- b. Payment bond (together with power of attorney).
- 3. General Conditions.
- 4. Supplementary Conditions.
- 5. Specifications as listed in the table of contents of the project manual (copy of list attached).
- Drawings (not attached but incorporated by reference) consisting of 20 sheets with each sheet bearing the following general title: Town of Northumberland, New Hampshire – Water, Sewer, Drainage and Roadway Improvements
- 8. Addenda (numbers [number] to [number], inclusive).
- 9. Exhibits to this Agreement (enumerated as follows):
 - a. Contractor's Bid Pages ## to ## inclusive
- 10. The following which may be delivered or issued on or after the Effective Date of the Contract and are not attached hereto:
 - a. Notice to Proceed.
 - b. Work Change Directives.
 - c. Change Orders.
 - d. Field Orders.
 - e. Warranty Bond, if any.
- B. The Contract Documents listed in Paragraph 7.01.A are attached to this Agreement (except as expressly noted otherwise above).
- C. There are no Contract Documents other than those listed above in this Article 7.
- D. The Contract Documents may only be amended, modified, or supplemented as provided in the Contract.

ARTICLE 8—REPRESENTATIONS, CERTIFICATIONS, AND STIPULATIONS

- 8.01 Contractor's Representations
 - A. In order to induce Owner to enter into this Contract, Contractor makes the following representations:
 - 1. Contractor has examined and carefully studied the Contract Documents, including Addenda.
 - 2. Contractor has visited the Site, conducted a thorough visual examination of the Site and adjacent areas, and become familiar with the general, local, and Site conditions that may affect cost, progress, and performance of the Work.
 - 3. Contractor is familiar with all Laws and Regulations that may affect cost, progress, and performance of the Work.
 - 4. Contractor has carefully studied the reports of explorations and tests of subsurface conditions at or adjacent to the Site and the drawings of physical conditions relating to

existing surface or subsurface structures at the Site that have been identified in the Supplementary Conditions, with respect to the Technical Data in such reports and drawings.

- Contractor has carefully studied the reports and drawings relating to Hazardous Environmental Conditions, if any, at or adjacent to the Site that have been identified in the Supplementary Conditions, with respect to Technical Data in such reports and drawings.
- 6. Contractor has considered the information known to Contractor itself; information commonly known to contractors doing business in the locality of the Site; information and observations obtained from visits to the Site; the Contract Documents; and the Technical Data identified in the Supplementary Conditions or by definition, with respect to the effect of such information, observations, and Technical Data on (a) the cost, progress, and performance of the Work; (b) the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor; and (c) Contractor's safety precautions and programs.
- 7. Based on the information and observations referred to in the preceding paragraph, Contractor agrees that no further examinations, investigations, explorations, tests, studies, or data are necessary for the performance of the Work at the Contract Price, within the Contract Times, and in accordance with the other terms and conditions of the Contract.
- 8. Contractor is aware of the general nature of work to be performed by Owner and others at the Site that relates to the Work as indicated in the Contract Documents.
- 9. Contractor has given Engineer written notice of all conflicts, errors, ambiguities, or discrepancies that Contractor has discovered in the Contract Documents, and of discrepancies between Site conditions and the Contract Documents, and the written resolution thereof by Engineer is acceptable to Contractor.
- 10. The Contract Documents are generally sufficient to indicate and convey understanding of all terms and conditions for performance and furnishing of the Work.
- 11. Contractor's entry into this Contract constitutes an incontrovertible representation by Contractor that without exception all prices in the Agreement are premised upon performing and furnishing the Work required by the Contract Documents.

8.02 Contractor's Certifications

- A. Contractor certifies that it has not engaged in corrupt, fraudulent, collusive, or coercive practices in competing for or in executing the Contract. For the purposes of this Paragraph 8.02:
 - "corrupt practice" means the offering, giving, receiving, or soliciting of anything of value likely to influence the action of a public official in the bidding process or in the Contract execution;
 - "fraudulent practice" means an intentional misrepresentation of facts made (a) to influence the bidding process or the execution of the Contract to the detriment of Owner, (b) to establish Bid or Contract prices at artificial non-competitive levels, or (c) to deprive Owner of the benefits of free and open competition;

- 3. "collusive practice" means a scheme or arrangement between two or more Bidders, with or without the knowledge of Owner, a purpose of which is to establish Bid prices at artificial, non-competitive levels; and
- 4. "coercive practice" means harming or threatening to harm, directly or indirectly, persons or their property to influence their participation in the bidding process or affect the execution of the Contract.

8.03 Standard General Conditions

A. Owner stipulates that if the General Conditions that are made a part of this Contract are EJCDC® C-700, Standard General Conditions for the Construction Contract (2018), published by the Engineers Joint Contract Documents Committee, and if Owner is the party that has furnished said General Conditions, then Owner has plainly shown all modifications to the standard wording of such published document to the Contractor, through a process such as highlighting or "track changes" (redline/strikeout), or in the Supplementary Conditions.

IN WITNESS WHEREOF, Owner and Contractor have signed this Agreement.

This Agreement will be effective on **[indicate date on which Contract becomes effective]** (which is the Effective Date of the Contract).

Owner:	Contractor:
(typed or printed name of organization)	(typed or printed name of organization)
By:	Ву:
(individual's signature)	(individual's signature)
Date:	Date:
(date signed)	(date signed)
Name:	Name:
(typed or printed)	(typed or printed)
Title:	Title:
(typed or printed)	(typed or printed) (If [Type of Entity] is a corporation, a partnership, or a joint venture, attach evidence of authority to sign.)
Attest:	Attest:
(individual's signature)	(individual's signature)
Title:	Title:
(typed or printed)	(typed or printed)
Address for giving notices:	Address for giving notices:
Designated Representative:	Designated Representative:
Name:	Name:
(typed or printed)	(typed or printed)
Title:	Title:
(typed or printed)	(typed or printed)
Address:	Address:
Phone:	Phone:
Email:	Email:
(If [Type of Entity] is a corporation, attach evidence of	License No.:
authority to sign. If [Type of Entity] is a public body,	(where applicable)
attach evidence of authority to sign and resolution or other documents authorizing execution of this	
Agreement.)	State:

NOTICE TO PROCEED

Owner:	Town of Northumberland, NH	Owner's Project No.:	
Engineer:	Horizons Engineering, Inc	Engineer's Project No.:	221174
Contractor:		Contractor's Project No.:	
Project:	Water, Sewer, Drainage and Roadway Ir	nprovements	
Contract Name:			
Effective Date of 0	Contract:		
•	fies Contractor that the Contract Times of the contract Times of the Contract Times are to start] pursuant to Parago		
	ractor shall start performing its obligatio Site prior to such date.	ns under the Contract Docu	uments. No Work
In accordance with	the Agreement:		
the date stated Completion of achieve reading date of the Co	days to achieve Substantial Completion above for the commencement of the Con [date, calculated from commencement ess for final payment is [number of days, ntract Times, resulting in a date for read cement date above].	tract Times, resulting in a dat date above]; and the nu from Agreement] from the	ate for Substantial amber of days to e commencement
Before starting any	Work at the Site, Contractor must compl	y with the following:	
[Note any acce	ss limitations, security procedures, or ot	her restrictions]	
Owner:	Town of Northumberland, NH		
By (signature):			
Name (printed):			
Title:			
Date Issued:			
Copy: Engineer			

PERFORMANCE BOND

Contractor	Surety
Name: [Full formal name of Contractor]	Name: [Full formal name of Surety]
Address (principal place of business):	Address (principal place of business):
[Address of Contractor's principal place of business]	[Address of Surety's principal place of business]
Owner	Contract
Name: Town of Northumberland, NH	Description (name and location):
Mailing address (principal place of business):	Water, Sewer, Drainage and Roadway Improvements
19 Main Street	
Groveton, New Hampshire 03582	Contract Price: [Amount from Contract]
	Effective Date of Contract: [Date from Contract]
Bond	
Bond Amount: [Amount]	
Date of Bond: [Date]	
(Date of Bond cannot be earlier than Effective Date of Contract) Modifications to this Bond form: □ None □ See Paragraph 16	
Surety and Contractor, intending to be legally bound	d hereby, subject to the terms set forth in this
Performance Bond, do each cause this Performance agent, or representative.	Bond to be duly executed by an authorized officer,
Contractor as Principal	Surety
(Full formal name of Contractor)	(Full formal name of Surety) (corporate seal)
By: (Signature)	By: (Signature)(Attach Power of Attorney)
Name:	Name:
(Printed or typed)	(Printed or typed)
Title:	Title:
Attest:	Attest:
(Signature)	(Signature)
Name: (Printed or typed)	Name:(Printed or typed)
Title:	Title:
Notes: (1) Provide supplemental execution by any additional pa	
Contractor, Surety, Owner, or other party is considered plural w	

- 1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner for the performance of the Construction Contract, which is incorporated herein by reference.
- 2. If the Contractor performs the Construction Contract, the Surety and the Contractor shall have no obligation under this Bond, except when applicable to participate in a conference as provided in Paragraph 3.
- 3. If there is no Owner Default under the Construction Contract, the Surety's obligation under this Bond will arise after:
 - 3.1. The Owner first provides notice to the Contractor and the Surety that the Owner is considering declaring a Contractor Default. Such notice may indicate whether the Owner is requesting a conference among the Owner, Contractor, and Surety to discuss the Contractor's performance. If the Owner does not request a conference, the Surety may, within five (5) business days after receipt of the Owner's notice, request such a conference. If the Surety timely requests a conference, the Owner shall attend. Unless the Owner agrees otherwise, any conference requested under this Paragraph 3.1 will be held within ten (10) business days of the Surety's receipt of the Owner's notice. If the Owner, the Contractor, and the Surety agree, the Contractor shall be allowed a reasonable time to perform the Construction Contract, but such an agreement does not waive the Owner's right, if any, subsequently to declare a Contractor Default;
 - 3.2. The Owner declares a Contractor Default, terminates the Construction Contract and notifies the Surety; and
 - 3.3. The Owner has agreed to pay the Balance of the Contract Price in accordance with the terms of the Construction Contract to the Surety or to a contractor selected to perform the Construction Contract.
- 4. Failure on the part of the Owner to comply with the notice requirement in Paragraph 3.1 does not constitute a failure to comply with a condition precedent to the Surety's obligations, or release the Surety from its obligations, except to the extent the Surety demonstrates actual prejudice.
- 5. When the Owner has satisfied the conditions of Paragraph 3, the Surety shall promptly and at the Surety's expense take one of the following actions:
 - 5.1. Arrange for the Contractor, with the consent of the Owner, to perform and complete the Construction Contract;
 - 5.2. Undertake to perform and complete the Construction Contract itself, through its agents or independent contractors;
 - 5.3. Obtain bids or negotiated proposals from qualified contractors acceptable to the Owner for a contract for performance and completion of the Construction Contract, arrange for a contract to be prepared for execution by the Owner and a contractor selected with the Owners concurrence, to be secured with performance and payment bonds executed by a qualified surety equivalent to the bonds issued on the Construction Contract, and pay to the Owner the amount of damages as described in Paragraph 7 in excess of the Balance of the Contract Price incurred by the Owner as a result of the Contractor Default; or
 - 5.4. Waive its right to perform and complete, arrange for completion, or obtain a new contractor, and with reasonable promptness under the circumstances:

- 5.4.1 After investigation, determine the amount for which it may be liable to the Owner and, as soon as practicable after the amount is determined, make payment to the Owner; or
- 5.4.2 Deny liability in whole or in part and notify the Owner, citing the reasons for denial.
- 6. If the Surety does not proceed as provided in Paragraph 5 with reasonable promptness, the Surety shall be deemed to be in default on this Bond seven days after receipt of an additional written notice from the Owner to the Surety demanding that the Surety perform its obligations under this Bond, and the Owner shall be entitled to enforce any remedy available to the Owner. If the Surety proceeds as provided in Paragraph 5.4, and the Owner refuses the payment, or the Surety has denied liability, in whole or in part, without further notice, the Owner shall be entitled to enforce any remedy available to the Owner.
- 7. If the Surety elects to act under Paragraph 5.1, 5.2, or 5.3, then the responsibilities of the Surety to the Owner will not be greater than those of the Contractor under the Construction Contract, and the responsibilities of the Owner to the Surety will not be greater than those of the Owner under the Construction Contract. Subject to the commitment by the Owner to pay the Balance of the Contract Price, the Surety is obligated, without duplication for:
 - 7.1. the responsibilities of the Contractor for correction of defective work and completion of the Construction Contract;
 - 7.2. additional legal, design professional, and delay costs resulting from the Contractor's Default, and resulting from the actions or failure to act of the Surety under Paragraph 5; and
 - 7.3. liquidated damages, or if no liquidated damages are specified in the Construction Contract, actual damages caused by delayed performance or non-performance of the Contractor.
- 8. If the Surety elects to act under Paragraph 5.1, 5.3, or 5.4, the Surety's liability is limited to the amount of this Bond.
- 9. The Surety shall not be liable to the Owner or others for obligations of the Contractor that are unrelated to the Construction Contract, and the Balance of the Contract Price will not be reduced or set off on account of any such unrelated obligations. No right of action will accrue on this Bond to any person or entity other than the Owner or its heirs, executors, administrators, successors, and assigns.
- 10. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
- 11. Any proceeding, legal or equitable, under this Bond must be instituted in any court of competent jurisdiction in the location in which the work or part of the work is located and must be instituted within two years after a declaration of Contractor Default or within two years after the Contractor ceased working or within two years after the Surety refuses or fails to perform its obligations under this Bond, whichever occurs first. If the provisions of this paragraph are void or prohibited by law, the minimum periods of limitations available to sureties as a defense in the jurisdiction of the suit will be applicable.
- 12. Notice to the Surety, the Owner, or the Contractor must be mailed or delivered to the address shown on the page on which their signature appears.
- 13. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement will be deemed deleted therefrom and provisions conforming to such

statutory or other legal requirement will be deemed incorporated herein. When so furnished, the intent is that this Bond will be construed as a statutory bond and not as a common law bond.

14. Definitions

- 14.1. Balance of the Contract Price—The total amount payable by the Owner to the Contractor under the Construction Contract after all proper adjustments have been made including allowance for the Contractor for any amounts received or to be received by the Owner in settlement of insurance or other claims for damages to which the Contractor is entitled, reduced by all valid and proper payments made to or on behalf of the Contractor under the Construction Contract.
- 14.2. *Construction Contract*—The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and changes made to the agreement and the Contract Documents.
- 14.3. *Contractor Default*—Failure of the Contractor, which has not been remedied or waived, to perform or otherwise to comply with a material term of the Construction Contract.
- 14.4. Owner Default—Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.
- 14.5. *Contract Documents*—All the documents that comprise the agreement between the Owner and Contractor.
- 15. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond will be deemed to be Subcontractor and the term Owner will be deemed to be Contractor.
- 16. Modifications to this Bond are as follows: None

PAYMENT BOND

Contractor	Surety
Name: [Full formal name of Contractor]	Name: [Full formal name of Surety]
Address (principal place of business):	Address (principal place of business):
[Address of Contractor's principal place of business]	[Address of Surety's principal place of business]
Owner	Contract
Name: Town of Northumberland, NH Mailing address (principal place of business): 19 Main Street Groveton, New Hampshire 03582	Description (name and location): Water, Sewer, Drainage and Roadway Improvements
	Contract Price: [Amount, from Contract]
	Effective Date of Contract: [Date, from Contract]
Bond	
Bond Amount: [Amount]	
Date of Bond: [Date] (Date of Bond cannot be earlier than Effective Date of Contract) Modifications to this Bond form: □ None □ See Paragraph 18 Surety and Contractor, intending to be legally bour Payment Bond, do each cause this Payment Bond to representative.	nd hereby, subject to the terms set forth in this o be duly executed by an authorized officer, agent, or
Contractor as Principal	Surety
(Full formal name of Contractor)	(Full formal name of Surety) (corporate seal)
By:	By: (Signature)(Attach Power of Attorney)
(Signature) Name:	(Signature)(Attach Power of Attorney) Name:
(Printed or typed)	(Printed or typed)
Title:	Title:
Attest:	Attest:
(Signature)	(Signature)
Name: (Printed or typed)	Name:(Printed or typed)
Title:	Title:
Notes: (1) Provide supplemental execution by any additional parameters. Contractor, Surety, Owner, or other party is considered plural v	

- 1. The Contractor and Surety, jointly and severally, bind themselves, their heirs, executors, administrators, successors, and assigns to the Owner to pay for labor, materials, and equipment furnished for use in the performance of the Construction Contract, which is incorporated herein by reference, subject to the following terms.
- 2. If the Contractor promptly makes payment of all sums due to Claimants, and defends, indemnifies, and holds harmless the Owner from claims, demands, liens, or suits by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, then the Surety and the Contractor shall have no obligation under this Bond.
- 3. If there is no Owner Default under the Construction Contract, the Surety's obligation to the Owner under this Bond will arise after the Owner has promptly notified the Contractor and the Surety (at the address described in Paragraph 13) of claims, demands, liens, or suits against the Owner or the Owner's property by any person or entity seeking payment for labor, materials, or equipment furnished for use in the performance of the Construction Contract, and tendered defense of such claims, demands, liens, or suits to the Contractor and the Surety.
- 4. When the Owner has satisfied the conditions in Paragraph 3, the Surety shall promptly and at the Surety's expense defend, indemnify, and hold harmless the Owner against a duly tendered claim, demand, lien, or suit.
- 5. The Surety's obligations to a Claimant under this Bond will arise after the following:
 - 5.1. Claimants who do not have a direct contract with the Contractor
 - 5.1.1. have furnished a written notice of non-payment to the Contractor, stating with substantial accuracy the amount claimed and the name of the party to whom the materials were, or equipment was, furnished or supplied or for whom the labor was done or performed, within ninety (90) days after having last performed labor or last furnished materials or equipment included in the Claim; and
 - 5.1.2. have sent a Claim to the Surety (at the address described in Paragraph 13).
 - 5.2. Claimants who are employed by or have a direct contract with the Contractor have sent a Claim to the Surety (at the address described in Paragraph 13).
- 6. If a notice of non-payment required by Paragraph 5.1.1 is given by the Owner to the Contractor, that is sufficient to satisfy a Claimant's obligation to furnish a written notice of non-payment under Paragraph 5.1.1.
- 7. When a Claimant has satisfied the conditions of Paragraph 5.1 or 5.2, whichever is applicable, the Surety shall promptly and at the Surety's expense take the following actions:
 - 7.1. Send an answer to the Claimant, with a copy to the Owner, within sixty (60) days after receipt of the Claim, stating the amounts that are undisputed and the basis for challenging any amounts that are disputed; and
 - 7.2. Pay or arrange for payment of any undisputed amounts.
 - 7.3. The Surety's failure to discharge its obligations under Paragraph 7.1 or 7.2 will not be deemed to constitute a waiver of defenses the Surety or Contractor may have or acquire as to a Claim, except as to undisputed amounts for which the Surety and Claimant have reached agreement. If, however, the Surety fails to discharge its obligations under Paragraph 7.1 or 7.2, the Surety shall indemnify the Claimant for the reasonable attorney's fees the Claimant incurs thereafter to recover any sums found to be due and owing to the Claimant.

- 8. The Surety's total obligation will not exceed the amount of this Bond, plus the amount of reasonable attorney's fees provided under Paragraph 7.3, and the amount of this Bond will be credited for any payments made in good faith by the Surety.
- 9. Amounts owed by the Owner to the Contractor under the Construction Contract will be used for the performance of the Construction Contract and to satisfy claims, if any, under any construction performance bond. By the Contractor furnishing and the Owner accepting this Bond, they agree that all funds earned by the Contractor in the performance of the Construction Contract are dedicated to satisfying obligations of the Contractor and Surety under this Bond, subject to the Owner's priority to use the funds for the completion of the work.
- 10. The Surety shall not be liable to the Owner, Claimants, or others for obligations of the Contractor that are unrelated to the Construction Contract. The Owner shall not be liable for the payment of any costs or expenses of any Claimant under this Bond, and shall have under this Bond no obligation to make payments to or give notice on behalf of Claimants, or otherwise have any obligations to Claimants under this Bond.
- 11. The Surety hereby waives notice of any change, including changes of time, to the Construction Contract or to related subcontracts, purchase orders, and other obligations.
- 12. No suit or action will be commenced by a Claimant under this Bond other than in a court of competent jurisdiction in the state in which the project that is the subject of the Construction Contract is located or after the expiration of one year from the date (1) on which the Claimant sent a Claim to the Surety pursuant to Paragraph 5.1.2 or 5.2, or (2) on which the last labor or service was performed by anyone or the last materials or equipment were furnished by anyone under the Construction Contract, whichever of (1) or (2) first occurs. If the provisions of this paragraph are void or prohibited by law, the minimum period of limitation available to sureties as a defense in the jurisdiction of the suit will be applicable.
- 13. Notice and Claims to the Surety, the Owner, or the Contractor must be mailed or delivered to the address shown on the page on which their signature appears. Actual receipt of notice or Claims, however accomplished, will be sufficient compliance as of the date received.
- 14. When this Bond has been furnished to comply with a statutory or other legal requirement in the location where the construction was to be performed, any provision in this Bond conflicting with said statutory or legal requirement will be deemed deleted here from and provisions conforming to such statutory or other legal requirement will be deemed incorporated herein. When so furnished, the intent is that this Bond will be construed as a statutory bond and not as a common law bond.
- 15. Upon requests by any person or entity appearing to be a potential beneficiary of this Bond, the Contractor and Owner shall promptly furnish a copy of this Bond or shall permit a copy to be made.

16. Definitions

- 16.1. *Claim*—A written statement by the Claimant including at a minimum:
 - 16.1.1. The name of the Claimant;
 - 16.1.2. The name of the person for whom the labor was done, or materials or equipment furnished;
 - 16.1.3. A copy of the agreement or purchase order pursuant to which labor, materials, or equipment was furnished for use in the performance of the Construction Contract;
 - 16.1.4. A brief description of the labor, materials, or equipment furnished;

- 16.1.5. The date on which the Claimant last performed labor or last furnished materials or equipment for use in the performance of the Construction Contract;
- 16.1.6. The total amount earned by the Claimant for labor, materials, or equipment furnished as of the date of the Claim;
- 16.1.7. The total amount of previous payments received by the Claimant; and
- 16.1.8. The total amount due and unpaid to the Claimant for labor, materials, or equipment furnished as of the date of the Claim.
- 16.2. Claimant—An individual or entity having a direct contract with the Contractor or with a subcontractor of the Contractor to furnish labor, materials, or equipment for use in the performance of the Construction Contract. The term Claimant also includes any individual or entity that has rightfully asserted a claim under an applicable mechanic's lien or similar statute against the real property upon which the Project is located. The intent of this Bond is to include without limitation in the terms of "labor, materials, or equipment" that part of the water, gas, power, light, heat, oil, gasoline, telephone service, or rental equipment used in the Construction Contract, architectural and engineering services required for performance of the work of the Contractor and the Contractor's subcontractors, and all other items for which a mechanic's lien may be asserted in the jurisdiction where the labor, materials, or equipment were furnished.
- 16.3. Construction Contract—The agreement between the Owner and Contractor identified on the cover page, including all Contract Documents and all changes made to the agreement and the Contract Documents.
- 16.4. Owner Default—Failure of the Owner, which has not been remedied or waived, to pay the Contractor as required under the Construction Contract or to perform and complete or comply with the other material terms of the Construction Contract.
- 16.5. *Contract Documents*—All the documents that comprise the agreement between the Owner and Contractor.
- 17. If this Bond is issued for an agreement between a contractor and subcontractor, the term Contractor in this Bond will be deemed to be Subcontractor and the term Owner will be deemed to be Contractor.
- 18. Modifications to this Bond are as follows: "None"

RUS Bulletin 1780-26 Exhibit I Page 1

CERTIFICATE OF OWNER'S ATTORNEY AND AGENCY CONCURRENCE

PROJECT NAME:		
I, the undersigned,	, do hereby certify as and performance and payment bond(s) and the ion that each of the aforesaid agreements is r parties thereto acting through their duly es have full power and authority to execute said ned thereon; and that the foregoing agreements on the parties executing the same in accordance	
Name	Date	
AGENCY CONCURRENCE		
As lender or insurer of funds to defray the costs of payments thereunder, the Agency hereby concurs Agreement.		
Agency Representative	Date	
Name		

RUS Bulletin 1780-26 Exhibit J Page 1

ENGINEER'S CERTIFICATION OF FINAL PLANS AND SPECIFICATIONS

PROJECT NAME:	
related documents (or requests for proposals other Final Design Phase deliverables, compa	r assembled Construction Contract Documents, bidding- or other construction procurement documents), and any ly with all requirements of the U.S. Department of est of my knowledge and professional judgment.
modifications required by RUS Bulletin 1780 license agreement, which states in part that the Standard EJCDC Text, using 'Track Change.	Committee (EJCDC) documents have been used, all 0-26 have been made in accordance the terms of the he Engineer "must plainly show all changes to the s' (redline/strikeout), highlighting, or other means of Such other means may include attachments indicating difying the General Conditions).
Engineer	Date
Name and Title	

CHANGE ORDER NO.: [Number of Change Order]

	er: ctor: t: ct Name:	Owner's Project No.: Engineer's Project No.: Contractor's Project No.:
Date Is		ive Date of Change Order:
The Con	stract is modified as follows upon execution of	f this Change Order:
Descript	tion:	
[Des	scription of the change]	
Attachm	nents:	
[List	t documents related to the change]	
	Change in Contract Price	Change in Contract Times [State Contract Times as either a specific date or a number of days]
Origina	l Contract Price:	Original Contract Times:
\$		Substantial Completion: Ready for final payment:
[Increas	se] [Decrease] from previously approved Change No. 1 to No. [Number of previous Change	[Increase] [Decrease] from previously approved Change Orders No.1 to No. [Number of previous Change Order]: Substantial Completion: Ready for final payment:
_	ct Price prior to this Change Order:	Contract Times prior to this Change Order:
		Substantial Completion:
\$	se] [Decrease] this Change Order:	Ready for final payment:
\$	sej [Decrease] tills Change Order.	[Increase] [Decrease] this Change Order: Substantial Completion: Ready for final payment:
Contrac	ct Price incorporating this Change Order:	Contract Times with all approved Change Orders: Substantial Completion: Ready for final payment:
	Recommended by Engineer (if required)	Accepted by Contractor
Ву:		
Title:		
Date:		
	Authorized by Owner	Approved by Funding Agency (if applicable)
Ву:		
Title:		

Date: ______

THE TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS	SECTION 00 63 63

WORK CHANGE DIRECTIVE

1.0 PURPOSE AND INTENDED USE OF THE DOCUMENT

The Work Change Directive is used by Owner to order additions, deletions, or revisions in the Work to proceed without waiting until Owner and Contractor have agreed upon the impact, if any, of these changes on Contract Price, Contract Times, or both. See Paragraph 11.03, EJCDC® C-700, Standard General Conditions of the Construction Contract (2018).

The document is unilateral in nature and does not require Contractor's signature. Owner or Engineer should maintain documentation of the transmittal of a Work Change Directive to Contractor.

Because, by definition, Work Change Directives involve changes to the Work, EJCDC presumes that all Work Change Directives must be supported by Engineer's recommendation. See C-700, Paragraph 11.01.C.

Although the General Conditions do not require any estimates of the impact of the ordered change on the schedule or Contract Price, such estimates are considered good practice and are commonly provided. The estimates may serve as a starting point for determination of schedule and cost impacts.

WORK CHANGE DIRECTIVE NO.: [Number of Work Change Directive]

Owner:	Owner's Project No.:
Engineer:	Engineer's Project No.:
Contractor:	Contractor's Project No.:
Project:	
Contract Name:	
Date Issued:	Effective Date of Work Change Directive:
Contractor is directed to proceed pror	mptly with the following change(s):
Description:	
[Description of the change to the	Work]
Attachments:	
[List documents related to the ch	ange to the Work]
Purpose for the Work Change Directiv	e:
[Describe the purpose for the cha	inge to the Work]
Directive to proceed promptly with the Price and Contract Time, is issued due	ne Work described herein, prior to agreeing to change in Contract to:
Notes to User—Check one or both of	the following
\square Non-agreement on pricing of propo	osed change. \square Necessity to proceed for schedule or other reasons.

Estimated Change in Contract Price and Contract Times (non-binding, preliminary):		
Contract Pric	e: \$	[increase] [decrease] [not yet estimated].
Contract Tim	e: days	[increase] [decrease] [not yet estimated].
Basis of estimated change in Contract Price:		
\square Lump Sum	\square Unit Price \square Cost of the W	ork \square Other
Reco	mmended by Engineer	Authorized by Owner
Ву:		
Title:		
Date:		

FIELD ORDER

1.0 PURPOSE AND INTENDED USE OF THE DOCUMENT

The Field Order is used by Engineer to order minor changes to the Work that do not change the Contract Price or Contract Times. See Paragraph 11.04, EJCDC® C-700, Standard General Conditions of the Construction Contract (2018).

FIELD ORDER NO.: [Number of Field Order]

Owner:	Owner's Project No.:
Engineer:	Engineer's Project No.:
Contractor:	Contractor's Project No.:
Project:	
Contract Name:	
Date Issued:	Effective Date of Field Order:
accordance with Paragraph 11.04 of the Ge changes in Contract Price or Contract Times	perform the Work described in this Field Order, issued in eneral Conditions, for minor changes in the Work without s. If Contractor considers that a change in Contract Price or e Proposal before proceeding with this Work.
Reference:	
Specification Section(s):	
Drawing(s) / Details (s):	
Description:	
[Description of the change to the Work	k]
Attachments:	
[List documents supporting change]	
Issued by Engineer	
Ву:	
Title:	
Date:	

CERTIFICATE OF SUBSTANTIAL COMPLETION

Owner: Town of Northumberland, New Hampshire Engineer: Horizons Engineering, Inc Contractor: Project: Water, Sewer, Drainage and Roadway Improvements Contract Name:	Owner's Project No.: N/A Engineer's Project No.: 221174 Contractor's Project No.:
This \square Preliminary \square Final Certificate of Substantial Cor	mpletion applies to:
\square All Work \square The following specified portions of th	e Work:
[Describe the portion of the work for which Certific	ate of Substantial Completion is issued]
Date of Substantial Completion: [Enter date, as determi	ned by Engineer]
The Work to which this Certificate applies has been inspecton that the Work or portion thereof designated above is hereby Contract pertaining to Substantial Completion. The date of Substantial Completion marks the commencement of applicable warranties required by the Contract.	complete. The Date of Substantial Completion of established, subject to the provisions of the of Substantial Completion in the final Certificate
A punch list of items to be completed or corrected is attainclusive, and the failure to include any items on such list Contractor to complete all Work in accordance with the	t does not alter the responsibility of the
Amendments of contractual responsibilities recorded in a agreement of Owner and Contractor; see Paragraph 15.0	·
The responsibilities between Owner and Contractor for sutilities, insurance, and warranties upon Owner's use or the Contract, except as amended as follows:	
Amendments to Owner's Responsibilities: \Box None \Box As	follows:
[List amendments to Owner's Responsibilities]	
Amendments to Contractor's Responsibilities: \Box None \Box	☐ As follows:
[List amendments to Contractor's Responsibilities]	
The following documents are attached to and made a pa	rt of this Certificate:
[List attachments such as punch list; other documer	nts]
This Certificate does not constitute an acceptance of Wo Documents, nor is it a release of Contractor's obligation of Contract Documents.	
Engineer	
By (signature):	
Name (printed):	
Title:	

THE TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS	SECTION 00 65 16	

NOTICE OF ACCEPTABILITY OF WORK

1.0 PURPOSE AND INTENDED USE OF THE DOCUMENT

The Notice of Acceptability of Work is used by Engineer to notify the Owner and the Contractor that Engineer has determined that the Work is acceptable, and Engineer recommends that Owner make final payment to Contractor. The Notice is expressly subject to several conditions regarding the limitations of Engineer's review and determinations.

NOTICE OF ACCEPTABILITY OF WORK

Owner: Town of Northumberland, New Hampshire

Owner's Project No.: N/A

Engineer:

Horizons Engineer's Project No.:

Engineering, Inc. 221174

Contractor: Contractor's Project No.:

Project:

Contract Name:

Notice Date: Effective Date of the Construction Contract:

The Engineer hereby gives notice to the Owner and Contractor that Engineer recommends final payment to Contractor, and that the Work furnished and performed by Contractor under the Construction Contract is acceptable, expressly subject to the provisions of the Construction Contract's Contract Documents ("Contract Documents") and of the Agreement between Owner and Engineer for Professional Services dated [date of professional services agreement] ("Owner-Engineer Agreement"). This Notice of Acceptability of Work (Notice) is made expressly subject to the following terms and conditions to which all who receive and rely on said Notice agree:

- 1. This Notice has been prepared with the skill and care ordinarily used by members of the engineering profession practicing under similar conditions at the same time and in the same locality.
- 2. This Notice reflects and is an expression of the Engineer's professional opinion.
- 3. This Notice has been prepared to the best of Engineer's knowledge, information, and belief as of the Notice Date.
- 4. This Notice is based entirely on and expressly limited by the scope of services Engineer has been employed by Owner to perform or furnish during construction of the Project (including observation of the Contractor's Work) under the Owner-Engineer Agreement, and applies only to facts that are within Engineer's knowledge or could reasonably have been ascertained by Engineer as a result of carrying out the responsibilities specifically assigned to Engineer under such Owner-Engineer Agreement.
- 5. This Notice is not a guarantee or warranty of Contractor's performance under the Construction Contract, an acceptance of Work that is not in accordance with the Contract Documents, including but not limited to defective Work discovered after final inspection, nor an assumption of responsibility for any failure of Contractor to furnish and perform the Work thereunder in

- accordance with the Contract Documents, or to otherwise comply with the Contract Documents or the terms of any special guarantees specified therein.
- 6. This Notice does not relieve Contractor of any surviving obligations under the Construction Contract, and is subject to Owner's reservations of rights with respect to completion and final payment.

Engineer			
By (signature):	:		
Name (printed	f):		
Title:			

STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

TABLE OF CONTENTS

		Page
Article 1	—Definitions and Terminology	1
1.01	Defined Terms	1
1.02	Terminology	6
Article 2	—Preliminary Matters	7
2.01	Delivery of Performance and Payment Bonds; Evidence of Insurance	7
2.02	Copies of Documents	7
2.03	Before Starting Construction	8
2.04	Preconstruction Conference; Designation of Authorized Representatives	8
2.05	Acceptance of Schedules	8
2.06	Electronic Transmittals	9
Article 3	—Contract Documents: Intent, Requirements, Reuse	9
3.01	Intent	9
3.02	Reference Standards	10
3.03	Reporting and Resolving Discrepancies	10
3.04	Requirements of the Contract Documents	11
3.05	Reuse of Documents	11
Article 4	—Commencement and Progress of the Work	12
4.01	Commencement of Contract Times; Notice to Proceed	12
4.02	Starting the Work	12
4.03	Reference Points	12
4.04	Progress Schedule	12
4.05	Delays in Contractor's Progress	12
Article 5	—Site; Subsurface and Physical Conditions; Hazardous Environmental Conditions	14
5.01	Availability of Lands	14
5.02	Use of Site and Other Areas	14
5.03	Subsurface and Physical Conditions	15
5.04	Differing Subsurface or Physical Conditions	16

TOWN OF NORTHUMBERLAND, NH

5.05	Underground Facilities	18
5.06	Hazardous Environmental Conditions at Site	20
Article 6	5—Bonds and Insurance	22
6.01	Performance, Payment, and Other Bonds	22
6.02	Insurance—General Provisions	23
6.03	Contractor's Insurance	24
6.04	Builder's Risk and Other Property Insurance	25
6.05	Property Losses; Subrogation	26
6.06	Receipt and Application of Property Insurance Proceeds	27
Article 7	7—Contractor's Responsibilities	28
7.01	Contractor's Means and Methods of Construction	28
7.02	Supervision and Superintendence	28
7.03	Labor; Working Hours	28
7.04	Services, Materials, and Equipment	28
7.05	"Or Equals"	29
7.06	Substitutes	30
7.07	Concerning Subcontractors and Suppliers	31
7.08	Patent Fees and Royalties	33
7.09	Permits	33
7.10	Taxes	33
7.11	Laws and Regulations	32
7.12	Record Documents	34
7.13	Safety and Protection	34
7.14	Hazard Communication Programs	35
7.15	Emergencies	36
7.16	Submittals	36
7.17	Contractor's General Warranty and Guarantee	38
7.18	Indemnification	39
7.19	Delegation of Professional Design Services	40
Article 8	3—Other Work at the Site	41
8.01	Other Work	41
8.02	Coordination	42
8.03	Legal Relationships	42

TOWN OF NORTHUMBERLAND, NH

Article	9—Owner's Responsibilities	43
9.0	Communications to Contractor	43
9.0	Replacement of Engineer	43
9.0	B Furnish Data	43
9.0	Pay When Due	43
9.0	Lands and Easements; Reports, Tests, and Drawings	43
9.0	5 Insurance	44
9.0	7 Change Orders	44
9.0	Inspections, Tests, and Approvals	44
9.0	Limitations on Owner's Responsibilities	44
9.1	Undisclosed Hazardous Environmental Condition	44
9.1	Evidence of Financial Arrangements	44
9.1	2 Safety Programs	44
Article	10—Engineer's Status During Construction	44
10.	Owner's Representative	44
10.	02 Visits to Site	44
10.	Resident Project Representative	45
10.	04 Engineer's Authority	45
10.	Determinations for Unit Price Work	45
10.	Decisions on Requirements of Contract Documents and Acceptability of Work	45
10.	27 Limitations on Engineer's Authority and Responsibilities	46
10.	08 Compliance with Safety Program	46
Article	11—Changes to the Contract	46
11.	21 Amending and Supplementing the Contract	46
11.	O2 Change Orders	47
11.	03 Work Change Directives	47
11.	04 Field Orders	47
11.	Owner-Authorized Changes in the Work	48
11.	06 Unauthorized Changes in the Work	48
11.	77 Change of Contract Price	48
11.	08 Change of Contract Times	49
11.	9 Change Proposals	50
11.	LO Notification to Surety	51

TOWN OF NORTHUMBERLAND, NH

Article 12-	-Claims	51
12.01	Claims	51
Article 13-	-Cost of the Work; Allowances; Unit Price Work	52
13.01	Cost of the Work	52
13.02	Allowances	56
13.03	Unit Price Work	56
Article 14-	-Tests and Inspections; Correction, Removal, or Acceptance of Defective Work	57
14.01	Access to Work	57
14.02	Tests, Inspections, and Approvals	57
14.03	Defective Work	58
14.04	Acceptance of Defective Work	59
14.05	Uncovering Work	59
14.06	Owner May Stop the Work	59
14.07	Owner May Correct Defective Work	60
Article 15-	-Payments to Contractor; Set-Offs; Completion; Correction Period	60
15.01	Progress Payments	60
15.02	Contractor's Warranty of Title	63
15.03	Substantial Completion	63
15.04	Partial Use or Occupancy	64
15.05	Final Inspection	65
15.06	Final Payment	65
15.07	Waiver of Claims	66
15.08	Correction Period	67
Article 16-	-Suspension of Work and Termination	68
16.01	Owner May Suspend Work	68
16.02	Owner May Terminate for Cause	68
16.03	Owner May Terminate for Convenience	69
16.04	Contractor May Stop Work or Terminate	69
Article 17-	-Final Resolution of Disputes	70
17.01	Methods and Procedures	70
Article 18-	-Miscellaneous	70
18.01	Giving Notice	70
18.02	Computation of Times	70

SECTION 00 72 43

TOWN OF NORTHUMBERLAND, NH

18.03	Cumulative Remedies	71
18.04	Limitation of Damages	71
18.05	No Waiver	71
18.06	Survival of Obligations	71
18.07	Controlling Law	71
18.08	Assignment of Contract	71
18.09	Successors and Assigns	71
18.10	Headings	71

STANDARD GENERAL CONDITIONS OF THE CONSTRUCTION CONTRACT

ARTICLE 1—DEFINITIONS AND TERMINOLOGY

1.01 Defined Terms

- A. Wherever used in the Bidding Requirements or Contract Documents, a term printed with initial capital letters, including the term's singular and plural forms, will have the meaning indicated in the definitions below. In addition to terms specifically defined, terms with initial capital letters in the Contract Documents include references to identified articles and paragraphs, and the titles of other documents or forms.
 - 1. Addenda—Written or graphic instruments issued prior to the opening of Bids which clarify, correct, or change the Bidding Requirements or the proposed Contract Documents.
 - Agreement—The written instrument, executed by Owner and Contractor, that sets forth
 the Contract Price and Contract Times, identifies the parties and the Engineer, and
 designates the specific items that are Contract Documents.
 - Application for Payment—The document prepared by Contractor, in a form acceptable to Engineer, to request progress or final payments, and which is to be accompanied by such supporting documentation as is required by the Contract Documents.
 - 4. *Bid*—The offer of a Bidder submitted on the prescribed form setting forth the prices for the Work to be performed.
 - 5. Bidder—An individual or entity that submits a Bid to Owner.
 - 6. *Bidding Documents*—The Bidding Requirements, the proposed Contract Documents, and all Addenda.
 - 7. *Bidding Requirements*—The Advertisement or invitation to bid, Instructions to Bidders, Bid Bond or other Bid security, if any, the Bid Form, and the Bid with any attachments.
 - 8. Change Order—A document which is signed by Contractor and Owner and authorizes an addition, deletion, or revision in the Work or an adjustment in the Contract Price or the Contract Times, or other revision to the Contract, issued on or after the Effective Date of the Contract.
 - 9. Change Proposal—A written request by Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment in Contract Price or Contract Times; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; challenging a set-off against payments due; or seeking other relief with respect to the terms of the Contract.

10. Claim

a. A demand or assertion by Owner directly to Contractor, duly submitted in compliance with the procedural requirements set forth herein, seeking an adjustment of Contract

Price or Contract Times; contesting an initial decision by Engineer concerning the requirements of the Contract Documents or the acceptability of Work under the Contract Documents; contesting Engineer's decision regarding a Change Proposal; seeking resolution of a contractual issue that Engineer has declined to address; or seeking other relief with respect to the terms of the Contract.

- b. A demand or assertion by Contractor directly to Owner, duly submitted in compliance with the procedural requirements set forth herein, contesting Engineer's decision regarding a Change Proposal, or seeking resolution of a contractual issue that Engineer has declined to address.
- c. A demand or assertion by Owner or Contractor, duly submitted in compliance with the procedural requirements set forth herein, made pursuant to Paragraph 12.01.A.4, concerning disputes arising after Engineer has issued a recommendation of final payment.
- d. A demand for money or services by a third party is not a Claim.
- 11. Constituent of Concern—Asbestos, petroleum, radioactive materials, polychlorinated biphenyls (PCBs), lead-based paint (as defined by the HUD/EPA standard), hazardous waste, and any substance, product, waste, or other material of any nature whatsoever that is or becomes listed, regulated, or addressed pursuant to Laws and Regulations regulating, relating to, or imposing liability or standards of conduct concerning, any hazardous, toxic, or dangerous waste, substance, or material.
- 12. *Contract*—The entire and integrated written contract between Owner and Contractor concerning the Work.
- 13. *Contract Documents*—Those items so designated in the Agreement, and which together comprise the Contract.
- 14. *Contract Price*—The money that Owner has agreed to pay Contractor for completion of the Work in accordance with the Contract Documents.
- 15. *Contract Times*—The number of days or the dates by which Contractor shall: (a) achieve Milestones, if any; (b) achieve Substantial Completion; and (c) complete the Work.
- 16. *Contractor*—The individual or entity with which Owner has contracted for performance of the Work.
- 17. Cost of the Work—See Paragraph 13.01 for definition.
- 18. *Drawings*—The part of the Contract that graphically shows the scope, extent, and character of the Work to be performed by Contractor.
- 19. *Effective Date of the Contract*—The date, indicated in the Agreement, on which the Contract becomes effective.
- 20. *Electronic Document*—Any Project-related correspondence, attachments to correspondence, data, documents, drawings, information, or graphics, including but not limited to Shop Drawings and other Submittals, that are in an electronic or digital format.
- 21. Electronic Means—Electronic mail (email), upload/download from a secure Project website, or other communications methods that allow: (a) the transmission or communication of Electronic Documents; (b) the documentation of transmissions,

including sending and receipt; (c) printing of the transmitted Electronic Document by the recipient; (d) the storage and archiving of the Electronic Document by sender and recipient; and (e) the use by recipient of the Electronic Document for purposes permitted by this Contract. Electronic Means does not include the use of text messaging, or of Facebook, Twitter, Instagram, or similar social media services for transmission of Electronic Documents.

- 22. Engineer—The individual or entity named as such in the Agreement.
- 23. *Field Order*—A written order issued by Engineer which requires minor changes in the Work but does not change the Contract Price or the Contract Times.
- 24. Hazardous Environmental Condition—The presence at the Site of Constituents of Concern in such quantities or circumstances that may present a danger to persons or property exposed thereto.
 - a. The presence at the Site of materials that are necessary for the execution of the Work, or that are to be incorporated into the Work, and that are controlled and contained pursuant to industry practices, Laws and Regulations, and the requirements of the Contract, is not a Hazardous Environmental Condition.
 - b. The presence of Constituents of Concern that are to be removed or remediated as part of the Work is not a Hazardous Environmental Condition.
 - c. The presence of Constituents of Concern as part of the routine, anticipated, and obvious working conditions at the Site, is not a Hazardous Environmental Condition.
- 25. Laws and Regulations; Laws or Regulations—Any and all applicable laws, statutes, rules, regulations, ordinances, codes, and binding decrees, resolutions, and orders of any and all governmental bodies, agencies, authorities, and courts having jurisdiction.
- 26. *Liens*—Charges, security interests, or encumbrances upon Contract-related funds, real property, or personal property.
- 27. *Milestone*—A principal event in the performance of the Work that the Contract requires Contractor to achieve by an intermediate completion date, or by a time prior to Substantial Completion of all the Work.
- 28. Notice of Award—The written notice by Owner to a Bidder of Owner's acceptance of the
- 29. Notice to Proceed—A written notice by Owner to Contractor fixing the date on which the Contract Times will commence to run and on which Contractor shall start to perform the Work.
- 30. *Owner*—The individual or entity with which Contractor has contracted regarding the Work, and which has agreed to pay Contractor for the performance of the Work, pursuant to the terms of the Contract.
- 31. *Progress Schedule*—A schedule, prepared and maintained by Contractor, describing the sequence and duration of the activities comprising Contractor's plan to accomplish the Work within the Contract Times.

- 32. *Project*—The total undertaking to be accomplished for Owner by engineers, contractors, and others, including planning, study, design, construction, testing, commissioning, and start-up, and of which the Work to be performed under the Contract Documents is a part.
- 33. Resident Project Representative—The authorized representative of Engineer assigned to assist Engineer at the Site. As used herein, the term Resident Project Representative (RPR) includes any assistants or field staff of Resident Project Representative.
- 34. Samples—Physical examples of materials, equipment, or workmanship that are representative of some portion of the Work and that establish the standards by which such portion of the Work will be judged.
- 35. *Schedule of Submittals*—A schedule, prepared and maintained by Contractor, of required submittals and the time requirements for Engineer's review of the submittals.
- 36. Schedule of Values—A schedule, prepared and maintained by Contractor, allocating portions of the Contract Price to various portions of the Work and used as the basis for reviewing Contractor's Applications for Payment.
- 37. Shop Drawings—All drawings, diagrams, illustrations, schedules, and other data or information that are specifically prepared or assembled by or for Contractor and submitted by Contractor to illustrate some portion of the Work. Shop Drawings, whether approved or not, are not Drawings and are not Contract Documents.
- 38. Site—Lands or areas indicated in the Contract Documents as being furnished by Owner upon which the Work is to be performed, including rights-of-way and easements, and such other lands or areas furnished by Owner which are designated for the use of Contractor.
- 39. *Specifications*—The part of the Contract that consists of written requirements for materials, equipment, systems, standards, and workmanship as applied to the Work, and certain administrative requirements and procedural matters applicable to the Work.
- 40. *Subcontractor*—An individual or entity having a direct contract with Contractor or with any other Subcontractor for the performance of a part of the Work.
- 41. Submittal—A written or graphic document, prepared by or for Contractor, which the Contract Documents require Contractor to submit to Engineer, or that is indicated as a Submittal in the Schedule of Submittals accepted by Engineer. Submittals may include Shop Drawings and Samples; schedules; product data; Owner-delegated designs; sustainable design information; information on special procedures; testing plans; results of tests and evaluations, source quality-control testing and inspections, and field or Site quality-control testing and inspections; warranties and certifications; Suppliers' instructions and reports; records of delivery of spare parts and tools; operations and maintenance data; Project photographic documentation; record documents; and other such documents required by the Contract Documents. Submittals, whether or not approved or accepted by Engineer, are not Contract Documents. Change Proposals, Change Orders, Claims, notices, Applications for Payment, and requests for interpretation or clarification are not Submittals.
- 42. Substantial Completion—The time at which the Work (or a specified part thereof) has progressed to the point where, in the opinion of Engineer, the Work (or a specified part

thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Work (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Work refer to Substantial Completion of such Work.

- 43. Successful Bidder—The Bidder to which the Owner makes an award of contract.
- 44. *Supplementary Conditions*—The part of the Contract that amends or supplements these General Conditions.
- 45. Supplier—A manufacturer, fabricator, supplier, distributor, or vendor having a direct contract with Contractor or with any Subcontractor to furnish materials or equipment to be incorporated in the Work by Contractor or a Subcontractor.

46. Technical Data

- a. Those items expressly identified as Technical Data in the Supplementary Conditions, with respect to either (1) existing subsurface conditions at or adjacent to the Site, or existing physical conditions at or adjacent to the Site including existing surface or subsurface structures (except Underground Facilities) or (2) Hazardous Environmental Conditions at the Site.
- b. If no such express identifications of Technical Data have been made with respect to conditions at the Site, then Technical Data is defined, with respect to conditions at the Site under Paragraphs 5.03, 5.04, and 5.06, as the data contained in boring logs, recorded measurements of subsurface water levels, assessments of the condition of subsurface facilities, laboratory test results, and other factual, objective information regarding conditions at the Site that are set forth in any geotechnical, environmental, or other Site or facilities conditions report prepared for the Project and made available to Contractor.
- c. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data, and instead Underground Facilities are shown or indicated on the Drawings.
- 47. Underground Facilities—All active or not-in-service underground lines, pipelines, conduits, ducts, encasements, cables, wires, manholes, vaults, tanks, tunnels, or other such facilities or systems at the Site, including but not limited to those facilities or systems that produce, transmit, distribute, or convey telephone or other communications, cable television, fiber optic transmissions, power, electricity, light, heat, gases, oil, crude oil products, liquid petroleum products, water, steam, waste, wastewater, storm water, other liquids or chemicals, or traffic or other control systems. An abandoned facility or system is not an Underground Facility.
- 48. *Unit Price Work*—Work to be paid for on the basis of unit prices.
- 49. Work—The entire construction or the various separately identifiable parts thereof required to be provided under the Contract Documents. Work includes and is the result of performing or providing all labor, services, and documentation necessary to produce such construction; furnishing, installing, and incorporating all materials and equipment into such construction; and may include related services such as testing, start-up, and commissioning, all as required by the Contract Documents.

50. Work Change Directive—A written directive to Contractor issued on or after the Effective Date of the Contract, signed by Owner and recommended by Engineer, ordering an addition, deletion, or revision in the Work.

1.02 Terminology

- A. The words and terms discussed in Paragraphs 1.02.B, C, D, and E are not defined terms that require initial capital letters, but, when used in the Bidding Requirements or Contract Documents, have the indicated meaning.
- B. Intent of Certain Terms or Adjectives: The Contract Documents include the terms "as allowed," "as approved," "as ordered," "as directed" or terms of like effect or import to authorize an exercise of professional judgment by Engineer. In addition, the adjectives "reasonable," "suitable," "acceptable," "proper," "satisfactory," or adjectives of like effect or import are used to describe an action or determination of Engineer as to the Work. It is intended that such exercise of professional judgment, action, or determination will be solely to evaluate, in general, the Work for compliance with the information in the Contract Documents and with the design concept of the Project as a functioning whole as shown or indicated in the Contract Documents (unless there is a specific statement indicating otherwise). The use of any such term or adjective is not intended to and shall not be effective to assign to Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility contrary to the provisions of Article 10 or any other provision of the Contract Documents.
- C. Day: The word "day" means a calendar day of 24 hours measured from midnight to the next midnight.
- D. *Defective*: The word "defective," when modifying the word "Work," refers to Work that is unsatisfactory, faulty, or deficient in that it:
 - 1. does not conform to the Contract Documents;
 - 2. does not meet the requirements of any applicable inspection, reference standard, test, or approval referred to in the Contract Documents; or
 - 3. has been damaged prior to Engineer's recommendation of final payment (unless responsibility for the protection thereof has been assumed by Owner at Substantial Completion in accordance with Paragraph 15.03 or Paragraph 15.04).

E. Furnish, Install, Perform, Provide

- The word "furnish," when used in connection with services, materials, or equipment, means to supply and deliver said services, materials, or equipment to the Site (or some other specified location) ready for use or installation and in usable or operable condition.
- The word "install," when used in connection with services, materials, or equipment, means to put into use or place in final position said services, materials, or equipment complete and ready for intended use.
- 3. The words "perform" or "provide," when used in connection with services, materials, or equipment, means to furnish and install said services, materials, or equipment complete and ready for intended use.

- 4. If the Contract Documents establish an obligation of Contractor with respect to specific services, materials, or equipment, but do not expressly use any of the four words "furnish," "install," "perform," or "provide," then Contractor shall furnish and install said services, materials, or equipment complete and ready for intended use.
- F. Contract Price or Contract Times: References to a change in "Contract Price or Contract Times" or "Contract Times or Contract Price" or similar, indicate that such change applies to (1) Contract Price, (2) Contract Times, or (3) both Contract Price and Contract Times, as warranted, even if the term "or both" is not expressed.
- G. Unless stated otherwise in the Contract Documents, words or phrases that have a well-known technical or construction industry or trade meaning are used in the Contract Documents in accordance with such recognized meaning.

ARTICLE 2—PRELIMINARY MATTERS

- 2.01 Delivery of Performance and Payment Bonds; Evidence of Insurance
 - A. Performance and Payment Bonds: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner the performance bond and payment bond (if the Contract requires Contractor to furnish such bonds).
 - B. Evidence of Contractor's Insurance: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner, with copies to each additional insured (as identified in the Contract), the certificates, endorsements, and other evidence of insurance required to be provided by Contractor in accordance with Article 6, except to the extent the Supplementary Conditions expressly establish other dates for delivery of specific insurance policies.
 - C. Evidence of Owner's Insurance: After receipt of the signed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor, with copies to each additional insured (as identified in the Contract), the certificates and other evidence of insurance required to be provided by Owner under Article 6.

2.02 Copies of Documents

- A. Owner shall furnish to Contractor four printed copies of the Contract (including one fully signed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies will be furnished upon request at the cost of reproduction.
- B. Owner shall maintain and safeguard at least one original printed record version of the Contract, including Drawings and Specifications signed and sealed by Engineer and other design professionals. Owner shall make such original printed record version of the Contract available to Contractor for review. Owner may delegate the responsibilities under this provision to Engineer.

2.03 Before Starting Construction

- A. *Preliminary Schedules*: Within 10 days after the Effective Date of the Contract (or as otherwise required by the Contract Documents), Contractor shall submit to Engineer for timely review:
 - a preliminary Progress Schedule indicating the times (numbers of days or dates) for starting and completing the various stages of the Work, including any Milestones specified in the Contract;
 - 2. a preliminary Schedule of Submittals; and
 - 3. a preliminary Schedule of Values for all of the Work which includes quantities and prices of items which when added together equal the Contract Price and subdivides the Work into component parts in sufficient detail to serve as the basis for progress payments during performance of the Work. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work.

2.04 Preconstruction Conference; Designation of Authorized Representatives

- A. Before any Work at the Site is started, a conference attended by Owner, Contractor, Engineer, and others as appropriate will be held to establish a working understanding among the parties as to the Work, and to discuss the schedules referred to in Paragraph 2.03.A, procedures for handling Shop Drawings, Samples, and other Submittals, processing Applications for Payment, electronic or digital transmittals, and maintaining required records.
- B. At this conference Owner and Contractor each shall designate, in writing, a specific individual to act as its authorized representative with respect to the services and responsibilities under the Contract. Such individuals shall have the authority to transmit and receive information, render decisions relative to the Contract, and otherwise act on behalf of each respective party.

2.05 Acceptance of Schedules

- A. At least 10 days before submission of the first Application for Payment a conference, attended by Contractor, Engineer, and others as appropriate, will be held to review the schedules submitted in accordance with Paragraph 2.03.A. No progress payment will be made to Contractor until acceptable schedules are submitted to Engineer.
 - The Progress Schedule will be acceptable to Engineer if it provides an orderly progression
 of the Work to completion within the Contract Times. Such acceptance will not impose
 on Engineer responsibility for the Progress Schedule, for sequencing, scheduling, or
 progress of the Work, nor interfere with or relieve Contractor from Contractor's full
 responsibility therefor.
 - 2. Contractor's Schedule of Submittals will be acceptable to Engineer if it provides a workable arrangement for reviewing and processing the required submittals.
 - Contractor's Schedule of Values will be acceptable to Engineer as to form and substance if it provides a reasonable allocation of the Contract Price to the component parts of the Work.
 - 4. If a schedule is not acceptable, Contractor will have an additional 10 days to revise and resubmit the schedule.

2.06 Electronic Transmittals

- A. Except as otherwise stated elsewhere in the Contract, the Owner, Engineer, and Contractor may send, and shall accept, Electronic Documents transmitted by Electronic Means.
- B. If the Contract does not establish protocols for Electronic Means, then Owner, Engineer, and Contractor shall jointly develop such protocols.
- C. Subject to any governing protocols for Electronic Means, when transmitting Electronic Documents by Electronic Means, the transmitting party makes no representations as to long-term compatibility, usability, or readability of the Electronic Documents resulting from the recipient's use of software application packages, operating systems, or computer hardware differing from those used in the drafting or transmittal of the Electronic Documents.

ARTICLE 3—CONTRACT DOCUMENTS: INTENT, REQUIREMENTS, REUSE

3.01 Intent

- A. The Contract Documents are complementary; what is required by one Contract Document is as binding as if required by all.
- B. It is the intent of the Contract Documents to describe a functionally complete Project (or part thereof) to be constructed in accordance with the Contract Documents.
- C. Unless otherwise stated in the Contract Documents, if there is a discrepancy between the electronic versions of the Contract Documents (including any printed copies derived from such electronic versions) and the printed record version, the printed record version will govern.
- D. The Contract supersedes prior negotiations, representations, and agreements, whether written or oral.
- E. Engineer will issue clarifications and interpretations of the Contract Documents as provided herein.
- F. Any provision or part of the Contract Documents held to be void or unenforceable under any Law or Regulation will be deemed stricken, and all remaining provisions will continue to be valid and binding upon Owner and Contractor, which agree that the Contract Documents will be reformed to replace such stricken provision or part thereof with a valid and enforceable provision that comes as close as possible to expressing the intention of the stricken provision.
- G. Nothing in the Contract Documents creates:
 - any contractual relationship between Owner or Engineer and any Subcontractor, Supplier, or other individual or entity performing or furnishing any of the Work, for the benefit of such Subcontractor, Supplier, or other individual or entity; or
 - any obligation on the part of Owner or Engineer to pay or to see to the payment of any money due any such Subcontractor, Supplier, or other individual or entity, except as may otherwise be required by Laws and Regulations.

3.02 Reference Standards

A. Standards Specifications, Codes, Laws and Regulations

- Reference in the Contract Documents to standard specifications, manuals, reference standards, or codes of any technical society, organization, or association, or to Laws or Regulations, whether such reference be specific or by implication, means the standard specification, manual, reference standard, code, or Laws or Regulations in effect at the time of opening of Bids (or on the Effective Date of the Contract if there were no Bids), except as may be otherwise specifically stated in the Contract Documents.
- 2. No provision of any such standard specification, manual, reference standard, or code, and no instruction of a Supplier, will be effective to change the duties or responsibilities of Owner, Contractor, or Engineer from those set forth in the part of the Contract Documents prepared by or for Engineer. No such provision or instruction shall be effective to assign to Owner or Engineer any duty or authority to supervise or direct the performance of the Work, or any duty or authority to undertake responsibility inconsistent with the provisions of the part of the Contract Documents prepared by or for Engineer.

3.03 Reporting and Resolving Discrepancies

A. Reporting Discrepancies

- 1. Contractor's Verification of Figures and Field Measurements: Before undertaking each part of the Work, Contractor shall carefully study the Contract Documents, and check and verify pertinent figures and dimensions therein, particularly with respect to applicable field measurements. Contractor shall promptly report in writing to Engineer any conflict, error, ambiguity, or discrepancy that Contractor discovers, or has actual knowledge of, and shall not proceed with any Work affected thereby until the conflict, error, ambiguity, or discrepancy is resolved by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
- 2. Contractor's Review of Contract Documents: If, before or during the performance of the Work, Contractor discovers any conflict, error, ambiguity, or discrepancy within the Contract Documents, or between the Contract Documents and (a) any applicable Law or Regulation, (b) actual field conditions, (c) any standard specification, manual, reference standard, or code, or (d) any instruction of any Supplier, then Contractor shall promptly report it to Engineer in writing. Contractor shall not proceed with the Work affected thereby (except in an emergency as required by Paragraph 7.15) until the conflict, error, ambiguity, or discrepancy is resolved, by a clarification or interpretation by Engineer, or by an amendment or supplement to the Contract issued pursuant to Paragraph 11.01.
- 3. Contractor shall not be liable to Owner or Engineer for failure to report any conflict, error, ambiguity, or discrepancy in the Contract Documents unless Contractor had actual knowledge thereof.

B. Resolving Discrepancies

1. Except as may be otherwise specifically stated in the Contract Documents, the provisions of the part of the Contract Documents prepared by or for Engineer take precedence in

resolving any conflict, error, ambiguity, or discrepancy between such provisions of the Contract Documents and:

- a. the provisions of any standard specification, manual, reference standard, or code, or the instruction of any Supplier (whether or not specifically incorporated by reference as a Contract Document); or
- b. the provisions of any Laws or Regulations applicable to the performance of the Work (unless such an interpretation of the provisions of the Contract Documents would result in violation of such Law or Regulation).

3.04 Requirements of the Contract Documents

- A. During the performance of the Work and until final payment, Contractor and Owner shall submit to the Engineer in writing all matters in question concerning the requirements of the Contract Documents (sometimes referred to as requests for information or interpretation—RFIs), or relating to the acceptability of the Work under the Contract Documents, as soon as possible after such matters arise. Engineer will be the initial interpreter of the requirements of the Contract Documents, and judge of the acceptability of the Work.
- B. Engineer will, with reasonable promptness, render a written clarification, interpretation, or decision on the issue submitted, or initiate an amendment or supplement to the Contract Documents. Engineer's written clarification, interpretation, or decision will be final and binding on Contractor, unless it appeals by submitting a Change Proposal, and on Owner, unless it appeals by filing a Claim.
- C. If a submitted matter in question concerns terms and conditions of the Contract Documents that do not involve (1) the performance or acceptability of the Work under the Contract Documents, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, then Engineer will promptly notify Owner and Contractor in writing that Engineer is unable to provide a decision or interpretation. If Owner and Contractor are unable to agree on resolution of such a matter in question, either party may pursue resolution as provided in Article 12.

3.05 Reuse of Documents

- A. Contractor and its Subcontractors and Suppliers shall not:
 - have or acquire any title to or ownership rights in any of the Drawings, Specifications, or other documents (or copies of any thereof) prepared by or bearing the seal of Engineer or its consultants, including electronic media versions, or reuse any such Drawings, Specifications, other documents, or copies thereof on extensions of the Project or any other project without written consent of Owner and Engineer and specific written verification or adaptation by Engineer; or
 - 2. have or acquire any title or ownership rights in any other Contract Documents, reuse any such Contract Documents for any purpose without Owner's express written consent, or violate any copyrights pertaining to such Contract Documents.
- B. The prohibitions of this Paragraph 3.05 will survive final payment, or termination of the Contract. Nothing herein precludes Contractor from retaining copies of the Contract Documents for record purposes.

ARTICLE 4—COMMENCEMENT AND PROGRESS OF THE WORK

4.01 Commencement of Contract Times; Notice to Proceed

A. The Contract Times will commence to run on the 30th day after the Effective Date of the Contract or, if a Notice to Proceed is given, on the day indicated in the Notice to Proceed. A Notice to Proceed may be given at any time within 30 days after the Effective Date of the Contract. In no event will the Contract Times commence to run later than the 60th day after the day of Bid opening or the 30th day after the Effective Date of the Contract, whichever date is earlier.

4.02 Starting the Work

A. Contractor shall start to perform the Work on the date when the Contract Times commence to run. No Work may be done at the Site prior to such date.

4.03 Reference Points

A. Owner shall provide engineering surveys to establish reference points for construction which in Engineer's judgment are necessary to enable Contractor to proceed with the Work. Contractor shall be responsible for laying out the Work, shall protect and preserve the established reference points and property monuments, and shall make no changes or relocations without the prior written approval of Owner. Contractor shall report to Engineer whenever any reference point or property monument is lost or destroyed or requires relocation because of necessary changes in grades or locations, and shall be responsible for the accurate replacement or relocation of such reference points or property monuments by professionally qualified personnel.

4.04 Progress Schedule

- A. Contractor shall adhere to the Progress Schedule established in accordance with Paragraph 2.05 as it may be adjusted from time to time as provided below.
 - 1. Contractor shall submit to Engineer for acceptance (to the extent indicated in Paragraph 2.05) proposed adjustments in the Progress Schedule that will not result in changing the Contract Times.
 - 2. Proposed adjustments in the Progress Schedule that will change the Contract Times must be submitted in accordance with the requirements of Article 11.
- B. Contractor shall carry on the Work and adhere to the Progress Schedule during all disputes or disagreements with Owner. No Work will be delayed or postponed pending resolution of any disputes or disagreements, or during any appeal process, except as permitted by Paragraph 16.04, or as Owner and Contractor may otherwise agree in writing.

4.05 Delays in Contractor's Progress

- A. If Owner, Engineer, or anyone for whom Owner is responsible, delays, disrupts, or interferes with the performance or progress of the Work, then Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times.
- B. Contractor shall not be entitled to an adjustment in Contract Price or Contract Times for delay, disruption, or interference caused by or within the control of Contractor. Delay, disruption,

- and interference attributable to and within the control of a Subcontractor or Supplier shall be deemed to be within the control of Contractor.
- C. If Contractor's performance or progress is delayed, disrupted, or interfered with by unanticipated causes not the fault of and beyond the control of Owner, Contractor, and those for which they are responsible, then Contractor shall be entitled to an equitable adjustment in Contract Times. Such an adjustment will be Contractor's sole and exclusive remedy for the delays, disruption, and interference described in this paragraph. Causes of delay, disruption, or interference that may give rise to an adjustment in Contract Times under this paragraph include but are not limited to the following:
 - 1. Severe and unavoidable natural catastrophes such as fires, floods, epidemics, and earthquakes;
 - 2. Abnormal weather conditions;
 - Acts or failures to act of third-party utility owners or other third-party entities (other than
 those third-party utility owners or other third-party entities performing other work at or
 adjacent to the Site as arranged by or under contract with Owner, as contemplated in
 Article 8); and
 - 4. Acts of war or terrorism.
- D. Contractor's entitlement to an adjustment of Contract Times or Contract Price is limited as follows:
 - 1. Contractor's entitlement to an adjustment of the Contract Times is conditioned on the delay, disruption, or interference adversely affecting an activity on the critical path to completion of the Work, as of the time of the delay, disruption, or interference.
 - Contractor shall not be entitled to an adjustment in Contract Price for any delay, disruption, or interference if such delay is concurrent with a delay, disruption, or interference caused by or within the control of Contractor. Such a concurrent delay by Contractor shall not preclude an adjustment of Contract Times to which Contractor is otherwise entitled.
 - 3. Adjustments of Contract Times or Contract Price are subject to the provisions of Article 11.
- E. Each Contractor request or Change Proposal seeking an increase in Contract Times or Contract Price must be supplemented by supporting data that sets forth in detail the following:
 - 1. The circumstances that form the basis for the requested adjustment;
 - 2. The date upon which each cause of delay, disruption, or interference began to affect the progress of the Work;
 - 3. The date upon which each cause of delay, disruption, or interference ceased to affect the progress of the Work;
 - 4. The number of days' increase in Contract Times claimed as a consequence of each such cause of delay, disruption, or interference; and
 - 5. The impact on Contract Price, in accordance with the provisions of Paragraph 11.07.

Contractor shall also furnish such additional supporting documentation as Owner or Engineer may require including, where appropriate, a revised progress schedule indicating all the activities affected by the delay, disruption, or interference, and an explanation of the effect of the delay, disruption, or interference on the critical path to completion of the Work.

- F. Delays, disruption, and interference to the performance or progress of the Work resulting from the existence of a differing subsurface or physical condition, an Underground Facility that was not shown or indicated by the Contract Documents, or not shown or indicated with reasonable accuracy, and those resulting from Hazardous Environmental Conditions, are governed by Article 5, together with the provisions of Paragraphs 4.05.D and 4.05.E.
- G. Paragraph 8.03 addresses delays, disruption, and interference to the performance or progress of the Work resulting from the performance of certain other work at or adjacent to the Site.

ARTICLE 5—SITE; SUBSURFACE AND PHYSICAL CONDITIONS; HAZARDOUS ENVIRONMENTAL CONDITIONS

5.01 Availability of Lands

- A. Owner shall furnish the Site. Owner shall notify Contractor in writing of any encumbrances or restrictions not of general application but specifically related to use of the Site with which Contractor must comply in performing the Work.
- B. Upon reasonable written request, Owner shall furnish Contractor with a current statement of record legal title and legal description of the lands upon which permanent improvements are to be made and Owner's interest therein as necessary for giving notice of or filing a mechanic's or construction lien against such lands in accordance with applicable Laws and Regulations.
- C. Contractor shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment.

5.02 Use of Site and Other Areas

- A. Limitation on Use of Site and Other Areas
 - 1. Contractor shall confine construction equipment, temporary construction facilities, the storage of materials and equipment, and the operations of workers to the Site, adjacent areas that Contractor has arranged to use through construction easements or otherwise, and other adjacent areas permitted by Laws and Regulations, and shall not unreasonably encumber the Site and such other adjacent areas with construction equipment or other materials or equipment. Contractor shall assume full responsibility for (a) damage to the Site; (b) damage to any such other adjacent areas used for Contractor's operations; (c) damage to any other adjacent land or areas, or to improvements, structures, utilities, or similar facilities located at such adjacent lands or areas; and (d) for injuries and losses sustained by the owners or occupants of any such land or areas; provided that such damage or injuries result from the performance of the Work or from other actions or conduct of the Contractor or those for which Contractor is responsible.
 - If a damage or injury claim is made by the owner or occupant of any such land or area because of the performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible, Contractor shall (a) take immediate corrective or remedial action as required by Paragraph 7.13, or otherwise; (b)

promptly attempt to settle the claim as to all parties through negotiations with such owner or occupant, or otherwise resolve the claim by arbitration or other dispute resolution proceeding, or in a court of competent jurisdiction; and (c) to the fullest extent permitted by Laws and Regulations, indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against any such claim, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any claim or action, legal or equitable, brought by any such owner or occupant against Owner, Engineer, or any other party indemnified hereunder to the extent caused directly or indirectly, in whole or in part by, or based upon, Contractor's performance of the Work, or because of other actions or conduct of the Contractor or those for which Contractor is responsible.

- B. Removal of Debris During Performance of the Work: During the progress of the Work the Contractor shall keep the Site and other adjacent areas free from accumulations of waste materials, rubbish, and other debris. Removal and disposal of such waste materials, rubbish, and other debris will conform to applicable Laws and Regulations.
- C. Cleaning: Prior to Substantial Completion of the Work Contractor shall clean the Site and the Work and make it ready for utilization by Owner. At the completion of the Work Contractor shall remove from the Site and adjacent areas all tools, appliances, construction equipment and machinery, and surplus materials and shall restore to original condition all property not designated for alteration by the Contract Documents.
- D. Loading of Structures: Contractor shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall Contractor subject any part of the Work or adjacent structures or land to stresses or pressures that will endanger them.

5.03 Subsurface and Physical Conditions

- A. Reports and Drawings: The Supplementary Conditions identify:
 - 1. Those reports of explorations and tests of subsurface conditions at or adjacent to the Site that contain Technical Data;
 - 2. Those drawings of existing physical conditions at or adjacent to the Site, including those drawings depicting existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities), that contain Technical Data; and
 - 3. Technical Data contained in such reports and drawings.
- B. Underground Facilities: Underground Facilities are shown or indicated on the Drawings, pursuant to Paragraph 5.05, and not in the drawings referred to in Paragraph 5.03.A. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data.
- C. Reliance by Contractor on Technical Data: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b.

- D. Limitations of Other Data and Documents: Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
 - the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto;
 - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings;
 - 3. the contents of other Site-related documents made available to Contractor, such as record drawings from other projects at or adjacent to the Site, or Owner's archival documents concerning the Site; or
 - 4. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

5.04 Differing Subsurface or Physical Conditions

- A. *Notice by Contractor*: If Contractor believes that any subsurface or physical condition that is uncovered or revealed at the Site:
 - 1. is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate;
 - 2. is of such a nature as to require a change in the Drawings or Specifications;
 - 3. differs materially from that shown or indicated in the Contract Documents; or
 - 4. is of an unusual nature, and differs materially from conditions ordinarily encountered and generally recognized as inherent in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface or physical conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

B. Engineer's Review: After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine whether it is necessary for Owner to obtain additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph 5.04.A; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.

- C. Owner's Statement to Contractor Regarding Site Condition: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.
- D. Early Resumption of Work: If at any time Engineer determines that Work in connection with the subsurface or physical condition in question may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the condition in question has been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.

E. Possible Price and Times Adjustments

- Contractor shall be entitled to an equitable adjustment in Contract Price or Contract
 Times, to the extent that the existence of a differing subsurface or physical condition, or
 any related delay, disruption, or interference, causes an increase or decrease in
 Contractor's cost of, or time required for, performance of the Work; subject, however, to
 the following:
 - a. Such condition must fall within any one or more of the categories described in Paragraph 5.04.A;
 - b. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03; and,
 - c. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E.
- 2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
 - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise;
 - b. The existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
 - c. Contractor failed to give the written notice required by Paragraph 5.04.A.
- 3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
- 4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.

F. Underground Facilities; Hazardous Environmental Conditions: Paragraph 5.05 governs rights and responsibilities regarding the presence or location of Underground Facilities. Paragraph 5.06 governs rights and responsibilities regarding Hazardous Environmental Conditions. The provisions of Paragraphs 5.03 and 5.04 are not applicable to the presence or location of Underground Facilities, or to Hazardous Environmental Conditions.

5.05 Underground Facilities

- A. Contractor's Responsibilities: Unless it is otherwise expressly provided in the Supplementary Conditions, the cost of all of the following are included in the Contract Price, and Contractor shall have full responsibility for:
 - 1. reviewing and checking all information and data regarding existing Underground Facilities at the Site;
 - 2. complying with applicable state and local utility damage prevention Laws and Regulations;
 - 3. verifying the actual location of those Underground Facilities shown or indicated in the Contract Documents as being within the area affected by the Work, by exposing such Underground Facilities during the course of construction;
 - 4. coordination of the Work with the owners (including Owner) of such Underground Facilities, during construction; and
 - 5. the safety and protection of all existing Underground Facilities at the Site, and repairing any damage thereto resulting from the Work.
- B. Notice by Contractor: If Contractor believes that an Underground Facility that is uncovered or revealed at the Site was not shown or indicated on the Drawings, or was not shown or indicated on the Drawings with reasonable accuracy, then Contractor shall, promptly after becoming aware thereof and before further disturbing conditions affected thereby or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing regarding such Underground Facility.
- C. Engineer's Review: Engineer will:
 - 1. promptly review the Underground Facility and conclude whether such Underground Facility was not shown or indicated on the Drawings, or was not shown or indicated with reasonable accuracy;
 - identify and communicate with the owner of the Underground Facility; prepare recommendations to Owner (and if necessary issue any preliminary instructions to Contractor) regarding the Contractor's resumption of Work in connection with the Underground Facility in question;
 - 3. obtain any pertinent cost or schedule information from Contractor; determine the extent, if any, to which a change is required in the Drawings or Specifications to reflect and document the consequences of the existence or location of the Underground Facility; and
 - 4. advise Owner in writing of Engineer's findings, conclusions, and recommendations.

During such time, Contractor shall be responsible for the safety and protection of such Underground Facility.

- D. Owner's Statement to Contractor Regarding Underground Facility: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the Underground Facility in question addressing the resumption of Work in connection with such Underground Facility, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations in whole or in part.
- E. Early Resumption of Work: If at any time Engineer determines that Work in connection with the Underground Facility may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the Underground Facility in question and conditions affected by its presence have been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.

F. Possible Price and Times Adjustments

- 1. Contractor shall be entitled to an equitable adjustment in the Contract Price or Contract Times, to the extent that any existing Underground Facility at the Site that was not shown or indicated on the Drawings, or was not shown or indicated with reasonable accuracy, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
 - a. With respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03;
 - b. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E; and
 - c. Contractor gave the notice required in Paragraph 5.05.B.
- 2. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment will be set forth in a Change Order.
- 3. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the Underground Facility in question.
- 4. The information and data shown or indicated on the Drawings with respect to existing Underground Facilities at the Site is based on information and data (a) furnished by the owners of such Underground Facilities, or by others, (b) obtained from available records, or (c) gathered in an investigation conducted in accordance with the current edition of ASCE 38, Standard Guideline for the Collection and Depiction of Existing Subsurface Utility Data, by the American Society of Civil Engineers. If such information or data is incorrect or incomplete, Contractor's remedies are limited to those set forth in this Paragraph 5.05.F.

5.06 Hazardous Environmental Conditions at Site

- A. Reports and Drawings: The Supplementary Conditions identify:
 - 1. those reports known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site;
 - 2. drawings known to Owner relating to Hazardous Environmental Conditions that have been identified at or adjacent to the Site; and
 - 3. Technical Data contained in such reports and drawings.
- B. Reliance by Contractor on Technical Data Authorized: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely on the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b. Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
 - the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto;
 - 2. other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
 - 3. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions or information.
- C. Contractor shall not be responsible for removing or remediating any Hazardous Environmental Condition encountered, uncovered, or revealed at the Site unless such removal or remediation is expressly identified in the Contract Documents to be within the scope of the Work.
- D. Contractor shall be responsible for controlling, containing, and duly removing all Constituents of Concern brought to the Site by Contractor, Subcontractors, Suppliers, or anyone else for whom Contractor is responsible, and for any associated costs; and for the costs of removing and remediating any Hazardous Environmental Condition created by the presence of any such Constituents of Concern.
- E. If Contractor encounters, uncovers, or reveals a Hazardous Environmental Condition whose removal or remediation is not expressly identified in the Contract Documents as being within the scope of the Work, or if Contractor or anyone for whom Contractor is responsible creates a Hazardous Environmental Condition, then Contractor shall immediately: (1) secure or otherwise isolate such condition; (2) stop all Work in connection with such condition and in any area affected thereby (except in an emergency as required by Paragraph 7.15); and (3) notify Owner and Engineer (and promptly thereafter confirm such notice in writing). Owner shall promptly consult with Engineer concerning the necessity for Owner to retain a qualified expert to evaluate such condition or take corrective action, if any. Promptly after consulting with Engineer, Owner shall take such actions as are necessary to permit Owner to timely

obtain required permits and provide Contractor the written notice required by Paragraph 5.06.F. If Contractor or anyone for whom Contractor is responsible created the Hazardous Environmental Condition in question, then Owner may remove and remediate the Hazardous Environmental Condition, and impose a set-off against payments to account for the associated costs.

- F. Contractor shall not resume Work in connection with such Hazardous Environmental Condition or in any affected area until after Owner has obtained any required permits related thereto, and delivered written notice to Contractor either (1) specifying that such condition and any affected area is or has been rendered safe for the resumption of Work, or (2) specifying any special conditions under which such Work may be resumed safely.
- G. If Owner and Contractor cannot agree as to entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times, as a result of such Work stoppage, such special conditions under which Work is agreed to be resumed by Contractor, or any costs or expenses incurred in response to the Hazardous Environmental Condition, then within 30 days of Owner's written notice regarding the resumption of Work, Contractor may submit a Change Proposal, or Owner may impose a set-off. Entitlement to any such adjustment is subject to the provisions of Paragraphs 4.05.D, 4.05.E, 11.07, and 11.08.
- H. If, after receipt of such written notice, Contractor does not agree to resume such Work based on a reasonable belief it is unsafe, or does not agree to resume such Work under such special conditions, then Owner may order the portion of the Work that is in the area affected by such condition to be deleted from the Work, following the contractual change procedures in Article 11. Owner may have such deleted portion of the Work performed by Owner's own forces or others in accordance with Article 8.
- I. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, Subcontractors, and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court, arbitration, or other dispute resolution costs) arising out of or relating to a Hazardous Environmental Condition, provided that such Hazardous Environmental Condition (1) was not shown or indicated in the Drawings, Specifications, or other Contract Documents, identified as Technical Data entitled to limited reliance pursuant to Paragraph 5.06.B, or identified in the Contract Documents to be included within the scope of the Work, and (2) was not created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.I obligates Owner to indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- J. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to the failure to control, contain, or remove a Constituent of Concern brought to the Site by Contractor or by anyone for whom Contractor is responsible, or to a Hazardous Environmental Condition created by Contractor or by anyone for whom Contractor is responsible. Nothing in this Paragraph 5.06.J obligates Contractor to

- indemnify any individual or entity from and against the consequences of that individual's or entity's own negligence.
- K. The provisions of Paragraphs 5.03, 5.04, and 5.05 do not apply to the presence of Constituents of Concern or to a Hazardous Environmental Condition uncovered or revealed at the Site.

ARTICLE 6—BONDS AND INSURANCE

6.01 Performance, Payment, and Other Bonds

- A. Contractor shall furnish a performance bond and a payment bond, each in an amount at least equal to the Contract Price, as security for the faithful performance and payment of Contractor's obligations under the Contract. These bonds must remain in effect until one year after the date when final payment becomes due or until completion of the correction period specified in Paragraph 15.08, whichever is later, except as provided otherwise by Laws or Regulations, the terms of a prescribed bond form, the Supplementary Conditions, or other provisions of the Contract.
- B. Contractor shall also furnish such other bonds (if any) as are required by the Supplementary Conditions or other provisions of the Contract.
- C. All bonds must be in the form included in the Bidding Documents or otherwise specified by Owner prior to execution of the Contract, except as provided otherwise by Laws or Regulations, and must be issued and signed by a surety named in "Companies Holding Certificates of Authority as Acceptable Sureties on Federal Bonds and as Acceptable Reinsuring Companies" as published in Department Circular 570 (as amended and supplemented) by the Bureau of the Fiscal Service, U.S. Department of the Treasury. A bond signed by an agent or attorney-in-fact must be accompanied by a certified copy of that individual's authority to bind the surety. The evidence of authority must show that it is effective on the date the agent or attorney-in-fact signed the accompanying bond.
- D. Contractor shall obtain the required bonds from surety companies that are duly licensed or authorized, in the state or jurisdiction in which the Project is located, to issue bonds in the required amounts.
- E. If the surety on a bond furnished by Contractor is declared bankrupt or becomes insolvent, or the surety ceases to meet the requirements above, then Contractor shall promptly notify Owner and Engineer in writing and shall, within 20 days after the event giving rise to such notification, provide another bond and surety, both of which must comply with the bond and surety requirements above.
- F. If Contractor has failed to obtain a required bond, Owner may exclude the Contractor from the Site and exercise Owner's termination rights under Article 16.
- G. Upon request to Owner from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the performance of the Work, Owner shall provide a copy of the payment bond to such person or entity.
- H. Upon request to Contractor from any Subcontractor, Supplier, or other person or entity claiming to have furnished labor, services, materials, or equipment used in the performance of the Work, Contractor shall provide a copy of the payment bond to such person or entity.

6.02 Insurance—General Provisions

- A. Owner and Contractor shall obtain and maintain insurance as required in this article and in the Supplementary Conditions.
- B. All insurance required by the Contract to be purchased and maintained by Owner or Contractor shall be obtained from insurance companies that are duly licensed or authorized in the state or jurisdiction in which the Project is located to issue insurance policies for the required limits and coverages. Unless a different standard is indicated in the Supplementary Conditions, all companies that provide insurance policies required under this Contract shall have an A.M. Best rating of A-VII or better.
- C. Alternative forms of insurance coverage, including but not limited to self-insurance and "Occupational Accident and Excess Employer's Indemnity Policies," are not sufficient to meet the insurance requirements of this Contract, unless expressly allowed in the Supplementary Conditions.
- D. Contractor shall deliver to Owner, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Contractor has obtained and is maintaining the policies and coverages required by the Contract. Upon request by Owner or any other insured, Contractor shall also furnish other evidence of such required insurance, including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, full disclosure of all relevant exclusions, and evidence of insurance required to be purchased and maintained by Subcontractors or Suppliers. In any documentation furnished under this provision, Contractor, Subcontractors, and Suppliers may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those applicable to this Contract.
- E. Owner shall deliver to Contractor, with copies to each additional insured identified in the Contract, certificates of insurance and endorsements establishing that Owner has obtained and is maintaining the policies and coverages required of Owner by the Contract (if any). Upon request by Contractor or any other insured, Owner shall also provide other evidence of such required insurance (if any), including but not limited to copies of policies, documentation of applicable self-insured retentions (if allowed) and deductibles, and full disclosure of all relevant exclusions. In any documentation furnished under this provision, Owner may block out (redact) (1) any confidential premium or pricing information and (2) any wording specific to a project or jurisdiction other than those relevant to this Contract.
- F. Failure of Owner or Contractor to demand such certificates or other evidence of the other party's full compliance with these insurance requirements, or failure of Owner or Contractor to identify a deficiency in compliance from the evidence provided, will not be construed as a waiver of the other party's obligation to obtain and maintain such insurance.
- G. In addition to the liability insurance required to be provided by Contractor, the Owner, at Owner's option, may purchase and maintain Owner's own liability insurance. Owner's liability policies, if any, operate separately and independently from policies required to be provided by Contractor, and Contractor cannot rely upon Owner's liability policies for any of Contractor's obligations to the Owner, Engineer, or third parties.

H. Contractor shall require:

- Subcontractors to purchase and maintain worker's compensation, commercial general liability, and other insurance that is appropriate for their participation in the Project, and to name as additional insureds Owner and Engineer (and any other individuals or entities identified in the Supplementary Conditions as additional insureds on Contractor's liability policies) on each Subcontractor's commercial general liability insurance policy; and
- 2. Suppliers to purchase and maintain insurance that is appropriate for their participation in the Project.
- If either party does not purchase or maintain the insurance required of such party by the Contract, such party shall notify the other party in writing of such failure to purchase prior to the start of the Work, or of such failure to maintain prior to any change in the required coverage.
- J. If Contractor has failed to obtain and maintain required insurance, Contractor's entitlement to enter or remain at the Site will end immediately, and Owner may impose an appropriate set-off against payment for any associated costs (including but not limited to the cost of purchasing necessary insurance coverage), and exercise Owner's termination rights under Article 16.
- K. Without prejudice to any other right or remedy, if a party has failed to obtain required insurance, the other party may elect (but is in no way obligated) to obtain equivalent insurance to protect such other party's interests at the expense of the party who was required to provide such coverage, and the Contract Price will be adjusted accordingly.
- L. Owner does not represent that insurance coverage and limits established in this Contract necessarily will be adequate to protect Contractor or Contractor's interests. Contractor is responsible for determining whether such coverage and limits are adequate to protect its interests, and for obtaining and maintaining any additional insurance that Contractor deems necessary.
- M. The insurance and insurance limits required herein will not be deemed as a limitation on Contractor's liability, or that of its Subcontractors or Suppliers, under the indemnities granted to Owner and other individuals and entities in the Contract or otherwise.
- N. All the policies of insurance required to be purchased and maintained under this Contract will contain a provision or endorsement that the coverage afforded will not be canceled, or renewal refused, until at least 10 days prior written notice has been given to the purchasing policyholder. Within three days of receipt of any such written notice, the purchasing policyholder shall provide a copy of the notice to each other insured and Engineer.

6.03 Contractor's Insurance

- A. Required Insurance: Contractor shall purchase and maintain Worker's Compensation, Commercial General Liability, and other insurance pursuant to the specific requirements of the Supplementary Conditions.
- B. *General Provisions*: The policies of insurance required by this Paragraph 6.03 as supplemented must:
 - 1. include at least the specific coverages required;

- 2. be written for not less than the limits provided, or those required by Laws or Regulations, whichever is greater;
- remain in effect at least until the Work is complete (as set forth in Paragraph 15.06.D), and longer if expressly required elsewhere in this Contract, and at all times thereafter when Contractor may be correcting, removing, or replacing defective Work as a warranty or correction obligation, or otherwise, or returning to the Site to conduct other tasks arising from the Contract;
- 4. apply with respect to the performance of the Work, whether such performance is by Contractor, any Subcontractor or Supplier, or by anyone directly or indirectly employed by any of them to perform any of the Work, or by anyone for whose acts any of them may be liable; and
- 5. include all necessary endorsements to support the stated requirements.
- C. Additional Insureds: The Contractor's commercial general liability, automobile liability, employer's liability, umbrella or excess, pollution liability, and unmanned aerial vehicle liability policies, if required by this Contract, must:
 - 1. include and list as additional insureds Owner and Engineer, and any individuals or entities identified as additional insureds in the Supplementary Conditions;
 - 2. include coverage for the respective officers, directors, members, partners, employees, and consultants of all such additional insureds;
 - 3. afford primary coverage to these additional insureds for all claims covered thereby (including as applicable those arising from both ongoing and completed operations);
 - 4. not seek contribution from insurance maintained by the additional insured; and
 - 5. as to commercial general liability insurance, apply to additional insureds with respect to liability caused in whole or in part by Contractor's acts or omissions, or the acts and omissions of those working on Contractor's behalf, in the performance of Contractor's operations.

6.04 Builder's Risk and Other Property Insurance

- A. Builder's Risk: Unless otherwise provided in the Supplementary Conditions, Contractor shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the Work's full insurable replacement cost (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). The specific requirements applicable to the builder's risk insurance are set forth in the Supplementary Conditions.
- B. Property Insurance for Facilities of Owner Where Work Will Occur: Owner is responsible for obtaining and maintaining property insurance covering each existing structure, building, or facility in which any part of the Work will occur, or to which any part of the Work will attach or be adjoined. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, providing coverage consistent with that required for the builder's risk insurance, and will be maintained until the Work is complete, as set forth in Paragraph 15.06.D.

- C. Property Insurance for Substantially Complete Facilities: Promptly after Substantial Completion, and before actual occupancy or use of the substantially completed Work, Owner will obtain property insurance for such substantially completed Work, and maintain such property insurance at least until the Work is complete, as set forth in Paragraph 15.06.D. Such property insurance will be written on a special perils (all-risk) form, on a replacement cost basis, and provide coverage consistent with that required for the builder's risk insurance. The builder's risk insurance may terminate upon written confirmation of Owner's procurement of such property insurance.
- D. Partial Occupancy or Use by Owner: If Owner will occupy or use a portion or portions of the Work prior to Substantial Completion of all the Work, as provided in Paragraph 15.04, then Owner (directly, if it is the purchaser of the builder's risk policy, or through Contractor) will provide advance notice of such occupancy or use to the builder's risk insurer, and obtain an endorsement consenting to the continuation of coverage prior to commencing such partial occupancy or use.
- E. Insurance of Other Property; Additional Insurance: If the express insurance provisions of the Contract do not require or address the insurance of a property item or interest, then the entity or individual owning such property item will be responsible for insuring it. If Contractor elects to obtain other special insurance to be included in or supplement the builder's risk or property insurance policies provided under this Paragraph 6.04, it may do so at Contractor's expense.

6.05 Property Losses; Subrogation

- A. The builder's risk insurance policy purchased and maintained in accordance with Paragraph 6.04 (or an installation floater policy if authorized by the Supplementary Conditions), will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against Engineer or its consultants, or their officers, directors, members, partners, employees, agents, consultants, or subcontractors.
 - 1. Owner and Contractor waive all rights against each other and the respective officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, or resulting from any of the perils, risks, or causes of loss covered by such policies and any other property insurance applicable to the Work; and, in addition, waive all such rights against Engineer, its consultants, all individuals or entities identified in the Supplementary Conditions as builder's risk or installation floater insureds, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, under such policies for losses and damages so caused.
 - 2. None of the above waivers extends to the rights that any party making such waiver may have to the proceeds of insurance held by Owner or Contractor as trustee or fiduciary, or otherwise payable under any policy so issued.
- B. Any property insurance policy maintained by Owner covering any loss, damage, or consequential loss to Owner's existing structures, buildings, or facilities in which any part of the Work will occur, or to which any part of the Work will attach or adjoin; to adjacent structures, buildings, or facilities of Owner; or to part or all of the completed or substantially completed Work, during partial occupancy or use pursuant to Paragraph 15.04, after Substantial Completion pursuant to Paragraph 15.03, or after final payment pursuant to

Paragraph 15.06, will contain provisions to the effect that in the event of payment of any loss or damage the insurer will have no rights of recovery against any insureds thereunder, or against Contractor, Subcontractors, or Engineer, or the officers, directors, members, partners, employees, agents, consultants, or subcontractors of each and any of them, and that the insured is allowed to waive the insurer's rights of subrogation in a written contract executed prior to the loss, damage, or consequential loss.

- Owner waives all rights against Contractor, Subcontractors, and Engineer, and the
 officers, directors, members, partners, employees, agents, consultants and
 subcontractors of each and any of them, for all losses and damages caused by, arising out
 of, or resulting from fire or any of the perils, risks, or causes of loss covered by such
 policies.
- C. The waivers in this Paragraph 6.05 include the waiver of rights due to business interruption, loss of use, or other consequential loss extending beyond direct physical loss or damage to Owner's property or the Work caused by, arising out of, or resulting from fire or other insured peril, risk, or cause of loss.
- D. Contractor shall be responsible for assuring that each Subcontract contains provisions whereby the Subcontractor waives all rights against Owner, Contractor, all individuals or entities identified in the Supplementary Conditions as insureds, the Engineer and its consultants, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, for all losses and damages caused by, arising out of, relating to, or resulting from fire or other peril, risk, or cause of loss covered by builder's risk insurance, installation floater, and any other property insurance applicable to the Work.

6.06 Receipt and Application of Property Insurance Proceeds

- A. Any insured loss under the builder's risk and other policies of property insurance required by Paragraph 6.04 will be adjusted and settled with the named insured that purchased the policy. Such named insured shall act as fiduciary for the other insureds, and give notice to such other insureds that adjustment and settlement of a claim is in progress. Any other insured may state its position regarding a claim for insured loss in writing within 15 days after notice of such claim.
- B. Proceeds for such insured losses may be made payable by the insurer either jointly to multiple insureds, or to the named insured that purchased the policy in its own right and as fiduciary for other insureds, subject to the requirements of any applicable mortgage clause. A named insured receiving insurance proceeds under the builder's risk and other policies of insurance required by Paragraph 6.04 shall maintain such proceeds in a segregated account, and distribute such proceeds in accordance with such agreement as the parties in interest may reach, or as otherwise required under the dispute resolution provisions of this Contract or applicable Laws and Regulations.
- C. If no other special agreement is reached, Contractor shall repair or replace the damaged Work, using allocated insurance proceeds.

ARTICLE 7—CONTRACTOR'S RESPONSIBILITIES

7.01 Contractor's Means and Methods of Construction

- A. Contractor shall be solely responsible for the means, methods, techniques, sequences, and procedures of construction.
- B. If the Contract Documents note, or Contractor determines, that professional engineering or other design services are needed to carry out Contractor's responsibilities for construction means, methods, techniques, sequences, and procedures, or for Site safety, then Contractor shall cause such services to be provided by a properly licensed design professional, at Contractor's expense. Such services are not Owner-delegated professional design services under this Contract, and neither Owner nor Engineer has any responsibility with respect to (1) Contractor's determination of the need for such services, (2) the qualifications or licensing of the design professionals retained or employed by Contractor, (3) the performance of such services, or (4) any errors, omissions, or defects in such services.

7.02 Supervision and Superintendence

- A. Contractor shall supervise, inspect, and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents.
- B. At all times during the progress of the Work, Contractor shall assign a competent resident superintendent who will not be replaced without written notice to Owner and Engineer except under extraordinary circumstances.

7.03 Labor; Working Hours

- A. Contractor shall provide competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. Contractor shall maintain good discipline and order at the Site.
- B. Contractor shall be fully responsible to Owner and Engineer for all acts and omissions of Contractor's employees; of Suppliers and Subcontractors, and their employees; and of any other individuals or entities performing or furnishing any of the Work, just as Contractor is responsible for Contractor's own acts and omissions.
- C. Except as otherwise required for the safety or protection of persons or the Work or property at the Site or adjacent thereto, and except as otherwise stated in the Contract Documents, all Work at the Site will be performed during regular working hours, Monday through Friday. Contractor will not perform Work on a Saturday, Sunday, or any legal holiday. Contractor may perform Work outside regular working hours or on Saturdays, Sundays, or legal holidays only with Owner's written consent, which will not be unreasonably withheld.

7.04 Services, Materials, and Equipment

A. Unless otherwise specified in the Contract Documents, Contractor shall provide and assume full responsibility for all services, materials, equipment, labor, transportation, construction equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities, and all other facilities and incidentals necessary for the performance, testing, start up, and completion of the Work, whether or not such items are specifically called for in the Contract Documents.

- B. All materials and equipment incorporated into the Work must be new and of good quality, except as otherwise provided in the Contract Documents. All special warranties and guarantees required by the Specifications will expressly run to the benefit of Owner. If required by Engineer, Contractor shall furnish satisfactory evidence (including reports of required tests) as to the source, kind, and quality of materials and equipment.
- C. All materials and equipment must be stored, applied, installed, connected, erected, protected, used, cleaned, and conditioned in accordance with instructions of the applicable Supplier, except as otherwise may be provided in the Contract Documents.

7.05 "Or Equals"

- A. Contractor's Request; Governing Criteria: Whenever an item of equipment or material is specified or described in the Contract Documents by using the names of one or more proprietary items or specific Suppliers, the Contract Price has been based upon Contractor furnishing such item as specified. The specification or description of such an item is intended to establish the type, function, appearance, and quality required. Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or equal" item is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material, or items from other proposed Suppliers, under the circumstances described below.
 - If Engineer in its sole discretion determines that an item of equipment or material proposed by Contractor is functionally equal to that named and sufficiently similar so that no change in related Work will be required, Engineer will deem it an "or equal" item. For the purposes of this paragraph, a proposed item of equipment or material will be considered functionally equal to an item so named if:
 - a. in the exercise of reasonable judgment Engineer determines that the proposed item:
 - 1) is at least equal in materials of construction, quality, durability, appearance, strength, and design characteristics;
 - 2) will reliably perform at least equally well the function and achieve the results imposed by the design concept of the completed Project as a functioning whole;
 - 3) has a proven record of performance and availability of responsive service; and
 - 4) is not objectionable to Owner.
 - b. Contractor certifies that, if the proposed item is approved and incorporated into the Work:
 - 1) there will be no increase in cost to the Owner or increase in Contract Times; and
 - 2) the item will conform substantially to the detailed requirements of the item named in the Contract Documents.
- B. *Contractor's Expense*: Contractor shall provide all data in support of any proposed "or equal" item at Contractor's expense.
- C. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each "or-equal" request. Engineer may require Contractor to furnish additional data about the proposed "or-equal" item. Engineer will be the sole judge of acceptability. No "orequal" item will be ordered, furnished, installed, or utilized until Engineer's review is complete

- and Engineer determines that the proposed item is an "or-equal," which will be evidenced by an approved Shop Drawing or other written communication. Engineer will advise Contractor in writing of any negative determination.
- D. Effect of Engineer's Determination: Neither approval nor denial of an "or-equal" request will result in any change in Contract Price. The Engineer's denial of an "or-equal" request will be final and binding, and may not be reversed through an appeal under any provision of the Contract.
- E. Treatment as a Substitution Request: If Engineer determines that an item of equipment or material proposed by Contractor does not qualify as an "or-equal" item, Contractor may request that Engineer consider the item a proposed substitute pursuant to Paragraph 7.06.

7.06 Substitutes

- A. Contractor's Request; Governing Criteria: Unless the specification or description of an item of equipment or material required to be furnished under the Contract Documents contains or is followed by words reading that no substitution is permitted, Contractor may request that Engineer authorize the use of other items of equipment or material under the circumstances described below. To the extent possible such requests must be made before commencement of related construction at the Site.
 - Contractor shall submit sufficient information as provided below to allow Engineer to determine if the item of material or equipment proposed is functionally equivalent to that named and an acceptable substitute therefor. Engineer will not accept requests for review of proposed substitute items of equipment or material from anyone other than Contractor.
 - The requirements for review by Engineer will be as set forth in Paragraph 7.06.B, as supplemented by the Specifications, and as Engineer may decide is appropriate under the circumstances.
 - 3. Contractor shall make written application to Engineer for review of a proposed substitute item of equipment or material that Contractor seeks to furnish or use. The application:
 - a. will certify that the proposed substitute item will:
 - 1) perform adequately the functions and achieve the results called for by the general design;
 - 2) be similar in substance to the item specified; and
 - 3) be suited to the same use as the item specified.

b. will state:

- 1) the extent, if any, to which the use of the proposed substitute item will necessitate a change in Contract Times;
- 2) whether use of the proposed substitute item in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with Owner for other work on the Project) to adapt the design to the proposed substitute item; and

- 3) whether incorporation or use of the proposed substitute item in connection with the Work is subject to payment of any license fee or royalty.
- c. will identify:
 - 1) all variations of the proposed substitute item from the item specified; and
 - 2) available engineering, sales, maintenance, repair, and replacement services.
- d. will contain an itemized estimate of all costs or credits that will result directly or indirectly from use of such substitute item, including but not limited to changes in Contract Price, shared savings, costs of redesign, and claims of other contractors affected by any resulting change.
- B. Engineer's Evaluation and Determination: Engineer will be allowed a reasonable time to evaluate each substitute request, and to obtain comments and direction from Owner. Engineer may require Contractor to furnish additional data about the proposed substitute item. Engineer will be the sole judge of acceptability. No substitute will be ordered, furnished, installed, or utilized until Engineer's review is complete and Engineer determines that the proposed item is an acceptable substitute. Engineer's determination will be evidenced by a Field Order or a proposed Change Order accounting for the substitution itself and all related impacts, including changes in Contract Price or Contract Times. Engineer will advise Contractor in writing of any negative determination.
- C. *Special Guarantee*: Owner may require Contractor to furnish at Contractor's expense a special performance guarantee or other surety with respect to any substitute.
- D. Reimbursement of Engineer's Cost: Engineer will record Engineer's costs in evaluating a substitute proposed or submitted by Contractor. Whether or not Engineer approves a substitute so proposed or submitted by Contractor, Contractor shall reimburse Owner for the reasonable charges of Engineer for evaluating each such proposed substitute. Contractor shall also reimburse Owner for the reasonable charges of Engineer for making changes in the Contract Documents (or in the provisions of any other direct contract with Owner) resulting from the acceptance of each proposed substitute.
- E. *Contractor's Expense*: Contractor shall provide all data in support of any proposed substitute at Contractor's expense.
- F. Effect of Engineer's Determination: If Engineer approves the substitution request, Contractor shall execute the proposed Change Order and proceed with the substitution. The Engineer's denial of a substitution request will be final and binding, and may not be reversed through an appeal under any provision of the Contract. Contractor may challenge the scope of reimbursement costs imposed under Paragraph 7.06.D, by timely submittal of a Change Proposal.
- 7.07 Concerning Subcontractors and Suppliers
 - A. Contractor may retain Subcontractors and Suppliers for the performance of parts of the Work. Such Subcontractors and Suppliers must be acceptable to Owner. The Contractor's retention of a Subcontractor or Supplier for the performance of parts of the Work will not relieve Contractor's obligation to Owner to perform and complete the Work in accordance with the Contract Documents.

- B. Contractor shall retain specific Subcontractors and Suppliers for the performance of designated parts of the Work if required by the Contract to do so.
- C. Subsequent to the submittal of Contractor's Bid or final negotiation of the terms of the Contract, Owner may not require Contractor to retain any Subcontractor or Supplier to furnish or perform any of the Work against which Contractor has reasonable objection.
- D. Prior to entry into any binding subcontract or purchase order, Contractor shall submit to Owner the identity of the proposed Subcontractor or Supplier (unless Owner has already deemed such proposed Subcontractor or Supplier acceptable during the bidding process or otherwise). Such proposed Subcontractor or Supplier shall be deemed acceptable to Owner unless Owner raises a substantive, reasonable objection within 5 days.
- E. Owner may require the replacement of any Subcontractor or Supplier. Owner also may require Contractor to retain specific replacements; provided, however, that Owner may not require a replacement to which Contractor has a reasonable objection. If Contractor has submitted the identity of certain Subcontractors or Suppliers for acceptance by Owner, and Owner has accepted it (either in writing or by failing to make written objection thereto), then Owner may subsequently revoke the acceptance of any such Subcontractor or Supplier so identified solely on the basis of substantive, reasonable objection after due investigation. Contractor shall submit an acceptable replacement for the rejected Subcontractor or Supplier.
- F. If Owner requires the replacement of any Subcontractor or Supplier retained by Contractor to perform any part of the Work, then Contractor shall be entitled to an adjustment in Contract Price or Contract Times, with respect to the replacement; and Contractor shall initiate a Change Proposal for such adjustment within 30 days of Owner's requirement of replacement.
- G. No acceptance by Owner of any such Subcontractor or Supplier, whether initially or as a replacement, will constitute a waiver of the right of Owner to the completion of the Work in accordance with the Contract Documents.
- H. On a monthly basis, Contractor shall submit to Engineer a complete list of all Subcontractors and Suppliers having a direct contract with Contractor, and of all other Subcontractors and Suppliers known to Contractor at the time of submittal.
- I. Contractor shall be solely responsible for scheduling and coordinating the work of Subcontractors and Suppliers.
- J. The divisions and sections of the Specifications and the identifications of any Drawings do not control Contractor in dividing the Work among Subcontractors or Suppliers, or in delineating the Work to be performed by any specific trade.
- K. All Work performed for Contractor by a Subcontractor or Supplier must be pursuant to an appropriate contractual agreement that specifically binds the Subcontractor or Supplier to the applicable terms and conditions of the Contract for the benefit of Owner and Engineer.
- L. Owner may furnish to any Subcontractor or Supplier, to the extent practicable, information about amounts paid to Contractor for Work performed for Contractor by the Subcontractor or Supplier.

M. Contractor shall restrict all Subcontractors and Suppliers from communicating with Engineer or Owner, except through Contractor or in case of an emergency, or as otherwise expressly allowed in this Contract.

7.08 Patent Fees and Royalties

- A. Contractor shall pay all license fees and royalties and assume all costs incident to the use in the performance of the Work or the incorporation in the Work of any invention, design, process, product, or device which is the subject of patent rights or copyrights held by others. If an invention, design, process, product, or device is specified in the Contract Documents for use in the performance of the Work and if, to the actual knowledge of Owner or Engineer, its use is subject to patent rights or copyrights calling for the payment of any license fee or royalty to others, the existence of such rights will be disclosed in the Contract Documents.
- B. To the fullest extent permitted by Laws and Regulations, Owner shall indemnify and hold harmless Contractor, and its officers, directors, members, partners, employees, agents, consultants, and subcontractors, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device specified in the Contract Documents, but not identified as being subject to payment of any license fee or royalty to others required by patent rights or copyrights.
- C. To the fullest extent permitted by Laws and Regulations, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to any infringement of patent rights or copyrights incident to the use in the performance of the Work or resulting from the incorporation in the Work of any invention, design, process, product, or device not specified in the Contract Documents.

7.09 Permits

A. Unless otherwise provided in the Contract Documents, Contractor shall obtain and pay for all construction permits, licenses, and certificates of occupancy. Owner shall assist Contractor, when necessary, in obtaining such permits and licenses. Contractor shall pay all governmental charges and inspection fees necessary for the prosecution of the Work which are applicable at the time of the submission of Contractor's Bid (or when Contractor became bound under a negotiated contract). Owner shall pay all charges of utility owners for connections for providing permanent service to the Work.

7.10 *Taxes*

A. Contractor shall pay all sales, consumer, use, and other similar taxes required to be paid by Contractor in accordance with the Laws and Regulations of the place of the Project which are applicable during the performance of the Work.

7.11 Laws and Regulations

- A. Contractor shall give all notices required by and shall comply with all Laws and Regulations applicable to the performance of the Work. Neither Owner nor Engineer shall be responsible for monitoring Contractor's compliance with any Laws or Regulations.
- B. If Contractor performs any Work or takes any other action knowing or having reason to know that it is contrary to Laws or Regulations, Contractor shall bear all resulting costs and losses, and shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants, and subcontractors of each and any of them, from and against all claims, costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such Work or other action. It is not Contractor's responsibility to make certain that the Work described in the Contract Documents is in accordance with Laws and Regulations, but this does not relieve Contractor of its obligations under Paragraph 3.03.
- C. Owner or Contractor may give written notice to the other party of any changes after the submission of Contractor's Bid (or after the date when Contractor became bound under a negotiated contract) in Laws or Regulations having an effect on the cost or time of performance of the Work, including but not limited to changes in Laws or Regulations having an effect on procuring permits and on sales, use, value-added, consumption, and other similar taxes. If Owner and Contractor are unable to agree on entitlement to or on the amount or extent, if any, of any adjustment in Contract Price or Contract Times resulting from such changes, then within 30 days of such written notice Contractor may submit a Change Proposal, or Owner may initiate a Claim.

7.12 Record Documents

A. Contractor shall maintain in a safe place at the Site one printed record copy of all Drawings, Specifications, Addenda, Change Orders, Work Change Directives, Field Orders, written interpretations and clarifications, and approved Shop Drawings. Contractor shall keep such record documents in good order and annotate them to show changes made during construction. These record documents, together with all approved Samples, will be available to Engineer for reference. Upon completion of the Work, Contractor shall deliver these record documents to Engineer.

7.13 Safety and Protection

- A. Contractor shall be solely responsible for initiating, maintaining, and supervising all safety precautions and programs in connection with the Work. Such responsibility does not relieve Subcontractors of their responsibility for the safety of persons or property in the performance of their work, nor for compliance with applicable safety Laws and Regulations.
- B. Contractor shall designate a qualified and experienced safety representative whose duties and responsibilities are the prevention of Work-related accidents and the maintenance and supervision of safety precautions and programs.
- C. Contractor shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury, or loss to:
 - 1. all persons on the Site or who may be affected by the Work;

- 2. all the Work and materials and equipment to be incorporated therein, whether in storage on or off the Site; and
- 3. other property at the Site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, other work in progress, utilities, and Underground Facilities not designated for removal, relocation, or replacement in the course of construction.
- D. All damage, injury, or loss to any property referred to in Paragraph 7.13.C.2 or 7.13.C.3 caused, directly or indirectly, in whole or in part, by Contractor, any Subcontractor, Supplier, or any other individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, shall be remedied by Contractor at its expense (except damage or loss attributable to the fault of Drawings or Specifications or to the acts or omissions of Owner or Engineer or anyone employed by any of them, or anyone for whose acts any of them may be liable, and not attributable, directly or indirectly, in whole or in part, to the fault or negligence of Contractor or any Subcontractor, Supplier, or other individual or entity directly or indirectly employed by any of them).
- E. Contractor shall comply with all applicable Laws and Regulations relating to the safety of persons or property, or to the protection of persons or property from damage, injury, or loss; and shall erect and maintain all necessary safeguards for such safety and protection.
- F. Contractor shall notify Owner; the owners of adjacent property; the owners of Underground Facilities and other utilities (if the identity of such owners is known to Contractor); and other contractors and utility owners performing work at or adjacent to the Site, in writing, when Contractor knows that prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation, and replacement of their property or work in progress.
- G. Contractor shall comply with the applicable requirements of Owner's safety programs, if any. Any Owner's safety programs that are applicable to the Work are identified or included in the Supplementary Conditions or Specifications.
- H. Contractor shall inform Owner and Engineer of the specific requirements of Contractor's safety program with which Owner's and Engineer's employees and representatives must comply while at the Site.
- Contractor's duties and responsibilities for safety and protection will continue until all the Work is completed, Engineer has issued a written notice to Owner and Contractor in accordance with Paragraph 15.06.C that the Work is acceptable, and Contractor has left the Site (except as otherwise expressly provided in connection with Substantial Completion).
- J. Contractor's duties and responsibilities for safety and protection will resume whenever Contractor or any Subcontractor or Supplier returns to the Site to fulfill warranty or correction obligations, or to conduct other tasks arising from the Contract Documents.

7.14 Hazard Communication Programs

A. Contractor shall be responsible for coordinating any exchange of safety data sheets (formerly known as material safety data sheets) or other hazard communication information required to be made available to or exchanged between or among employers at the Site in accordance with Laws or Regulations.

7.15 *Emergencies*

A. In emergencies affecting the safety or protection of persons or the Work or property at the Site or adjacent thereto, Contractor is obligated to act to prevent damage, injury, or loss. Contractor shall give Engineer prompt written notice if Contractor believes that any significant changes in the Work or variations from the Contract Documents have been caused by an emergency, or are required as a result of Contractor's response to an emergency. If Engineer determines that a change in the Contract Documents is required because of an emergency or Contractor's response, a Work Change Directive or Change Order will be issued.

7.16 Submittals

- A. Shop Drawing and Sample Requirements
 - 1. Before submitting a Shop Drawing or Sample, Contractor shall:
 - a. review and coordinate the Shop Drawing or Sample with other Shop Drawings and Samples and with the requirements of the Work and the Contract Documents;
 - b. determine and verify:
 - all field measurements, quantities, dimensions, specified performance and design criteria, installation requirements, materials, catalog numbers, and similar information with respect to the Submittal;
 - 2) the suitability of all materials and equipment offered with respect to the indicated application, fabrication, shipping, handling, storage, assembly, and installation pertaining to the performance of the Work; and
 - all information relative to Contractor's responsibilities for means, methods, techniques, sequences, and procedures of construction, and safety precautions and programs incident thereto;
 - c. confirm that the Submittal is complete with respect to all related data included in the Submittal.
 - 2. Each Shop Drawing or Sample must bear a stamp or specific written certification that Contractor has satisfied Contractor's obligations under the Contract Documents with respect to Contractor's review of that Submittal, and that Contractor approves the Submittal.
 - 3. With each Shop Drawing or Sample, Contractor shall give Engineer specific written notice of any variations that the Submittal may have from the requirements of the Contract Documents. This notice must be set forth in a written communication separate from the Submittal; and, in addition, in the case of a Shop Drawing by a specific notation made on the Shop Drawing itself.
- B. Submittal Procedures for Shop Drawings and Samples: Contractor shall label and submit Shop Drawings and Samples to Engineer for review and approval in accordance with the accepted Schedule of Submittals.
 - 1. Shop Drawings
 - a. Contractor shall submit the number of copies required in the Specifications.

b. Data shown on the Shop Drawings must be complete with respect to quantities, dimensions, specified performance and design criteria, materials, and similar data to show Engineer the services, materials, and equipment Contractor proposes to provide, and to enable Engineer to review the information for the limited purposes required by Paragraph 7.16.C.

2. Samples

- a. Contractor shall submit the number of Samples required in the Specifications.
- b. Contractor shall clearly identify each Sample as to material, Supplier, pertinent data such as catalog numbers, the use for which intended and other data as Engineer may require to enable Engineer to review the Submittal for the limited purposes required by Paragraph 7.16.C.
- 3. Where a Shop Drawing or Sample is required by the Contract Documents or the Schedule of Submittals, any related Work performed prior to Engineer's review and approval of the pertinent submittal will be at the sole expense and responsibility of Contractor.

C. Engineer's Review of Shop Drawings and Samples

- Engineer will provide timely review of Shop Drawings and Samples in accordance with the
 accepted Schedule of Submittals. Engineer's review and approval will be only to
 determine if the items covered by the Submittals will, after installation or incorporation
 in the Work, comply with the requirements of the Contract Documents, and be
 compatible with the design concept of the completed Project as a functioning whole as
 indicated by the Contract Documents.
- 2. Engineer's review and approval will not extend to means, methods, techniques, sequences, or procedures of construction, or to safety precautions or programs incident thereto.
- 3. Engineer's review and approval of a separate item as such will not indicate approval of the assembly in which the item functions.
- 4. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for any variation from the requirements of the Contract Documents unless Contractor has complied with the requirements of Paragraph 7.16.A.3 and Engineer has given written approval of each such variation by specific written notation thereof incorporated in or accompanying the Shop Drawing or Sample. Engineer will document any such approved variation from the requirements of the Contract Documents in a Field Order or other appropriate Contract modification.
- 5. Engineer's review and approval of a Shop Drawing or Sample will not relieve Contractor from responsibility for complying with the requirements of Paragraphs 7.16.A and B.
- 6. Engineer's review and approval of a Shop Drawing or Sample, or of a variation from the requirements of the Contract Documents, will not, under any circumstances, change the Contract Times or Contract Price, unless such changes are included in a Change Order.
- 7. Neither Engineer's receipt, review, acceptance, or approval of a Shop Drawing or Sample will result in such item becoming a Contract Document.

8. Contractor shall perform the Work in compliance with the requirements and commitments set forth in approved Shop Drawings and Samples, subject to the provisions of Paragraph 7.16.C.4.

D. Resubmittal Procedures for Shop Drawings and Samples

- Contractor shall make corrections required by Engineer and shall return the required number of corrected copies of Shop Drawings and submit, as required, new Samples for review and approval. Contractor shall direct specific attention in writing to revisions other than the corrections called for by Engineer on previous Submittals.
- 2. Contractor shall furnish required Shop Drawing and Sample submittals with sufficient information and accuracy to obtain required approval of an item with no more than two resubmittals. Engineer will record Engineer's time for reviewing a third or subsequent resubmittal of a Shop Drawing or Sample, and Contractor shall be responsible for Engineer's charges to Owner for such time. Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges.
- 3. If Contractor requests a change of a previously approved Shop Drawing or Sample, Contractor shall be responsible for Engineer's charges to Owner for its review time, and Owner may impose a set-off against payments due Contractor to secure reimbursement for such charges, unless the need for such change is beyond the control of Contractor.

E. Submittals Other than Shop Drawings, Samples, and Owner-Delegated Designs

- 1. The following provisions apply to all Submittals other than Shop Drawings, Samples, and Owner-delegated designs:
 - a. Contractor shall submit all such Submittals to the Engineer in accordance with the Schedule of Submittals and pursuant to the applicable terms of the Contract Documents.
 - b. Engineer will provide timely review of all such Submittals in accordance with the Schedule of Submittals and return such Submittals with a notation of either Accepted or Not Accepted. Any such Submittal that is not returned within the time established in the Schedule of Submittals will be deemed accepted.
 - c. Engineer's review will be only to determine if the Submittal is acceptable under the requirements of the Contract Documents as to general form and content of the Submittal.
 - d. If any such Submittal is not accepted, Contractor shall confer with Engineer regarding the reason for the non-acceptance, and resubmit an acceptable document.
- 2. Procedures for the submittal and acceptance of the Progress Schedule, the Schedule of Submittals, and the Schedule of Values are set forth in Paragraphs 2.03. 2.04, and 2.05.
- F. Owner-delegated Designs: Submittals pursuant to Owner-delegated designs are governed by the provisions of Paragraph 7.19.

7.17 Contractor's General Warranty and Guarantee

A. Contractor warrants and guarantees to Owner that all Work will be in accordance with the Contract Documents and will not be defective. Engineer is entitled to rely on Contractor's warranty and guarantee.

- B. Owner's rights under this warranty and guarantee are in addition to, and are not limited by, Owner's rights under the correction period provisions of Paragraph 15.08. The time in which Owner may enforce its warranty and guarantee rights under this Paragraph 7.17 is limited only by applicable Laws and Regulations restricting actions to enforce such rights; provided, however, that after the end of the correction period under Paragraph 15.08:
 - Owner shall give Contractor written notice of any defective Work within 60 days of the discovery that such Work is defective; and
 - 2. Such notice will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the notice.
- C. Contractor's warranty and guarantee hereunder excludes defects or damage caused by:
 - 1. abuse, or improper modification, maintenance, or operation, by persons other than Contractor, Subcontractors, Suppliers, or any other individual or entity for whom Contractor is responsible; or
 - 2. normal wear and tear under normal usage.
- D. Contractor's obligation to perform and complete the Work in accordance with the Contract Documents is absolute. None of the following will constitute an acceptance of Work that is not in accordance with the Contract Documents, a release of Contractor's obligation to perform the Work in accordance with the Contract Documents, or a release of Owner's warranty and guarantee rights under this Paragraph 7.17:
 - Observations by Engineer;
 - 2. Recommendation by Engineer or payment by Owner of any progress or final payment;
 - 3. The issuance of a certificate of Substantial Completion by Engineer or any payment related thereto by Owner;
 - 4. Use or occupancy of the Work or any part thereof by Owner;
 - 5. Any review and approval of a Shop Drawing or Sample submittal;
 - 6. The issuance of a notice of acceptability by Engineer;
 - 7. The end of the correction period established in Paragraph 15.08;
 - 8. Any inspection, test, or approval by others; or
 - 9. Any correction of defective Work by Owner.
- E. If the Contract requires the Contractor to accept the assignment of a contract entered into by Owner, then the specific warranties, guarantees, and correction obligations contained in the assigned contract will govern with respect to Contractor's performance obligations to Owner for the Work described in the assigned contract.

7.18 Indemnification

A. To the fullest extent permitted by Laws and Regulations, and in addition to any other obligations of Contractor under the Contract or otherwise, Contractor shall indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them, from losses,

damages, costs, and judgments (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals, and all court or arbitration or other dispute resolution costs) arising from third-party claims or actions relating to or resulting from the performance or furnishing of the Work, provided that any such claim, action, loss, cost, judgment or damage is attributable to bodily injury, sickness, disease, or death, or to damage to or destruction of tangible property (other than the Work itself), including the loss of use resulting therefrom, but only to the extent caused by any negligent act or omission of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable.

B. In any and all claims against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, by any employee (or the survivor or personal representative of such employee) of Contractor, any Subcontractor, any Supplier, or any individual or entity directly or indirectly employed by any of them to perform any of the Work, or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 7.18.A will not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for Contractor or any such Subcontractor, Supplier, or other individual or entity under workers' compensation acts, disability benefit acts, or other employee benefit acts.

7.19 Delegation of Professional Design Services

- A. Owner may require Contractor to provide professional design services for a portion of the Work by express delegation in the Contract Documents. Such delegation will specify the performance and design criteria that such services must satisfy, and the Submittals that Contractor must furnish to Engineer with respect to the Owner-delegated design.
- B. Contractor shall cause such Owner-delegated professional design services to be provided pursuant to the professional standard of care by a properly licensed design professional, whose signature and seal must appear on all drawings, calculations, specifications, certifications, and Submittals prepared by such design professional. Such design professional must issue all certifications of design required by Laws and Regulations.
- C. If a Shop Drawing or other Submittal related to the Owner-delegated design is prepared by Contractor, a Subcontractor, or others for submittal to Engineer, then such Shop Drawing or other Submittal must bear the written approval of Contractor's design professional when submitted by Contractor to Engineer.
- D. Owner and Engineer shall be entitled to rely upon the adequacy, accuracy, and completeness of the services, certifications, and approvals performed or provided by the design professionals retained or employed by Contractor under an Owner-delegated design, subject to the professional standard of care and the performance and design criteria stated in the Contract Documents.
- E. Pursuant to this Paragraph 7.19, Engineer's review, approval, and other determinations regarding design drawings, calculations, specifications, certifications, and other Submittals furnished by Contractor pursuant to an Owner-delegated design will be only for the following limited purposes:
 - 1. Checking for conformance with the requirements of this Paragraph 7.19;

- 2. Confirming that Contractor (through its design professionals) has used the performance and design criteria specified in the Contract Documents; and
- 3. Establishing that the design furnished by Contractor is consistent with the design concept expressed in the Contract Documents.
- F. Contractor shall not be responsible for the adequacy of performance or design criteria specified by Owner or Engineer.
- G. Contractor is not required to provide professional services in violation of applicable Laws and Regulations.

ARTICLE 8—OTHER WORK AT THE SITE

8.01 Other Work

- A. In addition to and apart from the Work under the Contract Documents, the Owner may perform other work at or adjacent to the Site. Such other work may be performed by Owner's employees, or through contracts between the Owner and third parties. Owner may also arrange to have third-party utility owners perform work on their utilities and facilities at or adjacent to the Site.
- B. If Owner performs other work at or adjacent to the Site with Owner's employees, or through contracts for such other work, then Owner shall give Contractor written notice thereof prior to starting any such other work. If Owner has advance information regarding the start of any third-party utility work that Owner has arranged to take place at or adjacent to the Site, Owner shall provide such information to Contractor.
- C. Contractor shall afford proper and safe access to the Site to each contractor that performs such other work, each utility owner performing other work, and Owner, if Owner is performing other work with Owner's employees, and provide a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such other work.
- D. Contractor shall do all cutting, fitting, and patching of the Work that may be required to properly connect or otherwise make its several parts come together and properly integrate with such other work. Contractor shall not endanger any work of others by cutting, excavating, or otherwise altering such work; provided, however, that Contractor may cut or alter others' work with the written consent of Engineer and the others whose work will be affected.
- E. If the proper execution or results of any part of Contractor's Work depends upon work performed by others, Contractor shall inspect such other work and promptly report to Engineer in writing any delays, defects, or deficiencies in such other work that render it unavailable or unsuitable for the proper execution and results of Contractor's Work. Contractor's failure to so report will constitute an acceptance of such other work as fit and proper for integration with Contractor's Work except for latent defects and deficiencies in such other work.
- F. The provisions of this article are not applicable to work that is performed by third-party utilities or other third-party entities without a contract with Owner, or that is performed without having been arranged by Owner. If such work occurs, then any related delay,

disruption, or interference incurred by Contractor is governed by the provisions of Paragraph 4.05.C.3.

8.02 Coordination

- A. If Owner intends to contract with others for the performance of other work at or adjacent to the Site, to perform other work at or adjacent to the Site with Owner's employees, or to arrange to have utility owners perform work at or adjacent to the Site, the following will be set forth in the Supplementary Conditions or provided to Contractor prior to the start of any such other work:
 - 1. The identity of the individual or entity that will have authority and responsibility for coordination of the activities among the various contractors;
 - 2. An itemization of the specific matters to be covered by such authority and responsibility; and
 - 3. The extent of such authority and responsibilities.
- B. Unless otherwise provided in the Supplementary Conditions, Owner shall have sole authority and responsibility for such coordination.

8.03 Legal Relationships

- A. If, in the course of performing other work for Owner at or adjacent to the Site, the Owner's employees, any other contractor working for Owner, or any utility owner that Owner has arranged to perform work, causes damage to the Work or to the property of Contractor or its Subcontractors, or delays, disrupts, interferes with, or increases the scope or cost of the performance of the Work, through actions or inaction, then Contractor shall be entitled to an equitable adjustment in the Contract Price or the Contract Times. Contractor must submit any Change Proposal seeking an equitable adjustment in the Contract Price or the Contract Times under this paragraph within 30 days of the damaging, delaying, disrupting, or interfering event. The entitlement to, and extent of, any such equitable adjustment will take into account information (if any) regarding such other work that was provided to Contractor in the Contract Documents prior to the submittal of the Bid or the final negotiation of the terms of the Contract, and any remedies available to Contractor under Laws or Regulations concerning utility action or inaction. When applicable, any such equitable adjustment in Contract Price will be conditioned on Contractor assigning to Owner all Contractor's rights against such other contractor or utility owner with respect to the damage, delay, disruption, or interference that is the subject of the adjustment. Contractor's entitlement to an adjustment of the Contract Times or Contract Price is subject to the provisions of Paragraphs 4.05.D and 4.05.E.
- B. Contractor shall take reasonable and customary measures to avoid damaging, delaying, disrupting, or interfering with the work of Owner, any other contractor, or any utility owner performing other work at or adjacent to the Site.
 - If Contractor fails to take such measures and as a result damages, delays, disrupts, or interferes with the work of any such other contractor or utility owner, then Owner may impose a set-off against payments due Contractor, and assign to such other contractor or utility owner the Owner's contractual rights against Contractor with respect to the breach of the obligations set forth in this Paragraph 8.03.B.

- 2. When Owner is performing other work at or adjacent to the Site with Owner's employees, Contractor shall be liable to Owner for damage to such other work, and for the reasonable direct delay, disruption, and interference costs incurred by Owner as a result of Contractor's failure to take reasonable and customary measures with respect to Owner's other work. In response to such damage, delay, disruption, or interference, Owner may impose a set-off against payments due Contractor.
- C. If Contractor damages, delays, disrupts, or interferes with the work of any other contractor, or any utility owner performing other work at or adjacent to the Site, through Contractor's failure to take reasonable and customary measures to avoid such impacts, or if any claim arising out of Contractor's actions, inactions, or negligence in performance of the Work at or adjacent to the Site is made by any such other contractor or utility owner against Contractor, Owner, or Engineer, then Contractor shall (1) promptly attempt to settle the claim as to all parties through negotiations with such other contractor or utility owner, or otherwise resolve the claim by arbitration or other dispute resolution proceeding or at law, and (2) indemnify and hold harmless Owner and Engineer, and the officers, directors, members, partners, employees, agents, consultants and subcontractors of each and any of them from and against any such claims, and against all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such damage, delay, disruption, or interference.

ARTICLE 9—OWNER'S RESPONSIBILITIES

- 9.01 *Communications to Contractor*
 - A. Except as otherwise provided in these General Conditions, Owner shall issue all communications to Contractor through Engineer.
- 9.02 Replacement of Engineer
 - A. Owner may at its discretion appoint an engineer to replace Engineer, provided Contractor makes no reasonable objection to the replacement engineer. The replacement engineer's status under the Contract Documents will be that of the former Engineer.
- 9.03 Furnish Data
 - A. Owner shall promptly furnish the data required of Owner under the Contract Documents.
- 9.04 Pay When Due
 - A. Owner shall make payments to Contractor when they are due as provided in the Agreement.
- 9.05 Lands and Easements; Reports, Tests, and Drawings
 - A. Owner's duties with respect to providing lands and easements are set forth in Paragraph 5.01.
 - B. Owner's duties with respect to providing engineering surveys to establish reference points are set forth in Paragraph 4.03.
 - C. Article 5 refers to Owner's identifying and making available to Contractor copies of reports of explorations and tests of conditions at the Site, and drawings of physical conditions relating to existing surface or subsurface structures at the Site.

9.06 *Insurance*

A. Owner's responsibilities, if any, with respect to purchasing and maintaining liability and property insurance are set forth in Article 6.

9.07 Change Orders

A. Owner's responsibilities with respect to Change Orders are set forth in Article 11.

9.08 Inspections, Tests, and Approvals

A. Owner's responsibility with respect to certain inspections, tests, and approvals is set forth in Paragraph 14.02.B.

9.09 Limitations on Owner's Responsibilities

A. The Owner shall not supervise, direct, or have control or authority over, nor be responsible for, Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Owner will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.

9.10 Undisclosed Hazardous Environmental Condition

A. Owner's responsibility in respect to an undisclosed Hazardous Environmental Condition is set forth in Paragraph 5.06.

9.11 Evidence of Financial Arrangements

A. Upon request of Contractor, Owner shall furnish Contractor reasonable evidence that financial arrangements have been made to satisfy Owner's obligations under the Contract (including obligations under proposed changes in the Work).

9.12 Safety Programs

- A. While at the Site, Owner's employees and representatives shall comply with the specific applicable requirements of Contractor's safety programs of which Owner has been informed.
- B. Owner shall furnish copies of any applicable Owner safety programs to Contractor.

ARTICLE 10—ENGINEER'S STATUS DURING CONSTRUCTION

10.01 Owner's Representative

A. Engineer will be Owner's representative during the construction period. The duties and responsibilities and the limitations of authority of Engineer as Owner's representative during construction are set forth in the Contract.

10.02 Visits to Site

A. Engineer will make visits to the Site at intervals appropriate to the various stages of construction as Engineer deems necessary in order to observe, as an experienced and qualified design professional, the progress that has been made and the quality of the various aspects of Contractor's executed Work. Based on information obtained during such visits and observations, Engineer, for the benefit of Owner, will determine, in general, if the Work is proceeding in accordance with the Contract Documents. Engineer will not be required to

make exhaustive or continuous inspections on the Site to check the quality or quantity of the Work. Engineer's efforts will be directed toward providing for Owner a greater degree of confidence that the completed Work will conform generally to the Contract Documents. On the basis of such visits and observations, Engineer will keep Owner informed of the progress of the Work and will endeavor to guard Owner against defective Work.

B. Engineer's visits and observations are subject to all the limitations on Engineer's authority and responsibility set forth in Paragraph 10.07. Particularly, but without limitation, during or as a result of Engineer's visits or observations of Contractor's Work, Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work.

10.03 Resident Project Representative

- A. If Owner and Engineer have agreed that Engineer will furnish a Resident Project Representative to represent Engineer at the Site and assist Engineer in observing the progress and quality of the Work, then the authority and responsibilities of any such Resident Project Representative will be as provided in the Supplementary Conditions, and limitations on the responsibilities thereof will be as provided in the Supplementary Conditions and in Paragraph 10.07.
- B. If Owner designates an individual or entity who is not Engineer's consultant, agent, or employee to represent Owner at the Site, then the responsibilities and authority of such individual or entity will be as provided in the Supplementary Conditions.

10.04 Engineer's Authority

- A. Engineer has the authority to reject Work in accordance with Article 14.
- B. Engineer's authority as to Submittals is set forth in Paragraph 7.16.
- C. Engineer's authority as to design drawings, calculations, specifications, certifications and other Submittals from Contractor in response to Owner's delegation (if any) to Contractor of professional design services, is set forth in Paragraph 7.19.
- D. Engineer's authority as to changes in the Work is set forth in Article 11.
- E. Engineer's authority as to Applications for Payment is set forth in Article 15.

10.05 Determinations for Unit Price Work

A. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor as set forth in Paragraph 13.03.

10.06 Decisions on Requirements of Contract Documents and Acceptability of Work

A. Engineer will render decisions regarding the requirements of the Contract Documents, and judge the acceptability of the Work, pursuant to the specific procedures set forth herein for initial interpretations, Change Proposals, and acceptance of the Work. In rendering such decisions and judgments, Engineer will not show partiality to Owner or Contractor, and will not be liable to Owner, Contractor, or others in connection with any proceedings, interpretations, decisions, or judgments conducted or rendered in good faith.

10.07 Limitations on Engineer's Authority and Responsibilities

- A. Neither Engineer's authority or responsibility under this Article 10 or under any other provision of the Contract, nor any decision made by Engineer in good faith either to exercise or not exercise such authority or responsibility or the undertaking, exercise, or performance of any authority or responsibility by Engineer, will create, impose, or give rise to any duty in contract, tort, or otherwise owed by Engineer to Contractor, any Subcontractor, any Supplier, any other individual or entity, or to any surety for or employee or agent of any of them.
- B. Engineer will not supervise, direct, control, or have authority over or be responsible for Contractor's means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto, or for any failure of Contractor to comply with Laws and Regulations applicable to the performance of the Work. Engineer will not be responsible for Contractor's failure to perform the Work in accordance with the Contract Documents.
- C. Engineer will not be responsible for the acts or omissions of Contractor or of any Subcontractor, any Supplier, or of any other individual or entity performing any of the Work.
- D. Engineer's review of the final Application for Payment and accompanying documentation, and all maintenance and operating instructions, schedules, guarantees, bonds, certificates of inspection, tests and approvals, and other documentation required to be delivered by Contractor under Paragraph 15.06.A, will only be to determine generally that their content complies with the requirements of, and in the case of certificates of inspections, tests, and approvals, that the results certified indicate compliance with the Contract Documents.
- E. The limitations upon authority and responsibility set forth in this Paragraph 10.07 also apply to the Resident Project Representative, if any.

10.08 Compliance with Safety Program

A. While at the Site, Engineer's employees and representatives will comply with the specific applicable requirements of Owner's and Contractor's safety programs of which Engineer has been informed.

ARTICLE 11—CHANGES TO THE CONTRACT

11.01 Amending and Supplementing the Contract

- A. The Contract may be amended or supplemented by a Change Order, a Work Change Directive, or a Field Order.
- B. If an amendment or supplement to the Contract includes a change in the Contract Price or the Contract Times, such amendment or supplement must be set forth in a Change Order.
- C. All changes to the Contract that involve (1) the performance or acceptability of the Work, (2) the design (as set forth in the Drawings, Specifications, or otherwise), or (3) other engineering or technical matters, must be supported by Engineer's recommendation. Owner and Contractor may amend other terms and conditions of the Contract without the recommendation of the Engineer.

11.02 Change Orders

- A. Owner and Contractor shall execute appropriate Change Orders covering:
 - 1. Changes in Contract Price or Contract Times which are agreed to by the parties, including any undisputed sum or amount of time for Work actually performed in accordance with a Work Change Directive;
 - 2. Changes in Contract Price resulting from an Owner set-off, unless Contractor has duly contested such set-off;
 - 3. Changes in the Work which are: (a) ordered by Owner pursuant to Paragraph 11.05, (b) required because of Owner's acceptance of defective Work under Paragraph 14.04 or Owner's correction of defective Work under Paragraph 14.07, or (c) agreed to by the parties, subject to the need for Engineer's recommendation if the change in the Work involves the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters; and
 - 4. Changes that embody the substance of any final and binding results under: Paragraph 11.03.B, resolving the impact of a Work Change Directive; Paragraph 11.09, concerning Change Proposals; Article 12, Claims; Paragraph 13.02.D, final adjustments resulting from allowances; Paragraph 13.03.D, final adjustments relating to determination of quantities for Unit Price Work; and similar provisions.
- B. If Owner or Contractor refuses to execute a Change Order that is required to be executed under the terms of Paragraph 11.02.A, it will be deemed to be of full force and effect, as if fully executed.

11.03 Work Change Directives

- A. A Work Change Directive will not change the Contract Price or the Contract Times but is evidence that the parties expect that the modification ordered or documented by a Work Change Directive will be incorporated in a subsequently issued Change Order, following negotiations by the parties as to the Work Change Directive's effect, if any, on the Contract Price and Contract Times; or, if negotiations are unsuccessful, by a determination under the terms of the Contract Documents governing adjustments, expressly including Paragraph 11.07 regarding change of Contract Price.
- B. If Owner has issued a Work Change Directive and:
 - 1. Contractor believes that an adjustment in Contract Times or Contract Price is necessary, then Contractor shall submit any Change Proposal seeking such an adjustment no later than 30 days after the completion of the Work set out in the Work Change Directive.
 - 2. Owner believes that an adjustment in Contract Times or Contract Price is necessary, then Owner shall submit any Claim seeking such an adjustment no later than 60 days after issuance of the Work Change Directive.

11.04 Field Orders

A. Engineer may authorize minor changes in the Work if the changes do not involve an adjustment in the Contract Price or the Contract Times and are compatible with the design concept of the completed Project as a functioning whole as indicated by the Contract

- Documents. Such changes will be accomplished by a Field Order and will be binding on Owner and also on Contractor, which shall perform the Work involved promptly.
- B. If Contractor believes that a Field Order justifies an adjustment in the Contract Price or Contract Times, then before proceeding with the Work at issue, Contractor shall submit a Change Proposal as provided herein.

11.05 Owner-Authorized Changes in the Work

- A. Without invalidating the Contract and without notice to any surety, Owner may, at any time or from time to time, order additions, deletions, or revisions in the Work. Changes involving the design (as set forth in the Drawings, Specifications, or otherwise) or other engineering or technical matters will be supported by Engineer's recommendation.
- B. Such changes in the Work may be accomplished by a Change Order, if Owner and Contractor have agreed as to the effect, if any, of the changes on Contract Times or Contract Price; or by a Work Change Directive. Upon receipt of any such document, Contractor shall promptly proceed with the Work involved; or, in the case of a deletion in the Work, promptly cease construction activities with respect to such deleted Work. Added or revised Work must be performed under the applicable conditions of the Contract Documents.
- C. Nothing in this Paragraph 11.05 obligates Contractor to undertake work that Contractor reasonably concludes cannot be performed in a manner consistent with Contractor's safety obligations under the Contract Documents or Laws and Regulations.

11.06 Unauthorized Changes in the Work

A. Contractor shall not be entitled to an increase in the Contract Price or an extension of the Contract Times with respect to any work performed that is not required by the Contract Documents, as amended, modified, or supplemented, except in the case of an emergency as provided in Paragraph 7.15 or in the case of uncovering Work as provided in Paragraph 14.05.C.2.

11.07 Change of Contract Price

- A. The Contract Price may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Price must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment of Contract Price must comply with the provisions of Article 12.
- B. An adjustment in the Contract Price will be determined as follows:
 - 1. Where the Work involved is covered by unit prices contained in the Contract Documents, then by application of such unit prices to the quantities of the items involved (subject to the provisions of Paragraph 13.03);
 - 2. Where the Work involved is not covered by unit prices contained in the Contract Documents, then by a mutually agreed lump sum (which may include an allowance for overhead and profit not necessarily in accordance with Paragraph 11.07.C.2); or
 - 3. Where the Work involved is not covered by unit prices contained in the Contract Documents and the parties do not reach mutual agreement to a lump sum, then on the basis of the Cost of the Work (determined as provided in Paragraph 13.01) plus a Contractor's fee for overhead and profit (determined as provided in Paragraph 11.07.C).

- C. *Contractor's Fee*: When applicable, the Contractor's fee for overhead and profit will be determined as follows:
 - 1. A mutually acceptable fixed fee; or
 - 2. If a fixed fee is not agreed upon, then a fee based on the following percentages of the various portions of the Cost of the Work:
 - a. For costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2, the Contractor's fee will be 15 percent;
 - b. For costs incurred under Paragraph 13.01.B.3, the Contractor's fee will be 5 percent;
 - c. Where one or more tiers of subcontracts are on the basis of Cost of the Work plus a fee and no fixed fee is agreed upon, the intent of Paragraphs 11.07.C.2.a and 11.07.C.2.b is that the Contractor's fee will be based on: (1) a fee of 15 percent of the costs incurred under Paragraphs 13.01.B.1 and 13.01.B.2 by the Subcontractor that actually performs the Work, at whatever tier, and (2) with respect to Contractor itself and to any Subcontractors of a tier higher than that of the Subcontractor that actually performs the Work, a fee of 5 percent of the amount (fee plus underlying costs incurred) attributable to the next lower tier Subcontractor; provided, however, that for any such subcontracted Work the maximum total fee to be paid by Owner will be no greater than 27 percent of the costs incurred by the Subcontractor that actually performs the Work;
 - d. No fee will be payable on the basis of costs itemized under Paragraphs 13.01.B.4, 13.01.B.5, and 13.01.C;
 - e. The amount of credit to be allowed by Contractor to Owner for any change which results in a net decrease in Cost of the Work will be the amount of the actual net decrease in Cost of the Work and a deduction of an additional amount equal to 5 percent of such actual net decrease in Cost of the Work; and
 - f. When both additions and credits are involved in any one change or Change Proposal, the adjustment in Contractor's fee will be computed by determining the sum of the costs in each of the cost categories in Paragraph 13.01.B (specifically, payroll costs, Paragraph 13.01.B.1; incorporated materials and equipment costs, Paragraph 13.01.B.2; Subcontract costs, Paragraph 13.01.B.3; special consultants costs, Paragraph 13.01.B.4; and other costs, Paragraph 13.01.B.5) and applying to each such cost category sum the appropriate fee from Paragraphs 11.07.C.2.a through 11.07.C.2.e, inclusive.

11.08 Change of Contract Times

- A. The Contract Times may only be changed by a Change Order. Any Change Proposal for an adjustment in the Contract Times must comply with the provisions of Paragraph 11.09. Any Claim for an adjustment in the Contract Times must comply with the provisions of Article 12.
- B. Delay, disruption, and interference in the Work, and any related changes in Contract Times, are addressed in and governed by Paragraph 4.05.

11.09 Change Proposals

A. Purpose and Content: Contractor shall submit a Change Proposal to Engineer to request an adjustment in the Contract Times or Contract Price; contest an initial decision by Engineer concerning the requirements of the Contract Documents or relating to the acceptability of the Work under the Contract Documents; challenge a set-off against payment due; or seek other relief under the Contract. The Change Proposal will specify any proposed change in Contract Times or Contract Price, or other proposed relief, and explain the reason for the proposed change, with citations to any governing or applicable provisions of the Contract Documents. Each Change Proposal will address only one issue, or a set of closely related issues.

B. Change Proposal Procedures

- 1. *Submittal*: Contractor shall submit each Change Proposal to Engineer within 30 days after the start of the event giving rise thereto, or after such initial decision.
- Supporting Data: The Contractor shall submit supporting data, including the proposed change in Contract Price or Contract Time (if any), to the Engineer and Owner within 15 days after the submittal of the Change Proposal.
 - a. Change Proposals based on or related to delay, interruption, or interference must comply with the provisions of Paragraphs 4.05.D and 4.05.E.
 - b. Change proposals related to a change of Contract Price must include full and detailed accounts of materials incorporated into the Work and labor and equipment used for the subject Work.

The supporting data must be accompanied by a written statement that the supporting data are accurate and complete, and that any requested time or price adjustment is the entire adjustment to which Contractor believes it is entitled as a result of said event.

- 3. Engineer's Initial Review: Engineer will advise Owner regarding the Change Proposal, and consider any comments or response from Owner regarding the Change Proposal. If in its discretion Engineer concludes that additional supporting data is needed before conducting a full review and making a decision regarding the Change Proposal, then Engineer may request that Contractor submit such additional supporting data by a date specified by Engineer, prior to Engineer beginning its full review of the Change Proposal.
- 4. Engineer's Full Review and Action on the Change Proposal: Upon receipt of Contractor's supporting data (including any additional data requested by Engineer), Engineer will conduct a full review of each Change Proposal and, within 30 days after such receipt of the Contractor's supporting data, either approve the Change Proposal in whole, deny it in whole, or approve it in part and deny it in part. Such actions must be in writing, with a copy provided to Owner and Contractor. If Engineer does not take action on the Change Proposal within 30 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of Engineer's inaction the Change Proposal is deemed denied, thereby commencing the time for appeal of the denial under Article 12.
- 5. *Binding Decision*: Engineer's decision is final and binding upon Owner and Contractor, unless Owner or Contractor appeals the decision by filing a Claim under Article 12.

- C. Resolution of Certain Change Proposals: If the Change Proposal does not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters, then Engineer will notify the parties in writing that the Engineer is unable to resolve the Change Proposal. For purposes of further resolution of such a Change Proposal, such notice will be deemed a denial, and Contractor may choose to seek resolution under the terms of Article 12.
- D. *Post-Completion*: Contractor shall not submit any Change Proposals after Engineer issues a written recommendation of final payment pursuant to Paragraph 15.06.B.

11.10 Notification to Surety

A. If the provisions of any bond require notice to be given to a surety of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Times), the giving of any such notice will be Contractor's responsibility. The amount of each applicable bond will be adjusted to reflect the effect of any such change.

ARTICLE 12—CLAIMS

12.01 *Claims*

- A. *Claims Process*: The following disputes between Owner and Contractor are subject to the Claims process set forth in this article:
 - 1. Appeals by Owner or Contractor of Engineer's decisions regarding Change Proposals;
 - 2. Owner demands for adjustments in the Contract Price or Contract Times, or other relief under the Contract Documents;
 - Disputes that Engineer has been unable to address because they do not involve the design (as set forth in the Drawings, Specifications, or otherwise), the acceptability of the Work, or other engineering or technical matters; and
 - 4. Subject to the waiver provisions of Paragraph 15.07, any dispute arising after Engineer has issued a written recommendation of final payment pursuant to Paragraph 15.06.B.
- B. Submittal of Claim: The party submitting a Claim shall deliver it directly to the other party to the Contract promptly (but in no event later than 30 days) after the start of the event giving rise thereto; in the case of appeals regarding Change Proposals within 30 days of the decision under appeal. The party submitting the Claim shall also furnish a copy to the Engineer, for its information only. The responsibility to substantiate a Claim rests with the party making the Claim. In the case of a Claim by Contractor seeking an increase in the Contract Times or Contract Price, Contractor shall certify that the Claim is made in good faith, that the supporting data are accurate and complete, and that to the best of Contractor's knowledge and belief the amount of time or money requested accurately reflects the full amount to which Contractor is entitled.
- C. Review and Resolution: The party receiving a Claim shall review it thoroughly, giving full consideration to its merits. The two parties shall seek to resolve the Claim through the exchange of information and direct negotiations. The parties may extend the time for resolving the Claim by mutual agreement. All actions taken on a Claim will be stated in writing and submitted to the other party, with a copy to Engineer.

D. Mediation

- At any time after initiation of a Claim, Owner and Contractor may mutually agree to mediation of the underlying dispute. The agreement to mediate will stay the Claim submittal and response process.
- 2. If Owner and Contractor agree to mediation, then after 60 days from such agreement, either Owner or Contractor may unilaterally terminate the mediation process, and the Claim submittal and decision process will resume as of the date of the termination. If the mediation proceeds but is unsuccessful in resolving the dispute, the Claim submittal and decision process will resume as of the date of the conclusion of the mediation, as determined by the mediator.
- 3. Owner and Contractor shall each pay one-half of the mediator's fees and costs.
- E. *Partial Approval*: If the party receiving a Claim approves the Claim in part and denies it in part, such action will be final and binding unless within 30 days of such action the other party invokes the procedure set forth in Article 17 for final resolution of disputes.
- F. Denial of Claim: If efforts to resolve a Claim are not successful, the party receiving the Claim may deny it by giving written notice of denial to the other party. If the receiving party does not take action on the Claim within 90 days, then either Owner or Contractor may at any time thereafter submit a letter to the other party indicating that as a result of the inaction, the Claim is deemed denied, thereby commencing the time for appeal of the denial. A denial of the Claim will be final and binding unless within 30 days of the denial the other party invokes the procedure set forth in Article 17 for the final resolution of disputes.
- G. Final and Binding Results: If the parties reach a mutual agreement regarding a Claim, whether through approval of the Claim, direct negotiations, mediation, or otherwise; or if a Claim is approved in part and denied in part, or denied in full, and such actions become final and binding; then the results of the agreement or action on the Claim will be incorporated in a Change Order or other written document to the extent they affect the Contract, including the Work, the Contract Times, or the Contract Price.

ARTICLE 13—COST OF THE WORK; ALLOWANCES; UNIT PRICE WORK

13.01 Cost of the Work

- A. Purposes for Determination of Cost of the Work: The term Cost of the Work means the sum of all costs necessary for the proper performance of the Work at issue, as further defined below. The provisions of this Paragraph 13.01 are used for two distinct purposes:
 - 1. To determine Cost of the Work when Cost of the Work is a component of the Contract Price, under cost-plus-fee, time-and-materials, or other cost-based terms; or
 - 2. When needed to determine the value of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price. When the value of any such adjustment is determined on the basis of Cost of the Work, Contractor is entitled only to those additional or incremental costs required because of the change in the Work or because of the event giving rise to the adjustment.
- B. Costs Included: Except as otherwise may be agreed to in writing by Owner, costs included in the Cost of the Work will be in amounts no higher than those commonly incurred in the

locality of the Project, will not include any of the costs itemized in Paragraph 13.01.C, and will include only the following items:

- 1. Payroll costs for employees in the direct employ of Contractor in the performance of the Work under schedules of job classifications agreed upon by Owner and Contractor in advance of the subject Work. Such employees include, without limitation, superintendents, foremen, safety managers, safety representatives, and other personnel employed full time on the Work. Payroll costs for employees not employed full time on the Work will be apportioned on the basis of their time spent on the Work. Payroll costs include, but are not limited to, salaries and wages plus the cost of fringe benefits, which include social security contributions, unemployment, excise, and payroll taxes, workers' compensation, health and retirement benefits, sick leave, and vacation and holiday pay applicable thereto. The expenses of performing Work outside of regular working hours, on Saturday, Sunday, or legal holidays, will be included in the above to the extent authorized by Owner.
- 2. Cost of all materials and equipment furnished and incorporated in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts accrue to Contractor unless Owner deposits funds with Contractor with which to make payments, in which case the cash discounts will accrue to Owner. All trade discounts, rebates, and refunds and returns from sale of surplus materials and equipment will accrue to Owner, and Contractor shall make provisions so that they may be obtained.
- 3. Payments made by Contractor to Subcontractors for Work performed by Subcontractors. If required by Owner, Contractor shall obtain competitive bids from subcontractors acceptable to Owner and Contractor and shall deliver such bids to Owner, which will then determine, with the advice of Engineer, which bids, if any, will be acceptable. If any subcontract provides that the Subcontractor is to be paid on the basis of Cost of the Work plus a fee, the Subcontractor's Cost of the Work and fee will be determined in the same manner as Contractor's Cost of the Work and fee as provided in this Paragraph 13.01.
- 4. Costs of special consultants (including but not limited to engineers, architects, testing laboratories, surveyors, attorneys, and accountants) employed or retained for services specifically related to the Work.
- 5. Other costs consisting of the following:
 - a. The proportion of necessary transportation, travel, and subsistence expenses of Contractor's employees incurred in discharge of duties connected with the Work.
 - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office, and temporary facilities at the Site, which are consumed in the performance of the Work, and cost, less market value, of such items used but not consumed which remain the property of Contractor.
 - In establishing included costs for materials such as scaffolding, plating, or sheeting, consideration will be given to the actual or the estimated life of the material for use on other projects; or rental rates may be established on the basis of purchase or salvage value of such items, whichever is less. Contractor will not

be eligible for compensation for such items in an amount that exceeds the purchase cost of such item.

c. Construction Equipment Rental

- 1) Rentals of all construction equipment and machinery, and the parts thereof, in accordance with rental agreements approved by Owner as to price (including any surcharge or special rates applicable to overtime use of the construction equipment or machinery), and the costs of transportation, loading, unloading, assembly, dismantling, and removal thereof. All such costs will be in accordance with the terms of said rental agreements. The rental of any such equipment, machinery, or parts must cease when the use thereof is no longer necessary for the Work.
- 2) Costs for equipment and machinery owned by Contractor or a Contractor-related entity will be paid at a rate shown for such equipment in the equipment rental rate book specified in the Supplementary Conditions. An hourly rate will be computed by dividing the monthly rates by 176. These computed rates will include all operating costs.
- 3) With respect to Work that is the result of a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price ("changed Work"), included costs will be based on the time the equipment or machinery is in use on the changed Work and the costs of transportation, loading, unloading, assembly, dismantling, and removal when directly attributable to the changed Work. The cost of any such equipment or machinery, or parts thereof, must cease to accrue when the use thereof is no longer necessary for the changed Work.
- d. Sales, consumer, use, and other similar taxes related to the Work, and for which Contractor is liable, as imposed by Laws and Regulations.
- e. Deposits lost for causes other than negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- f. Losses and damages (and related expenses) caused by damage to the Work, not compensated by insurance or otherwise, sustained by Contractor in connection with the performance of the Work (except losses and damages within the deductible amounts of builder's risk or other property insurance established in accordance with Paragraph 6.04), provided such losses and damages have resulted from causes other than the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses include settlements made with the written consent and approval of Owner. No such losses, damages, and expenses will be included in the Cost of the Work for the purpose of determining Contractor's fee.
- g. The cost of utilities, fuel, and sanitary facilities at the Site.
- h. Minor expenses such as communication service at the Site, express and courier services, and similar petty cash items in connection with the Work.

- i. The costs of premiums for all bonds and insurance that Contractor is required by the Contract Documents to purchase and maintain.
- C. Costs Excluded: The term Cost of the Work does not include any of the following items:
 - 1. Payroll costs and other compensation of Contractor's officers, executives, principals, general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing and contracting agents, expediters, timekeepers, clerks, and other personnel employed by Contractor, whether at the Site or in Contractor's principal or branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in Paragraph 13.01.B.1 or specifically covered by Paragraph 13.01.B.4. The payroll costs and other compensation excluded here are to be considered administrative costs covered by the Contractor's fee.
 - 2. The cost of purchasing, renting, or furnishing small tools and hand tools.
 - 3. Expenses of Contractor's principal and branch offices other than Contractor's office at the Site.
 - 4. Any part of Contractor's capital expenses, including interest on Contractor's capital employed for the Work and charges against Contractor for delinquent payments.
 - 5. Costs due to the negligence of Contractor, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of defective Work, disposal of materials or equipment wrongly supplied, and making good any damage to property.
 - 6. Expenses incurred in preparing and advancing Claims.
 - 7. Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in Paragraph 13.01.B.

D. Contractor's Fee

- 1. When the Work as a whole is performed on the basis of cost-plus-a-fee, then:
 - a. Contractor's fee for the Work set forth in the Contract Documents as of the Effective Date of the Contract will be determined as set forth in the Agreement.
 - b. for any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work, Contractor's fee will be determined as follows:
 - 1) When the fee for the Work as a whole is a percentage of the Cost of the Work, the fee will automatically adjust as the Cost of the Work changes.
 - 2) When the fee for the Work as a whole is a fixed fee, the fee for any additions or deletions will be determined in accordance with Paragraph 11.07.C.2.
- 2. When the Work as a whole is performed on the basis of a stipulated sum, or any other basis other than cost-plus-a-fee, then Contractor's fee for any Work covered by a Change Order, Change Proposal, Claim, set-off, or other adjustment in Contract Price on the basis of Cost of the Work will be determined in accordance with Paragraph 11.07.C.2.
- E. *Documentation and Audit*: Whenever the Cost of the Work for any purpose is to be determined pursuant to this Article 13, Contractor and pertinent Subcontractors will establish

and maintain records of the costs in accordance with generally accepted accounting practices. Subject to prior written notice, Owner will be afforded reasonable access, during normal business hours, to all Contractor's accounts, records, books, correspondence, instructions, drawings, receipts, vouchers, memoranda, and similar data relating to the Cost of the Work and Contractor's fee. Contractor shall preserve all such documents for a period of three years after the final payment by Owner. Pertinent Subcontractors will afford such access to Owner, and preserve such documents, to the same extent required of Contractor.

13.02 Allowances

- A. It is understood that Contractor has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be performed for such sums and by such persons or entities as may be acceptable to Owner and Engineer.
- B. Cash Allowances: Contractor agrees that:
 - the cash allowances include the cost to Contractor (less any applicable trade discounts)
 of materials and equipment required by the allowances to be delivered at the Site, and
 all applicable taxes; and
 - Contractor's costs for unloading and handling on the Site, labor, installation, overhead, profit, and other expenses contemplated for the cash allowances have been included in the Contract Price and not in the allowances, and no demand for additional payment for any of the foregoing will be valid.
- C. *Owner's Contingency Allowance*: Contractor agrees that an Owner's contingency allowance, if any, is for the sole use of Owner to cover unanticipated costs.
- D. Prior to final payment, an appropriate Change Order will be issued as recommended by Engineer to reflect actual amounts due Contractor for Work covered by allowances, and the Contract Price will be correspondingly adjusted.

13.03 Unit Price Work

- A. Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the unit price for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Agreement.
- B. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of Bids and determining an initial Contract Price. Payments to Contractor for Unit Price Work will be based on actual quantities.
- C. Each unit price will be deemed to include an amount considered by Contractor to be adequate to cover Contractor's overhead and profit for each separately identified item.
- D. Engineer will determine the actual quantities and classifications of Unit Price Work performed by Contractor. Engineer will review with Contractor the Engineer's preliminary determinations on such matters before rendering a written decision thereon (by recommendation of an Application for Payment or otherwise). Engineer's written decision thereon will be final and binding (except as modified by Engineer to reflect changed factual conditions or more accurate data) upon Owner and Contractor, and the final adjustment of Contract Price will be set forth in a Change Order, subject to the provisions of the following paragraph.

E. Adjustments in Unit Price

- 1. Contractor or Owner shall be entitled to an adjustment in the unit price with respect to an item of Unit Price Work if:
 - a. the quantity of the item of Unit Price Work performed by Contractor differs materially and significantly from the estimated quantity of such item indicated in the Agreement; and
 - b. Contractor's unit costs to perform the item of Unit Price Work have changed materially and significantly as a result of the quantity change.
- The adjustment in unit price will account for and be coordinated with any related changes in quantities of other items of Work, and in Contractor's costs to perform such other Work, such that the resulting overall change in Contract Price is equitable to Owner and Contractor.
- 3. Adjusted unit prices will apply to all units of that item.

ARTICLE 14—TESTS AND INSPECTIONS; CORRECTION, REMOVAL, OR ACCEPTANCE OF DEFECTIVE WORK

14.01 Access to Work

A. Owner, Engineer, their consultants and other representatives and personnel of Owner, independent testing laboratories, and authorities having jurisdiction have access to the Site and the Work at reasonable times for their observation, inspection, and testing. Contractor shall provide them proper and safe conditions for such access and advise them of Contractor's safety procedures and programs so that they may comply with such procedures and programs as applicable.

14.02 Tests, Inspections, and Approvals

- A. Contractor shall give Engineer timely notice of readiness of the Work (or specific parts thereof) for all required inspections and tests, and shall cooperate with inspection and testing personnel to facilitate required inspections and tests.
- B. Owner shall retain and pay for the services of an independent inspector, testing laboratory, or other qualified individual or entity to perform all inspections and tests expressly required by the Contract Documents to be furnished and paid for by Owner, except that costs incurred in connection with tests or inspections of covered Work will be governed by the provisions of Paragraph 14.05.
- C. If Laws or Regulations of any public body having jurisdiction require any Work (or part thereof) specifically to be inspected, tested, or approved by an employee or other representative of such public body, Contractor shall assume full responsibility for arranging and obtaining such inspections, tests, or approvals, pay all costs in connection therewith, and furnish Engineer the required certificates of inspection or approval.
- D. Contractor shall be responsible for arranging, obtaining, and paying for all inspections and tests required:
 - 1. by the Contract Documents, unless the Contract Documents expressly allocate responsibility for a specific inspection or test to Owner;

- 2. to attain Owner's and Engineer's acceptance of materials or equipment to be incorporated in the Work;
- 3. by manufacturers of equipment furnished under the Contract Documents;
- 4. for testing, adjusting, and balancing of mechanical, electrical, and other equipment to be incorporated into the Work; and
- 5. for acceptance of materials, mix designs, or equipment submitted for approval prior to Contractor's purchase thereof for incorporation in the Work.

Such inspections and tests will be performed by independent inspectors, testing laboratories, or other qualified individuals or entities acceptable to Owner and Engineer.

- E. If the Contract Documents require the Work (or part thereof) to be approved by Owner, Engineer, or another designated individual or entity, then Contractor shall assume full responsibility for arranging and obtaining such approvals.
- F. If any Work (or the work of others) that is to be inspected, tested, or approved is covered by Contractor without written concurrence of Engineer, Contractor shall, if requested by Engineer, uncover such Work for observation. Such uncovering will be at Contractor's expense unless Contractor had given Engineer timely notice of Contractor's intention to cover the same and Engineer had not acted with reasonable promptness in response to such notice.

14.03 Defective Work

- A. Contractor's Obligation: It is Contractor's obligation to assure that the Work is not defective.
- B. *Engineer's Authority*: Engineer has the authority to determine whether Work is defective, and to reject defective Work.
- C. *Notice of Defects*: Prompt written notice of all defective Work of which Owner or Engineer has actual knowledge will be given to Contractor.
- D. Correction, or Removal and Replacement: Promptly after receipt of written notice of defective Work, Contractor shall correct all such defective Work, whether or not fabricated, installed, or completed, or, if Engineer has rejected the defective Work, remove it from the Project and replace it with Work that is not defective.
- E. *Preservation of Warranties*: When correcting defective Work, Contractor shall take no action that would void or otherwise impair Owner's special warranty and guarantee, if any, on said Work.
- F. Costs and Damages: In addition to its correction, removal, and replacement obligations with respect to defective Work, Contractor shall pay all claims, costs, losses, and damages arising out of or relating to defective Work, including but not limited to the cost of the inspection, testing, correction, removal, replacement, or reconstruction of such defective Work, fines levied against Owner by governmental authorities because the Work is defective, and the costs of repair or replacement of work of others resulting from defective Work. Prior to final payment, if Owner and Contractor are unable to agree as to the measure of such claims, costs, losses, and damages resulting from defective Work, then Owner may impose a reasonable set-off against payments due under Article 15.

14.04 Acceptance of Defective Work

A. If, instead of requiring correction or removal and replacement of defective Work, Owner prefers to accept it, Owner may do so (subject, if such acceptance occurs prior to final payment, to Engineer's confirmation that such acceptance is in general accord with the design intent and applicable engineering principles, and will not endanger public safety). Contractor shall pay all claims, costs, losses, and damages attributable to Owner's evaluation of and determination to accept such defective Work (such costs to be approved by Engineer as to reasonableness), and for the diminished value of the Work to the extent not otherwise paid by Contractor. If any such acceptance occurs prior to final payment, the necessary revisions in the Contract Documents with respect to the Work will be incorporated in a Change Order. If the parties are unable to agree as to the decrease in the Contract Price, reflecting the diminished value of Work so accepted, then Owner may impose a reasonable set-off against payments due under Article 15. If the acceptance of defective Work occurs after final payment, Contractor shall pay an appropriate amount to Owner.

14.05 *Uncovering Work*

- A. Engineer has the authority to require additional inspection or testing of the Work, whether or not the Work is fabricated, installed, or completed.
- B. If any Work is covered contrary to the written request of Engineer, then Contractor shall, if requested by Engineer, uncover such Work for Engineer's observation, and then replace the covering, all at Contractor's expense.
- C. If Engineer considers it necessary or advisable that covered Work be observed by Engineer or inspected or tested by others, then Contractor, at Engineer's request, shall uncover, expose, or otherwise make available for observation, inspection, or testing as Engineer may require, that portion of the Work in question, and provide all necessary labor, material, and equipment.
 - 1. If it is found that the uncovered Work is defective, Contractor shall be responsible for all claims, costs, losses, and damages arising out of or relating to such uncovering, exposure, observation, inspection, and testing, and of satisfactory replacement or reconstruction (including but not limited to all costs of repair or replacement of work of others); and pending Contractor's full discharge of this responsibility the Owner shall be entitled to impose a reasonable set-off against payments due under Article 15.
 - 2. If the uncovered Work is not found to be defective, Contractor shall be allowed an increase in the Contract Price or an extension of the Contract Times, directly attributable to such uncovering, exposure, observation, inspection, testing, replacement, and reconstruction. If the parties are unable to agree as to the amount or extent thereof, then Contractor may submit a Change Proposal within 30 days of the determination that the Work is not defective.

14.06 Owner May Stop the Work

A. If the Work is defective, or Contractor fails to supply sufficient skilled workers or suitable materials or equipment, or fails to perform the Work in such a way that the completed Work will conform to the Contract Documents, then Owner may order Contractor to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of Owner to stop the Work will not give rise to any duty on the part of Owner to exercise this

right for the benefit of Contractor, any Subcontractor, any Supplier, any other individual or entity, or any surety for, or employee or agent of any of them.

14.07 Owner May Correct Defective Work

- A. If Contractor fails within a reasonable time after written notice from Engineer to correct defective Work, or to remove and replace defective Work as required by Engineer, then Owner may, after 7 days' written notice to Contractor, correct or remedy any such deficiency.
- B. In exercising the rights and remedies under this Paragraph 14.07, Owner shall proceed expeditiously. In connection with such corrective or remedial action, Owner may exclude Contractor from all or part of the Site, take possession of all or part of the Work and suspend Contractor's services related thereto, and incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere. Contractor shall allow Owner, Owner's representatives, agents and employees, Owner's other contractors, and Engineer and Engineer's consultants access to the Site to enable Owner to exercise the rights and remedies under this paragraph.
- C. All claims, costs, losses, and damages incurred or sustained by Owner in exercising the rights and remedies under this Paragraph 14.07 will be charged against Contractor as set-offs against payments due under Article 15. Such claims, costs, losses and damages will include but not be limited to all costs of repair, or replacement of work of others destroyed or damaged by correction, removal, or replacement of Contractor's defective Work.
- D. Contractor shall not be allowed an extension of the Contract Times because of any delay in the performance of the Work attributable to the exercise by Owner of Owner's rights and remedies under this Paragraph 14.07.

ARTICLE 15—PAYMENTS TO CONTRACTOR; SET-OFFS; COMPLETION; CORRECTION PERIOD

15.01 Progress Payments

A. Basis for Progress Payments: The Schedule of Values established as provided in Article 2 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to Engineer. Progress payments for Unit Price Work will be based on the number of units completed during the pay period, as determined under the provisions of Paragraph 13.03. Progress payments for cost-based Work will be based on Cost of the Work completed by Contractor during the pay period.

B. Applications for Payments

- At least 20 days before the date established in the Agreement for each progress payment (but not more often than once a month), Contractor shall submit to Engineer for review an Application for Payment filled out and signed by Contractor covering the Work completed as of the date of the Application and accompanied by such supporting documentation as is required by the Contract Documents.
- 2. If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the Site or at another location agreed to in writing, the Application for Payment must also be accompanied by: (a) a bill of sale, invoice, copies of subcontract or purchase order payments, or other documentation establishing full payment by Contractor for the materials and equipment; (b) at Owner's

request, documentation warranting that Owner has received the materials and equipment free and clear of all Liens; and (c) evidence that the materials and equipment are covered by appropriate property insurance, a warehouse bond, or other arrangements to protect Owner's interest therein, all of which must be satisfactory to Owner.

- 3. Beginning with the second Application for Payment, each Application must include an affidavit of Contractor stating that all previous progress payments received by Contractor have been applied to discharge Contractor's legitimate obligations associated with prior Applications for Payment.
- 4. The amount of retainage with respect to progress payments will be as stipulated in the Agreement.

C. Review of Applications

- Engineer will, within 10 days after receipt of each Application for Payment, including each
 resubmittal, either indicate in writing a recommendation of payment and present the
 Application to Owner, or return the Application to Contractor indicating in writing
 Engineer's reasons for refusing to recommend payment. In the latter case, Contractor
 may make the necessary corrections and resubmit the Application.
- 2. Engineer's recommendation of any payment requested in an Application for Payment will constitute a representation by Engineer to Owner, based on Engineer's observations of the executed Work as an experienced and qualified design professional, and on Engineer's review of the Application for Payment and the accompanying data and schedules, that to the best of Engineer's knowledge, information and belief:
 - a. the Work has progressed to the point indicated;
 - b. the quality of the Work is generally in accordance with the Contract Documents (subject to an evaluation of the Work as a functioning whole prior to or upon Substantial Completion, the results of any subsequent tests called for in the Contract Documents, a final determination of quantities and classifications for Unit Price Work under Paragraph 13.03, and any other qualifications stated in the recommendation); and
 - c. the conditions precedent to Contractor's being entitled to such payment appear to have been fulfilled in so far as it is Engineer's responsibility to observe the Work.
- 3. By recommending any such payment Engineer will not thereby be deemed to have represented that:
 - a. inspections made to check the quality or the quantity of the Work as it has been performed have been exhaustive, extended to every aspect of the Work in progress, or involved detailed inspections of the Work beyond the responsibilities specifically assigned to Engineer in the Contract; or
 - b. there may not be other matters or issues between the parties that might entitle Contractor to be paid additionally by Owner or entitle Owner to withhold payment to Contractor.

- 4. Neither Engineer's review of Contractor's Work for the purposes of recommending payments nor Engineer's recommendation of any payment, including final payment, will impose responsibility on Engineer:
 - a. to supervise, direct, or control the Work;
 - b. for the means, methods, techniques, sequences, or procedures of construction, or the safety precautions and programs incident thereto;
 - c. for Contractor's failure to comply with Laws and Regulations applicable to Contractor's performance of the Work;
 - d. to make any examination to ascertain how or for what purposes Contractor has used the money paid by Owner; or
 - e. to determine that title to any of the Work, materials, or equipment has passed to Owner free and clear of any Liens.
- 5. Engineer may refuse to recommend the whole or any part of any payment if, in Engineer's opinion, it would be incorrect to make the representations to Owner stated in Paragraph 15.01.C.2.
- 6. Engineer will recommend reductions in payment (set-offs) necessary in Engineer's opinion to protect Owner from loss because:
 - a. the Work is defective, requiring correction or replacement;
 - b. the Contract Price has been reduced by Change Orders;
 - c. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
 - d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible; or
 - e. Engineer has actual knowledge of the occurrence of any of the events that would constitute a default by Contractor and therefore justify termination for cause under the Contract Documents.

D. Payment Becomes Due

1. Ten days after presentation of the Application for Payment to Owner with Engineer's recommendation, the amount recommended (subject to any Owner set-offs) will become due, and when due will be paid by Owner to Contractor.

E. Reductions in Payment by Owner

- 1. In addition to any reductions in payment (set-offs) recommended by Engineer, Owner is entitled to impose a set-off against payment based on any of the following:
 - a. Claims have been made against Owner based on Contractor's conduct in the performance or furnishing of the Work, or Owner has incurred costs, losses, or damages resulting from Contractor's conduct in the performance or furnishing of the Work, including but not limited to claims, costs, losses, or damages from workplace injuries, adjacent property damage, non-compliance with Laws and Regulations, and patent infringement;

- b. Contractor has failed to take reasonable and customary measures to avoid damage, delay, disruption, and interference with other work at or adjacent to the Site;
- c. Contractor has failed to provide and maintain required bonds or insurance;
- d. Owner has been required to remove or remediate a Hazardous Environmental Condition for which Contractor is responsible;
- e. Owner has incurred extra charges or engineering costs related to submittal reviews, evaluations of proposed substitutes, tests and inspections, or return visits to manufacturing or assembly facilities;
- f. The Work is defective, requiring correction or replacement;
- g. Owner has been required to correct defective Work in accordance with Paragraph 14.07, or has accepted defective Work pursuant to Paragraph 14.04;
- h. The Contract Price has been reduced by Change Orders;
- i. An event has occurred that would constitute a default by Contractor and therefore justify a termination for cause;
- j. Liquidated or other damages have accrued as a result of Contractor's failure to achieve Milestones, Substantial Completion, or final completion of the Work;
- k. Liens have been filed in connection with the Work, except where Contractor has delivered a specific bond satisfactory to Owner to secure the satisfaction and discharge of such Liens; or
- I. Other items entitle Owner to a set-off against the amount recommended.
- 2. If Owner imposes any set-off against payment, whether based on its own knowledge or on the written recommendations of Engineer, Owner will give Contractor immediate written notice (with a copy to Engineer) stating the reasons for such action and the specific amount of the reduction, and promptly pay Contractor any amount remaining after deduction of the amount so withheld. Owner shall promptly pay Contractor the amount so withheld, or any adjustment thereto agreed to by Owner and Contractor, if Contractor remedies the reasons for such action. The reduction imposed will be binding on Contractor unless it duly submits a Change Proposal contesting the reduction.
- 3. Upon a subsequent determination that Owner's refusal of payment was not justified, the amount wrongfully withheld will be treated as an amount due as determined by Paragraph 15.01.D.1 and subject to interest as provided in the Agreement.

15.02 Contractor's Warranty of Title

A. Contractor warrants and guarantees that title to all Work, materials, and equipment furnished under the Contract will pass to Owner free and clear of (1) all Liens and other title defects, and (2) all patent, licensing, copyright, or royalty obligations, no later than 7 days after the time of payment by Owner.

15.03 Substantial Completion

A. When Contractor considers the entire Work ready for its intended use Contractor shall notify Owner and Engineer in writing that the entire Work is substantially complete and request that Engineer issue a certificate of Substantial Completion. Contractor shall at the same time

- submit to Owner and Engineer an initial draft of punch list items to be completed or corrected before final payment.
- B. Promptly after Contractor's notification, Owner, Contractor, and Engineer shall make an inspection of the Work to determine the status of completion. If Engineer does not consider the Work substantially complete, Engineer will notify Contractor in writing giving the reasons therefor.
- C. If Engineer considers the Work substantially complete, Engineer will deliver to Owner a preliminary certificate of Substantial Completion which will fix the date of Substantial Completion. Engineer shall attach to the certificate a punch list of items to be completed or corrected before final payment. Owner shall have 7 days after receipt of the preliminary certificate during which to make written objection to Engineer as to any provisions of the certificate or attached punch list. If, after considering the objections to the provisions of the preliminary certificate, Engineer concludes that the Work is not substantially complete, Engineer will, within 14 days after submission of the preliminary certificate to Owner, notify Contractor in writing that the Work is not substantially complete, stating the reasons therefor. If Owner does not object to the provisions of the certificate, or if despite consideration of Owner's objections Engineer concludes that the Work is substantially complete, then Engineer will, within said 14 days, execute and deliver to Owner and Contractor a final certificate of Substantial Completion (with a revised punch list of items to be completed or corrected) reflecting such changes from the preliminary certificate as Engineer believes justified after consideration of any objections from Owner.
- D. At the time of receipt of the preliminary certificate of Substantial Completion, Owner and Contractor will confer regarding Owner's use or occupancy of the Work following Substantial Completion, review the builder's risk insurance policy with respect to the end of the builder's risk coverage, and confirm the transition to coverage of the Work under a permanent property insurance policy held by Owner. Unless Owner and Contractor agree otherwise in writing, Owner shall bear responsibility for security, operation, protection of the Work, property insurance, maintenance, heat, and utilities upon Owner's use or occupancy of the Work.
- E. After Substantial Completion the Contractor shall promptly begin work on the punch list of items to be completed or corrected prior to final payment. In appropriate cases Contractor may submit monthly Applications for Payment for completed punch list items, following the progress payment procedures set forth above.
- F. Owner shall have the right to exclude Contractor from the Site after the date of Substantial Completion subject to allowing Contractor reasonable access to remove its property and complete or correct items on the punch list.

15.04 Partial Use or Occupancy

A. Prior to Substantial Completion of all the Work, Owner may use or occupy any substantially completed part of the Work which has specifically been identified in the Contract Documents, or which Owner, Engineer, and Contractor agree constitutes a separately functioning and usable part of the Work that can be used by Owner for its intended purpose without

significant interference with Contractor's performance of the remainder of the Work, subject to the following conditions:

- At any time, Owner may request in writing that Contractor permit Owner to use or occupy any such part of the Work that Owner believes to be substantially complete. If and when Contractor agrees that such part of the Work is substantially complete, Contractor, Owner, and Engineer will follow the procedures of Paragraph 15.03.A through 15.03.E for that part of the Work.
- 2. At any time, Contractor may notify Owner and Engineer in writing that Contractor considers any such part of the Work substantially complete and request Engineer to issue a certificate of Substantial Completion for that part of the Work.
- 3. Within a reasonable time after either such request, Owner, Contractor, and Engineer shall make an inspection of that part of the Work to determine its status of completion. If Engineer does not consider that part of the Work to be substantially complete, Engineer will notify Owner and Contractor in writing giving the reasons therefor. If Engineer considers that part of the Work to be substantially complete, the provisions of Paragraph 15.03 will apply with respect to certification of Substantial Completion of that part of the Work and the division of responsibility in respect thereof and access thereto.
- 4. No use or occupancy or separate operation of part of the Work may occur prior to compliance with the requirements of Paragraph 6.04 regarding builder's risk or other property insurance.

15.05 Final Inspection

A. Upon written notice from Contractor that the entire Work or an agreed portion thereof is complete, Engineer will promptly make a final inspection with Owner and Contractor and will notify Contractor in writing of all particulars in which this inspection reveals that the Work, or agreed portion thereof, is incomplete or defective. Contractor shall immediately take such measures as are necessary to complete such Work or remedy such deficiencies.

15.06 Final Payment

A. Application for Payment

- After Contractor has, in the opinion of Engineer, satisfactorily completed all corrections identified during the final inspection and has delivered, in accordance with the Contract Documents, all maintenance and operating instructions, schedules, guarantees, bonds, certificates or other evidence of insurance, certificates of inspection, annotated record documents (as provided in Paragraph 7.12), and other documents, Contractor may make application for final payment.
- The final Application for Payment must be accompanied (except as previously delivered) by:
 - a. all documentation called for in the Contract Documents;
 - b. consent of the surety, if any, to final payment;
 - c. satisfactory evidence that all title issues have been resolved such that title to all Work, materials, and equipment has passed to Owner free and clear of any Liens or other title defects, or will so pass upon final payment.

- d. a list of all duly pending Change Proposals and Claims; and
- e. complete and legally effective releases or waivers (satisfactory to Owner) of all Lien rights arising out of the Work, and of Liens filed in connection with the Work.
- 3. In lieu of the releases or waivers of Liens specified in Paragraph 15.06.A.2 and as approved by Owner, Contractor may furnish receipts or releases in full and an affidavit of Contractor that: (a) the releases and receipts include all labor, services, material, and equipment for which a Lien could be filed; and (b) all payrolls, material and equipment bills, and other indebtedness connected with the Work for which Owner might in any way be responsible, or which might in any way result in liens or other burdens on Owner's property, have been paid or otherwise satisfied. If any Subcontractor or Supplier fails to furnish such a release or receipt in full, Contractor may furnish a bond or other collateral satisfactory to Owner to indemnify Owner against any Lien, or Owner at its option may issue joint checks payable to Contractor and specified Subcontractors and Suppliers.
- B. Engineer's Review of Final Application and Recommendation of Payment: If, on the basis of Engineer's observation of the Work during construction and final inspection, and Engineer's review of the final Application for Payment and accompanying documentation as required by the Contract Documents, Engineer is satisfied that the Work has been completed and Contractor's other obligations under the Contract have been fulfilled, Engineer will, within 10 days after receipt of the final Application for Payment, indicate in writing Engineer's recommendation of final payment and present the final Application for Payment to Owner for payment. Such recommendation will account for any set-offs against payment that are necessary in Engineer's opinion to protect Owner from loss for the reasons stated above with respect to progress payments. Otherwise, Engineer will return the Application for Payment to Contractor, indicating in writing the reasons for refusing to recommend final payment, in which case Contractor shall make the necessary corrections and resubmit the Application for Payment.
- C. Notice of Acceptability: In support of its recommendation of payment of the final Application for Payment, Engineer will also give written notice to Owner and Contractor that the Work is acceptable, subject to stated limitations in the notice and to the provisions of Paragraph 15.07.
- D. Completion of Work: The Work is complete (subject to surviving obligations) when it is ready for final payment as established by the Engineer's written recommendation of final payment and issuance of notice of the acceptability of the Work.
- E. Final Payment Becomes Due: Upon receipt from Engineer of the final Application for Payment and accompanying documentation, Owner shall set off against the amount recommended by Engineer for final payment any further sum to which Owner is entitled, including but not limited to set-offs for liquidated damages and set-offs allowed under the provisions of this Contract with respect to progress payments. Owner shall pay the resulting balance due to Contractor within 30 days of Owner's receipt of the final Application for Payment from Engineer.

15.07 Waiver of Claims

A. By making final payment, Owner waives its claim or right to liquidated damages or other damages for late completion by Contractor, except as set forth in an outstanding Claim,

- appeal under the provisions of Article 17, set-off, or express reservation of rights by Owner. Owner reserves all other claims or rights after final payment.
- B. The acceptance of final payment by Contractor will constitute a waiver by Contractor of all claims and rights against Owner other than those pending matters that have been duly submitted as a Claim, or appealed under the provisions of Article 17.

15.08 Correction Period

- A. If within one year after the date of Substantial Completion (or such longer period of time as may be prescribed by the Supplementary Conditions or the terms of any applicable special guarantee required by the Contract Documents), Owner gives Contractor written notice that any Work has been found to be defective, or that Contractor's repair of any damages to the Site or adjacent areas has been found to be defective, then after receipt of such notice of defect Contractor shall promptly, without cost to Owner and in accordance with Owner's written instructions:
 - 1. correct the defective repairs to the Site or such adjacent areas;
 - 2. correct such defective Work;
 - 3. remove the defective Work from the Project and replace it with Work that is not defective, if the defective Work has been rejected by Owner, and
 - 4. satisfactorily correct or repair or remove and replace any damage to other Work, to the work of others, or to other land or areas resulting from the corrective measures.
- B. Owner shall give any such notice of defect within 60 days of the discovery that such Work or repairs is defective. If such notice is given within such 60 days but after the end of the correction period, the notice will be deemed a notice of defective Work under Paragraph 7.17.B.
- C. If, after receipt of a notice of defect within 60 days and within the correction period, Contractor does not promptly comply with the terms of Owner's written instructions, or in an emergency where delay would cause serious risk of loss or damage, Owner may have the defective Work corrected or repaired or may have the rejected Work removed and replaced. Contractor shall pay all costs, losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals and all court or arbitration or other dispute resolution costs) arising out of or relating to such correction or repair or such removal and replacement (including but not limited to all costs of repair or replacement of work of others). Contractor's failure to pay such costs, losses, and damages within 10 days of invoice from Owner will be deemed the start of an event giving rise to a Claim under Paragraph 12.01.B, such that any related Claim must be brought within 30 days of the failure to pay.
- D. In special circumstances where a particular item of equipment is placed in continuous service before Substantial Completion of all the Work, the correction period for that item may start to run from an earlier date if so provided in the Specifications.
- E. Where defective Work (and damage to other Work resulting therefrom) has been corrected or removed and replaced under this paragraph, the correction period hereunder with respect to such Work will be extended for an additional period of one year after such correction or removal and replacement has been satisfactorily completed.

F. Contractor's obligations under this paragraph are in addition to all other obligations and warranties. The provisions of this paragraph are not to be construed as a substitute for, or a waiver of, the provisions of any applicable statute of limitation or repose.

ARTICLE 16—SUSPENSION OF WORK AND TERMINATION

16.01 Owner May Suspend Work

A. At any time and without cause, Owner may suspend the Work or any portion thereof for a period of not more than 90 consecutive days by written notice to Contractor and Engineer. Such notice will fix the date on which Work will be resumed. Contractor shall resume the Work on the date so fixed. Contractor shall be entitled to an adjustment in the Contract Price or an extension of the Contract Times directly attributable to any such suspension. Any Change Proposal seeking such adjustments must be submitted no later than 30 days after the date fixed for resumption of Work.

16.02 Owner May Terminate for Cause

- A. The occurrence of any one or more of the following events will constitute a default by Contractor and justify termination for cause:
 - Contractor's persistent failure to perform the Work in accordance with the Contract Documents (including, but not limited to, failure to supply sufficient skilled workers or suitable materials or equipment, or failure to adhere to the Progress Schedule);
 - 2. Failure of Contractor to perform or otherwise to comply with a material term of the Contract Documents;
 - 3. Contractor's disregard of Laws or Regulations of any public body having jurisdiction; or
 - 4. Contractor's repeated disregard of the authority of Owner or Engineer.
- B. If one or more of the events identified in Paragraph 16.02.A occurs, then after giving Contractor (and any surety) 10 days' written notice that Owner is considering a declaration that Contractor is in default and termination of the Contract, Owner may proceed to:
 - 1. declare Contractor to be in default, and give Contractor (and any surety) written notice that the Contract is terminated; and
 - 2. enforce the rights available to Owner under any applicable performance bond.
- C. Subject to the terms and operation of any applicable performance bond, if Owner has terminated the Contract for cause, Owner may exclude Contractor from the Site, take possession of the Work, incorporate in the Work all materials and equipment stored at the Site or for which Owner has paid Contractor but which are stored elsewhere, and complete the Work as Owner may deem expedient.
- D. Owner may not proceed with termination of the Contract under Paragraph 16.02.B if Contractor within 7 days of receipt of notice of intent to terminate begins to correct its failure to perform and proceeds diligently to cure such failure.
- E. If Owner proceeds as provided in Paragraph 16.02.B, Contractor shall not be entitled to receive any further payment until the Work is completed. If the unpaid balance of the Contract Price exceeds the cost to complete the Work, including all related claims, costs,

losses, and damages (including but not limited to all fees and charges of engineers, architects, attorneys, and other professionals) sustained by Owner, such excess will be paid to Contractor. If the cost to complete the Work including such related claims, costs, losses, and damages exceeds such unpaid balance, Contractor shall pay the difference to Owner. Such claims, costs, losses, and damages incurred by Owner will be reviewed by Engineer as to their reasonableness and, when so approved by Engineer, incorporated in a Change Order. When exercising any rights or remedies under this paragraph, Owner shall not be required to obtain the lowest price for the Work performed.

- F. Where Contractor's services have been so terminated by Owner, the termination will not affect any rights or remedies of Owner against Contractor then existing or which may thereafter accrue, or any rights or remedies of Owner against Contractor or any surety under any payment bond or performance bond. Any retention or payment of money due Contractor by Owner will not release Contractor from liability.
- G. If and to the extent that Contractor has provided a performance bond under the provisions of Paragraph 6.01.A, the provisions of that bond will govern over any inconsistent provisions of Paragraphs 16.02.B and 16.02.D.

16.03 Owner May Terminate for Convenience

- A. Upon 7 days' written notice to Contractor and Engineer, Owner may, without cause and without prejudice to any other right or remedy of Owner, terminate the Contract. In such case, Contractor shall be paid for (without duplication of any items):
 - completed and acceptable Work executed in accordance with the Contract Documents prior to the effective date of termination, including fair and reasonable sums for overhead and profit on such Work;
 - expenses sustained prior to the effective date of termination in performing services and furnishing labor, materials, or equipment as required by the Contract Documents in connection with uncompleted Work, plus fair and reasonable sums for overhead and profit on such expenses; and
 - 3. other reasonable expenses directly attributable to termination, including costs incurred to prepare a termination for convenience cost proposal.
- B. Contractor shall not be paid for any loss of anticipated profits or revenue, post-termination overhead costs, or other economic loss arising out of or resulting from such termination.

16.04 Contractor May Stop Work or Terminate

- A. If, through no act or fault of Contractor, (1) the Work is suspended for more than 90 consecutive days by Owner or under an order of court or other public authority, or (2) Engineer fails to act on any Application for Payment within 30 days after it is submitted, or (3) Owner fails for 30 days to pay Contractor any sum finally determined to be due, then Contractor may, upon 7 days' written notice to Owner and Engineer, and provided Owner or Engineer do not remedy such suspension or failure within that time, terminate the contract and recover from Owner payment on the same terms as provided in Paragraph 16.03.
- B. In lieu of terminating the Contract and without prejudice to any other right or remedy, if Engineer has failed to act on an Application for Payment within 30 days after it is submitted, or Owner has failed for 30 days to pay Contractor any sum finally determined to be due,

Contractor may, 7 days after written notice to Owner and Engineer, stop the Work until payment is made of all such amounts due Contractor, including interest thereon. The provisions of this paragraph are not intended to preclude Contractor from submitting a Change Proposal for an adjustment in Contract Price or Contract Times or otherwise for expenses or damage directly attributable to Contractor's stopping the Work as permitted by this paragraph.

ARTICLE 17—FINAL RESOLUTION OF DISPUTES

17.01 Methods and Procedures

- A. *Disputes Subject to Final Resolution*: The following disputed matters are subject to final resolution under the provisions of this article:
 - 1. A timely appeal of an approval in part and denial in part of a Claim, or of a denial in full, pursuant to Article 12; and
 - 2. Disputes between Owner and Contractor concerning the Work, or obligations under the Contract Documents, that arise after final payment has been made.
- B. *Final Resolution of Disputes*: For any dispute subject to resolution under this article, Owner or Contractor may:
 - 1. elect in writing to invoke the dispute resolution process provided for in the Supplementary Conditions;
 - 2. agree with the other party to submit the dispute to another dispute resolution process; or
 - 3. if no dispute resolution process is provided for in the Supplementary Conditions or mutually agreed to, give written notice to the other party of the intent to submit the dispute to a court of competent jurisdiction.

ARTICLE 18—MISCELLANEOUS

18.01 Giving Notice

- A. Whenever any provision of the Contract requires the giving of written notice to Owner, Engineer, or Contractor, it will be deemed to have been validly given only if delivered:
 - 1. in person, by a commercial courier service or otherwise, to the recipient's place of business;
 - 2. by registered or certified mail, postage prepaid, to the recipient's place of business; or
 - 3. by e-mail to the recipient, with the words "Formal Notice" or similar in the e-mail's subject line.

18.02 Computation of Times

A. When any period of time is referred to in the Contract by days, it will be computed to exclude the first and include the last day of such period. If the last day of any such period falls on a Saturday or Sunday or on a day made a legal holiday by the law of the applicable jurisdiction, such day will be omitted from the computation.

18.03 Cumulative Remedies

A. The duties and obligations imposed by these General Conditions and the rights and remedies available hereunder to the parties hereto are in addition to, and are not to be construed in any way as a limitation of, any rights and remedies available to any or all of them which are otherwise imposed or available by Laws or Regulations, by special warranty or guarantee, or by other provisions of the Contract. The provisions of this paragraph will be as effective as if repeated specifically in the Contract Documents in connection with each particular duty, obligation, right, and remedy to which they apply.

18.04 Limitation of Damages

A. With respect to any and all Change Proposals, Claims, disputes subject to final resolution, and other matters at issue, neither Owner nor Engineer, nor any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, shall be liable to Contractor for any claims, costs, losses, or damages sustained by Contractor on or in connection with any other project or anticipated project.

18.05 No Waiver

A. A party's non-enforcement of any provision will not constitute a waiver of that provision, nor will it affect the enforceability of that provision or of the remainder of this Contract.

18.06 Survival of Obligations

A. All representations, indemnifications, warranties, and guarantees made in, required by, or given in accordance with the Contract, as well as all continuing obligations indicated in the Contract, will survive final payment, completion, and acceptance of the Work or termination of the Contract or of the services of Contractor.

18.07 Controlling Law

A. This Contract is to be governed by the law of the state in which the Project is located.

18.08 Assignment of Contract

A. Unless expressly agreed to elsewhere in the Contract, no assignment by a party to this Contract of any rights under or interests in the Contract will be binding on the other party without the written consent of the party sought to be bound; and, specifically but without limitation, money that may become due and money that is due may not be assigned without such consent (except to the extent that the effect of this restriction may be limited by law), and unless specifically stated to the contrary in any written consent to an assignment, no assignment will release or discharge the assignor from any duty or responsibility under the Contract.

18.09 Successors and Assigns

A. Owner and Contractor each binds itself, its successors, assigns, and legal representatives to the other party hereto, its successors, assigns, and legal representatives in respect to all covenants, agreements, and obligations contained in the Contract Documents.

18.10 Headings

A. Article and paragraph headings are inserted for convenience only and do not constitute parts of these General Conditions.

SUPPLEMENTARY CONDITIONS OF THE CONSTRUCTION CONTRACT

TABLE OF CONTENTS

	Page
Article 1— Definitions and Terminology	1
Article 2— Preliminary Matters	5
Article 3— Contract Documents: Intent, Requirements, Reuse	10
Article 4— Commencement and Progress of the Work	10
Article 5— Site, Subsurface and Physical Conditions, Hazardoud Environmental Conditions	11
Article 6— Bonds and Insurance	12
Article 7— Contractor's Responsibilities	20
Article 8— Other Work at the Site	23
Article 9— Owner's Responsibilities	23
Article 10— Engineer's Status During Construction	23
Article 11— Changes to the Contract	25
Article 12— Claims	26
Article 13— Cost of Work; Allowances, Unit Price Work	26
Article 14— Tests and Inspections; Correction, Removal, or Accceptance of Defective Work	27
Article 15— Payments to Contractor, Set Offs; Completions; Correction Period	27
Article 16— Suspension of Work and Termination	29
Article 17— Final Resolutions of Disputes	29
Article 18— Miscellaneous	30
Exhibit A— Software Requirements for Electronic Document Exchange	1
Exhibit B— Foreseeable Bad Weather Days	1
Exhibit C— Geotechnical Baseline Report Supplement to the Supplementary Conditions	1

SUPPLEMENTARY CONDITIONS OF THE CONSTRUCTION CONTRACT

These Supplementary Conditions amend or supplement EJCDC® C-700, Standard General Conditions of the Construction Contract (2018). The General Conditions remain in full force and effect except as amended.

The terms used in these Supplementary Conditions have the meanings stated in the General Conditions. Additional terms used in these Supplementary Conditions have the meanings stated below, which are applicable to both the singular and plural thereof.

The address system used in these Supplementary Conditions is the same as the address system used in the General Conditions, with the prefix "SC" added—for example, "Paragraph SC-4.05."

ARTICLE 1—DEFINITIONS AND TERMINOLOGY

No suggested Supplementary Conditions in this Article.

SC-1.01.A.8 – Add the following at the end of the Paragraph:

The Change Order form to be used on this Project is EJCDC C-941 (2018). Agency approval is required before Change Orders are effective.

SC-1.01.A.30 – Add the following at the end of the Paragraph:

For the purposes of Rural Development, this term is synonymous with the term "applicant" as defined in 7 CFR 1780.7 (a) (1), (2) and (3) and is an entity receiving financial assistance from the federal programs.

SC-1.01.A.50 – Add the following at the end of the Paragraph:

The Work Change Directive form to be used on this Project is EJCDC C-940 (2018). Agency approval is required before a Work Change Directive is issued.

SC-1.01.A.51 – Add the following new paragraph immediately after Paragraph 1.01.A.50:

51. Agency - The Project is financed in whole or in part by USDA Rural Utilities Service pursuant to the Consolidated Farm and Rural Development Act (7 USC Section 1921 et seq.). The Rural Utilities Service programs are administered through the USDA Rural Development offices; therefore, the Agency for these documents is USDA Rural Development.

SC-1.01.A.52 – Add the following new paragraph with the title "American Iron and Steel Definitions" immediately after Paragraph 1.01.A.51:

52.a American Iron and Steel (AIS) - Requirements mandated by Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference for "iron and steel products," meaning the following products, if made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and Construction Materials. AIS requirements apply in each of the several states, the District of Columbia, and each federally recognized Tribe, but not the U.S. Territories.

52.b Coating - A covering that is applied to the surface of an object. If a Coating is applied to the external surface of a domestic iron or Steel component, and the application takes place outside of the United States, said product would be considered a compliant product under the AIS requirements. Any Coating processes that are applied to the external surface of Iron and Steel components that would otherwise be AIS compliant would not disqualify the product from meeting the AIS requirements regardless of where the Coating processes occur, provided that final assembly of the product occurs in the United States. This exemption only applies to Coatings on the external surface of Iron and Steel components. It does not apply to Coatings or linings on internal surfaces of Iron and Steel products, such as the lining of lined pipes. All Manufacturing Processes for lined pipes, including the application of pipe lining, must occur in the United States for the product to be compliant with AIS requirements.

52.c Construction Materials - Those articles, materials, or supplies made primarily of iron and/or steel, that are permanently incorporated into the project, not including mechanical and/or electrical components, equipment and systems. Some of these products may overlap with what is also considered "structural steel". Note: Mechanical and electrical components, equipment and systems are not considered Construction Materials. See definitions of Mechanical Equipment and Electrical Equipment.

52.d *Contractor's Certification* - Documentation submitted by the Contractor upon Substantial Completion of the Contract that all Iron and Steel products installed were Produced in the United States.

52.e *De Minimis* - Various miscellaneous, incidental low-cost components that are essential for, but incidental to, the construction and are incorporated into the physical structure of the project. Examples of *De Minimis* components could include small washers, screws, fasteners (such as "off the shelf" nuts and bolts), miscellaneous wire, corner bead, ancillary tube, signage, trash bins, door hardware etc. Costs for such *De Minimis* components cumulatively may comprise no more than a total of five percent of the total cost of the materials used in and incorporated into a project; the cost of an individual item may not exceed one percent of the total cost of the materials used in and incorporated into a project.

52.f *Electrical Equipment* - Typically any machine powered by electricity and includes components that are part of the electrical distribution system. AIS does not apply to Electrical Equipment.

52.g Engineer's Certification - Documentation submitted by the Engineer that Drawings, Specifications, and Bidding Documents comply with AIS.

52.h *Iron and Steel products* - The following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and Construction Materials. Only items on the above list made primarily of iron or steel, permanently incorporated into the project must be Produced in the United States. For example, trench boxes, scaffolding or equipment, which are removed from the project site upon completion of the project, are not required to be made of U.S. iron or steel.

52.i Manufacturer - A Supplier, fabricator, distributor, materialman, or vendor is an entity with which the Owner, Contractor or any subcontractor has contracted to furnish materials or equipment to be incorporated in the project by the Owner, Contractor or a subcontractor.

52.j Manufacturer's Certification - Documentation provided by the Manufacturer stating that the Iron and Steel products to be used in the project are produced in the United States in accordance with American Iron and Steel (AIS) Requirements. If items are purchased via a Supplier, distributor, vendor, etc. from the Manufacturer directly, then the Supplier, distributor, vendor, etc. will be responsible for obtaining and providing these certifications to the parties purchasing the products.

52.k Manufacturing Processes - Processes such as melting, refining, pouring, forming, rolling, drawing, finishing, and fabricating. Further, if a domestic Iron and Steel product is taken out of the United States for any part of the manufacturing process, it becomes foreign source material. However, raw materials such as iron ore, limestone and iron and steel scrap are not covered by the AIS requirement, and the material(s), if any, being applied as a Coating are similarly not covered. Non-iron or Steel components of an Iron and Steel product may come from non-US sources. For example, for products such as valves and hydrants, the individual non-Iron and Steel components do not have to be of domestic origin. Raw materials, such as iron ore, limestone, scrap iron, and scrap steel, can come from non-U.S. sources.

52.I *Mechanical Equipment* - Typically equipment which has motorized parts and/or is powered by a motor. AIS does not apply to Mechanical Equipment.

52.m *Minor Components* - Components *within* an iron and/or Steel product otherwise compliant with the American Iron and Steel requirements; this waiver is typically used by Manufacturers. It differs from the *De Minimis* definition in that *De Minimis* pertains to the entire project and the minor component definition pertains to a single product. This waiver allows use of non-domestically produced

miscellaneous Minor Components comprising <u>up to five percent</u> of the <u>total material cost</u> of an otherwise domestically produced Iron and Steel product. However, unless a separate waiver for a product has been approved, all other Iron and Steel components in said product must still meet the AIS requirements. This waiver does not exempt the whole product from the AIS requirements only Minor Components within said product and the iron or Steel components of the product must be produced domestically. Valves and hydrants are also subject to the cost ceiling requirements described here. Examples of Minor Components could include items such as pins and springs in valves/hydrants, bands/straps in couplings, and other low-cost items such as small fasteners etc.

52.n *Municipal Castings* - Cast iron or Steel infrastructure products that are melted and cast. They typically provide access, protection, or housing for components incorporated into utility owned drinking water, storm water, wastewater, and solid waste infrastructure.

52.0 Primarily Iron or Steel - A product is made of greater than 50 percent iron or Steel on a materials cost basis. An exception to this definition is reinforced precast concrete (see Definitions). All technical specifications and applicable industry standards (e.g. NIST, NSF, AWWA) must be met. If a product is determined to be less than 50 percent iron and/or steel, the AIS requirements do not apply. For example, the cost of a fire hydrant includes:

-	
	The cost of materials used for the iron portion of a fire hydrant (e.g. bonnet, body and shoe) and
	The cost to pour and cast to create those components (e.g. labor and energy).
Not included in the cost are:	
	The additional material costs for the non-iron or Steel internal workings of the hydrant (e.g stem, coupling, valve, seals, etc.); and
	The cost to assemble the internal workings into the hydrant body.

52.p *Produced in the United States* - The production in the United States of the iron or Steel products used in the project requires that all Manufacturing Processes must take place in the United States, with the exception of metallurgical processes involving refinement of steel additives.

52.q Reinforced Precast Concrete – Reinforced Precast Concrete structures must comply with AIS, regardless of whether or not it consists of at least 50 percent iron or steel. The reinforcing bar and wire must be Produced in the United States and meet the same standards as for any other iron or Steel product. Additionally, the casting of the concrete product must take place in the United States. The cement and other raw materials used in concrete production are not required to be of domestic origin. If the reinforced concrete is cast at the construction site, the reinforcing bar and wire are considered Construction Materials and must be Produced in the United States.

52.r Steel - An alloy that includes at least 50 percent iron, between 0.02 and 2 percent carbon, and may include other elements. Metallic elements such as chromium, nickel, molybdenum, manganese, and silicon may be added during the melting of Steel for the purpose of enhancing properties such as corrosion resistance, hardness, or strength. The definition of Steel covers carbon steel, alloy steel, stainless steel, tool steel, and other specialty steels.

52.s Structural Steel - Rolled flanged shapes, having at least one dimension of their cross-section three inches or greater, which are used in the construction of bridges, buildings, ships, railroad rolling stock, and for numerous other constructional purposes. Such shapes are designated as wide-flange shapes, standard I-beams, channels, angles, tees, and zees. Other shapes include but are not limited to, H-piles, sheet piling, tie plates, cross ties, and those for other special purposes.

ARTICLE 2—PRELIMINARY MATTERS

- 2.01 Delivery of Bonds and Evidence of Insurance
- SC-2.01 Delete Paragraphs 2.01.B. and C. in their entirety and insert the following in their place:
 - B. Evidence of Contractor's Insurance: When Contractor delivers the signed counterparts of the Agreement to Owner, Contractor shall also deliver to Owner copies of the policies (including all endorsements, and identification of applicable self-insured retentions and deductibles) of insurance required to be provided by Contractor in this Contract. Contractor may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
 - C. Evidence of Owner's Insurance: After receipt from Contractor of the signed counterparts of the Agreement and all required bonds and insurance documentation, Owner shall promptly deliver to Contractor copies of the policies of insurance to be provided by Owner in this Contract (if any). Owner may block out (redact) any confidential premium or pricing information contained in any policy or endorsement furnished under this provision.
- 2.02 Copies of Documents
- SC-2.02 Amend the first sentence of Paragraph 2.02.A. to read as follows:
 - Owner shall furnish to Contractor **[number]** five printed copies of the Contract Documents (including one fully signed counterpart of the Agreement), and **[one copy]** [none] in electronic portable document format (PDF).
- SC-2.02 Delete Paragraph 2.02.A in its entirety and insert the following new paragraph in its place:
 - A. Owner shall furnish to Contractor **5** printed copies of conformed Contract Documents incorporating and integrating all Addenda and any amendments negotiated prior to the Effective Date of the Contract (including one fully signed counterpart of the Agreement), and one copy in electronic portable document format (PDF). Additional printed copies of the conformed Contract Documents will be furnished upon request at the cost of reproduction.

2.06 Electronic Transmittals

- SC-2.06 Delete Paragraphs 2.06.B and 2.06.C in their entirety and insert the following in their place:
 - B. *Electronic Documents Protocol:* The parties shall conform to the following provisions in Paragraphs 2.06.B and 2.06.C, together referred to as the Electronic Documents Protocol ("EDP" or "Protocol") for exchange of electronic transmittals.

1. Basic Requirements

- a. To the fullest extent practical, the parties agree to and will transmit and accept Electronic Documents in an electronic or digital format using the procedures described in this Protocol. Use of the Electronic Documents and any information contained therein is subject to the requirements of this Protocol and other provisions of the Contract.
- b. The contents of the information in any Electronic Document will be the responsibility of the transmitting party.
- c. Electronic Documents as exchanged by this Protocol may be used in the same manner as the printed versions of the same documents that are exchanged using non-electronic format and methods, subject to the same governing requirements, limitations, and restrictions, set forth in the Contract Documents.
- d. Except as otherwise explicitly stated herein, the terms of this Protocol will be incorporated into any other agreement or subcontract between a party and any third party for any portion of the Work on the Project, or any Project-related services, where that third party is, either directly or indirectly, required to exchange Electronic Documents with a party or with Engineer. Nothing herein will modify the requirements of the Contract regarding communications between and among the parties and their subcontractors and consultants.
- e. When transmitting Electronic Documents, the transmitting party makes no representations as to long term compatibility, usability, or readability of the items resulting from the receiving party's use of software application packages, operating systems, or computer hardware differing from those established in this Protocol.
- f. Nothing herein negates any obligation 1) in the Contract to create, provide, or maintain an original printed record version of Drawings and Specifications, signed and sealed according to applicable Laws and Regulations; 2) to comply with any applicable Law or Regulation governing the signing and sealing of design documents or the signing and electronic transmission of any other documents; or 3) to comply with the notice requirements of Paragraph 18.01 of the General Conditions.
- 2. System Infrastructure for Electronic Document Exchange
 - a. Each party will provide hardware, operating system(s) software, internet, e-mail, and large file transfer functions ("System Infrastructure") at its own cost and sufficient for complying with the EDP requirements. With the exception of minimum standards set forth in this EDP, and any explicit system requirements

specified by attachment to this EDP, it is the obligation of each party to determine, for itself, its own System Infrastructure.

- The maximum size of an email attachment for exchange of Electronic Documents under this EDP is 25 MB. Attachments larger than that may be exchanged using large file transfer functions or physical media.
- 2) Each Party assumes full and complete responsibility for any and all of its own costs, delays, deficiencies, and errors associated with converting, translating, updating, verifying, licensing, or otherwise enabling its System Infrastructure, including operating systems and software, for use with respect to this EDP.
- b. Each party is responsible for its own system operations, security, back-up, archiving, audits, printing resources, and other Information Technology ("IT") for maintaining operations of its System Infrastructure during the Project, including coordination with the party's individual(s) or entity responsible for managing its System Infrastructure and capable of addressing routine communications and other IT issues affecting the exchange of Electronic Documents.
- c. Each party will operate and maintain industry-standard, industry-accepted, ISO-standard, commercial-grade security software and systems that are intended to protect the other party from: software viruses and other malicious software like worms, trojans, adware; data breaches; loss of confidentiality; and other threats in the transmission to or storage of information from the other parties, including transmission of Electronic Documents by physical media such as CD/DVD/flash drive/hard drive. To the extent that a party maintains and operates such security software and systems, it shall not be liable to the other party for any breach of system security.
- d. In the case of disputes, conflicts, or modifications to the EDP required to address issues affecting System Infrastructure, the parties shall cooperatively resolve the issues; but, failing resolution, the Owner is authorized to make and require reasonable and necessary changes to the EDP to effectuate its original intent. If the changes cause additional cost or time to Contractor, not reasonably anticipated under the original EDP, Contractor may seek an adjustment in price or time under the appropriate process in the Contract.
- e. Each party is responsible for its own back-up and archive of documents sent and received during the term of the contract under this EDP, unless this EDP establishes a Project document archive, either as part of a mandatory Project website or other communications protocol, upon which the parties may rely for document archiving during the specified term of operation of such Project document archive. Further, each party remains solely responsible for its own post-Project back-up and archive of Project documents after the term of the Contract, or after termination of the Project document archive, if one is established, for as long as required by the Contract and as each party deems necessary for its own purposes.
- f. If a receiving party receives an obviously corrupted, damaged, or unreadable Electronic Document, the receiving party will advise the sending party of the incomplete transmission.

- g. The parties will bring any non-conforming Electronic Documents into compliance with the EDP. The parties will attempt to complete a successful transmission of the Electronic Document or use an alternative delivery method to complete the communication.
- h. The Owner will operate a Project information management system (also referred to in this EDP as "Project Website") for use of Owner, Engineer and Contractor during the Project for exchange and storage of Project-related communications and information. Except as otherwise provided in this EDP or the General Conditions, use of the Project Website by the parties as described in this Paragraph will be mandatory for exchange of Project documents, communications, submittals, and other Project-related information. The following conditions and standards will govern use of the Project Website:
 - Describe the period of time during which the Project Website will be operated and be available for reliance by the parties;
 - 2) Provide any minimum system infrastructure, software licensing and security standards for access to and use of the Project Website;
 - Describe the types and extent of services to be provided at the Project Website (such as large file transfer, email, communication and document archives, etc.); and
 - 4) Include any other Project Website attributes that may be pertinent to Contractor's use of the facility and pricing of such use.
- C. Software Requirements for Electronic Document Exchange; Limitations
 - Each party will acquire the software and software licenses necessary to create and transmit Electronic Documents and to read and to use any Electronic Documents received from the other party (and if relevant from third parties), using the software formats required in this section of the EDP.
 - a. Prior to using any updated version of the software required in this section for sending Electronic Documents to the other party, the originating party will first notify and receive concurrence from the other party for use of the updated version or adjust its transmission to comply with this EDP.
 - 2. The parties agree not to intentionally edit, reverse engineer, decrypt, remove security or encryption features, or convert to another format for modification purposes any Electronic Document or information contained therein that was transmitted in a software data format, including Portable Document Format (PDF), intended by sender not to be modified, unless the receiving party obtains the permission of the sending party or is citing or quoting excerpts of the Electronic Document for Project purposes.
 - 3. Software and data formats for exchange of Electronic Documents will conform to the requirements set forth in Exhibit A to this EDP, including software versions, if listed.
- SC-2.06 Supplement Paragraph 2.06 of the General Conditions by adding the following paragraph:
 - D. Requests by Contractor for Electronic Documents in Other Formats

- Release of any Electronic Document versions of the Project documents in formats other than those identified in the Electronic Documents Protocol (if any) or elsewhere in the Contract will be at the sole discretion of the Owner.
- 2. To extent determined by Owner, in its sole discretion, to be prudent and necessary, release of Electronic Documents versions of Project documents and other Project information requested by Contractor ("Request") in formats other than those identified in the Electronic Documents Protocol (if any) or elsewhere in the Contract will be subject to the provisions of the Owner's response to the Request, and to the following conditions to which Contractor agrees:
 - by the Request was prepared by Engineer as an internal working document for Engineer's purposes solely, and is being provided to Contractor on an "AS IS" basis without any warranties of any kind, including, but not limited to any implied warranties of fitness for any purpose. As such, Contractor is advised and acknowledges that the content may not be suitable for Contractor's application, or may require substantial modification and independent verification by Contractor. The content may include limited resolution of models, not-to-scale schematic representations and symbols, use of notes to convey design concepts in lieu of accurate graphics, approximations, graphical simplifications, undocumented intermediate revisions, and other devices that may affect subsequent reuse.
 - b. Electronic Documents containing text, graphics, metadata, or other types of data that are provided by Engineer to Contractor under the request are only for convenience of Contractor. Any conclusion or information obtained or derived from such data will be at the Contractor's sole risk and the Contractor waives any claims against Engineer or Owner arising from use of data in Electronic Documents covered by the Request.
 - c. Contractor shall indemnify and hold harmless Owner and Engineer and their subconsultants from all claims, damages, losses, and expenses, including attorneys' fees and defense costs arising out of or resulting from Contractor's use, adaptation, or distribution of any Electronic Documents provided under the Request.
 - d. Contractor agrees not to sell, copy, transfer, forward, give away or otherwise distribute this information (in source or modified file format) to any third party without the direct written authorization of Engineer, unless such distribution is specifically identified in the Request and is limited to Contractor's subcontractors. Contractor warrants that subsequent use by Contractor's subcontractors complies with all terms of the Contract Documents and Owner's response to Request.
- 3. In the event that Owner elects to provide or directs the Engineer to provide to Contractor any Contractor-requested Electronic Document versions of Project information that is not explicitly identified in the Contract Documents as being available to Contractor, the Owner shall be reimbursed by Contractor on an hourly basis (at \$125 per hour) for any engineering costs necessary to create or otherwise prepare the data in a manner deemed appropriate by Engineer.

ARTICLE 3—CONTRACT DOCUMENTS: INTENT, REQUIREMENTS, REUSE

3.01 Intent

SC-3.01 Delete Paragraph 3.01.C in its entirety.

ARTICLE 4—COMMENCEMENT AND PROGRESS OF THE WORK

SC-4.01.A – Delete the last sentence of paragraph.

4.05 Delays in Contractor's Progress

SC-4.05 Paragraph is mandatory for WWD projects.

SC-4.05 Amend Paragraph 4.05.C by adding the following subparagraphs:

- 5. Weather-Related Delays
 - If "abnormal weather conditions" as set forth in Paragraph 4.05.C.2 of the General Conditions are the basis for a request for an equitable adjustment in the Contract Times, such request must be documented by data substantiating each of the following: 1) that weather conditions were abnormal for the period of time in which the delay occurred, 2) that such weather conditions could not have been reasonably anticipated, and 3) that such weather conditions had an adverse effect on the Work as scheduled. Extreme or unusual weather that is typical for a given region, elevation, or season should not be considered abnormal weather conditions. Requests for time extensions due to abnormal weather conditions will be submitted to the Engineer within five days of the end of the abnormal weather condition event. It is the responsibility of the Contractor to provide the information listed in SC 4.05.C.5.b.
 - b. The existence of abnormal weather conditions will be determined on a month-bymonth basis in accordance with the following:
 - 1) Every workday on which one or more of the following conditions exist will be considered a "bad weather day":
 - Total precipitation (as rain equivalent) occurring between 7:00 p.m. on the preceding day (regardless of whether such preceding day is a workday) through 7:00 p.m. on the workday in question equals or exceeds **three (3) inches** of precipitation (as rain equivalent, based on the snow/rain conversion indicated in the table entitled Foreseeable Bad Weather Days; such table is hereby incorporated in this SC-4.05.C by reference.
 - ii) Ambient outdoor air temperature at 11:00 a.m. is equal to or less than the following low temperature threshold: **[temperature]** degrees Fahrenheit; or, at 3:00 p.m. the ambient outdoor temperature is equal to or greater than the following high temperature threshold: **[temperature]** degrees Fahrenheit.

- 2) Determination of actual bad weather days during performance of the Work will be based on the weather records measured and recorded by National Weather Service weather monitoring station at Whitefield Airport, Whitefield, New Hampshire.
- 3) Contractor shall anticipate the number of foreseeable bad weather days per month indicated in the table in Exhibit [exhibit number]—Foreseeable Bad Weather Days.
- 4) In each month, every bad weather day exceeding the number of foreseeable bad weather days established in the table in Exhibit [exhibit number]—Foreseeable Bad Weather Days will be considered as "abnormal weather conditions." The existence of abnormal weather conditions will not relieve Contractor of the obligation to demonstrate and document that delays caused by abnormal weather are specific to the planned work activities or that such activities thus delayed were on Contractor's then current Progress Schedule's critical path for the Project.

ARTICLE 5—SITE, SUBSURFACE AND PHYSICAL CONDITIONS, HAZARDOUS ENVIRONMENTAL CONDITIONS

- 5.03 Subsurface and Physical Conditions
- SC-5.03 Add the following new paragraphs immediately after Paragraph 5.03.D:
 - E. The following table lists the reports of explorations and tests of subsurface conditions at or adjacent to the Site that contain Technical Data, and specifically identifies the Technical Data in the report upon which Contractor may rely:

Report Title	Date of Report	Technical Data
NONE		[Identify Technical Data]

F. The following table lists the drawings of existing physical conditions at or adjacent to the Site, including those drawings depicting existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities), that contain Technical Data, and specifically identifies the Technical Data upon which Contractor may rely:

Drawings Title	Date of Drawings	Technical Data
Water, Sewer, Drainage and	April 2024	Ledge Probes
Roadway Improvements		

G. Contractor may examine copies of reports and drawings identified in SC-5.03.E and SC-5.03.F that were not included with the Bidding Documents at **Horizons Engineering, Inc.** during regular business hours, or may request copies from Engineer.

5.06 Hazardous Environmental Conditions

- SC-5.06 Add the following new paragraphs immediately after Paragraph 5.06.A.3:
 - The following table lists the reports known to Owner relating to Hazardous Environmental Conditions at or adjacent to the Site, and the Technical Data (if any) upon which Contractor may rely:

Report Title	Date of Report	Technical Data
NONE		[Identify Technical Data]

The following table lists the drawings known to Owner relating to Hazardous Environmental Conditions at or adjacent to the Site, and Technical Data (if any) contained in such Drawings upon which Contractor may rely:

Drawings Title	Date of Drawings	Technical Data
NONE		[Identify Technical Data]

ARTICLE 6—BONDS AND INSURANCE

6.01 Performance, Payment, and Other Bonds

SC-6.01 - Disregard EJCDC Guidance Notes - Performance and Payment Bonds, Note 1. Performance and Payment Bonds are required for WEP projects.

- SC-6.01 Add the following paragraphs immediately after Paragraph 6.01.A:
 - Required Performance Bond Form: The performance bond that Contractor furnishes will be in the form of EJCDC® C-610, Performance Bond (2010, 2013, or 2018 edition).
 - Required Payment Bond Form: The payment bond that Contractor furnishes will be in the form of EJCDC® C-615, Payment Bond (2010, 2013, or 2018 edition).

SC-6.01 - EJCDC Guidance Notes - "Other Bonds," Warranty Bond, Note 1. RD does not require a Warranty Bond, and RD will not accept a Warranty Bond in place of a Performance and Payment Bond. The decision to include a Warranty Bond is made by the Owner and their counsel. Please refer to EJCDC.

- SC-6.01 Add the following paragraphs immediately after Paragraph 6.01.B:
 - 1. The correction period specified as one year after the date of Substantial Completion in Paragraph 15.08.A of the General Conditions is hereby revised to be [number-either **2, 3, or other]** years after Substantial Completion.
 - 2. After Substantial Completion, Contractor shall furnish a warranty bond issued in the form of EJCDC® C-612, Warranty Bond (2018). The warranty bond must be in a bond amount of [number-either 10, 15, or other] percent of the final Contract Price. The warranty bond period will extend to a date [number-either 2, 3, or other] years after Substantial Completion of the Work. Contractor shall deliver the fully executed warranty bond to Owner prior to or with the final application for payment, and in any event no later than 11 months after Substantial Completion.

- 3. The warranty bond must be issued by the same surety that issues the performance bond required under Paragraph 6.01.A of the General Conditions.
- 6.02 Insurance—General Provisions
- SC-6.02 Add the following paragraph immediately after Paragraph 6.02.B:
 - Contractor may obtain worker's compensation insurance from an insurance company
 that has not been rated by A.M. Best, provided that such company (a) is domiciled in
 the state in which the Project is located, (b) is certified or authorized as a worker's
 compensation insurance provider by the appropriate state agency, and (c) has been
 accepted to provide worker's compensation insurance for similar projects by the state
 within the last 12 months.
- SC-6.02 Add the following paragraph immediately after Paragraph 6.02.H.2 of the General Conditions:
 - 3. For the following Subcontractors, Suppliers, or categories of Subcontractor or Supplier,
 Contractor shall require the following specified insurance, with policy limits as stated:
 [Identify Subcontractors, Suppliers, or categories of same, and insert specific insurance requirements and policy limits]
- 6.03 Contractor's Insurance
- SC-6.03 Supplement Paragraph 6.03 with the following provisions after Paragraph 6.03.C:
 - D. Other Additional Insureds: As a supplement to the provisions of Paragraph 6.03.C of the General Conditions, the commercial general liability, automobile liability, umbrella or excess, pollution liability, and unmanned aerial vehicle liability policies must include as additional insureds (in addition to Owner and Engineer) the following: **None**
 - E. Workers' Compensation and Employer's Liability: Contractor shall purchase and maintain workers' compensation and employer's liability insurance, including, as applicable, United States Longshoreman and Harbor Workers' Compensation Act, Jones Act, stop-gap employer's liability coverage for monopolistic states, and foreign voluntary workers' compensation (from available sources, notwithstanding the jurisdictional requirement of Paragraph 6.02.B of the General Conditions).

Workers' Compensation and Related Policies	Policy limits of not less than:
Workers' Compensation	
State	Statutory
Applicable Federal (e.g., Longshoreman's)	Statutory
Foreign voluntary workers' compensation (employer's	Statutory
responsibility coverage), if applicable	
Jones Act (if applicable)	
Bodily injury by accident—each accident	\$NA
Bodily injury by disease—aggregate	\$NA
Employer's Liability	
Each accident	\$500,000
Each employee	\$NA

Workers' Compensation and Related Policies	Policy limits of not less than:
Policy limit	\$500,000
Stop-gap Liability Coverage	
For work performed in monopolistic states, stop-gap liability	\$NA
coverage must be endorsed to either the worker's compensation	
or commercial general liability policy with a minimum limit of:	

- F. Commercial General Liability—Claims Covered: Contractor shall purchase and maintain commercial general liability insurance, covering all operations by or on behalf of Contractor, on an occurrence basis, against claims for:
 - 1. damages because of bodily injury, sickness or disease, or death of any person other than Contractor's employees,
 - 2. damages insured by reasonably available personal injury liability coverage, and
 - 3. damages because of injury to or destruction of tangible property wherever located, including loss of use resulting therefrom.
- G. Commercial General Liability—Form and Content: Contractor's commercial liability policy must be written on a 1996 (or later) Insurance Services Organization, Inc. (ISO) commercial general liability form (occurrence form) and include the following coverages and endorsements:
 - 1. Products and completed operations coverage.
 - a. Such insurance must be maintained for three years after final payment.
 - b. Contractor shall furnish Owner and each other additional insured (as identified in the Supplementary Conditions or elsewhere in the Contract) evidence of continuation of such insurance at final payment and three years thereafter.
 - 2. Blanket contractual liability coverage, including but not limited to coverage of Contractor's contractual indemnity obligations in Paragraph 7.18.
 - 3. Severability of interests and no insured-versus-insured or cross-liability exclusions.
 - 4. Underground, explosion, and collapse coverage.
 - 5. Personal injury coverage.
 - 6. Additional insured endorsements that include both ongoing operations and products and completed operations coverage through ISO Endorsements CG 20 10 10 01 and CG 20 37 10 01 (together). If Contractor demonstrates to Owner that the specified ISO endorsements are not commercially available, then Contractor may satisfy this requirement by providing equivalent endorsements.
 - 7. For design professional additional insureds, ISO Endorsement CG 20 32 07 04 "Additional Insured—Engineers, Architects or Surveyors Not Engaged by the Named Insured" or its equivalent.

- H. Commercial General Liability—Excluded Content: The commercial general liability insurance policy, including its coverages, endorsements, and incorporated provisions, must not include any of the following:
 - 1. Any modification of the standard definition of "insured contract" (except to delete the railroad protective liability exclusion if Contractor is required to indemnify a railroad or others with respect to Work within 50 feet of railroad property).
 - 2. Any exclusion for water intrusion or water damage.
 - 3. Any provisions resulting in the erosion of insurance limits by defense costs other than those already incorporated in ISO form CG 00 01.
 - 4. Any exclusion of coverage relating to earth subsidence or movement.
 - 5. Any exclusion for the insured's vicarious liability, strict liability, or statutory liability (other than worker's compensation).
 - 6. Any limitation or exclusion based on the nature of Contractor's work.
 - 7. Any professional liability exclusion broader in effect than the most recent edition of ISO form CG 22 79.
- I. Commercial General Liability—Minimum Policy Limits

Commercial General Liability	Policy limits of not less than:
General Aggregate	\$2,000,000
Products—Completed Operations Aggregate	\$1,000,000
Personal and Advertising Injury	\$1,000,000
Bodily Injury and Property Damage—Each Occurrence	\$1,000,000

J. Automobile Liability: Contractor shall purchase and maintain automobile liability insurance for damages because of bodily injury or death of any person or property damage arising out of the ownership, maintenance, or use of any motor vehicle. The automobile liability policy must be written on an occurrence basis.

Automobile Liability	Policy limits of not less than:
Bodily Injury	
Each Person	\$1,000,000
Each Accident	\$1,000,000
Property Damage	
Each Accident	\$1,000,000
[or]	
Combined Single Limit	
Combined Single Limit (Bodily Injury and Property Damage)	\$1,000,000

K. Umbrella or Excess Liability: Contractor shall purchase and maintain umbrella or excess liability insurance written over the underlying employer's liability, commercial general

liability, and automobile liability insurance described in the Paragraphs above. The coverage afforded must be at least as broad as that of each and every one of the underlying policies.

Excess or Umbrella Liability	Policy limits of not less than:
Each Occurrence	\$5,000,000
General Aggregate	\$5,000,000

- L. Using Umbrella or Excess Liability Insurance to Meet CGL and Other Policy Limit Requirements: Contractor may meet the policy limits specified for employer's liability, commercial general liability, and automobile liability through the primary policies alone, or through combinations of the primary insurance policy's policy limits and partial attribution of the policy limits of an umbrella or excess liability policy that is at least as broad in coverage as that of the underlying policy, as specified herein. If such umbrella or excess liability policy was required under this Contract, at a specified minimum policy limit, such umbrella or excess policy must retain a minimum limit of \$[specify amount] after accounting for partial attribution of its limits to underlying policies, as allowed above.
- M. Contractor's Pollution Liability Insurance: Contractor shall purchase and maintain a policy covering third party injury and property damage, including cleanup costs, as a result of pollution conditions arising from Contractor's operations and completed operations. This insurance must be maintained for no less than three years after final completion.

Contractor's Pollution Liability	Policy limits of not less than:
Each Occurrence/Claim	\$
General Aggregate	\$

N. Contractor's Professional Liability Insurance: If Contractor will provide or furnish professional services under this Contract, through a delegation of professional design services or otherwise, then Contractor shall be responsible for purchasing and maintaining applicable professional liability insurance. This insurance must cover negligent acts, errors, or omissions in the performance of professional design or related services by the insured or others for whom the insured is legally liable. The insurance must be maintained throughout the duration of the Contract and for a minimum of two years after Substantial Completion. The retroactive date on the policy must pre-date the commencement of furnishing services on the Project.

Contractor's Professional Liability	Policy limits of not less than:
Each Claim	\$
Annual Aggregate	\$

O. Railroad Protective Liability Insurance: Prior to commencing any Work within 50 feet of railroad-owned and controlled property, Contractor shall (1) endorse its commercial general liability policy with ISO CG 24 17, removing the contractual liability exclusion for work within 50 feet of a railroad, (2) purchase and maintain railroad protective liability insurance meeting

the following requirements, (3) furnish a copy of the endorsement to Owner, and (4) submit a copy of the railroad protective policy and other railroad-required documentation to the railroad, and notify Owner of such submittal.

[Insert additional specific requirements, commonly set by the railroad, here.]

Railroad Protective Liability Insurance	Policy limits of not less than:
Each Claim	\$
Aggregate	\$

P. Unmanned Aerial Vehicle Liability Insurance: If Contractor uses unmanned aerial vehicles (UAV—commonly referred to as drones) at the Site or in support of any aspect of the Work, Contractor shall obtain UAV liability insurance in the amounts stated; name Owner, Engineer, and all individuals and entities identified in the Supplementary Conditions as additional insureds; and provide a certificate to Owner confirming Contractor's compliance with this requirement. Such insurance will provide coverage for property damage, bodily injury or death, and invasion of privacy.

Unmanned Aerial Vehicle Liability Insurance	Policy limits of not less than:
Each Claim	\$
General Aggregate	\$

- Q. Other Required Insurance: [Here list additional types and amounts of insurance that Contractor is required to carry.]
- 6.04 Builder's Risk and Other Property Insurance
- SC-6.04 Delete Paragraph 6.04.A and insert the following in its place:
 - A. Owner shall purchase and maintain builder's risk insurance upon the Work on a completed value basis, in the amount of the Work's full insurable replacement cost (subject to such deductible amounts as may be provided in the Supplementary Conditions or required by Laws and Regulations). The specific requirements applicable to the builder's risk insurance are set forth in the Supplementary Conditions.
- SC-6.04 Supplement Paragraph 6.04 of the General Conditions with the following provisions:
 - F. Builder's Risk Requirements: The builder's risk insurance must:
 - be written on a builder's risk "all risk" policy form that at a minimum includes insurance for physical loss or damage to the Work, temporary buildings, falsework, and materials and equipment stored and in transit, and must not exclude the coverage of the following risks: fire; windstorm; hail; flood; earthquake, volcanic activity, and other earth movement; lightning; riot; civil commotion; terrorism; vehicle impact; aircraft; smoke; theft; vandalism and malicious mischief; mechanical breakdown, boiler explosion, and artificially generated electric current; collapse; explosion; debris removal; demolition occasioned by enforcement of Laws and Regulations; and water damage (other than that caused by flood).

- a. Such policy will include an exception that results in coverage for ensuing losses from physical damage or loss with respect to any defective workmanship, methods, design, or materials exclusions.
- b. If insurance against mechanical breakdown, boiler explosion, and artificially generated electric current; earthquake, volcanic activity, and other earth movement; or flood, are not commercially available under builder's risk policies, by endorsement or otherwise, such insurance will be provided through other insurance policies acceptable to Owner and Contractor.
- 2. cover, as insured property, at least the following: (a) the Work and all materials, supplies, machinery, apparatus, equipment, fixtures, and other property of a similar nature that are to be incorporated into or used in the preparation, fabrication, construction, erection, or completion of the Work, including Owner-furnished or assigned property; (b) spare parts inventory required within the scope of the Contract; and (c) temporary works which are not intended to form part of the permanent constructed Work but which are intended to provide working access to the Site, or to the Work under construction, or which are intended to provide temporary support for the Work under construction, including scaffolding, form work, fences, shoring, falsework, and temporary structures.
- 3. cover expenses incurred in the repair or replacement of any insured property (including but not limited to fees and charges of contractors, engineers, and architects).
- 4. extend to cover damage or loss to insured property while in temporary storage at the Site or in a storage location outside the Site (but not including property stored at the premises of a manufacturer or Supplier). If this coverage is subject to a sublimit, such sublimit will be a minimum of \$[amount].
- 5. extend to cover damage or loss to insured property while in transit. If this coverage is subject to a sublimit, such sublimit will be a minimum of \$[amount].
- 6. allow for the waiver of the insurer's subrogation rights, as set forth in this Contract.
- 7. allow for partial occupancy or use by Owner by endorsement, and without cancellation or lapse of coverage.
- 8. include performance/hot testing and start-up, if applicable.
- 9. be maintained in effect until the Work is complete, as set forth in Paragraph 15.06.D of the General Conditions, or until written confirmation of Owner's procurement of property insurance following Substantial Completion, whichever occurs first.
- include as named insureds the Owner, Contractor, Subcontractors (of every tier), and any other individuals or entities required by this Contract to be insured under such builder's risk policy. For purposes of Paragraphs 6.04, 6.05, and 6.06 of the General Conditions, and this and all other corresponding Supplementary Conditions, the parties required to be insured will be referred to collectively as "insureds." In addition to Owner, Contractor, and Subcontractors of every tier, include as insureds the following:
 - a. [Here list by legal name (not category, role, or classification) other persons or entities to be included on the builder's risk policy as named insureds. It is

generally recommended to list the insured's full legal/contractual name, address, contact person, telephone, and e-mail address. Include only persons or entities that have property at the Site that is to be insured by the builder's risk insurance. If applicable, separately identify any mortgagee or lender required to be named as a loss payee.]

- 11. include, in addition to the Contract Price amount, the value of the following equipment and materials to be installed by the Contractor but furnished by the Owner or third parties:
 - a. [Here list or provide cross reference to specific items of Owner furnished (or third party furnished) equipment, and purchase value; do not list items whose value is already included in the Contract Price.]
- 12. If debris removal in connection with repair or replacement of insured property is subject to a coverage sublimit, such sublimit will be a minimum of \$[amount].
- 13. In addition to the coverage sublimits stated above, the following coverages are also subject to sublimits, as follows:
 - a. [Here list a specific coverage, or cause of loss, that has been determined to be likely to be subject to a sublimit. If not applicable, then delete Paragraph SC-6.04.F.13 in its entirety.] If this coverage is subject to a sublimit, such sublimit will be a minimum of \$[amount].
- SC-6.04 Supplement Paragraph 6.04 of the General Conditions with the following provision:
 - G. Coverage for Completion Delays: The builder's risk policy will include, for the benefit of Owner, loss of revenue and soft cost coverage for losses arising from delays in completion that result from covered physical losses or damage. Such coverage will include, without limitation, fixed expenses and debt service for a minimum of 12 months with a maximum deductible of 30 days, compensation for loss of net revenues, rental costs, and attorneys' fees and engineering or other consultants' fees, if not otherwise covered.
- SC-6.04 Supplement Paragraph 6.04 of the General Conditions with the following provisions:
 - Builder's Risk and Other Property Insurance Deductibles: The purchaser of any required builder's risk, installation floater, or other property insurance will be responsible for costs not covered because of the application of a policy deductible.
 - The builder's risk policy (or if applicable the installation floater) will be subject to a deductible amount of no more than \$[number] for direct physical loss in any one occurrence.
- SC-6.04 Delete Paragraph 6.04.A of the General Conditions and substitute the following in its place:
 - Installation Floater
 - Contractor shall provide and maintain installation floater insurance on a broad form or "all risk" policy providing coverage for materials, supplies, machinery, fixtures, and equipment that will be incorporated into the Work ("Covered Property"). Coverage under the Contractor's installation floater will include loss from covered "all risk" causes (perils) to Covered Property:

- a. of the Contractor, and Covered Property of others that is in Contractor's care, custody, and control;
- b. while in transit to the Site, including while at temporary storage sites;
- c. while at the Site awaiting and during installation, erection, and testing;
- d. continuing at least until the installation or erection of the Covered Property is completed, and the Work into which it is incorporated is accepted by Owner.
- 2. The installation floater coverage cannot be contingent on an external cause or risk, or limited to property for which the Contractor is legally liable.
- The installation floater coverage will be in an amount sufficient to protect Contractor's
 interest in the Covered Property. The Contractor will be solely responsible for any
 deductible carried under this coverage.
- 4. This policy will include a waiver of subrogation applicable to Owner, Contractor, Engineer, all Subcontractors, and the officers, directors, partners, employees, agents and other consultants and subcontractors of any of them.

ARTICLE 7—CONTRACTOR'S RESPONSIBILITIES

- 7.03 Labor; Working Hours
- SC-7.03 Add the following new subparagraphs immediately after Paragraph 7.03.C:
 - 1. Regular working hours will be **0700 1700.**
 - Owner's legal holidays are New Year's Day, Presidents' Day, Martin Luther King Day, Memorial Day, Independence Day, Labor Day, Veteran's Day, Thanksgiving, Christmas Day.
- SC-7.03 Amend the first and second sentences of Paragraph 7.03.C to state "...all Work at the Site must be performed during regular working hours, **Monday** through **Friday**. Contractor will not perform Work on a **Saturday**, **Sunday**, or any legal holiday **without the expressed permission of the Owner.**"
- SC-7.03 Delete Paragraph 7.03.C in its entirety, and insert the following:
 - C. In the absence of any Laws or Regulations to the contrary, Contractor may perform the Work on holidays, during any or all hours of the day, and on any or all days of the week, at Contractor's sole discretion.
- SC-7.03 Add the following new paragraph immediately after Paragraph 7.03.C:
 - D. **Owner** shall be responsible for the cost of any overtime pay or other expense incurred by the Owner for Engineer's services (including those of the Resident Project Representative, if any), Owner's representative, and construction observation services, occasioned by the performance of Work on Saturday, Sunday, any legal holiday, or as overtime on any regular work day. If Contractor is responsible but does not pay, or if the parties are unable to agree as to the amount owed, then Owner may impose a reasonable set-off against payments due under Article 15.

- SC-7.03 Add the following new subparagraph immediately after Paragraph SC-7.03.D:
 - 1. For purposes of administering the foregoing requirement, additional overtime costs are defined as **hours in excess of 40 hours/week.**
- SC-7.04.D Add the following new paragraph immediately after Paragraph 7.04.C:
 - D. All Iron and Steel products must meet American Iron and Steel requirements.
- SC-7.04.E Add the following new paragraph immediately after Paragraph 7.04.D:
 - E. For projects utilizing a *De Minimis* waiver, Contractor shall maintain an itemized list of non-domestically produced iron or steel incidental components and ensure that the cost is less than 5% of total materials cost for project.
- SC-7.05.A Amend the third sentence of paragraph by striking out the following words:

Unless the specification or description contains or is followed by words reading that no like, equivalent, or "or-equal" item is permitted,

- SC-7.05.A.1.a.3 Amend the last sentence of Paragraph a.3 by striking out "and;" and adding a period at the end of Paragraph a.3.
- SC-7.05.A.1.a.4 Delete paragraph in its entirety and insert "Deleted."
- SC-7.05.B Add the following at the end of paragraph:

Contractor shall include a Manufacturer's Certification letter for compliance with American Iron and Steel requirements in support data, if applicable. Refer to Manufacturer's Certification Letter provided in these Contract Documents.

- SC-7.06.A.3.a.2 Remove "and" from the end of paragraph.
- SC-7.06.A.3.a.3 Add "; and" to the end of paragraph.
- SC-7.06.A.3.a.4 Add the following new paragraph immediately after Paragraph 7.06.A.3.a.3:
 - 4. Comply with American Iron and Steel by providing Manufacturer's Certification letter of American Iron and Steel compliance, if applicable. Refer to Manufacturer's Certification Letter provided in these Contract Documents.
- SC-7.07.A Amend by adding the following to the end of the paragraph:

The total amount of work subcontracted by the Contractor shall not exceed fifty percent of the Contract price without prior approval from the Owner, Engineer and Agency.

- SC-7.07.B Delete paragraph in its entirety and insert "Deleted".
- SC-7.07.E Delete the second sentence of paragraph and insert the following in its place:

Owner may not require that Contractor use a specific replacement.

7.10 *Taxes*

- SC-7.10 Add a new paragraph immediately after Paragraph 7.10.A:
 - A. Owner is exempt from payment of sales and compensating use taxes of the State of New Hampshire and of cities and counties thereof on all materials to be incorporated into the Work.
 - 1. Owner will furnish the required certificates of tax exemption to Contractor for use in the purchase of supplies and materials to be incorporated into the Work.
 - Owner's exemption does not apply to construction tools, machinery, equipment, or other property purchased by or leased by Contractor, or to supplies or materials not incorporated into the Work.
- SC-7.12.A Amend paragraph by adding the following after "written interpretations and clarifications,":

 Manufacturers' Certifications
- 7.13 Safety and Protection
- SC-7.13 Insert the following after the second sentence of Paragraph 7.13.G:

The following Owner safety programs are applicable to the Work: None

- SC-7.16.A.1.c Amend paragraph by deleting the last period and adding:
 - , including Manufacturer's Certification letter for any item in the submittal subject to American Iron and Steel requirements and include the Certificate in the submittal. Refer to Manufacturer's Certification Letter provided in these Contract Documents.
- SC-7.16.C.9 Add new paragraph immediately after Paragraph 7.16.C.8:
 - 9. Engineer's review and approval of a Shop Drawing or Sample shall include review of Manufacturers' Certifications in order to document compliance with American Iron and Steel requirements, as applicable.

SC-7.17.F – Add new paragraph immediately after Paragraph 7.17.E:

F. Contractor shall certify upon Substantial Completion that all Work and Materials have complied with American Iron and Steel requirements as mandated by Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference. Contractor shall provide said Certification to Owner. Refer to General Contractor's Certification Letter provided in these Contract Documents.

ARTICLE 8—OTHER WORK AT THE SITE

- 8.02 Coordination
- SC-8.02 Add the following new Paragraph 8.02.C immediately after Paragraph 8.02.B:
 - Owner intends to contract with others for the performance of other work at or adjacent to the Site.
 - 1. [Here identify individual or entirety] shall have authority and responsibility for coordination of the various contractors and work forces at the Site;
 - 2. The following specific matters are to be covered by such authority and responsibility: [Here itemize such matters];
 - 3. The extent of such authority and responsibilities is: [Here provide the extent].

ARTICLE 9—OWNER'S RESPONSIBILITIES

- 9.13 Owner's Site Representative
- SC-9.13 Add the following new paragraph immediately after Paragraph 9.12 of the General Conditions:
- 9.13 Owner's Site Representative
 - A. Owner will furnish an "Owner's Site Representative" to represent Owner at the Site and assist Owner in observing the progress and quality of the Work. The Owner's Site Representative is not Engineer's consultant, agent, or employee. Owner's Site Representative will be [here identify individual or entity]. The authority and responsibilities of Owner's Site Representative follow: [Here describe the duties and activities of the Owner's Site Representative.]

ARTICLE 10—ENGINEER'S STATUS DURING CONSTRUCTION

- 10.03 Resident Project Representative
- SC-10.03 Add the following new paragraphs immediately after Paragraph 10.03.B:
 - The Resident Project Representative (RPR) will be Engineer's representative at the Site. RPR's dealings in matters pertaining to the Work in general will be with Engineer and Contractor.

RPR's dealings with Subcontractors will only be through or with the full knowledge or approval of Contractor. The RPR will:

- Conferences and Meetings: Attend meetings with Contractor, such as preconstruction conferences, progress meetings, job conferences, and other Project-related meetings (but not including Contractor's safety meetings), and as appropriate prepare and circulate copies of minutes thereof.
- Safety Compliance: Comply with Site safety programs, as they apply to RPR, and if required to do so by such safety programs, receive safety training specifically related to RPR's own personal safety while at the Site.

Liaison

- Serve as Engineer's liaison with Contractor. Working principally through Contractor's authorized representative or designee, assist in providing information regarding the provisions and intent of the Contract Documents.
- b. Assist Engineer in serving as Owner's liaison with Contractor when Contractor's operations affect Owner's on-Site operations.
- Assist in obtaining from Owner additional details or information, when required for Contractor's proper execution of the Work.

Review of Work; Defective Work

- Conduct on-Site observations of the Work to assist Engineer in determining, to the extent set forth in Paragraph 10.02, if the Work is in general proceeding in accordance with the Contract Documents.
- b. Observe whether any Work in place appears to be defective.
- Observe whether any Work in place should be uncovered for observation, or requires special testing, inspection or approval.

Inspections and Tests 5.

- Observe Contractor-arranged inspections required by Laws and Regulations, including but not limited to those performed by public or other agencies having jurisdiction over the Work.
- b. Accompany visiting inspectors representing public or other agencies having jurisdiction over the Work.
- Payment Requests: Review Applications for Payment with Contractor.

7. Completion

- a. Participate in Engineer's visits regarding Substantial Completion.
- b. Assist in the preparation of a punch list of items to be completed or corrected.
- Participate in Engineer's visit to the Site in the company of Owner and Contractor regarding completion of the Work, and prepare a final punch list of items to be completed or corrected by Contractor.
- Observe whether items on the final punch list have been completed or corrected.

D. The RPR will not:

- 1. Authorize any deviation from the Contract Documents or substitution of materials or equipment (including "or-equal" items).
- 2. Exceed limitations of Engineer's authority as set forth in the Contract Documents.
- 3. Undertake any of the responsibilities of Contractor, Subcontractors, or Suppliers.
- Advise on, issue directions relative to, or assume control over any aspect of the means, methods, techniques, sequences or procedures of construction.
- Advise on, issue directions regarding, or assume control over security or safety practices, precautions, and programs in connection with the activities or operations of Owner or Contractor.
- 6. Participate in specialized field or laboratory tests or inspections conducted off-site by others except as specifically authorized by Engineer.
- 7. Authorize Owner to occupy the Project in whole or in part.

ARTICLE 11—CHANGES TO THE CONTRACT

No suggested Supplementary Conditions in this Article.

- SC-11.02.C Add new paragraph immediately after Paragraph 11.02.B:
 - C. The Engineer or Owner shall contact the Agency for concurrence on each Change Order prior to issuance. All Contract Change Orders must be concurred on (signed) by Agency before they are effective.
- SC-11.03.A.2 Add new Paragraph 11.03.A.2 immediately after Paragraph 11.03.A, which shall be renamed Paragraph 11.03.A.1:
 - 2. The Engineer or Owner shall contact the Agency for concurrence on each Work Change Directive prior to issuance. Once authorized by Owner, a copy of each Work Change Directive shall be provided by Engineer to the Agency.
- SC-11.05.B Add the following at the end of this paragraph:

For Owner-authorized changes in the Work, the Contractor will provide the Manufacturer's Certification(s) for materials subject to American Iron and Steel requirements except when sole-source is specified, in which case the Engineer will provide the Manufacturer's Certification(s).

- SC-11.09.B.2.c Add new paragraph immediately after Paragraph 11.09.B.2.b:
 - c. Change orders involving materials subject to American Iron and Steel requirements shall include supporting data (name of Manufacturer, city and state where the product was

manufactured, description of product, signature of authorized Manufacturer's representative) in the Manufacturer's Certification Letter, as applicable.

ARTICLE 12—CLAIMS

No suggested Supplementary Conditions in this Article.

ARTICLE 13—COST OF WORK; ALLOWANCES, UNIT PRICE WORK

- 13.01 Cost of the Work
- SC-13.01 Supplement Paragraph 13.01.B.5.c.(2) by adding the following sentence:

The equipment rental rate book that governs the included costs for the rental of machinery and equipment owned by Contractor (or a related entity) under the Cost of the Work provisions of this Contract is the most current edition of **Blue Book Equipment Rental Rate Guide**.

- SC-13.01 Supplement Paragraph 13.01.C.2 by adding the following definition of small tools and hand
 - **a.** For purposes of this paragraph, "small tools and hand tools" means any tool or equipment whose current price if it were purchased new at retail would be less than \$500.
- SC-13.02.C Delete paragraph in its entirety and insert "Deleted".
- 13.03 Unit Price Work
- SC-13.03 Delete Paragraph 13.03.E in its entirety and insert the following in its place:
 - E. Adjustments in Unit Price
 - 1. Contractor or Owner shall be entitled to an adjustment in the unit price with respect to an item of Unit Price Work if:
 - a. the extended price of a particular item of Unit Price Work amounts to ten percent or more of the Contract Price (based on estimated quantities at the time of Contract formation) and the variation in the quantity of that particular item of Unit Price Work actually furnished or performed by Contractor differs by more than 50 percent from the estimated quantity of such item indicated in the Agreement; and
 - b. Contractor's unit costs to perform the item of Unit Price Work have changed materially and significantly as a result of the quantity change.
 - The adjustment in unit price will account for and be coordinated with any related changes in quantities of other items of Work, and in Contractor's costs to perform such other Work, such that the resulting overall change in Contract Price is equitable to Owner and Contractor.
 - 3. Adjusted unit prices will apply to all units of that item.

ARTICLE 14—TESTS AND INSPECTIONS; CORRECTION, REMOVAL, OR ACCCEPTANCE OF DEFECTIVE WORK

No suggested Supplementary Conditions in this Article.

SC-14.03.G – Add new paragraph immediately after Paragraph 14.03.F:

G. Installation of materials that are non-compliant with American Iron and Steel requirements shall be considered defective work.

ARTICLE 15—PAYMENTS TO CONTRACTOR, SET OFFS; COMPLETIONS; CORRECTION PERIOD

15.01 Progress Payments

SC-15.01.B.4 – Add the following language at the end of paragraph:

No payments will be made that would deplete the retainage, place in escrow any funds that are required for retainage or invest the retainage for the benefit of the Contractor.

SC-15.01.B.5 – Add new paragraph immediately after Paragraph 15.01.B.4:

5. The Application for Payment form to be used on this Project is EJCDC® C-620. The Agency must approve all Applications for Payment before payment is made.

SC-15.01.B.6 – Add new paragraph immediately after Paragraph 15.01.B.5:

6. By submitting an Application for Payment based in whole or in part on furnishing equipment or materials, Contractor certifies that such equipment and materials are compliant with American Iron and Steel requirements. Manufacturer's Certification letter for materials satisfy this requirement. Refer to Manufacturer's Certification Letter provided in these Contract Documents.

SC-15.01.C.2.d – Add the following new paragraph immediately after Paragraph 15.01.C.2.c:

d. The materials presented for payment in an Application for Payment comply with American Iron and Steel requirements.

SC-15.01.D.1 – Delete paragraph in its entirety and insert the following in its place:

The Application for Payment with Engineer's recommendations will be presented to the Owner and Agency for consideration. If both the Owner and Agency find the Application for Payment acceptable, the recommended amount less any reduction under the provisions of

Paragraph 15.01.E will become due twenty (20) days after the Application for Payment is presented to the Owner, and the Owner will make payment to the Contractor.

SC-15.01 Add the following new Paragraph 15.01.F:

F. For contracts in which the Contract Price is based on the Cost of Work, if Owner determines that progress payments made to date substantially exceed the actual progress of the Work (as measured by reference to the Schedule of Values), or present a potential conflict with the Guaranteed Maximum Price, then Owner may require that Contractor prepare and submit a plan for the remaining anticipated Applications for Payment that will bring payments and progress into closer alignment and take into account the Guaranteed Maximum Price (if any), through reductions in billings, increases in retainage, or other equitable measures. Owner will review the plan, discuss any necessary modifications, and implement the plan as modified for all remaining Applications for Payment.

SC-15.02.A – Amend paragraph by striking out the following text: "7 days after".

15.03 Substantial Completion

SC-15.03.A – Modify by adding the following after the last sentence:

Contractor shall also submit the General (Prime) Contractor's Certification of Compliance certifying that to the best of the Contractor's knowledge and belief all substitutes, equals, and all Iron and Steel products proposed in the Shop Drawings, Change Orders, and Partial Payment Estimates, and those installed for the Project, are either Produced in the United States or are the subject of an approved waiver under Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference.

SC-15.03 Add the following new subparagraph to Paragraph 15.03.B:

 If some or all of the Work has been determined not to be at a point of Substantial Completion and will require re-inspection or re-testing by Engineer, the cost of such reinspection or re-testing, including the cost of time, travel and living expenses, will be paid by Contractor to Owner. If Contractor does not pay, or the parties are unable to agree as to the amount owed, then Owner may impose a reasonable set-off against payments due under this Article 15.

15.08 Correction Period

SC-15.08 Add the following new Paragraph 15.08.G:

G. The correction period specified as one year after the date of Substantial Completion in Paragraph 15.08.A of the General Conditions is hereby revised to be the number of years set forth in SC-6.01.B.1; or if no such revision has been made in SC-6.01.B, then the correction period is hereby specified to be **[one]** years after Substantial Completion.

ARTICLE 16—SUSPENSION OF WORK AND TERMINATION

No suggested Supplementary Conditions in this Article.

ARTICLE 17—FINAL RESOLUTIONS OF DISPUTES

17.02 Arbitration

SC-17.02 Add the following new paragraph immediately after Paragraph 17.01.

17.02 Arbitration

- A. All matters subject to final resolution under this Article will be settled by arbitration administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules (subject to the conditions and limitations of this Paragraph SC-17.02). Any controversy or claim in the amount of \$100,000 or less will be settled in accordance with the American Arbitration Association's supplemental rules for Fixed Time and Cost Construction Arbitration. This agreement to arbitrate will be specifically enforceable under the prevailing law of any court having jurisdiction.
- B. The demand for arbitration will be filed in writing with the other party to the Contract and with the selected arbitration administrator, and a copy will be sent to Engineer for information. The demand for arbitration will be made within the specific time required in Article 17, or if no specified time is applicable within a reasonable time after the matter in question has arisen, and in no event will any such demand be made after the date when institution of legal or equitable proceedings based on such matter in question would be barred by the applicable statute of limitations.
- C. The arbitrator(s) must be licensed engineers, contractors, attorneys, or construction managers. Hearings will take place pursuant to the standard procedures of the Construction Arbitration Rules that contemplate in-person hearings. The arbitrators will have no authority to award punitive or other damages not measured by the prevailing party's actual damages, except as may be required by statute or the Contract. Any award in an arbitration initiated under this clause will be limited to monetary damages and include no injunction or direction to any party other than the direction to pay a monetary amount.
- D. The Arbitrators will have the authority to allocate the costs of the arbitration process among the parties, but will only have the authority to allocate attorneys' fees if a specific Law or Regulation or this Contract permits them to do so.
- E. The award of the arbitrators must be accompanied by a reasoned written opinion and a concise breakdown of the award. The written opinion will cite the Contract provisions deemed applicable and relied on in making the award.
- F. The parties agree that failure or refusal of a party to pay its required share of the deposits for arbitrator compensation or administrative charges will constitute a waiver by that party to present evidence or cross-examine witness. In such event, the other party shall be required to present evidence and legal argument as the arbitrator(s) may require for the making of an award. Such waiver will not allow for a default judgment against the non-paying party in the absence of evidence presented as provided for above.

- G. No arbitration arising out of or relating to the Contract will include by consolidation, joinder, or in any other manner any other individual or entity (including Engineer, and Engineer's consultants and the officers, directors, partners, agents, employees or consultants of any of them) who is not a party to this Contract unless:
 - 1. the inclusion of such other individual or entity will allow complete relief to be afforded among those who are already parties to the arbitration;
 - such other individual or entity is substantially involved in a question of law or fact which
 is common to those who are already parties to the arbitration, and which will arise in
 such proceedings;
 - 3. such other individual or entity is subject to arbitration under a contract with either Owner or Contractor, or consents to being joined in the arbitration; and
 - 4. the consolidation or joinder is in compliance with the arbitration administrator's procedural rules.
- H. The award will be final. Judgment may be entered upon it in any court having jurisdiction thereof, and it will not be subject to modification or appeal, subject to provisions of the Laws and Regulations relating to vacating or modifying an arbitral award.
- I. Except as may be required by Laws or Regulations, neither party nor an arbitrator may disclose the existence, content, or results of any arbitration hereunder without the prior written consent of both parties, with the exception of any disclosure required by Laws and Regulations or the Contract. To the extent any disclosure is allowed pursuant to the exception, the disclosure must be strictly and narrowly limited to maintain confidentiality to the extent possible.

17.03 Attorneys' Fees

SC-17.03 Add the following new paragraph immediately after Paragraph 17.02. [Note: If there is no Paragraph 17.02, because neither arbitration nor any other dispute resolution process has been specified here in the Supplementary Conditions, then revise this to state "Add the following new Paragraph immediately after Paragraph 17.01" and revise the numbering accordingly].

17.03 Attorneys' Fees

A. For any matter subject to final resolution under this Article, the prevailing party shall be entitled to an award of its attorneys' fees incurred in the final resolution proceedings, in an equitable amount to be determined in the discretion of the court, arbitrator, arbitration panel, or other arbiter of the matter subject to final resolution, taking into account the parties' initial demand or defense positions in comparison with the final result.

ARTICLE 18—MISCELLANEOUS

18.08 Assignment of Contract

SC-18.08 Add the following new paragraph immediately after Paragraph 18.08.A:

B. The contract dated [date] between Owner as "buyer" and [identify seller] as "seller" for procurement of goods and special services ("procurement contract") [is hereby] [will be]

assigned to Contractor by Owner, and Contractor [accepts] [will accept] such assignment. A form documenting the assignment is attached as an exhibit to this Contract.

- 1. This assignment will occur on the **[Effective Date of the Contract]**, and will relieve the Owner as "buyer" from all further obligations and liabilities under the procurement contract.
- Upon assignment, the "seller" will be a Subcontractor or Supplier of the Contractor, and Contractor will be responsible for seller's performance, acts, and omissions, as set forth in Paragraph 7.07 of the General Conditions just as Contractor is responsible for all other Subcontractors and Suppliers.
- 3. Notwithstanding this assignment, all performance guarantees and warranties required by the procurement contract will continue to run for the benefit of the Owner and, in addition, for the benefit of the Contractor.
- 4. Except as noted in the procurement contract, all rights, duties and obligations of Engineer to "buyer" and "seller" under the procurement contract will cease [upon the assignment to Contractor].

SC-18.11 – Add new paragraph immediately after Paragraph 18.10:

18.11 Tribal Sovereignty

A. No provision of this Agreement will be construed by any of the signatories as abridging or debilitating any sovereign powers of the [insert name of Tribe] Tribe; affecting the trust-beneficiary relationship between the Secretary of the Interior, Tribe, and Indian landowner(s); or interfering with the government-to-government relationship between the United States and the Tribe.

SC-19 – Add the following new Article 19 immediately after Article 18:

Article 19 - FEDERAL REQUIREMENTS

19.01 Agency Not a Party

A. This Contract is expected to be funded in part with funds provided by Agency. Neither Agency, nor any of its departments, entities, or employees, is a party to this Contract.

19.02 Contract Approval

A. Owner and Contractor will furnish Owner's attorney such evidence as required so that Owner's attorney can complete and execute the "Certificate of Owner's Attorney" (Exhibit G of this Bulletin) before Owner submits the executed Contract Documents to Agency for approval.

B. Agency concurrence is required on both the Bid and the Contract before the Contract is effective.

19.03 Conflict of Interest

A. Contractor may not knowingly contract with a Supplier or Manufacturer if the individual or entity who prepared the Drawings and Specifications has a corporate or financial affiliation with the Supplier or Manufacturer. Owner's officers, employees, or agents shall not engage in the award or administration of this Contract if a conflict of interest, real or apparent, would be involved. Such a conflict would arise when: (i) the employee, officer or agent; (ii) any member of their immediate family; (iii) their partner or (iv) an organization that employs, or is about to employ, any of the above, has a financial interest or other interest in or a tangible personal benefit from the Contractor. Owner's officers, employees, or agents shall neither solicit nor accept gratuities, favors or anything of monetary value from Contractor or subcontractors.

19.04 Gratuities

A. If Owner finds after a notice and hearing that Contractor, or any of Contractor's agents or representatives, offered or gave gratuities (in the form of entertainment, gifts, or otherwise) to any official, employee, or agent of Owner or Agency in an attempt to secure this Contract or favorable treatment in awarding, amending, or making any determinations related to the performance of this Contract, Owner may, by written notice to Contractor, terminate this Contract. Owner may also pursue other rights and remedies that the law or this Contract provides. However, the existence of the facts on which Owner bases such findings shall be an issue and may be reviewed in proceedings under the dispute resolution provisions of this Contract.

B. In the event this Contract is terminated as provided in paragraph 19.04.A, Owner may pursue the same remedies against Contractor as it could pursue in the event of a breach of this Contract by Contractor. As a penalty, in addition to any other damages to which it may be entitled by law, Owner may pursue exemplary damages in an amount (as determined by Owner) which shall not be less than three nor more than ten times the costs Contractor incurs in providing any such gratuities to any such officer or employee.

19.05 Small, Minority and Women's Businesses

A. If Contractor intends to let any subcontracts for a portion of the work, Contractor will take all necessary affirmative steps to assure that minority businesses, women's business enterprises, and labor surplus area firms are used when possible. Affirmative steps will include:

- 1. Placing qualified small and minority businesses and women's business enterprises on solicitation lists;
- 2. Assuring that small and minority businesses, and women's business enterprises are solicited whenever they are potential sources;

- 3. Dividing total requirements, when economically feasible, into smaller tasks or quantities to permit maximum participation by small and minority businesses, and women's business enterprises;
- 4. Establishing delivery schedules, where the requirement permits, which encourage participation by small and minority businesses, and women's business enterprises;
- 5. Using the services and assistance, as appropriate, of such organizations as the Small Business Administration and the Minority Business Development Agency of the Department of Commerce.

19.06 Anti-Kickback

A. Contractor shall comply with the Copeland Anti-Kickback Act (40 USC 3145) as supplemented by Department of Labor regulations (29 CFR Part 3, "Contractors and Subcontractors on Public Buildings or Public Works Financed in Whole or in Part by Loans or Grants of the United States"). The Act provides that Contractor or subcontractor shall be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public facilities, to give up any part of the compensation to which they are otherwise entitled. Owner shall report all suspected or reported violations to Agency.

19.07 Clean Air Act (42 U.S.C. 7401-7671q.) and the Federal Water Pollution Control Act (33 U.S.C. 1251-1387), as amended

A. Contractor to agree to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401-7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251-1387). Violations must be reported to the federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

19.08 Equal Employment Opportunity

A. The Contract is considered a federally assisted construction contract. Except as otherwise provided under 41 CFR Part 60, all contracts that meet the definition of "federally assisted construction contract" in 41 CFR Part 60-1.3 must include the equal opportunity clause provided under 41 CFR 60-1.4(b), in accordance with Executive Order 11246, "Equal Employment Opportunity" (30 FR 12319, 12935, 3 CFR Part, 1964-1965 Comp., p. 339), as amended by Executive Order 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and implementing regulations at 41 CFR part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

19.09 Byrd Anti-Lobbying Amendment (31 U.S.C. 1352)

A. Contractors that apply or bid for an award exceeding \$100,000 must file the required certification (RD Instruction 1940-Q Exhibit A-1). The Contractor certifies to the Owner and every subcontractor certifies to the Contractor that it will not and has not used federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining the Contract if it is covered by 31 U.S.C. 1352. The Contractor and every subcontractor must also disclose any lobbying with non-federal funds that takes place in connection with obtaining any federal award. Such disclosures are forwarded from tier to tier up to the Owner. Necessary certification and disclosure forms shall be provided by Owner.

19.10 Environmental Requirements

A. When constructing a Project involving trenching and/or other related earth excavations, Contractor shall comply with the following environmental conditions:

- 1. Wetlands When disposing of excess, spoil, or other Construction Materials on public or private property, Contractor shall not fill in or otherwise convert wetlands.
- 2. Floodplains When disposing of excess, spoil, or other Construction Materials on public or private property, Contractor shall not fill in or otherwise convert 100-year floodplain areas (Standard Flood Hazard Area) delineated on the latest Federal Emergency Management Agency Floodplain Maps, or other appropriate maps, e.g., alluvial soils on NRCS Soil Survey Maps.
- 3. Historic Preservation Applicants shall ensure that Contractors maintain a copy of the following inadvertent discovery plan onsite for review:
 - a. If during the course of any ground disturbance related to any Project, any post review discovery, including but not limited to, any artifacts, foundations, or other indications of past human occupation of the area are uncovered, shall be protected by complying with 36 CFR § 800.13(b)(3) and (c) and shall include the following:
 - i. All Work, including vehicular traffic, shall immediately stop within a 50 ft. radius around the area of discovery. The Contractor shall ensure barriers are established to protect the area of discovery and notify the Engineer to contact the appropriate RD personnel. The Engineer shall engage a Secretary of the Interior (SOI) qualified professional archeologist to quickly assess the nature and scope of the discovery; implement interim measures to protect the discovery from looting and vandalism; and establish broader barriers if further historic and/or precontact properties, can reasonably be expected to occur.
 - ii. The RD personnel shall notify the appropriate RD environmental staff member, the Federal Preservation Officer (FPO), and State Historic Preservation Office (SHPO)

immediately. Indian tribe(s) or Native Hawaiian Organization (NHOs) that have an interest in the area of discovery shall be contacted immediately. The SHPO may require additional tribes or NHOs who may have an interest in the area of discovery also be contacted. The notification shall include an assessment of the discovery provided by the SOI qualified professional archeologist.

iii. When the discovery contains burial sites or human remains, the Contractor shall immediately notify the appropriate RD personnel who will contact the RD environmental staff member, FPO, and the SHPO. The relevant law enforcement authorities shall be immediately contacted by onsite personnel to reduce delay times, in accordance with tribal, state, or local laws including 36 CFR Part 800.13; 43 CFR Part 10, Subpart B; and the Advisory Council on Historic Preservation's Policy Statement Regarding treatment of Burial Sites, Human Remains, or Funerary Objects (February 23, 2007).

iv. When the discovery contains burial sites or human remains, all construction activities, including vehicular traffic shall stop within a 100 ft. radius of the discovery and barriers shall be established. The evaluation of human remains shall be conducted at the site of discovery by a SOI qualified professional. Remains that have been removed from their primary context and where that context may be in question may be retained in a secure location, pending further decisions on treatment and disposition. RD may expand this radius based on the SOI professional's assessment of the discovery and establish broader barriers if further subsurface burial sites, or human remains can reasonably be expected to occur. RD, in consultation with the SHPO and interested tribes or NHOs, shall develop a plan for the treatment of native human remains.

v. Work may continue in other areas of the undertaking where no historic properties, burial sites, or human remains are present. If the inadvertent discovery appears to be a consequence of illegal activity such as looting, the onsite personnel shall contact the appropriate legal authorities immediately if the landowner has not already done so.

vi. Work may not resume in the area of the discovery until a notice to proceed has been issued by RD. RD shall not issue the notice to proceed until it has determined that the appropriate local protocols and consulting parties have been consulted.

vii. Inadvertent discoveries on federal and tribal land shall follow the processes required by the federal or tribal entity.

- 4. Endangered Species Contractor shall comply with the Endangered Species Act, which provides for the protection of endangered and/or threatened species and critical habitat. Should any evidence of the presence of endangered and/or threatened species or their critical habitat be brought to the attention of Contractor, Contractor will immediately report this evidence to Owner and a representative of Agency. Construction shall be temporarily halted pending the notification process and further directions issued by Agency after consultation with the U.S. Fish and Wildlife Service.
- 5. Mitigation Measures The following environmental mitigation measures are required on this Project: [Insert mitigation measures from the Letter of Conditions here].

19.11 Contract Work Hours and Safety Standards Act (40 U.S.C. 3701-3708)

A. Where applicable, for contracts awarded by the Owner in excess of \$100,000 that involve the employment of mechanics or laborers, the Contractor will comply with 40 U.S.C. 3702 and 3704, as supplemented by Department of Labor regulations (29 CFR Part 5). Under 40 U.S.C. 3702 of the Act, the Contractor will compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. 3704 are applicable to construction work and provide that no laborer or mechanic will be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

19.12 Debarment and Suspension (Executive Orders 12549 and 12689)

A. A contract award (see 2 CFR 180.220) must not be made to parties listed on the governmentwide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at 2 CFR 180 that implement Executive Orders 12549 (3 CFR part 1986 Comp., p. 189) and 12689 (3 CFR part 1989 Comp., p. 235), "Debarment and Suspension." SAM Exclusions contains the names of parties debarred, suspended, or otherwise excluded by agencies, as well as parties declared ineligible under statutory or regulatory authority other than Executive Order 12549.

19.13 Procurement of recovered materials

A. The Contractor will comply with 2 CFR Part 200.322, "Procurement of recovered materials."

19.14 American Iron and Steel

A. Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference applies an American Iron and Steel requirement to this project. All iron and steel products used in this project must be produced in the United States. The term "iron and steel products" means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural steel, reinforced precast concrete, and Construction Materials.

- B. The following waivers apply to this Contract:
 - 1. De Minimis,
 - 2. Minor Components,
 - 3. Pig iron and direct reduced iron, and
 - 4. [add project specific waivers as applicable].

EXHIBIT A—SOFTWARE REQUIREMENTS FOR ELECTRONIC DOCUMENT EXCHANGE

		Transmittal	Data	Note		
Item	Electronic Documents	Means	Format	(1)		
a.1	General communications, transmittal covers, meeting notices and	Email	Email			
	responses to general information requests for which there is no					
	specific prescribed form.					
a.2	Meeting agendas, meeting minutes, RFI's and responses to RFI's,	Email w/	PDF	(2)		
_	and Contract forms.	Attachment				
a.3	Contactors Submittals (Shop Drawings, "or equal" requests,	Email w/	PDF			
	substitution requests, documentation accompanying Sample submittals and other submittals) to Owner and Engineer, and	Attachment				
	Owner's and Engineer's responses to Contractor's Submittals,					
	Shop Drawings, correspondence, and Applications for Payment.					
a.4	Correspondence; milestone and final version Submittals of	Email w/	PDF			
u	reports, layouts, Drawings, maps, calculations and spreadsheets,	Attachment or LFE	. 5.			
	Specifications, Drawings and other Submittals from Contractor to	7.0000				
	Owner or Engineer and for responses from Engineer and Owner					
	to Contractor regarding Submittals.					
a.5	Layouts and drawings to be submitted to Owner for future use	Email w/	DWG			
	and modification.	Attachment or LFE				
a.6	Correspondence, reports and Specifications to be submitted to	Email w/	DOC			
	Owner for future word processing use and modification.	Attachment or LFE				
a.7	Spreadsheets and data to be submitted to Owner for future data	Email w/	EXC			
	processing use and modification.	Attachment or LFE				
a.8	Database files and data to be submitted to Owner for future data	Email w/	DB			
	processing use and modification.	Attachment or LFE				
Notes						
(1)	All exchanges and uses of transmitted data are subject to the appropriate provisions of Contract Documents.					
(2)	Transmittal of written notices is governed by Paragraph 18.01 of the General Conditions.					
Key						
Email	Standard Email formats (.htm, .rtf, or .txt). Do not use stationery formatting or other features that impair legibility of content on screen or in printed copies					
LFE	Agreed upon Large File Exchange method (FTP, CD, DVD, hard drive)					
PDF	Portable Document Format readable by Adobe® Acrobat Reader Version [number] or later					
DWG	Autodesk® AutoCAD .dwg format Version [number]					
DOC	Microsoft® Word .docx format Version [number]					
EXC	Microsoft® Excel .xls or .xml format Version [number]					
DB	Microsoft® Access .mdb format Version [number]					

EXHIBIT B—FORESEEABLE BAD WEATHER DAYS

		Ambient Outdoor Air Temperature (degrees F)		
Month	Number of Foreseeable Bad Weather Days in Month Based on Precipitation as Rain Equivalent (inches) (1)	Number of Foreseeable Bad Weather Days in Month Based on Low Temperature (at 11:00 a.m.)	Number of Foreseeable Bad Weather Days in Month Based on High Temperature (at 3:00 p.m.)	
	Equivalent (inches) (1)	(at 11.00 a.m.)	(at 3.00 p.m.)	
January February				
March				
April				
May				
June				
July				
August				
September				
October				
November				
December				

Notes:

^{1.} Two inches of sleet equal one inch of rain. Five inches of wet, heavy snow equal one inch of rain. Fifteen inches of "dry" powder snow equals one inch of rain.

EXHIBIT C—GEOTECHNICAL BASELINE REPORT SUPPLEMENT TO THE SUPPLEMENTARY CONDITIONS

1.01 Definitions

- SC-1.01 Add to the list of definitions in Paragraph 1.01.A by inserting the following as numbered items in their proper alphabetical positions:
 - 1. Geotechnical Baseline Report (GBR)—The interpretive report prepared by or for Owner regarding subsurface conditions at the Site, and containing specific baseline geotechnical conditions that may be anticipated or relied upon for bidding and contract administration purposes, subject to the controlling provisions of the Contract, including the GBR's own terms. The GBR is a Contract Document.
 - 2. Geotechnical Data Report (GDR)—The factual report that collects and presents data regarding actual subsurface conditions at or adjacent to the Site, including Technical Data and other geotechnical data, prepared by or for Owner in support of the Geotechnical Baseline Report. The GDR's content may include logs of borings, trenches, and other site investigations, recorded measurements of subsurface water levels, the results of field and laboratory testing, and descriptions of the investigative and testing programs. The GDR does not include an interpretation of the data. If opinions, or interpretive or speculative non-factual comments or statements appear in a document that is labeled a GDR, such opinions, comments, or statements are not operative parts of the GDR and do not have contractual standing. Subject to that exception, the GDR is a Contract Document.
- 5.03 Subsurface and Physical Conditions
- SC-5.03 Delete Paragraph 5.03 in its entirety and replace with the following:
- 5.03 Subsurface and Physical Conditions
 - A. Reports and Drawings: The Supplementary Conditions hereby identify:
 - those reports of explorations and tests of subsurface conditions at or adjacent to the Site (other than any Geotechnical Data Report or Geotechnical Baseline Report) that contain Technical Data. Such reports are as follows:

a. Report Title: None

b. Date of Report: N/A

- c. Technical Data in report upon which Contractor may rely: None
- 2. those drawings of existing physical conditions at or adjacent to the Site, including those drawings depicting existing surface or subsurface structures at or adjacent to the Site (except Underground Facilities), that contain Technical Data. Such drawings are as follows:
 - a. Drawings Title: Town of Northumberland, New Hampshire Water, Sewer, Drainage and Roadway Improvements
 - b. Date of Drawings: April 2024

Technical Data in drawings upon which Contractor may rely: Ledge Probes as shown on the design drawings.

- c. 3. Contractor may examine copies of reports and drawings identified immediately above that were not included with the Bidding Documents at the office of Horizons Engineering, Inc., 34 School Street, Littleton, New Hampshire 03561 during regular business hours, or may request copies from Engineer, at the cost of reproduction.
- B. *Underground Facilities:* Underground Facilities are shown or indicated on the Drawings, pursuant to Paragraph 5.05, and not in the drawings referred to in Paragraph SC-5.03.A. Information and data regarding the presence or location of Underground Facilities are not intended to be categorized, identified, or defined as Technical Data.
- C. Reliance by Contractor on Technical Data Authorized: Contractor may rely upon the accuracy of the Technical Data expressly identified in the Supplementary Conditions with respect to such reports and drawings, but such reports and drawings are not Contract Documents. If no such express identification has been made, then Contractor may rely upon the accuracy of the Technical Data as defined in Paragraph 1.01.A.46.b.
- D. Limitations of Other Data and Documents: Except for such reliance on Technical Data, Contractor may not rely upon or make any claim against Owner or Engineer, or any of their officers, directors, members, partners, employees, agents, consultants, or subcontractors, with respect to:
 - the completeness of such reports and drawings for Contractor's purposes, including, but not limited to, any aspects of the means, methods, techniques, sequences, and procedures of construction to be employed by Contractor, and safety precautions and programs incident thereto; or
 - other data, interpretations, opinions, and information contained in such reports or shown or indicated in such drawings; or
 - the contents of other Site-related documents made available to Contractor, such as record drawings from other projects at or adjacent to the Site, or Owner's archival documents concerning the Site; or
 - 4. any Contractor interpretation of or conclusion drawn from any Technical Data or any such other data, interpretations, opinions, or information.

E. Geotechnical Baseline Report

- 1. This Contract contains a Geotechnical Baseline Report ("GBR"), identified as follows: [Example: Geotechnical Baseline Report for Northwest Interceptor, dated February 12, 2013, prepared by ABC Geotechnical Engineers, Inc., Sacramento, California]. This Contract also contains a Geotechnical Data Report (GDR), identified as follows: [Example: Geotechnical Data Report for Northwest Interceptor, dated June 15, 2012, prepared by ABC Geotechnical Engineers, Inc., Sacramento, California].
- 2. The GBR and GDR are incorporated as Contract Documents. The GBR and GDR are to be used in conjunction with other Contract Documents, including the Drawings and

Specifications. If there is a conflict between the terms of the GBR and the GDR, the GBR's terms prevail.

- 3. The GBR describes certain select subsurface conditions that are anticipated to be encountered by Contractor during construction in specified locations (referred to here in the Supplementary Conditions as "Baseline Conditions"). These may include ground, geological, groundwater, and other subsurface geotechnical conditions, and baselines of anticipated Underground Facilities or subsurface structures.
- 4. The Baseline Conditions will be used to assist in the administration of the Contract's differing site conditions clause at locations where subsurface conditions have been baselined. If a condition is baselined in the GBR, then only the pertinent Baseline Conditions will be used to determine whether there is a differing site condition; and no other indication of that condition in the Contract Documents or Technical Data, or of a condition that describes, quantifies, or measures a similar characteristic of the subsurface, will be used for the differing site condition determination.
- 5. The Baseline Conditions will not be used to make differing site conditions determinations at locations that have not been baselined in the GBR, or at any location with respect to subsurface conditions that the Baseline Conditions do not address. If Underground Facilities or Hazardous Environmental Conditions are expressly addressed in the Baseline Conditions, then comparison to such Baseline Conditions will be the primary means of determining (a) whether an Underground Facility was shown or indicated with reasonable accuracy, as provided in Paragraph 5.05 of the General Conditions, or (b) whether a Hazardous Environmental Condition was shown or indicated in the Contract Documents as indicated in Paragraph 5.06.H of the General Conditions. As indicated in Paragraph SC-5.04 below, the GDR will be the primary resource for differing site conditions determinations in cases in which the GBR is inapplicable.
- 6. The descriptions of subsurface conditions provided in the GBR are based on geotechnical investigations, laboratory tests, interpretation, interpolation, extrapolation, and analyses. Neither Owner, Engineer, nor any geotechnical or other consultant warrants or guarantees that actual subsurface conditions will be as described in the GBR, nor is the GBR intended to warrant or guarantee the use of specific means or methods of construction.
- 7. The behavior of the ground during construction depends substantially upon the Contractor's selected means, methods, techniques, sequences, and procedures of construction. If ground behavior conditions are baselined in the GBR, they are based on stated assumptions regarding construction means and methods.
- 8. The GBR will not reduce or relieve Contractor of its responsibility for the planning, selection, and implementation of safety precautions and programs incident to Contractor's means, methods, techniques, sequences, and procedures of construction, or to the Work.

- 5.04 Differing Subsurface or Physical Conditions
- SC-5.04 Delete Paragraph 5.04 in its entirety and replace with the following:
- 5.04 Differing Subsurface or Physical Conditions
 - A. *Notice:* If Contractor believes that any subsurface condition that is uncovered or revealed at the Site:
 - 1. differs materially from conditions shown or indicated in the GBR; or
 - 2. differs materially from conditions shown or indicated in the GDR, to the extent the GBR is inapplicable; or
 - 3. differs materially from conditions shown or indicated in Contract Documents other than the GBR or GDR, to the extent the GBR and GDR are inapplicable; or
 - 4. to the extent the GBR and GDR are inapplicable, is of such a nature as to establish that any Technical Data on which Contractor is entitled to rely as provided in Paragraph 5.03 is materially inaccurate; or
 - 5. to the extent the GBR and GDR are inapplicable, is of such a nature as to require a change in the Drawings or Specifications; or
 - to the extent the GBR and GDR are inapplicable, is of an unusual nature, and differs
 materially from conditions ordinarily encountered and generally recognized as inherent
 in work of the character provided for in the Contract Documents;

then Contractor shall, promptly after becoming aware thereof and before further disturbing the subsurface conditions or performing any Work in connection therewith (except in an emergency as required by Paragraph 7.15), notify Owner and Engineer in writing about such condition. Contractor shall not further disturb such condition or perform any Work in connection therewith (except with respect to an emergency) until receipt of a written statement permitting Contractor to do so.

- B. Engineer's Review: After receipt of written notice as required by the preceding paragraph, Engineer will promptly review the subsurface or physical condition in question; determine the necessity of Owner's obtaining additional exploration or tests with respect to the condition; conclude whether the condition falls within any one or more of the differing site condition categories in Paragraph SC-5.04.A above; obtain any pertinent cost or schedule information from Contractor; prepare recommendations to Owner regarding the Contractor's resumption or continuation of Work in connection with the subsurface or physical condition in question and the need for any change in the Drawings or Specifications; and advise Owner in writing of Engineer's findings, conclusions, and recommendations.
- C. Owner's Statement to Contractor Regarding Site Condition: After receipt of Engineer's written findings, conclusions, and recommendations, Owner shall issue a written statement to Contractor (with a copy to Engineer) regarding the subsurface or physical condition in question, addressing the resumption or continuation of Work in connection with such condition, indicating whether any change in the Drawings or Specifications will be made, and adopting or rejecting Engineer's written findings, conclusions, and recommendations, in whole or in part.

- D. Early Resumption of Work: If at any time Engineer determines that Work in connection with the subsurface or physical condition in question may resume prior to completion of Engineer's review or Owner's issuance of its statement to Contractor, because the condition in question has been adequately documented, and analyzed on a preliminary basis, then the Engineer may at its discretion instruct Contractor to resume such Work.
- E. Possible Price and Times Adjustments
 - 1. Contractor shall be entitled to an equitable adjustment in Contract Price or Contract Times, to the extent that the existence of a differing subsurface or physical condition, or any related delay, disruption, or interference, causes an increase or decrease in Contractor's cost of, or time required for, performance of the Work; subject, however, to the following:
 - a. such condition must fall within any one or more of the categories described in Paragraph SC-5.04.A;
 - b. with respect to Work that is paid for on a unit price basis, any adjustment in Contract Price will be subject to the provisions of Paragraph 13.03 of the General Conditions; and
 - c. Contractor's entitlement to an adjustment of the Contract Times is subject to the provisions of Paragraphs 4.05.D and 4.05.E.
 - 2. Contractor shall not be entitled to any adjustment in the Contract Price or Contract Times with respect to a subsurface or physical condition if:
 - a. Contractor knew of the existence of such condition at the time Contractor made a commitment to Owner with respect to Contract Price and Contract Times by the submission of a Bid or becoming bound under a negotiated contract, or otherwise; or
 - the existence of such condition reasonably could have been discovered or revealed as a result of any examination, investigation, exploration, test, or study of the Site and contiguous areas expressly required by the Bidding Requirements or Contract Documents to be conducted by or for Contractor prior to Contractor's making such commitment; or
 - c. Contractor failed to give the written notice as required by Paragraph SC-5.04.A.
 - 3. If Owner and Contractor agree regarding Contractor's entitlement to and the amount or extent of any adjustment in the Contract Price or Contract Times, then any such adjustment must be set forth in a Change Order.
 - 4. Contractor may submit a Change Proposal regarding its entitlement to or the amount or extent of any adjustment in the Contract Price or Contract Times, no later than 30 days after Owner's issuance of the Owner's written statement to Contractor regarding the subsurface or physical condition in question.
- F. Underground Facilities; Hazardous Environmental Conditions: Paragraph 5.05 of the General Conditions governs rights and responsibilities regarding the presence or location of Underground Facilities. Paragraph 5.06 of the General Conditions governs rights and

WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS

responsibilities regarding Hazardous Environmental Conditions. The provisions of Paragraphs SC-5.03 and SC-5.04 are not applicable to the presence or location of Underground Facilities, or to Hazardous Environmental Conditions.

INFORMATION FOR BIDDERS

STATE INSPECTION

Work performed on this project shall be subject to inspection by representatives of the New Hampshire Department of Environmental Services (NHDES). Such inspection shall in no sense make the State Government a party to this contract, unless said Government is also the Owner, and will in no way interfere with the rights of either party hereunder.

Representatives of NHDES shall be given Right of Access to all portions of the proposed work, including but not limited to actual work site, storage yards, offsite manufacturing and fabricating location and job records.

NON-RESIDENT CONTRACTORS

The successful bidder, if a corporation established under laws other than the State of New Hampshire, shall file, at the time of the execution of the contract, with the Owner, notice of the name of its resident attorney, appointed as required by the laws of the State of New Hampshire.

The successful bidder, if not a resident of New Hampshire, and not a corporation, shall file, at the time of execution of the contract, with the Owner a written appointment of a resident of the state of New Hampshire, having an office or place of business therein, to be their true and lawful attorney upon whom all lawful processes in any actions or proceedings against them may be served; and in such writing, which shall set forth said attorney's place of residence, shall agree that any lawful process against them which is served on said attorney shall be of the same legal force and validity as if served on them and that the authority shall continue in force so long as any liability remains outstanding against them in New Hampshire.

The power of attorney shall be filed in the office of the Secretary of State if required, and copies certified by the Secretary shall be sufficient evidence thereof. Such appointment shall continue in force until revoked by an instrument in writing, designating in a like manner some other person upon whom such processes may be served, which instrument shall be filed in the manner provided herein for the original appointment.

A Non-resident Contractor shall be deemed to be:

- a) A person who is not a resident of the State of New Hampshire.
- b) Any partnership that has no member thereof resident of the State of New Hampshire.
- c) Any corporation established under laws other than those of the State of New Hampshire.

AMERICAN IRON AND STEEL

The **BIDDER'S AMERICAN IRON AND STEEL ACKNOWLEDGEMENT** shall be completed and signed by each Bidder and included with each bid. Additionally, CONTRACTOR shall certify and document to OWNER with each Application for Payment, and upon completion of the project that all iron and steel goods subject to this provision have been produced in the United States.

DBE RULE PROGRAM REQUIREMENTS (MBE AND WBE)

Bidders on this project are required to demonstrate compliance with the US Environmental Protection Agency's MBE/WBE rules in order to be deemed responsive. The existing Fair Share Goals are 2.25% MBE and 8.31% WBE. The MBE/WBE documentation, DBE Subcontractor Utilization Form and DBE Subcontractor Performance Forms shall be submitted with the bid.

The requirements for bidders and contractors are as follows:

State Revolving Fund loan recipients **and their contractors** must comply with the following DBE Rule requirements throughout the SRF loan project period:

- 1) Fair share objectives (MBE/WBE goals).
- 2) Good Faith Efforts.

- 3) Annual Reporting of MBE/WBE accomplishments.
- 4) Contract Administration Requirements.
- 5) Bidders List Requirements.
- 6) Record Keeping.

DAVIS-BACON WAGE RATES

This project is funded in whole or in part by a loan available through NHDES' Clean Water and/or Drinking Water SRF programs and hence is subject to federal Davis-Bacon wage provisions.

All laborers and mechanics employed by contractors or subcontractors on this project shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the U.S. Department of Labor (DOL) in accordance with Subchapter IV of Chapter 31 of Title 40, United States Code.

If the applicable wage determination does not provide a rate for a classification of work to be performed, the Contractor must request additional classifications and wage rates to be added in conformance to the contract wage determination after contract award. You can find additional information on DBA Conformances in the US Department of Labor Learning Center.

General Wage Decision Guidance

New Hampshire Department of Environmental Services

Northumberland, Town of (Groveton): Northumberland Hill Area Infrastructure Improvements

Project Number: 1781010-02

This project is funded in whole or in part by a loan available through NHDES' Clean Water and/or Drinking Water SRF programs and is subject to federal Davis-Bacon wage provisions.

All laborers and mechanics employed by contractors or subcontractors on this project shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the U.S. Department of Labor (DOL) in accordance with Subchapter IV of Chapter 31 of Title 40, United States Code.

The following General Wage Decision(s) will apply to this project:

Date Published	Number	County	Category
1/5/2024	NH28	Coos County	Heavy
1/5/2024	NH25	Coos County	Highway

If multiple wage determinations apply, the Contractor shall be responsible for keeping track of all work performed under each wage rate determination. The Contractor is responsible for designating which wage rates are applicable to each employee on each certified payroll, including subcontractor payrolls.

If the applicable wage determination does not provide a rate for a classification of work to be performed, the Contractor must request additional classifications and wage rates to be added in conformance to the contract wage determination after contract award.

Additional information on DBA Conformances is available from the US Department of Labor Learning Center.

The following guidance is for requesting classifications or rates missing from this General Wage Decision.

When requesting additional classifications and rates from the Department of Labor, the minimum skilled rate that may be approved is:

HEAVY: \$16.00 + \$3.04 fringe for a total rate of \$19.04 per hour. **HIGHWAY:** \$18.63 + \$1.61 fringe for a total rate of \$20.24 per hour.

SUSPENSION AND DEBARMENT

By entering into this agreement, the Bidders and contractors certify that the Bidder and contractor is not debarred or suspended. Furthermore, the Bidder and contractors certify that no part of this contract will be subcontracted to a debarred or suspended person or firm.

PROHIBITION ON CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT

This term and condition implements 2 CFR 200.216 and is effective for obligations and expenditures of EPA financial assistance funding on or after 8/13/2020. Bidders/contractors and their subcontractors must comply with the above provision when procuring or obtaining equipment, services, or systems that use covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system.

CIVIL RIGHTS COMPLIANCE

The sub-grantee, contractor, subcontractor, successor, transferee, and assignee shall comply, and shall include in every contract or agreement funded with these funds this same requirement to comply, with Title VI of the Civil Rights Act of 1964, which prohibits recipients of federal financial assistance from excluding from a program or activity, denying benefits of, or otherwise discriminating against a person on the basis of race, color, or national origin (42 U.S.C. § 2000d et seq.), as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, which are herein incorporated by reference and made a part of this contract (or agreement). Title VI also includes protection to persons with "Limited English Proficiency" in any program or activity receiving federal financial assistance, 42 U.S.C. § 2000d et seq., as implemented by the Department of the Treasury's Title VI regulations, 31 CFR Part 22, and herein incorporated by reference and made a part of this contract or agreement.

RESTRICTIONS ON LOBBYING

The Contractor shall comply with the terms of 15 CFR part 28 and 2 CFR Part 200 Subpart E which prohibit the use of federal Contract funds to influence (or attempt to influence) a federal employee, and requires the submission of Standard Form LLL ("Disclosure of Lobbying Activities") if *non*federal funds have been used to influence (or attempt to influence) a federal employee.

DRUG-FREE WORKPLACE

The Contractor shall comply with the terms of 2 CFR part 1329 which require that as a condition of the Agreement, certification that they maintain a drug-free workplace. By signing and submitting the Agreement, the Contractor certifies that they will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity associated with the Agreement.

PROTECTION FOR WHISTLEBLOWERS

The Contractor shall comply with the terms of 41 U.S.C. §471 regarding Whistleblower protections. As described in 41 USC §471 "an employee of a contractor, subcontractor, grantee, or subgrantee or personal services contractor may not be discharged, demoted, or otherwise discriminated against as a reprisal for disclosing to a person or body described in paragraph (2) information that the employee reasonably believes is evidence of gross mismanagement of a Federal contract or grant, a gross waste of Federal funds, an abuse of authority relating to a Federal contract or grant, a substantial and specific danger to public health or safety, or a violation of law, rule, or regulation related to a Federal contract (including the competition for or negotiation of a contract) or grant."

BIDDER'S FORMS

Bidders are required to complete and submit the following NHDES forms with their bid proposal:

- 1. NHDES-W-09-060 Bidder's American Iron and Steel Acknowledgement.
- 2. NHDES-W-09-059 Disadvantaged Business Enterprise Program-Subcontractor Utilization Form.
- 3. NHDES-W-09-058 Disadvantaged Business Enterprise Program-Subcontractor Performance Form. (Submit one form per subcontractor).
- 4. NHDES-W-09-057 Disadvantaged Business Enterprise Program-Subcontractor Participation Form.



NHDES Front End Documents Section D: Federal Provisions Rules Regulations and Forms

Table of Contents

Perti	nent Federal Acts and Provisions	1
CON	TRACTOR'S PAYROLL CERTIFICATION AND AMERICAN IRON AND STEEL CERTIFICATION	3
NOTI	CE TO LABOR UNIONS OR OTHER ORGANIZATIONS OF WORKERS NONDISCRIMINATION IN EMPLOYMENT	4
EQUA	AL EMPLOYMENT OPPORTUNITY REQUIREMENTS (EO11246)	5
A.	Equal Opportunity Clause (41 CFR Part 60-1.4(b))	5
В.	Federal Equal Employment Opportunity Construction Contract Specifications (41 CFR Part 60-4.3)	ε
CERT	IFICATION OF NONSEGREGATED FACILITIES	10
Disac	dvantaged Business Enterprises Rule- Program Requirements	11
1.	Fair Share Objectives (MBE/WBE Goals)	11
2.	Good Faith Efforts	11
3.	Annual Reporting of MBE/WBE Accomplishments	12
4.	Contract Administration Requirements	12
5.	Bidders List Requirements	12
6.	Other Reporting	12
NHDI	ES-W-09-057 Disadvantaged Business Enterprise Program Subcontractor Participating Form	14
NHDI	ES-W-09-058 Disadvantaged Business Enterprise Program Subcontractor Performance Form	15
NHDI	ES-W-09-059 Disadvantaged Business Enterprise Program Subcontractor Utilization Form	16
NHDI	ES-W-09-061 Bidders List	17
Ameı	rican Iron and Steel	18
1.	EPA AIS Guidance	18
2.	Certification	23
3.	Installation	23
4.	De Minimis Waiver	23
An	nerican Iron and Steel Manufacturer Example Certification	24
An	nerican Iron and Steel Required Subcontract and Purchase Agreement Language	25
NHDI	ES-W-09-060 Bidders American Iron and Steel Acknowledgement	26
AIS E	PA De Minimis Waiver	27
NHDI	ES-W-09-048-1 American Iron and Steel De Minimis Tracking Report	30
NHDI	ES-W-09-48-2 American Iron and Steel Project Certification	31
NH D	epartment of Environmental Services Federal Labor Standards Provisions 29 CFR 5.5(a)	32
Co	ntract and Subcontract provisions	32

Links to Other NHDES Front End Documents

NHDES Front End Documents: Section A Bidding Requirements

NHDES Front End Documents: Section B Contract

NHDES Front End Documents: Section C General Conditions

Pertinent Federal Acts and Provisions

The Contractor shall comply with the regulations of the Davis-Bacon Act, the Contract Work Hours Standards Act, Executive Order 11246 (Federal Equal Employment Opportunity), and Title X of the Clean Air Act Amendments of 1990 (Disadvantage Business Enterprise), and any amendments or modifications thereto. The Contractor shall cause appropriate provisions to be inserted in subcontracts to ensure compliance with the above acts by all Subcontractors, as applicable.

The Contractor shall comply with the American Iron and Steel requirements of the Water Resources Reform and Development Act of 2014, the Consolidated Appropriations Act of 2014 (Public Law 113-76), and subsequent laws that continue the requirement for the use of American Iron and Steel products in State Revolving Fund construction projects.

The Contractor shall comply with Subpart B and Subpart C of 2 CFR Part 180 and 2 CFR Part 1532. By entering into this contract, the contractor certifies that neither the contractor's firm, nor any person or firm who has an interest in the contractor firm, is a debarred or suspended person or firm. Furthermore, by entering into this contract, the contractor certifies that no part of this contract will be subcontracted to a debarred or suspended person or firm. Contractors may access the federal government's Excluded Parties List System for verification of excluded parties at the following website: http://www.sam.gov.

The Contractor shall comply with prohibition on certain telecommunications and video surveillance services or equipment. This term and condition implements 2 CFR 200.216 and is effective for obligations and expenditures of EPA financial assistance funding on or after 8/13/2020. As required by 2 CFR 200.216, EPA recipients and subrecipients, including borrowers under EPA funded revolving loan fund programs, are prohibited from obligating or expending loan or grant funds to procure or obtain; extend or renew a contract to procure or obtain; or enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that use covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. As described in Public Law 115-232, section 889, covered telecommunications equipment is telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities). Recipients, subrecipients, and borrowers also may not use EPA funds to purchase:

- a. For the purpose of public safety, security of government facilities, physical security surveillance of critical Page 4 of 29 infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).
- b. Telecommunications or video surveillance services provided by such entities or using such equipment.
- c. Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country.

Consistent with 2 CFR 200.471, costs incurred for telecommunications and video surveillance services or equipment such as phones, internet, video surveillance, and cloud servers are allowable except for the following circumstances:

- a. Obligating or expending EPA funds for covered telecommunications and video surveillance services or equipment or services as described in 2 CFR 200.216 to:
 - 1) Procure or obtain, extend or renew a contract to procure or obtain;
 - 2) Enter into a contract (or extend or renew a contract) to procure; or
 - 3) Obtain the equipment, services, or systems. Certain prohibited equipment, systems, or services, including equipment, systems, or services produced or provided by entities identified in section 889, are recorded in the System for Award Management exclusion list.

Links for more Information

- U.S.DOL Prevailing Wage Resources
- General Wage Determinations
- U.S. DOL Certified Payroll Form WH-347
- WH-1321 "Employee Rights Under the Davis-Bacon Act" poster
- EPA's DBE Resources
- NHDOT Certified Disadvantaged Business Enterprise (DBE) Directory
- EPA American Iron and Steel (AIS) Requirement Guidance and Questions and Answers website
- AIS Approved National Waivers
- Sole Source Aquifers (SDWA)
- Protection and Enhancement of the Cultural Environment (1971)
- Fish and Wildlife Coordination Act
- Migratory Bird Treaty Act of 1918
- Systems for Award Management exclusion list

CONTRACTOR'S PAYROLL CERTIFICATION AND AMERICAN IRON AND STEEL CERTIFICATION

PUBLIC LAW: 113-76

This form will be submitted with each disbursement reques

Project Name:	Project Number:	
Project Location:		
Contractor Name:		
Contractor Address:		
Street # and name	City/Town Sta	ate ZIP
Payment Application #	Payment Application End Date	

I hereby certify that all of the contract requirements as specified under the Labor Standards Provision for Federal and Federally Assisted Contracts have been complied with by the above named Contractor, and by each Subcontractor employing Laborers or Mechanics at the site of the work, or there is an honest dispute with respect to the required provisions.

I hereby certify that the "American Iron and Steel" provisions of the Water Resources Reform and Development Act of 2014, the Consolidated Appropriations Act of 2014 (Public Law 113-76), and subsequent laws that continue the requirement for the use of American Iron and Steel products in State Revolving Fund construction projects as applicable, have been met, and that all iron and steel used in the project named above have been produced in the United States in a manner that complies with American Iron and Steel Requirements, and/or that applicable EPA-approved waivers have been obtained to comply with American Iron and Steel requirements.

Contractor Signature:	Printed Name:
Title:	Date:

NOTICE TO LABOR UNIONS OR OTHER ORGANIZATIONS OF WORKERS NONDISCRIMINATION IN EMPLOYMENT

PUBLIC LAW: 41 CFR Part 60-1.4(b)-3.1

THIS DOCUMENT MUST BE COMPLETED BY THE SUCCESSFUL BIDDER AND BOUND IN THE EXECUTED CONTRACT

The Contractor, and his subcontractors if applicable, shall se	ntractor, and his subcontractors if applicable, shall send to each labor union or representative of workers with			
• •	itract or understanding, a notice to be provided advising the			
	or's commitments under this section, and shall post copies of			
the notice in conspicuous places available to employees and	·			
the notice in conspicuous places available to employees and				
	(Union or Organization). The			
undersigned currently holds contract(s) with	(Applicant) involving			
funds or credit of the U.S. Government or (a) subcontract(s)	with a prime contractor holding such contract(s).			
You are advised that under the provisions of the above cont	ract(s) or subcontract(s) and in accordance with Executive			
Order 11246, dated September 24, 1965, Executive Order 1	3665 dated April 8, 2014 and Executive Order 13672 dated			
•	against any employee or applicant for employment because			
of race, color, religion, national origin, sexual orientation or	gender identity. This obligation not to discriminate in			
employment includes, but is not limited to, the following:				
HIRING, PLACEMENT, UPGRADING, TRANSFER, OR DEMOTIC	NI PECPLITMENT ADVERTISING OR SOLICITATION FOR			
	PAY OR OTHER FORMS OF COMPENSATION, SELECTION FOR			
TRAINING INCLUDING APPRENTICESHIP, LAYOFF, OR TERMII	NATION.			
Contractor Subcontractor				
Signature:	Printed Name:			
Title:	Date:			

COPIES OF THIS NOTICE WILL BE POSTED BY THE ABOVE SIGNED IN CONSPICUOUS PLACES AVAILABLE TO EMPLOYEES OR APPLICANTS FOR EMPLOYMENT.

EQUAL EMPLOYMENT OPPORTUNITY REQUIREMENTS (E011246)

(Executive Order 11246, as amended)

The Contractor shall comply with the equal opportunity requirements of Executive Order 11246, as amended, and as supplemented by 41 CFR Part 60, including the Equal Opportunity Clause at 41 CFR Part 60-1.4(b), and specific affirmative action obligations required by the Standard Federal Equal Employment Opportunity Construction Contract Specifications, as set forth in 41 CFR Part 60-4.

A. Equal Opportunity Clause (41 CFR Part 60-1.4(b))

During the performance of this contract, the contractor agrees as follows:

- 1. The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.
- 2. The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.
- 3. The contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the contractor's legal duty to furnish information.
- 4. The contractor will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the contractor's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- 5. The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.
- 6. The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- 7. In the event of the contractor's noncompliance with the nondiscrimination clauses of this contract or with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in

- Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.
- 8. The contractor will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (8) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any subcontract or purchase order as the administering agency may direct as a means of enforcing such provisions, including sanctions for noncompliance: *Provided*, however, that in the event a contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the administering agency, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

B. Federal Equal Employment Opportunity Construction Contract Specifications (41 CFR Part 60-4.3)

- 1. As used in these specifications:
 - a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;
 - b. "Director" means Director, Office of Federal Contract Compliance Programs, United States Department of Labor, or any person to whom the Director delegates authority;
 - c. "Employer identification number" means the Federal Social Security number used on the employer's Quarterly Federal Tax Return, U.S. Treasury Department Form 941.
 - d. "Minority" includes:
 - (i) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (ii) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish Culture or origin, regardless of race);
 - (iii) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands); and
 - (iv) American Indian or Alaskan Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
- 2. Whenever the Contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000.00 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
- 3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the U.S. Department of Labor in the covered area either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades which have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or Subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make a good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or Subcontractors toward a goal in an approved plan does not excuse any covered Contractor's or Subcontractor's failure to take good faith efforts to achieve the Plan goals and timetables.
- 4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7a through p of these specifications. The Goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area.

Covered Construction Contractors performing construction work in geographical areas where they do not have a Federal or federally-assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the *Federal Register* in notice form, and such notices may be obtained from any Office of Federal Contract Compliance Programs office or from Federal procurement contracting officers. The Contractor is expected to make substantially uniform progress in meeting its goals in each craft during the period specified.

- 5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
- 6. In order for the nonworking training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period, and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities. Trainees must be trained pursuant to training programs approved by the U.S. Department of Labor.
- 7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
 - a. Ensure and maintain a working environment free of harassment, intimidation and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligation to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
 - b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organization responses.
 - c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefore, along with whatever additional actions the Contractor may have taken.
 - d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligation.
 - e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the Department of Labor. The Contractor shall provide notice of these programs to the sources compiled under 7b above.
 - f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female

- employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.
- g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendents, General Foremen, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and disposition of the subject matter.
- h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to an discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
- i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students, and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
- j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's work force.
- k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
- Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
- m. Ensure that seniority practices, job classifications, work assignments and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment-related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
- n. Ensure that all facilities and company activities are non-segregated, except that separate or single-user toilet and necessary changing facilities shall be provided to assure privacy between the sexes.
- Document and maintain a record of all solicitations of offers for subcontracts from minority and female construction contractors and suppliers, including circulation of solicitations to minority and female contractor associations and other business associations.
- p. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
- 8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations (7a through p). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the contractor is a member and participant, may be asserted as fulfilling any one or more of its obligations under 7a through p of these Specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the

- Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.
- 9. A single goal for minorities and a separate single goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner
- 10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
- 11. The Contractor shall not enter into any subcontract with any person or firm debarred from Government contracts pursuant to Executive Order 11246.
- 12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the Office of Federal Contract Compliance Programs. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.
- 13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunity. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations, or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.
- 14. The Contractor shall designate a responsible official to monitor all employment-related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic, apprentice, trainee, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that existing records satisfy this requirement, Contractors shall not be required to maintain separate records.
- 15. Nothing herein provided shall be construed as a limitation upon application of other laws which establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Program).

CERTIFICATION OF NONSEGREGATED FACILITIES

Public Law: 41 CFR 60 (a) §60-1.8

APPLICABLE TO FEDERALLY ASSISTED CONSTRUCTION CONTRACTS AND RELATED SUBCONTRACTS EXCEEDING \$10,000 WHICH ARE NOT EXEMPT FROM THE EQUAL OPPORTUNITY CLAUSE.

THIS DOCUMENT MUST BE COMPLETED BY THE SUCCESSFUL BIDDER AND BOUND IN THE EXECUTED CONTRACT.

The federally assisted construction contractor certifies that he does not maintain or provide for his employees any segregated facilities at any of his establishments, and that he does not permit his employees to perform their services at any location, under his control, where segregated facilities are maintained.

The federally assisted construction contractor certifies that facilities provided for employees are provided in such a manner that segregation on the basis of race, color, religion, sex, sexual orientation, gender identity, or national origin cannot result.

The federally assisted construction contractor agrees that a breach of this certification is a violation of the Equal Opportunity clause in this contract.

As used in this certification, the term "segregated facilities" means any waiting rooms, work area, rest rooms and wash rooms, restaurants and other eating areas, time clocks, locker rooms, and other storage or dressing areas, parking lots, drinking fountains, recreation or entertainment areas, transportation and housing facilities provided for employees which are segregated by explicit directive or are in fact segregated on the basis of race, color, religion, sex, sexual orientation, gender identity or national origin, because of habit, local custom, or otherwise.

The federally assisted construction contractor agrees that (except where he had obtained identical certifications from proposed subcontractors for specific time periods) he will obtain identical certifications from proposed subcontractors prior to the award of subcontracts exceeding \$10,000 which are not exempt from the provisions of the Equal Opportunity clause, and that he will retain such certification in his files

Contractor	Subcontractor	
Signature:		Printed Name:
Title:		Date:

NOTE: The penalty for making false statements in offers is prescribed in 18 U.S.C. 1001.

Disadvantaged Business Enterprises Rule- Program Requirements

Purpose: The Environmental Protection Agency (EPA) rule titled "Participation by Disadvantaged Business Enterprises in United States Environmental Protection Agency Programs", at 40 CFR Part 33 (DBE Rule), sets forth an EPA program that serves the compelling government interest to increase and encourage the utilization and participation of Disadvantaged Business Enterprises (DBEs) in procurements funded by EPA assistance agreements. Because the New Hampshire State Revolving Fund (SRF) Loan Programs receive funding from EPA, the DBE rule requirements apply to all SRF funded projects.

State Revolving Fund loan recipients and their contractors must comply with the following DBE Rule requirements throughout the SRF loan project period:

- 1. Fair Share Objectives (Minority Business Enterprise/Woman's Business Enterprise (MBE/WBE) goals).
- 2. Good Faith Efforts.
- 3. Annual Reporting of MBE/WBE accomplishments (for projects that exceed \$250,000.
- 4. Contract Administration Requirements.
- 5. Bidders List Requirements.
- 6. Other Reporting.

The NHDES SRF programs must ensure that contracts and subcontracts that are funded with SRF loans comply with these federal requirements and must report to EPA on DBE accomplishments.

1. Fair Share Objectives (MBE/WBE Goals)

A fair share objective is an objective expressing the percentage of MBE or WBE utilization expected absent the effects of discrimination. It is based on the capacity and availability of qualified, certified MBEs and WBEs in the relevant geographic market for the procurement categories of construction, equipment, services, and supplies compared to the number of all qualified entities in the same market for the same procurement categories, adjusted, as appropriate, to reflect the level of MBE and WBE participation expected absent the effects of discrimination. A fair share objective is not a quota.

The current Fair Share Objectives/Goals are specified in Section A of these project documents.

2. Good Faith Efforts

The Contractor shall make the following good faith efforts whenever procuring construction, equipment, services and supplies:

- a. Ensure DBEs are made aware of contracting opportunities to the fullest extent practicable through outreach and recruitment activities; including placing DBEs on solicitation lists and soliciting them whenever they are potential sources.
- b. Make information on forthcoming opportunities available to DBEs and arrange time frames for contracts and establish delivery schedules, where the requirements permit, in a way that encourages and facilitates participation by DBEs in the competitive process. This includes, whenever possible, posting solicitation for bids or proposals for a minimum of 30 calendar days before the bid or proposal closing date.
- c. Consider in the contracting process whether firms competing for large contracts could be contracted with DBEs. This will include dividing total requirements when economically feasible into smaller tasks or quantities to permit maximum participation by DBEs in the competitive process.
- d. Encourage contracting with a consortium of DBEs when a contract is too large for one of these firms to handle individually.
- e. Use the services and assistance of the Small Business Administration and the Minority Business Development Agency of the U. S. Department of Commerce.
- f. Contractor shall maintain all records documenting Contractor's compliance with the requirements of 40 CFR Part 33, including documentation of Contractor's good faith efforts. Such records shall be provided to Owner upon request.

3. Annual Reporting of MBE/WBE Accomplishments

The Owner is required to report MBE/WBE utilization accomplishments to NHDES by October 15 of each year. The Contractor shall keep records of its MBE/WBE utilization, and prepare periodic reports in a timely manner as requested by the Owner to allow the Owner to complete and submit the required annual MBE/WBE reports to NHDES by the October 15 deadline. Contractor's utilization reports shall include the following for all MBE/WBE costs incurred in the reporting period (i.e., the October 1 through September 30 federal fiscal year):

- a. Name, address and telephone number of MBE/WBE
- b. Business enterprise status (MBE or WBE)
- c. Dollar value of cost(s) (Amount(s) paid to MBE/WBE in reporting period)
- d. Date(s) of cost(s) (Date(s) of payment(s) to MBE/WBE, mm/dd/yyyy)
- e. Type of product or services (Construction/Supplies/Services/Equipment)

Note that only costs incurred with certified MBE/WBE's are counted as MBE/WBE accomplishments.

{NOTE TO ENGINEER: This annual reporting requirement may not apply if the total funding budgeted for the project does not exceed \$250,000. Contact NHDES for guidance if you think this reporting requirement may not apply to your project}.

4. Contract Administration Requirements

The Contractor shall:

- a. Pay all subcontractors for satisfactory performance no more than 30 days from the prime contractor's receipt of payment from the loan recipient.
- b. Notify Owner in writing prior to the termination of any DBE subcontractor for Contractor's convenience.
- c. Employ the good faith efforts when soliciting a replacement subcontractor if a DBE subcontractor fails to complete work under the subcontract for any reason.
- d. Employ the good faith efforts even if the prime contractor has achieved its fair share objective.
- e. Comply with the following term and condition, as required by 40 CFR, Section 33.106:

The contractor shall not discriminate on the basis of race, color, national origin or sex in the performance of this contract. The contractor shall carry out applicable requirements of 40 CFR Part 33 in the award and administration of contracts awarded under EPA financial assistance agreements. Failure by the contractor to carry out these requirements is a material breach of this contract which may result in the termination of this contract or other legally available remedies. (Appendix A to 40 CFR Part 33—Term and Condition).

5. Bidders List Requirements

The Owner is required to maintain a bidders list in accordance with 40 CFR Section 33.501, and the Contractor shall provide bidders list information to the Owner for Owner's use in complying with this requirement. The Contractor shall maintain a Bidders List, which must include all firms that bid or quote on subcontracts under this Contract, including both MBE/WBEs and non-MBE/WBEs.

The Bidders List shall include the following information for all subcontractors who submit bids or quotes for subcontract work:

- (a) Entity's name with point of contact;
- (b) Entity's mailing address, telephone number, and e-mail address;
- (c) The procurement on which the entity bid or quoted, and when; and
- (d) Entity's status as an MBE/WBE or non-MBE/WBE.

6. Other Reporting

a. DBE Subcontractor Performance and Utilization Forms.
 The Bidder shall submit with its bid completed <u>DBE Subcontractor Performance Forms NHDES W-09-58</u>, and <u>DBE Subcontractor Utilization Form NHDES W-09-59</u>. The Owner is required to submit these forms to NHDES when requesting authorization to award the construction contract.

- b. DBE Subcontractor Participation form
 The contractor shall provide a copy of the <u>DBE Subcontractor Participation Form NHDES-W-09-57</u> to each of its DBE subcontractors.
- c. Bidders List Reporting
 The Contractor shall provide the updated Bidders List to the Owner periodically upon Owner's request, and at project substantial completion.



DISADVANTAGED BUSINESS ENTERPRISE PROGRAM



Subcontractor Participating Form Clean Water and Drinking Water State Revolving Loan Fund

FEDERAL RULE: 40 CFR Part 33

An EPA Financial Assistance Agreement Recipient must require its prime contractors to provide this form to its DBE subcontractors. This form gives a DBE¹ subcontractor² the opportunity to describe work received and/or report any concerns regarding the EPA-funded project. (e.g., in areas such as termination by prime contractor, late payments, etc.) The DBE subcontractor can as an option, complete and submit this form to other EPA DBE Coordinator at any time during the project period of performance.

Subcontrator Name:		Project Name:			
Bid/Proposal No	No: Assistance Agreeme		ent ID: (if known) Point of Conta		t:
Address:				•	
Stre	et # and Name		City/Town	State	ZIP
Telephone No:			Email:		
Prime Contract	or Name:		Issuing Funding En	tity:	
Contract Item Number	Descripton of Work Receive from the Prim Construction, Services, Equipment or Supp				Amount Received by Prime Contractor
Please use the	space below to report a	ny concerns regardir	ng the above EPA-fu	nded project:	
Subcontractor Signature:			Printed Name:		
Title:			Date:		

¹ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from with EPA accepts certifications as described in 40CFR 33.204-33.205. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

² Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



DISADVANTAGED BUSINESS ENTERPRISE PROGRAM



Subcontractor Performance Form Clean Water and Drinking Water State Revolving Loan Fund

FEDERAL RULE: 40 CFR Part 33

This form is intended to capture the DBE³ subcontractor's⁴ description of work to be performed and the price of the work submitted to the prime contractor. An EPA Financial Assistance Agreement Recipient must require its prime contractor to have its DBE subcontractors complete this form and include all completed forms in the prime contractor's bid or proposal package. You will find NHDES bid information in Section A of the front-end documents.

Subcontrator Name:		Project Name:				
Bid/Proposal No: Assistance Agreem		ent ID: (if known) Point of Contact:		ntact:		
Address:						
Street	: # and Name		City/Town	State ZIP		
Telephone No:			Email:			
Prime Contractor N	lame:		Issuing Funding En	tity:		
Contract Item	Descripton of Wor	k Submitted to the P	rime Contractor Inv	olving	Price of work submitted	
Number	Construction, Serv	ices, Equipment or S	upplies		to the Prime Contractor	
DBE Certified by:	DOT SBA		Meets/exceeds EPA	Certification	Standards?	
Other:			Yes	No	Unknown	
Prime Contractor		•	Printed Name:			
Signature:			Printed Name:			
Title:			Date:			
Subcontractor			Drinted Name:			
Signature:			Printed Name:			
Title:			Date:			
			l l			

³ A DBE is a Disadvantaged, Minority, or Woman Business Enterprise that has been certified by an entity from with EPA accepts certifications as described in 40CFR 33.204-33.205. EPA accepts certifications from entities that meet or exceed EPA certification standards as described in 40 CFR 33.202.

⁴ Subcontractor is defined as a company, firm, joint venture, or individual who enters into an agreement with a contractor to provide services pursuant to an EPA award of financial assistance.



DISADVANTAGED BUSINESS ENTERPRISE PROGRAM



Subcontractor Utilization Form Clean Water and Drinking Water State Revolving Loan Fund

FEDERAL RULE: 40 CFR Part 33

This form is intended to capture the prime contractor's actual and/or anticipated use of identified certified DBE subcontractors and the estimated dollar amount of each subcontract. An EPA Financial Assistance Agreement Recipient must require its prime contractors to complete this form and include it in the bid or proposed package. Prime contractors should also maintain a copy of this form on file. You will find NHDES bid information in Section A of the front-end documents.

THIS DOCUMENT MUST BE COMPLETED BY THE SUCCESSFUL BIDDER AND BOUND IN THE EXECUTED CONTRACT

THIS DOCOMENT IN	OSI BE CC	JIVIPLETEL	BY THE SUCCESSFU	JE BIDDEK AND BOU	או או טאנ	EXECUTED	JONTRACI
Prime Contractor N	lame:	Project Name:					
Bid/Proposal No:			Assistance Agreem	ent ID: (if known)	Point of Contact:		
Address:					•		
Street	# and Nai	me		City/Town		State	ZIP
Telephone No:				Email:			
Issuing Funding Ent	•						
I have identified po				Yes N	lo		
If yes please compl	ete the ta	ble below	. If no, please explai	n:			
Subcontractor Name Company Name		S	Street Number and Name City/Town State 7IP			Est. Dollar Amount	Currently DBE Certified?
							Yes No
							Yes No
							Yes No
							Yes No
							Yes No
							Yes No
a commitmment to	use the s	ubcontrac	tors above. I am aw	ents are true and co are that in the event 40 CFR Part 33 Section	t of a replac	ement of a s	.
Prime Contractor Signature:				Printed Name:			
Title:				Date:			



NEW HAMPSHIRE STATE REVOLVING FUND: BIDDERS LIST

Clean Water and Drinking Water State Revolving Loan Fund



PUBLIC LAW: 40 CFR § 33.501

The Contractor shall maintain and submit to the owner a bidders list, which the owner will use for compliance with the recordkeeping requirements of 40 CFR § 33.501. The list must include information regarding all entities that bid or quote on subcontracts under this contract, including both MBEs/WBEs and non-MBEs/WBEs. Projects funded by loan(s) of \$250,000 or less may be exempt from the requirement to maintain a bidders list [reference 40 CFR § 33.501(c)].

Project Name and Number:			Prime Contractor:		
Contact Information to include Company Na Name, Phone, Street Address, Town/City, Er			t Item Number and Work Description Description	Bid/Quote Date	Entity Status MBEs/WBEs
() -				/ /	Yes No
() -				/ /	☐ Yes ☐ No
() -				/ /	Yes No
() -				/ /	Yes No
() -				/ / / /	Yes No

American Iron and Steel

The Water Resources Reform and Development Act of 2014, the Consolidated Appropriations Act of 2014 (Public Law 113-76), and subsequent laws that continue the American Iron and Steel requirements of Public Law 113-76 include "American Iron and Steel (AIS)" requirements for the Clean Water and Drinking Water State Revolving Fund (SRF) programs. Under these laws, all Clean Water and Drinking Water SRF funded construction, alteration, maintenance, or repair of public water systems or treatment works projects must use iron and steel products that are produced in the United States. The Contractor shall comply with these AIS requirements.

1. EPA AIS Guidance

<u>EPA's State Revolving Fund American Iron and Steel Requirement</u> website includes detailed information on American Iron and Steel requirements and waivers.

The paragraphs in *italics* below are excerpts from the EPA AIS guidance available at the EPA website. Words in plain text are clarifications added by NHDES.

(a) Iron and Steel Products [5]

An iron or steel product is one of the following made primarily of iron or steel that is permanently incorporated into the project:

- Lined or unlined pipes and fittings.
- Manhole covers.
- Municipal castings (defined in more detail below).
- Hydrants.
- Tanks.Flanges.
- Pipe clamps and restraints.
- Valves.
- Structural steel (defined in more detail below).
- Reinforced precast concrete and.
- Construction materials (defined in more detail below).

(b) Permanently Incorporated into the Project⁶

Only items on the above list made primarily of iron or steel, permanently incorporated into the project must be produced in the US. For example, trench boxes, scaffolding or equipment, which are removed from the project site upon completion of the project, are not required to be made of U.S. Iron or Steel.

(c) Primarily Iron or Steel⁷

Primarily iron or steel places constraints on the list of products above. For one of the listed products to be considered subject to the AIS requirements, it must be made of greater than 50% iron or steel, measured by cost. The cost should be based on the material costs.⁸

(d) If a product is composed of more than 50% iron or steel, but is not listed in the above list of items, must the item be produced in the US? Alternatively, must the iron or steel in such a product be produced in the US?

The answer to both question is no. Only items on the above list must be produced in the US. Additionally, the iron or steel in a non-listed item can be sourced from outside the US.

⁵ EPA guidance dated March 20, 2014, Question 11.

⁶ EPA guidance dated March 20, 2014, Question 18.

⁷ EPA guidance dated March 20, 2014, Question 12.

⁸ See example at EPA guidance March 20, 2014, Question 13.

⁹ EPA guidance dated March 20, 2014, Question 14.

(e) Steel 10

Steel means an alloy that includes at least 50 percent iron, between .02 and 2 percent carbon, and may include other elements. Metallic elements such as chromium, nickel, molybdenum, manganese, and silicon may be added during the melting of steel for the purpose of enhancing properties such as corrosion resistance, hardness, or strength. The definition of steel covers carbon steel, alloy steel, stainless steel, tool steel and other specialty steels.

(f) Production in the United States¹¹

Production in the United States of the iron or steel products used in the project requires that all manufacturing processes 12, including application of coatings, must take place in the United States, with the exception of metallurgical processes involving refinement of steel additives. All manufacturing processes includes processes such as melting, refining, forming, rolling, drawing, finishing, fabricating and coating*. Further, if a domestic iron and steel product is taken out of the US for any part of the manufacturing process, it becomes foreign source material. However, raw materials such as iron ore, limestone and iron and steel scrap are not covered by the AIS requirement, and the material(s), if any, being applied as a coating are similarly not covered. Non-iron or steel components of an iron and steel product may come from non-US sources. For example, for products such as valves and hydrants, the individual non-iron and steel components do not have to be of domestic origin.

* External Coatings Applied Outside of the United States¹³

Any coating processes that are applied to the external surface of iron and steel components that would otherwise be AIS compliant would not disqualify the product from meeting the AIS requirements regardless of where the coating processes occur, provided that final assembly of the product occurs in the United States.

The exemption above only applies to coatings on the external surface of iron and steel components. It does not apply to coatings or linings on internal surfaces of iron and steel products, such as the lining of lined pipes. All manufacturing processes for lined pipes, including the application of pipe lining, must occur in the United States for the product to be compliant with AIS requirements.

(g) Municipal Castings¹⁴

Municipal castings are cast iron or steel infrastructure products that are melted and cast. They typically provide access, protection, or housing for components incorporated into utility owned drinking water, storm water, wastewater, and surface infrastructure. They are typically made of grey or ductile iron, or steel.

Examples of municipal castings are:

- Access Hatches;
- Ballast Screen;
- Benches (Iron or Steel);
- Bollards:
- Cast Bases;
- Cast Iron Hinged Hatches, Square and Rectangular;
- Cast Iron Riser Rings;
- Catch Basin Inlet;

- Cleanout/Monument Boxes;
- Construction Covers and Frames;
- Curb and Corner Guards;
- Curb Openings;
- Detectable Warning Plates;
- Downspout Shoes (Boot, Inlet);

- Drainage Grates, Frames and Curb Inlets;
- Inlets;
- Junction Boxes;
- Lampposts;
- Manhole Covers, Rings and Frames, Risers;
- Meter Boxes;
- Service Boxes;

¹⁰ EPA guidance dated March 20, 2014, Question 15.

¹¹ EPA guidance dated March 20, 2014, Question 16.

¹² **Assembly and all other steps in the manufacturing process** must take place in the US, except metallurgical processes involving refinement of steel additives in accordance with the EPA guidance dated March 20, 2014, Question 23]. There is also an additional exception for application of exterior coating.

¹³ EPA guidance dated March 16, 2015, Q/A No. 6.

¹⁴ EPA guidance dated March 20, 2014, Question 19.

- Steel Hinged Hatches,
 Square and Rectangular;
- Steel Riser Rings;

- Trash receptacles;
- Tree Grates;
- Tree Guards;

- Trench Grates; and
- Valve Boxes, Covers and Risers.

(h) Structural Steel¹⁵

Structural steel is rolled flanged shapes, having at least one dimension of their cross-section three inches or greater, which are used in the construction of bridges, buildings, ships, railroad rolling stock, and for numerous other constructional purposes. Such shapes are designated as wide-flange shapes, standard I-beams, channels, angles, tees and zees. Other shapes include H-piles, sheet piling, tie plates, cross ties, and those for other special purposes.

(i) Construction Materials 16

Construction materials are those articles, materials, or supplies made primarily of iron and steel, that are permanently incorporated into the project, not including mechanical and/or electrical components, equipment and systems. Some of these products may overlap with what is also considered "structural steel". This includes, but is not limited to, the following products: wire rod, bar, angles, concrete reinforcing bar, wire, wire cloth, wire rope and cables, tubing, framing, joists, trusses, fasteners (i.e., nuts and bolts), welding rods, decking, grating, railings, stairs, access ramps, fire escapes, ladders, wall panels, dome structures, roofing, ductwork, surface drains, cable hanging systems, manhole steps, fencing and fence tubing, guardrails, doors, and stationary screens.

[As noted above, ductwork is considered a "construction material" and must comply with the AIS requirements. Steel dampers, grilles and registers that are a permanently incorporated part of the ductwork are also subject to the AIS requirements.]

(j) Construction Materials (Additional Guidance¹⁷)

The AIS requirements include a list of specifically covered products, one of which is construction materials, a broad category of potential products. For construction materials, EPA's AIS guidance includes a set of example items that it considers construction materials composed primarily of iron and steel and covered by the Act. This example list in the guidance is not an all-inclusive list of potential construction materials. However, the guidance also includes a list of items that EPA specifically does not consider construction materials, generally those of electrical or complex-mechanical nature. If a product is similar to the ones in the non-construction material list (and it is also not specifically listed by the Act), it is not a construction material. For all other items specifically included in the Act, coverage is generally self-evident.

(k) Items that are not Construction Materials 18

Mechanical and electrical components, equipment and systems are not considered construction materials. Mechanical equipment is typically that which has motorized parts and/or is powered by a motor. Electrical equipment is typically any machine powered by electricity and includes components that are part of the electrical distribution system.

The following examples (including their appurtenances* necessary for their intended use and operation) are NOT considered construction materials: pumps, motors, gear reducers, drives (including variable frequency drives (VFDs)), electric/pneumatic/manual accessories used to operate valves (such as electric valve actuators), mixers, gates (i.e., common sluice and slide gates), motorized screens (such as traveling screens), blowers/aeration equipment**, compressors, meters***), sensors, controls and switches, supervisory control and data acquisition (SCADA), membrane bioreactor systems, membrane filtration systems, filters, clarifiers and clarifier mechanisms, rakes, grinders, disinfection systems, presses (including belt presses), conveyors, cranes, HVAC (excluding ductwork), water heaters, heat exchangers, generators, cabinetry and housings (such as electrical boxes/enclosures), lighting fixtures, electrical conduit, emergency life systems, metal office furniture, shelving, laboratory equipment, analytical instrumentation, and dewatering equipment.

¹⁵ EPA guidance dated March 20, 2014, Question 20.

¹⁶ EPA guidance dated March 20, 2014, Question 21.

¹⁷ EPA guidance dated September 10, 2014, Q/A No. 10.

¹⁸ EPA guidance dated March 20, 2014, Question 22.

- * If products come from one manufacturer and are shipped together as a system, then this is generally considered a "packaged system" and those items used to connect the system are appurtenances. However, if the borrower or contractor must purchase items to connect the system (valves, piping, etc.) separately from another manufacturer, then these items would need to be domestic, or otherwise obtain a waiver.¹⁹
- **Aerators, similar to pumps, are mechanical equipment that do not need to meet the AIS requirements. "Blowers/aeration equipment, compressors" are listed in EPA's quidance as non-construction materials.²⁰
- ***"Meters" includes any type of meter, including: flow meters, wholesale meters, and water meters/service connections.²¹

(I) Assembled Products²²

AlS requirements only apply to the final product as delivered to the work site and incorporated into the project. Assemblies, such as a pumping assembly or a reverse osmosis package plant, are distinct products not listed and do not need to be made in the U.S. or composed of all U.S. parts. If a listed iron and steel product is used as a part for an assembled product that is nondomestic, the components, even if specifically listed in the Act, do not have to be domestically produced.

(m) Sluice and Slide Gates are not Valves, and are not Subject to AIS²³

Valves are products that are generally encased / enclosed with a body, bonnet, and stem. Examples include enclosed butterfly, ball, globe, piston, check, wedge, and gate valves. Furthermore, "gates" (meaning sluice, slide or weir gates) are listed in EPA's guidance as non-construction materials.

(n) Gate Valves are Subject to AIS²⁴

Valves are specifically listed in the Consolidated Appropriations Act of 2014 as an "iron and steel product" and therefore, absent a waiver, must be produced in the U.S. to be in compliance with the requirement if they are "primarily" iron and steel. Gates as referenced in the EPA March 20, 2014 guidance refer only to common sluice and slide gates, and not to gate valves.

(o) Reinforced Precast Concrete²⁵

While reinforced precast concrete may not be at least 50% iron or steel, in this particular case, the reinforcing bar and wire must be produced in the US and meet the same standards as for any other iron or steel product. Additionally, the casting of the concrete product must take place in the US. The cement and other raw materials used in concrete production are not required to be of domestic origin.

If the reinforced concrete is cast at the construction site, the reinforcing bar and wire are considered to be a construction material and must be produced in the US.

(p) Pre-stressed Concrete Cylinder Pipe²⁶

Pre-stressed concrete cylinder pipe (PCCP) or other similar concrete cylinder pipes would be comparable to pre-cast concrete which is specifically listed in the Consolidated Appropriations Act of 2014 as a product subject to the AIS requirement

(q) Valves and Actuators²⁷

¹⁹ EPA AIS Refresher Webinar, December 15, 2016.

²⁰ EPA guidance dated September 10, 2014, Q/A No. 19 on aerators.

²¹ EPA guidance dated September 10, 2014, Q/A No. 14 on meters.

²² EPA guidance dated September 10, 2014, Q/A No. 11, AIS Refresher Webinar, December 15, 2016.

²³ EPA guidance dated September 10, 2014, Q/A No. 20.

²⁴ EPA guidance dated May, 30, 2014, Q/A No. 4.

²⁵ EPA guidance dated March 20, 2014, Question 24.

²⁶ EPA guidance dated September 10, 2014, Q/A No. 2.

²⁷ EPA Q/A guidance dated May 30, 2014, Q/A No. 2.

Valves and actuators, while often purchased and shipped together, are two unique products that are manufactured separately and typically attached together during the final step of the process. Valves are included in the definition of "iron and steel products" in the AIS requirement. Actuators, whether manual, electric, hydraulic or pneumatic, are not listed as an "iron and steel product" under the AIS requirement of the Consolidated Appropriations Act of 2014, nor are they considered construction materials. Therefore, they do not need to be domestically produced in the U.S. in order to comply with the requirement.

(r) Electric Powered Motor Operated Valves²⁸

Electric powered motor operated valves are not excluded based on the valve being motorized equipment. The actuator, a motor that controls the valve, is considered a separate product, which is not listed as an "iron and steel product" under the AIS requirement of the Consolidated Appropriations Act of 2014, nor is it considered a construction material. Therefore, the actuator does not need to be domestically produced in the U.S. in order to comply with the requirement. See Q2 for further clarification.

(s) Tanks Used on Filtration Systems²⁹

Tanks that are specifically designed to be filters, or as parts of a filtration system, do not have to be domestically produced because these parts are no longer simply tanks, even if the filter media has not been installed and will be installed at the project site, as is customary to do for shipping purposes. These parts have only one purpose which is to be housing for filters and cannot be used in another fashion.

(t) Flanged Pipe³⁰

While the Consolidated Appropriations Act of 2014 does not specifically mention flanged pipe, since it does mention both pipe and flanges, both products would need to be domestically produced. Therefore, flanged pipe would also need to be domestically produced.

(u) Couplings, Expansion Joints, and other Similar Pipe Connectors³¹

These products would be considered specialty fittings, due to their additional functionality, but still categorized under the larger "fitting" categorization. Fittings are defined as a material that joins pipes together or connects to a pipe (AWWA, The Drinking Water Dictionary, 2000). Therefore, these products must comply with the AIS requirements and be produced domestically.

(v) Saddles and tapping Sleeves³²

These products are necessary for pipe repair, to tap a water main, or to install a service or house connection. Therefore, they are included under the larger "pipe restraint" category which is a specifically identified product subject to the domestic preference in the Consolidated Appropriations Act of 2014.

(w) Reused Items (i.e., existing pipe fittings, used storage tanks, reusing existing valves)³³

The AIS guidance does not address reuse of items. Reuse of items that would otherwise be covered by AIS is acceptable provided that the item(s) was originally purchased prior to January 17, 2014, the reused item(s) is not substantially altered from original form/function, and any restoration work that may be required does not include the replacement or addition of foreign iron or steel replacement parts. EPA recommends keeping a log of these reused items by including them on the assistance recipient's de minimis list, and stating therein that these items are reused products. The donation

²⁸ EPA guidance dated May 30, 2014, Q/A No. 3

²⁹ EPA guidance dated September 10, 2014, Q/A No. 4

³⁰ EPA guidance dated September 10, 2014, Q/A No. 5

³¹ EPA guidance dated September 10, 2014, Q/A No. 6

³² EPA guidance dated September 10, 2014, Q/A No. 7

³³ EPA guidance dated September 10, 2014, Q/A No. 8

of new items (such as a manufacturer waiving cost for certain delivered items because of concerns regarding the origin of a new product) is not, however, considered reuse.

2. Certification

The Contractor, through its subcontractors, suppliers and manufacturers shall provide to the Owner written certification that all AIS materials provided for the project comply with the AIS requirements of the SRF programs, Manufacturer certification letters must include the following:

- Manufacturer name;
- SRF construction project name and location;
- A list of specific product(s) delivered to the project site;
- A statement that the product is in compliance with the American Iron and Steel requirement as mandated in EPA's SRF programs;
- The location of the foundry/mill/factory where the product was manufactured (City and State); and
- A signature by a manufacturer's responsible party.

EPA AIS guidance dated March 20, 2014 contains additional guidance on manufacturer certifications. <u>A sample certification letter is included in this guidance.</u>

3. Installation

All iron and steel products, as defined herein, shall be produced in the United States in accordance with the American Iron and Steel requirements of the Clean Water and Drinking Water State Revolving Fund programs. If a potentially non-compliant product is installed in the permanent work, the Contractor will be required to remove the non-domestic item from the project.

4. De Minimis Waiver

EPA's April 15, 2014 Nationwide Waiver for De Minimis incidental AIS components is part of this guidance, and is available for use on this project. Contractors who wish to use this waiver must consult with the Owner when determining the items to be covered by this waiver, and shall retain and provide to the Owner relevant documentation (i.e., invoices) for those items for the Owner's project files. The Contractor shall summarize in reports to the Owner: the types and/or categories of items to which this waiver is applied; the total cost of incidental components covered by the waiver for each type or category (including copies of invoices); and the calculations by which Contractor determined the total cost of materials used in and incorporated into the project. The Contractor shall include a complete and up-to-date De Minimis Report in each application for payment. The Contractor shall also provide the report to the Owner upon request.

(a) Fasteners under the De Minimis Waiver³⁴ []

There is no broad exemption for fasteners from the American Iron and Steel (AIS) requirements. Significant fasteners used in SRF projects are not subject to the de minimis waiver for projects and must comply with the AIS requirements. Significant fasteners include fasteners produced to industry standards (e.g., ASTM standards) and/or project specifications, special ordered or those of high value. When bulk purchase of unknown-origin fasteners that are of incidental use and small value are used on a project, they may fall under the national de minimis waiver for projects. The list of potential items could be varied, such as big-box/hardware-store-variety screws, nails, and staples. The key characteristics of the items that may qualify for the de minimis waiver would be items that are incidental to the project purpose (such as drywall screws) and not significant in value or purpose (such as common nails or brads). You can find further information on the EPA Website.

³⁴ EPA guidance dated September 10, 2014, Q/A No. 1

American Iron and Steel Manufacturer Example Certification

Date
Manufacturer Name Manufacturer Street Address City, State ZIP
RE: Project Name, Project Location
I,(Authorized Manufacturer Representative), certify that the following products and/or materials shipped/provided to the subject project are in full compliance with the American Iron and Steel requirement as mandated in EPA's State Revolving Fund Programs.
Item, Product and/or Materials
Manufacturing of the above items, products and/or materials took place at the following location(s):
Additionally, if any of the above compliance statements change while providing material to this project (Manufacturer) will immediatley notify
(Contractor) and the (Owner).
Manufacturer's Signature

Manufacturer Certification Checklist

- ✓ Manufacturer name;
- ✓ SRF construction project name and location;
- ✓ A list of specific product(s) delivered to the project site;
- ✓ A statement that the product is in compliance with the American Iron and Steel requirement as mandated in EPA's SRF programs;
- ✓ The location of the foundry/mill/factory where the product was manufactured (City and State); and

Note: The signature must be by manufacturer's authorized responsible party, not the material distributor or supplier.

✓ A signature by a manufacturer's responsible party.

American Iron and Steel Required Subcontract and Purchase Agreement Language

The Contractor shall include in all contracts and purchase agreements for this project the following Am Steel contract language:	erican Iron and
" (Subcontractor/Supplier) acknowledges to and for the benefit of the	(Owner) and
the State of New Hampshire (State) that it understands the goods and service under this contract or purchase agree	ement (Agreement)
are being funded with monies that are subject to statutory requirements commonly known as "American Iron and	Steel" (the Water
Resources Reform and Development Act of 2014, the Consolidated Appropriations Act of 2014 (Public Law 113-76), and subsequent
laws that continue the requirement for the use of American Iron and Steel products in State Revolving Fund consti	ruction projects);
that requires all of the iron and steel products used in the project to be produced in the United States ("American	Iron and Steel
Requirement") including iron and steel products provided under this contract or Agreement. The Subcontractor/S	Supplier hereby
represents and warrants to and for the benefit of the Owner and the State that (a) the Subcontractor/Supplier ha	s reviewed and
understands the American Iron and Steel Requirement, (b) all of the iron and steel products used in the project wil	l be and/or have
been produced in the United States in a manner that complies with the American Iron and Steel Requirement, unle	ess a waiver of the
requirement is approved, and (c) the Subcontractor/Supplier will provide any further verified information, certifications	ation or assurance of
compliance with this paragraph, or information necessary to support a waiver of the American Iron and Steel Req	uirement, as may be
requested by the Owner or the State."	ŕ



BIDDERS AMERICAN IRON AND STEEL ACKNOWLEDGEMENT



Clean Water and Drinking Water State Revolving Loan Fund

Public Law 113-76

Project Name:	City/ Town/ Entity:	
Bidder name:	Bidder Address:	
With submittal of this Bid, the Bidder acknowledges to and (State) that it understands that this project is subject to the Resources Reform and Development Act of 2014, the Consubsequent laws that continue the requirement for the use construction projects, and these laws require that all of the States ("American Iron and Steel Requirement") including the states ("American Iron and Steel Requirement") including the states ("American Iron and Steel Requirement")	e "American Iron and Steel (AIS)" require olidated Appropriations Act of 2014 (<u>Pu</u> e of American Iron and Steel products in e iron and steel used in the project be p	ements of the Water blic Law 113-76), and State Revolving Fund roduced in the United
The Bidder hereby presents and warrants to and for the be understands the American Iron and Steel Requirement, (b) have been produced in the United States in a manner that waiver of the requirement is approved, and (c) the Bidder assurance of compliance with this Acknowledgement, or in Steel Requirement, as may be requested by the Owner or	all of the iron and steel products used i complies with the American Iron and St will provide any further verified informa iformation necessary to support a waive	n the project will be and/or eel Requirement, unless a tion, certification or
Notwithstanding any other provision of the Contract Docu Bidder shall permit the Owner or State to recover as dama limitation attorney's fees) incurred by the Owner or State impairment or loss of funding, whether in whole or in part	ges against the Bidder any loss, expense resulting from any such failure (including	e, or cost (including without g without limitation any
Additionally, The Bidder hereby acknowledges that Bidde project the following American Iron and Steel contract la		hase agreements for this
"(Subcontractor/Supplier) acknowledges to and for the be- understands the goods and service under this contract or path that are subject to statutory requirements commonly known Development Act of 2014, the Consolidated Appropriation continue the requirement for the use of American Iron and requires all of the iron and steel products used in the project Requirement") including iron and steel products provided thereby represents and warrants to and for the benefit of the reviewed and understands the American Iron and Steel Rewill be and/or have been produced in the United States in Requirement, unless a waiver of the requirement is approved the information, certification or assurance of compliant waiver of the American Iron and Steel Requirement, as many	ourchase agreement (Agreement) are been as "American Iron and Steel" (the Was Act of 2014 (Public Law 113-76), and set Steel products in State Revolving Fund ect to be produced in the United States (under this contract or Agreement. The he Owner and the State that (a) the Subquirement, (b) all of the iron and steel pa manner that complies with the Americaed, and (c) the Subcontractor/Supplier nee with this paragraph, or information in	eing funded with monies ater Resources Reform and ubsequent laws that construction projects); that "American Iron and Steel Subcontractor/Supplier contractor/Supplier has roducts used in the project can Iron and Steel will provide any further necessary to support a
(Signature of Certifying Bidder Representative)		Date

AIS EPA De Minimis Waiver



UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, D.C. 20460

OFFICE OF WATER

DECISION MEMORANDUM

SUBJECT: De Minimis Waiver of Section 436 of P.L. 113-76, Consolidated Appropriations

Act (CAA), 2014

FROM: Nancy K. Stoner

Acting Assistant Administrator

The EPA is hereby granting a nationwide waiver pursuant to the "American Iron and Steel (AIS)" requirements of P.L. 113-76, Consolidated Appropriations Act, 2014 (Act), section 436 under the authority of Section 436(b)(1) (public interest waiver) for de minimis incidental components of eligible water infrastructure projects. This action permits the use of products when they occur in de minimis incidental components of such projects funded by the Act that may otherwise be prohibited under section 436(a). Funds used for such de minimis incidental components cumulatively may comprise no more than a total of 5 percent of the total cost of the materials used in and incorporated into a project; the cost of an individual item may not exceed 1 percent of the total cost of the materials used in and incorporated into a project.

P.L. 113-76, Consolidated Appropriations Act, 2014 (Act), includes an "American Iron and Steel" (AIS) requirement in section 436 that requires Clean Water State Revolving Loan Fund (CWSRF) and Drinking Water State Revolving Loan Fund (DWSRF) assistance recipients to use specific domestic iron and steel products that are produced in the United States if the project is funded through an assistance agreement executed beginning January 17, 2014 (enactment of the Act), through the end of Fiscal Year 2014, unless the agency determines it necessary to waive this requirement based on findings set forth in Section 436(b). The Act states, "[the requirements] shall not apply in any case or category of cases in which the Administrator of the Environmental Protection Agency...finds that—(1) applying subsection (a) would be inconsistent with the public interest" 436(b)(1).

In implementing section 436 of the Act, the EPA must ensure that the section's requirements are applied consistent with congressional intent in adopting this section and in the broader context of the purposes, objectives, and other provisions applicable to projects funded under the SRF. Water infrastructure projects typically contain a relatively small number of high-cost components incorporated into the project. In bid solicitations for a project, these high-cost components are generally described in detail via project specific technical specifications. For these major components, utility owners and their contractors are generally familiar with the conditions of availability, the potential alternatives for each detailed specification, the approximate cost, and the country of manufacture of the available components.

Every water infrastructure project also involves the use of thousands of miscellaneous, generally low-cost components that are essential for, but incidental to, the construction and are incorporated into the physical structure of the project. For many of these incidental components, the country of manufacture and the availability of alternatives is not always readily or reasonably identifiable prior to procurement in the normal course of business; for other incidental components, the country of manufacture may be known but the miscellaneous character in conjunction with the low cost, individually and (in total) as typically procured in bulk, mark them as properly incidental. Examples of incidental components could include small washers, screws, fasteners (i.e., nuts and bolts), miscellaneous wire, corner bead, ancillary tube, etc. Examples of items that are clearly not incidental include significant process fittings (i.e., tees, elbows, flanges, and brackets), distribution system fittings and valves, force main valves, pipes for sewer collection and/or water distribution, treatment and storage tanks, large structural support structures, etc.

The EPA undertook multiple inquiries to identify the approximate scope of de minimis incidental components within water infrastructure projects during the implementation of the American Reinvestment and Recovery Act (ARRA) and its requirements (Buy American provisions, specifically). The inquiries and research conducted in 2009 applies suitably for the case today. In 2009, the EPA consulted informally with many major associations representing equipment manufacturers and suppliers, construction contractors, consulting engineers, and water and wastewater utilities, and performed targeted interviews with several well-established water infrastructure contractors and firms who work in a variety of project sizes, and regional and demographic settings to ask the following questions:

- What percentage of total project costs were consumables or incidental costs?
- What percentage of materials costs were consumables or incidental costs?
- Did these percentages vary by type of project (drinking water vs. wastewater treatment plant vs. pipe)?

The responses were consistent across the variety of settings and project types, and indicated that the percentage of total costs for drinking water or wastewater infrastructure projects represented by these incidental components is generally not in excess of 5 percent of the total cost of the materials used in and incorporated into a project. In drafting this waiver, the EPA has considered the de minimis proportion of project costs generally represented by each individual type of these incidental components within the many types of such components comprising those percentages, the fact that these types of incidental components are obtained by contractors in many different ways from many different sources, and the disproportionate cost and delay that would be imposed on projects if the EPA did not issue this waiver.

Assistance recipients who wish to use this waiver should in consultation with their contractors determine the items to be covered by this waiver and must retain relevant documentation (i.e., invoices) as to those items in their project files.

If you have any questions concerning the contents of this memorandum, please contact Timothy Connor, Chemical Engineer, Municipal Support Division, at connor.timothy@epa.gov or (202) 566-1059 or Kirsten Anderer, Environmental Engineer, Drinking Water Protection Division, at anderer.kirsten@epa.gov or (202) 564-3134.

Issued on:

APR 1 5 2014

Approved by:

Nancy K. Stoner

Acting Assistant Administrator

NHDES-W-09-048-1



AMERICAN IRON AND STEEL DE MINIMIS TRACKING REPORT



Clean Water and Drinking Water State Revolving Loan Fund

Public Law 113-76 Consolidated Appropriations Act

De Minimis Waver Section 436

To be submitted with each application for payment.

Contractors who wish to use the AIS De Minimis waiver must consult with the owner when determining the items to be covered by this waiver, and shall retain and provide to the owner relevant documentation (i.e., invoices) for those items. The contractor shall summarize in reports to the owner the types and/or categories of items to which this waiver is applied; the total cost of incidental components covered by the waiver for each type or category (including copies of invoices); and the calculations by which contractor determined the total cost of materials used in and incorporated into the project. The contractor shall include a complete and up-to-date De Minimis Tracking Report in each application for payment. The contractor shall also provide the report to the owner upon request.

Owner:			Project Name:						
Contractor:			CWSRF/DWSRF Project #:						
Has the co	ntractor purcha	sed or use	ed AIS materials tha	at will be covered	d under this wa	iver?			
☐ Yes. Plea	ase continue to	the next s	ection.						
☐ No. Plea	ase simply sign l	oelow.							
Total cost of materials incorporated into the project			De Minimis 5% Limit		De Minimis 1% Limit				
□Yes	Is this your fina	this your final report? In order to be considered a final report all materials have been delivered for the							
□No	project.	·							
Compone	nt Description	Date	County of Origin	Quantity	Cost Per Unit	Component	How is cost		
		Added	(if available)	(if applicable)	(if applicable)	Total Cost	documented ³⁵ ?		
Total Cost of De Minimis Components									
Contractor Signature:				Printed Na	me:				
Title:				Date:					

NOTE: The De Minimis waiver is only applicable to the cost of materials incorporated into the project. Do not include other project costs (labor, installation costs, etc.) in the "Total Cost of Materials." The cost of a material must include delivery to the site and any applicable tax. Contractor must provide sufficient documentation to support all costs included in this calculation.

³⁵ Documentation must demonstrate confirmation of the components' actual costs (invoice etc.).

NHDES-W-09-048-2



AMERICAN IRON AND STEEL PROJECT CERTIFICATION



Clean Water and Drinking Water State Revolving Loan Fund

Public Law 113-76 Consolidated Appropriations Act

De Minimis Waver Section 436

This certification must be completed and signed by the authorized representative of the contractor, acknowledged by the authorized representative of the owner, and submitted to the New Hampshire Department of Environmental Services **upon substantial completion** of the project.

Project Name:		Town/ City/ Entity:			
Contractor name:		CWSRF/DWSRF Project #:			
Contractor		1			
Address: Street # an	d Name	City/Town	State	ZIP	
I hereby certify on behaldocumentation as necess	f of the above named contractor sary.)	. (Please check one of the f	ollowing and provide		
Consolidated Appropriat the use of American Iron Requirement, AIS) have I	☐ That the "American Iron and Steel" provisions of the Water Resources Reform and Development Act of 2014, the Consolidated Appropriations Act of 2014 (Public Law 113-76), and subsequent laws that continue the requirement for the use of American Iron and Steel products in State Revolving Fund construction projects (American Iron and Steel Requirement, AIS) have been met and that all iron and steel used in the project named above have been produced in the United States in a manner that complies with the American Iron And Steel Requirement.				
OR					
That the "American Iron and Steel" provisions of the Water Resources Reform and Development Act of 2014, the Consolidated Appropriations Act of 2014 (Public Law 113-76), and subsequent laws that continue the requirement for the use of American Iron and Steel products in State Revolving Fund construction projects (American Iron and Steel Requirement, AIS) were unable to be met. Not all of the iron and steel used in the project named above have been produced in the United States. Items that do not meet AIS requirements are as follows:					
Attach all documentation including EPA-approved waivers for all iron and steel that do not meet the Iron and Steel Requirement.					
Signature of Certifying Contractor Representitiv	e:	Printed Name:			
Title:		Date:			
Acknowledged by Authorized Owner Representitive:		Printed Name:			
Title:		Date:			

NH Department of Environmental Services Federal Labor Standards Provisions 29 CFR 5.5(a)

Contract and Subcontract provisions.

- (a) The Contractor shall insure that all sub contracts entered into for the actual construction, alteration and/or repair, including painting and decorating, of a treatment work under the CWSRF financed in whole or in part from Federal funds or in accordance with guarantees of a Federal agency or financed from funds obtained by pledge of any contract of a Federal agency to make a loan, grant or annual contribution (except where a different meaning is expressly indicated), and which is subject to the labor standards provisions of any of the acts listed in § 5.1 or -FY 2015 Water Resource Reform and Development Act, contain the following clauses:
- (1) Minimum Wage (i) All laborers and mechanics employed or working upon the site of the work will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (a)(1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in § 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, that the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classification and wage rates conformed under paragraph (a)(1)(ii) of this section) and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers. Wage determinations may be obtained from the U.S. Department of Labor's website.
- (ii)(A) The Loan recipient, shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The State award official shall approve a request for an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
- (1) The work to be performed by the classification requested is not performed by a classification in the wage determination; and
 - (2) The classification is utilized in the area by the construction industry; and
- (3) The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- (B) If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the Loan recipient(s) agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), documentation of the action taken and the request, including the local wage determination shall be sent by the Loan recipient(s) to the State award official. The State award official will transmit the request, to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210 and to the EPA DB Regional Coordinator concurrently. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification request within 30 days of

receipt and so advise the State award official or will notify the State award official within the 30-day period that additional time is necessary.

- (C) In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the Loan Recipient (s) do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the award official shall refer the request and the local wage determination, including the views of all interested parties and the recommendation of the State award official, to the Administrator for determination. The request shall be sent to the EPA DB Regional Coordinator concurrently. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt of the request and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- (D) The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(ii)(B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- (iii) Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- (iv) If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, provided, that the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside, in a separate account, assets for the meeting of obligations under the plan or program.
- (2) Withholding. The Loan recipient(s), shall upon written request of the Contracting Official or an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work, all or part of the wages required by the contract, the (Agency) may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.
- (3) Payrolls and basic records. (i) Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work. Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- (ii)(A) The contractor shall submit weekly, for each week in which any contract work is performed, a copy of all payrolls to the Loan recipient, that is, the entity that receives the sub-grant or Loan from the State capitalization grant recipient. Such documentation shall be available on request of the State recipient or EPA. As to each payroll copy

received, the Loan recipient shall provide written confirmation in a form satisfactory to the State indicating whether or not the project is in compliance with the requirements of 29 CFR 5.5(a)(1) based on the most recent payroll copies for the specified week. The payrolls shall set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i), except that full social security numbers and home addresses shall not be included on the weekly payrolls. Instead the payrolls shall only need to include an individually identifying number for each employee (e.g., the last four digits of the employee's social security number). The required weekly payroll information may be submitted in any form desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at http://www.dol.gov/esa/whd/forms/wh347instr.htm or its successor site. The prime contractor is responsible for the submission of copies of payrolls by all subcontractors. Contractors and subcontractors shall maintain the full social security number and current address of each covered worker, and shall provide them upon request to the Loan recipient(s) for transmission to the State or EPA if requested by EPA, the State, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or audit of compliance with prevailing wage requirements. It is not a violation of this section for a prime contractor to require a subcontractor to provide addresses and social security numbers to the prime contractor for its own records, without weekly submission to the Loan recipient(s).

- (B) Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:
- (1) That the payroll for the payroll period contains the information required to be provided under § 5.5 (a)(3)(ii) of Regulations, 29 CFR part 5, the appropriate information is being maintained under § 5.5 (a)(3)(i) of Regulations, 29 CFR part 5, and that such information is correct and complete;
- (2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;
- (3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.
- (C) The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.
- (D) The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.
- (iii) The contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the State, EPA or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency or State may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.
- (4) Apprentices and trainees--(i) Apprentices. Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship Training, Employer and Labor Services, or with a State Apprenticeship Agency recognized by the Office, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Office of Apprenticeship Training, Employer and Labor Services or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to

journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Office of Apprenticeship Training, Employer and Labor Services, or a State Apprenticeship Agency recognized by the Office, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- (ii) Trainees. Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- (iii) Equal employment opportunity. The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.
- (5) Compliance with Copeland Act requirements. The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
- (6) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the EPA determines may by appropriate, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
- (7) Contract termination; debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

- (8) Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
- (9) Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and Loan recipient(s), State, EPA, the U.S. Department of Labor, or the employees or their representatives.
- 10) Certification of eligibility. (i) By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
- (ii) No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
 - (iii) The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001.
- **4. Contract Provision for Contracts in Excess of \$100,000** (a) Contract Work Hours and Safety Standards Act. The Loan recipient shall insert the following clauses set forth in paragraphs (a)(1), (2), (3), and (4) of this section in full in any contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses shall be inserted in addition to the clauses required by Item 3, above or 29 CFR 4.6. As used in this paragraph, the terms laborers and mechanics include watchmen and guards.
- (1) Overtime requirements. No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- (2) Violation; liability for unpaid wages; liquidated damages. In the event of any violation of the clause set forth in paragraph (a)(1) of this section the contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clause set forth in paragraph (a)(1) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in paragraph (a)(1) of this section.
- (3) Withholding for unpaid wages and liquidated damages. The Loan recipient, upon written request of the Contracting Official or an authorized representative of the Department of Labor, shall withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clause set forth in paragraph (b)(2) of this section.
- (4) Subcontracts. The contractor or subcontractor shall insert in any subcontracts the clauses set forth in paragraph (a)(1) through (4) of this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in paragraphs (a)(1) through (4) of this section.
- (b) In addition to the clauses contained in Item 3, above, in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other statutes cited in 29 CFR 5.1, the Prime Contractor shall insert a clause requiring that the subcontractor shall maintain payrolls and basic payroll records during the course of the work and shall preserve them for a period of three years from the completion of the contract for all laborers and mechanics, including guards and watchmen, working on the contract. Such records shall contain the name and address of each such

employee, social security number, correct classifications, hourly rates of wages paid, daily and weekly number of hours worked, deductions made, and actual wages paid. Further, the Prime Contractor shall insert in any such contract a clause providing that the records to be maintained under this paragraph shall be made available by the subcontractor for inspection, copying, or transcription by authorized representatives of NH DES and the Department of Labor, and the subcontractor will permit such representatives to interview employees during working hours on the job.

{Insert Davis Bacon Wage Decision(s) here}

DAVIS-BACON AND RELATED ACTS

General Wage Decision Guidance
New Hampshire Department of Environmental Services

Northumberland, Town of (Groveton): Northumberland Hill Area Infrastructure Improvements

Project Number: 1781010-02

This project is funded in whole or in part by a loan available through NHDES' Clean Water and/or Drinking Water SRF programs and is subject to federal Davis-Bacon wage provisions.

All laborers and mechanics employed by contractors or subcontractors on this project shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the U.S. Department of Labor (DOL) in accordance with Subchapter IV of Chapter 31 of Title 40, United States Code.

The following General Wage Decision(s) will apply to this project:

Date Published	Number	County	Category
1/5/2024	NH28	Coos County	Heavy
1/5/2024	NH25	Coos County	Highway

If multiple wage determinations apply, the Contractor shall be responsible for keeping track of all work performed under each wage rate determination. The Contractor is responsible for designating which wage rates are applicable to each employee on each certified payroll, including subcontractor payrolls.

If the applicable wage determination does not provide a rate for a classification of work to be performed, the Contractor must request additional classifications and wage rates to be added in conformance to the contract wage determination after contract award.

Additional information on DBA Conformances is available from the US Department of Labor Learning Center.

The following quidance is for requesting classifications or rates missing from this General Wage Decision.

When requesting additional classifications and rates from the Department of Labor, the minimum skilled rate that may be approved is:

HEAVY: \$16.00 + \$3.04 fringe for a total rate of \$19.04 per hour. **HIGHWAY:** \$18.63 + \$1.61 fringe for a total rate of \$20.24 per hour.

A copy of the applicable DOL wage determination(s) is included in this document in **Attachment D**: PART D-FEDERAL PROVISIONS, RULES, REGULATIONS AND FORMS.

Bidders shall refer to the above-referenced PART D for additional information on Davis-Bacon requirements.

"General Decision Number: NH20240035 01/05/2024

Superseded General Decision Number: NH20230035

State: New Hampshire

Construction Type: Highway

County: Coos County in New Hampshire.

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(1).

If the contract is entered into on or after January 30, 2022, or the contract is renewed or extended (e.g., an | 🍪 The contractor must pay option is exercised) on or after January 30, 2022:

- ♠ Executive Order 14026 generally applies to the contract.
- all covered workers at least \$17.20 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in 2024.

or between January 1, 2015 and January 29, 2022, and the contract is not renewed or extended on or after January 30, 2022:

- If the contract was awarded on ♠ Executive Order 13658 generally applies to the contract.
 - ♦ The contractor must pay all covered workers at least \$12.90 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours performing on that contract in 2024.

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at http://www.dol.gov/whd/govcontracts.

SUNH2019-011 11/22/2022

	Rates	Fringes
CARPENTER (Form Work Only)	\$ 18.63	1.61
CARPENTER, Excludes Form Work	\$ 25.67	2.39
CEMENT MASON/CONCRETE FINISHER	\$ 18.50	0.00
IRONWORKER, REINFORCING	\$ 21.59	0.90
LABORER: Asphalt, Includes Raker, Shoveler, Spreader and Distributor	\$ 17.18 **	3.22
LABORER: Common or General	\$ 17.00 **	0.45
LABORER: Pipelayer	\$ 18.89	3.10
OPERATOR: Backhoe/Excavator/Trackhoe	\$ 23.47	4.04
OPERATOR: Bobcat/Skid Steer/Skid Loader	\$ 25.64	0.00
OPERATOR: Broom/Sweeper	\$ 18.03	2.88
OPERATOR: Bulldozer	\$ 25.96	4.50
OPERATOR: Crane	\$ 27.71	6.16
OPERATOR: Grader/Blade	\$ 27.79	0.53
OPERATOR: Loader	\$ 22.49	5.57
OPERATOR: Mechanic	\$ 24.80	6.63
OPERATOR: Milling Machine	\$ 24.97	9.43
OPERATOR: Paver (Asphalt, Aggregate, and Concrete)	\$ 20.09	4.63
OPERATOR: Roller	\$ 19.85	3.84
PAINTER: Spray	\$ 28.88	12.06
TRAFFIC CONTROL: Flagger	\$ 14.41 **	0.00
TRUCK DRIVER: Dump Truck	\$ 18.84	4.91

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

^{**} Workers in this classification may be entitled to a higher minimum wage under Executive Order 14026 (\$17.20) or 13658 (\$12.90). Please see the Note at the top of the wage determination for more information. Please also note that the minimum wage requirements of Executive Order 14026 are not

currently being enforced as to any contract or subcontract to which the states of Texas, Louisiana, or Mississippi, including their agencies, are a party.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at

https://www.dol.gov/agencies/whd/government-contracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (iii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION"

"General Decision Number: NH20240028 01/05/2024

Superseded General Decision Number: NH20230028

State: New Hampshire

Construction Type: Heavy

County: Coos County in New Hampshire.

HEAVY CONSTRUCTION PROJECTS

Note: Contracts subject to the Davis-Bacon Act are generally required to pay at least the applicable minimum wage rate required under Executive Order 14026 or Executive Order 13658. Please note that these Executive Orders apply to covered contracts entered into by the federal government that are subject to the Davis-Bacon Act itself, but do not apply to contracts subject only to the Davis-Bacon Related Acts, including those set forth at 29 CFR 5.1(a)(1).

If the contract is entered into on or after January 30, 2022, or the contract is renewed or extended (e.g., an |. The contractor must pay option is exercised) on or after January 30, 2022:

- . Executive Order 14026 generally applies to the contract.
- all covered workers at least \$17.20 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on the contract in 2024.

If the contract was awarded on . Executive Order 13658 or between January 1, 2015 and January 29, 2022, and the contract is not renewed or extended on or after January 30, 2022:

- generally applies to the contract.
- |. The contractor must pay all| covered workers at least \$12.90 per hour (or the applicable wage rate listed on this wage determination, if it is higher) for all hours spent performing on that contract in 2024.

The applicable Executive Order minimum wage rate will be adjusted annually. If this contract is covered by one of the Executive Orders and a classification considered necessary for performance of work on the contract does not appear on this wage determination, the contractor must still submit a conformance request.

Additional information on contractor requirements and worker protections under the Executive Orders is available at http://www.dol.gov/whd/govcontracts.

Modification Number

Publication Date

SUNH2015-014 06/16/2017

	Rates	Fringes
CARPENTER, Includes Form Work	.\$ 22.52	3.32
CEMENT MASON/CONCRETE FINISHER	.\$ 16.00 **	3.04
IRONWORKER, REINFORCING	.\$ 21.99	0.00
LABORER: Common or General	.\$ 15.90 **	2.19
LABORER: Landscape	.\$ 16.01 **	8.96
LABORER: Pipelayer	.\$ 18.81	2.82
OPERATOR: Backhoe/Excavator/Trackhoe	.\$ 22.15	2.38
OPERATOR: Drill	.\$ 21.00	9.10
OPERATOR: Loader	.\$ 19.00	2.53
PIPEFITTER	.\$ 27.68	0.00
TRUCK DRIVER: Dump Truck	.\$ 16.89 **	1.66

WELDERS - Receive rate prescribed for craft performing operation to which welding is incidental.

** Workers in this classification may be entitled to a higher minimum wage under Executive Order 14026 (\$17.20) or 13658 (\$12.90). Please see the Note at the top of the wage determination for more information. Please also note that the minimum wage requirements of Executive Order 14026 are not currently being enforced as to any contract or subcontract to which the states of Texas, Louisiana, or Mississippi, including their agencies, are a party.

Note: Executive Order (EO) 13706, Establishing Paid Sick Leave for Federal Contractors applies to all contracts subject to the Davis-Bacon Act for which the contract is awarded (and any solicitation was issued) on or after January 1, 2017. If this contract is covered by the EO, the contractor must provide employees with 1 hour of paid sick leave for every 30 hours they work, up to 56 hours of paid sick leave each year. Employees must be permitted to use paid sick leave for their own illness, injury or other health-related needs, including preventive care; to assist a family member (or person who is like family to the employee) who is ill, injured, or has other health-related needs, including preventive care; or for reasons resulting from, or to assist a family member (or person who is like family to the employee) who is a victim of, domestic violence, sexual assault, or stalking. Additional information on contractor requirements and worker protections under the EO is available at

https://www.dol.gov/agencies/whd/government-contracts.

Unlisted classifications needed for work not included within the scope of the classifications listed may be added after award only as provided in the labor standards contract clauses (29CFR 5.5 (a) (1) (iii)).

The body of each wage determination lists the classification and wage rates that have been found to be prevailing for the cited type(s) of construction in the area covered by the wage determination. The classifications are listed in alphabetical order of ""identifiers"" that indicate whether the particular rate is a union rate (current union negotiated rate for local), a survey rate (weighted average rate) or a union average rate (weighted union average rate).

Union Rate Identifiers

A four letter classification abbreviation identifier enclosed in dotted lines beginning with characters other than ""SU"" or ""UAVG"" denotes that the union classification and rate were prevailing for that classification in the survey. Example: PLUM0198-005 07/01/2014. PLUM is an abbreviation identifier of the union which prevailed in the survey for this classification, which in this example would be Plumbers. 0198 indicates the local union number or district council number where applicable, i.e., Plumbers Local 0198. The next number, 005 in the example, is an internal number used in processing the wage determination. 07/01/2014 is the effective date of the most current negotiated rate, which in this example is July 1, 2014.

Union prevailing wage rates are updated to reflect all rate changes in the collective bargaining agreement (CBA) governing this classification and rate.

Survey Rate Identifiers

Classifications listed under the ""SU"" identifier indicate that no one rate prevailed for this classification in the survey and the published rate is derived by computing a weighted average rate based on all the rates reported in the survey for that classification. As this weighted average rate includes all rates reported in the survey, it may include both union and non-union rates. Example: SULA2012-007 5/13/2014. SU indicates the rates are survey rates based on a weighted average calculation of rates and are not majority rates. LA indicates the State of Louisiana. 2012 is the year of survey on which these classifications and rates are based. The next number, 007 in the example, is an internal number used in producing the wage determination. 5/13/2014 indicates the survey completion date for the classifications and rates under that identifier.

Survey wage rates are not updated and remain in effect until a new survey is conducted.

Union Average Rate Identifiers

Classification(s) listed under the UAVG identifier indicate

that no single majority rate prevailed for those classifications; however, 100% of the data reported for the classifications was union data. EXAMPLE: UAVG-OH-0010 08/29/2014. UAVG indicates that the rate is a weighted union average rate. OH indicates the state. The next number, 0010 in the example, is an internal number used in producing the wage determination. 08/29/2014 indicates the survey completion date for the classifications and rates under that identifier.

A UAVG rate will be updated once a year, usually in January of each year, to reflect a weighted average of the current negotiated/CBA rate of the union locals from which the rate is based.

WAGE DETERMINATION APPEALS PROCESS

- 1.) Has there been an initial decision in the matter? This can be:
- * an existing published wage determination
- * a survey underlying a wage determination
- * a Wage and Hour Division letter setting forth a position on a wage determination matter
- * a conformance (additional classification and rate) ruling

On survey related matters, initial contact, including requests for summaries of surveys, should be with the Wage and Hour National Office because National Office has responsibility for the Davis-Bacon survey program. If the response from this initial contact is not satisfactory, then the process described in 2.) and 3.) should be followed.

With regard to any other matter not yet ripe for the formal process described here, initial contact should be with the Branch of Construction Wage Determinations. Write to:

Branch of Construction Wage Determinations Wage and Hour Division U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

2.) If the answer to the question in 1.) is yes, then an interested party (those affected by the action) can request review and reconsideration from the Wage and Hour Administrator (See 29 CFR Part 1.8 and 29 CFR Part 7). Write to:

Wage and Hour Administrator U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

The request should be accompanied by a full statement of the interested party's position and by any information (wage payment data, project description, area practice material, etc.) that the requestor considers relevant to the issue.

3.) If the decision of the Administrator is not favorable, an

interested party may appeal directly to the Administrative Review Board (formerly the Wage Appeals Board). Write to:

Administrative Review Board U.S. Department of Labor 200 Constitution Avenue, N.W. Washington, DC 20210

4.) All decisions by the Administrative Review Board are final.

END OF GENERAL DECISION"

SPECIAL CONDITIONS

The following Special Conditions apply to this Contract:

1.) Permits

The following permits have been secured by the Owner for the prosecution of the work:

- ➤ NHDES Wastewater Engineering Bureau Design Review
- ➤ NHDES Drinking Water and Groundwater Bureau Design Review

2.) Time of Contract Completion

It is assumed that there will be a winter shutdown between the 2024 and 2025 construction seasons. The period of time of the winter shutdown, as agreed upon by the Owner and Contractor, in calendar days, will not be counted against the contract time.

3.) Work within Railroad Right-of-Way

A portion of the proposed sewer work lies within the right-of-way of the St. Lawrence & Atlantic Railroad (Sheet 2.01A (Sewer STA 3+80) to Sheet 2.02A (Sewer STA 10+60)). Requirements for working within the railroad right-of-way such as insurance, licensing agreements, staff, etc. have not yet been defined. An allowance of \$25,000 has been included in the Bid Form as Bid Item 41. to cover costs for such requirements as application fee for the Contractor's Right of Entry (ROE) Agreement, insurance, flaggers, etc..

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Water and Wastewater System Infrastructure Improvements

Groveton Water System
Northumberland and Groveton, NH
Horizons Engineering
[Name of Contractor]



United States Department of Agriculture (USDA) Rural Development American Rescue Plan Act of 2021 NHDES Drinking Water State Revolving Fund, 1781010-02 NH Drinking Water and Groundwater Trust Fund, DWGT-106









Joe Biden, President of the United States Tom Vilsack, Secretary of Agriculture Chris Sununu, NH Governor

USDA is an equal opportunity provider, employer, and lender.

SECTION 00 81 13

TOWN OF NORTHUMBERLAND, NH AMERICAN IRON AND STEEL COMPLIANCE WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS RUS BULLETIN 1780-26 EXHIBIT A

AMERICAN IRON & STEEL COMPLIANCE STATEMENT

"Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference applies an American Iron and Steel requirement to this project.

All parties are required to comply with these requirements and to ensure that all iron and steel products used in this project must be produced in the United States. The term "iron and steel products" means the following products made primarily of iron or steel: lined or unlined pipes and fittings, manhole covers and other municipal castings, hydrants, tanks, flanges, pipe clamps and restraints, valves, structural sel, reinforced precast concrete, and construction materials."

AMERICAN IRON AND STEEL DE MINIMIS LIST FORMAT

Notes to User: This exhibit is an example format for Contractors to use in maintaining a list of items to document the use of the De Minimis waiver of the American Iron and Steel requirements. This list or similar is required to be filled out throughout the construction Contract as needed. The State Engineer may periodically ask to review this information. At the Contract completion, this list, along with all Manufacturers' certifications, are to be given to the Engineer for delivery to the Owner.

DE	MINIMIS COSTING WORKSHEET			
	Project Name:			
	Contract Name/# (if more than one)			
	Contractor (Company Name):			
	Representative:			
	Date:			
	Total Cost of All Materials (or Estimated Value at 50% of the Installed Bid Price):			\$
	Allowable Total <i>De Minimis</i> Costs (5% of all materials)			\$
	Total Cost of all <i>De Minimis</i> Items			\$
	Remaining Amount Allowed for Future De Minimis Items			\$
	Note: No single De Minimis item can be more than 1% of the total material cost.			
No.	Detailed Description and Manufacturer or Local Source of <i>De Minimis</i> Material	Quantity	Cost Per Item	Total Item Cost
1				
2				
3				
4				
5				
6				
7				
8				
9				
10_				
<u>11</u>				
12				
13				
14				

GENERAL (PRIME) CONTRACTOR'S CERTIFICATION OF COMPLIANCE

Notes to User: This exhibit is the sample General (Prime) Contractor's Certification of Compliance with the American Iron and Steel requirements to be provided by all General (Prime) Contractors to Engineer for delivery to the Owner at Substantial Completion.

GENERAL (PRIME) CONTRACTOR'S CERTIFICATION OF COMPLIANCE WITH PROVISIONS OF THE AMERICAN IRON AND STEEL REQUIREMENTS OF SECTION 746 OF TITLE VII OF THE CONSOLIDATED APPROPRIATIONS ACT OF 2017 (DIVISION A - AGRICULTURE, RURAL DEVELOPMENT, FOOD AND DRUG ADMINISTRATION, AND RELATED AGENCIES APPROPRIATIONS ACT, 2017) AND SUBSEQUENT STATUTES MANDATING DOMESTIC PREFERENCE

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RE: PROJECT NAME APPLICANT CONTRACT NUMBER

I hereby certify that to the best of my knowledge and belief all Iron and Steel products installed for this project by my company and by any and all subcontractors and Manufacturers my company has contracted with for this project comply with Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference or are the subject of a waiver approved by the Secretary of Agriculture or designee.

Name of Construction Company (PRINT)
By Authorized Representative (SIGNATURE
Title

EXAMPLES OF MUNICIPAL CASTINGS (includes but not limited to):

Access Hatches;

Ballast Screen;

Benches (Iron or Steel);

Bollards;

Cast Bases;

Cast Iron Hinged Hatches, Square and Rectangular;

Cast Iron Riser Rings;

Catch Basin Inlet; Cleanout/Monument

Boxes; Construction Covers and Frames;

Curb and Corner Guards;

Curb Openings;

Detectable Warning Plates;

Downspout Shoes (Boot, Inlet);

Drainage Grates, Frames and Curb Inlets;

Inlets;

Junction Boxes;

Lampposts;

Manhole Covers, Rings and Frames, Risers;

Meter Boxes;

Service Boxes;

Steel Hinged Hatches, Square and Rectangular;

Steel Riser Rings;

Trash receptacles;

Tree Grates;

Tree Guards; Trench

Grates; and

Valve Boxes, Covers and Risers.

EXAMPLES OF CONSTRUCTION MATERIALS (includes but not limited to):

Wire rod, bar, angles

Concrete reinforcing bar, wire, wire cloth

Wire rope and cables

Tubing

Framing

Joists

Trusses

Fasteners (i.e., nuts and bolts)

Welding rods

Decking

Grating

Railings

Stairs

Access ramps

Fire escapes

Ladders

Wall panels

Dome structures

Roofing

Ductwork

Surface drains

Cable hanging systems

Manhole steps

Fencing and fence tubing

Guardrails

Doors

Stationary screens

EXAMPLES OF NON-CONSTRUCTION MATERIALS – (includes but not limited to):

(NOTE: includes appurtenances necessary for their intended use and operation and are not subject to AIS)

Pumps

Motors

Gear reducers

Drives (including variable frequency drives (VFDs)

Electric/pneumatic/manual accessories used to operate valves (such as electric valve actuators)

Mixers

Gates (e.g. sluice and slide gates)

Motorized screens (such as traveling screens)

Blowers/aeration equipment

Compressors

Meters (flow and water meters)

Sensors

Controls and switches

Supervisory control Data acquisition (SCADA)

Membrane bioreactor systems

Membrane filtration systems (includes RO package plants)

Filters

Clarifier arms and clarifier mechanisms

Rakes

Grinders Disinfection systems

Presses (including belt presses)

Conveyors

Cranes

HVAC (excluding ductwork

Water heaters

Heat exchangers

Generators

Cabinetry and housings (such as electrical boxes/enclosures)

Lighting fixtures

Electrical conduit

Emergency life systems

Metal office furniture

Shelving

Laboratory equipment

Analytical instrumentation

Dewatering equipment.

WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS

RUS BULLETIN 1780-26

EXHIBIT A ATTACHMENT 5

MANUFACTURER'S CERTIFICATION OF COMPLIANCE

Notes to User: This exhibit is the sample Manufacturer's Certification of Compliance with the American Iron and Steel requirements to be provided by all Manufacturers of American Iron and Steel covered items, to be submitted by Contractor to the Engineer with the corresponding Shop Drawing submittal for delivery to the Owner at Substantial Completion.

EXAMPLE OF A MANUFACTURER'S CERTIFICATION OF COMPLIANCE WITH PROVISIONS OF THE AMERICAN IRON AND STEEL (AIS) REQUIREMENTS OF SECTION 746 OF TITLE VII OF THE CONSOLIDATED APPROPRIATIONS ACT OF 2017 (DIVISION A - AGRICULTURE, RURAL DEVELOPMENT, FOOD AND DRUG ADMINISTRATION, AND RELATED AGENCIES APPROPRIATIONS ACT, 2017) AND SUBSEQUENT STATUTES MANDATING DOMESTIC PREFERENCE

Date:
Company Name:
Company Address:
Subject: American Iron and Steel (AIS) Certification for Project (X), Owner's Name, and Contract Number
I, (company representative), certify that the (melting, bending, galvanizing, cutting, etc.) processes for (manufacturing or fabricating) the following products and/or material shipped or provided for the subject project is in full compliance with the AIS requirement as mandated by Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference. Item, Products and/or Materials, and location of delivery (City, State): 1.
2.
Such processes for AIS took place at the following location:
(City, State)
Authorized Company Representative Signature
Notes, Authorized giougetyng will be Manufactunou's nonnegontating not the material distributor or Complian
Notes: Authorized signature will be Manufacturer's representative, not the material distributor or Supplier. If any of the above compliance statements change while providing materials to this project, please
ij any oj ine above compitance statements change white providing materials to this project, please

immediately notify the person(s) who is requesting to use your product(s).

AMERICAN IRON AND STEEL COMPLIANCE

WATER, SEWER, DRAINAGE AND ROADWAY IMPROVEMENTS RUS BULLETIN 1780-26 EXHIBIT A ATTACHMENT 6

ENGINEER'S CONSTRUCTION CERTIFICATIONS

Notes to User: This exhibit consists of four statements that will be certified by the Engineer, to be executed and then submitted to the Agency concurrently with the construction Contract Document package. This certification is to be submitted to the Agency prior to Authorization to bid but is <u>not</u> to be included in the bid package.

PROJECT NAME AND CONTRACT NUMBER: ENGINEER'S NAME:				
ENGINEER'S CERTIFICATION				
The final Drawings and Specifications, construction Contract Documents, Bidding Documents (or requests for proposals or other construction procurement documents), and any other final design phase deliverables, comply with all applicable federal requirements, to the best of my knowledge and professional judgment. This includes the following:				
The Engineers Joint Contract Documents Committee (EJCDC) documents have been used, and all acceptable revisions identified in this Bulletin have been made in accordance with the terms of the license agreement, which states in part that the Engineer "will plainly show all changes to the standard EJCDC text, using 'Track Changes' (redline/strikeout), highlighting, or other means of clearly indicating additions and deletions." Such other means may include attachments indicating changes (e.g. Supplementary Conditions modifying the General Conditions).				
Any building(s) designed for this Project will comply with the requirements of the Architectural Barriers Act (ABA), the Americans with Disabilities Act (ADA) of 1990, and the Rehabilitation Act.				
All Iron and Steel products referenced in the Drawings, Specifications, and Bidding Documents for this Project comply with Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference or are the subject of a waiver approved by the Secretary of Agriculture or designee.				
All Iron and Steel products that will be referenced in the Addenda, executed Contracts, and Change Orders will comply with Section 746 of Title VII of the Consolidated Appropriations Act of 2017 (Division A - Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2017) and subsequent statutes mandating domestic preference, or will be the subject of a waiver approved by the Secretary of Agriculture or designee.				

TOWN OF NORTHUMBERLAND, NEW HAMPSHIRE	AMERICAN			00 81 16 STEEL
COMPLIANCE WATER, SEWER, DRAINAGE AND ROADWAY IMPROVI 26	11111111111111111	111011	111,12	STEEL IN 1780-
	EXHII	BIT A A	ГТАСН	IMENT 6
Note: This certification is not intended to be a warrant designer's professional opinion that to the best of their comply.				
Engineer signature		Date		

Printed name and title

DIVISION 01 – GENERAL REQUIREMENTS

SECTION 01 11 12

GENERAL REQUIREMENTS

PART 1 – GENERAL

1.1 GENERAL CONDITIONS

- **A.** All work of this section is specifically subject to the General Conditions for the entire project.
- **B.** Provide all items, articles, materials, operations, or methods listed, mentioned, scheduled on the Drawings and/or specified herein including all labor, materials, equipment and incidentals necessary and required for their completion.

1.2 INTENT

- **A.** The intent of the Specifications and drawings is to call for finish work, tested and ready for operation.
- **B.** Any apparatus, appliance, material or service not specified or indicated but necessary to make the work complete and perfect in all respects and ready for operations shall be provided.
- C. The Drawings are generally diagrammatic, intended to convey the scope of the work and indicate the general arrangement of equipment and piping and approximate sizes and locations of equipment.

1.3 WORKMANSHIP

- **A.** All work shall be executed in the best and most thorough manner under the direction of and to the satisfaction of the Engineer.
- **B.** The Contractor shall, at all times, keep a competent foreman in charge of the works on the project, and shall facilitate it's inspection by the Engineer.

1.4 RULES AND REGULATIONS

- **A.** All work shall comply with applicable portions of all state or local laws, ordinances, rules and regulations of local utility companies and fire departments, B.O.C.A., National Plumbing Code, recommendations of the National Board of Fire Underwriters, National Electrical Code and all other authorities having jurisdiction.
- **B.** Nothing contained in these Specifications or indicated on the Drawings shall be construed to conflict with applicable portions of any laws, ordinances, rules and regulations.

1. All pressure vessels shall be furnished and installed in strict accordance with the applicable regulations of the state and the ASME codes and shall be equipped with all appurtenances required by the aforesaid codes.

1.5 GUARANTEE

- **A.** Guarantee all work performed and materials and equipment installed to the full extent required by the Drawings and Specifications to be free from inherent defects.
- **B.** Any materials or equipment which are corroded or otherwise damaged, through the Contractor's failure to properly operate and maintain the installation during construction or testing, shall be replaced prior to final acceptance.
- C. Keep the work in repair and replace any defective materials, equipment or workmanship upon notice from the Owner's/Engineer's Representative for a period of one year from date of substantial completion.
- **D.** Materials or equipment requiring excessive service during the first year of operation shall be considered defective.
- **E.** The date of acceptance shall be that which appears on the Owner's/Engineer's Certificate of Substantial Completion.

1.6 SEQUENCE OF WORK

- **A.** Refer to the General Supplementary and Special Conditions for timing and coordination of the work.
- **B.** Schedule the work accordingly and coordinate schedule with other Contractors to prevent delay.

1.7 OPERATING AND MAINTENANCE MANUALS

- **A.** Furnish manufacturer's printed operating and maintenance instructions for each piece of equipment furnished under this Division.
- **B.** Each manual shall be suitably and neatly marked to identify the particular equipment furnished and shall include lubricating charts.
- C. All instructions and charts shall be bound in appropriate cover binders properly indexed, identified, and titled to provide three complete manuals.
- **D.** Completed manuals shall be submitted to the Engineer for review and approval.

SECTION 01 11 13

SUMMARY OF WORK

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division - 01 General Requirements Sections, apply to this Section.

1.2 PROJECT DESCRIPTION

- **A.** The Project consists of the construction of replacement water main, hydrants, gate valves, and services, gravity sewer main, sewer manholes, sewer services, catch basins and storm drainage.
- B. Restoration of all surfaces, loaming, seeding, and fertilizing, and pavement replacement.

1.3 WORK SEQUENCE

- **A.** The Work will be conducted in a sequence and in such a manner as to minimize utility and traffic interruptions and to minimize the risk to health and the environment.
- **B.** Contractor shall sequence work to limit interruption of service to 2 hour maximum periods. A minimum of 48 hours notice of service interruption shall be provided to the Owner. The Contractor shall provide temporary service as required to adhere to these limits.

1.4 CONTRACTOR USE OF PREMISES

- A. General: Limit use of the premises to construction activities in areas indicated; allow for Owner operation and use by the public.
 - 1. Confine operations to areas within Contract limits indicated. Portions of the site beyond areas in which construction operations are indicated are not to be disturbed.
 - 2. Keep driveways and entrances serving the premises clear and available to the Owner and the general public at all times. Do not use these areas for parking or storage of materials. Schedule deliveries to minimize space and time requirements for storage of materials and equipment on site.
 - 3. Disposal of Excess, Unsuitable and/or Waste Materials: Unless otherwise approved by Engineer, all excess, unsuitable or waste materials shall be removed from the project site and shall be lawfully disposed of at Contractor's expense. Do not dispose of hazardous material on site, either by burial or by burning.

1.5 OWNER OCCUPANCY

A. Full Owner Occupancy: The Owner will occupy the site during the entire construction period. Cooperate with the Owner during construction operations to minimize conflicts and facilitate Owner usage. Perform the Work so as not to interfere with the Owner's operations.

1.6 MISCELLANEOUS PROVISIONS

- **A.** The Project has been designed and the Contract Documents prepared with the intention that resulting Work will comply with applicable local, State, and Federal rules and regulations.
 - 1. Before Substantial Completion inspect, test and adjust performance of every system or facility of the Work to ensure that overall performance is in compliance the Contract Documents and all permit requirements.
 - 2. Instruct the Owner's operating personnel on operational requirements needed to maintain compliance.

PART 2 - PRODUCTS

Not Applicable

PART 3 – EXECUTION

Not Applicable

END SECTION

SECTION 01 11 17

DRAWINGS AND SPECIFICATIONS

PART 1 – GENERAL

1.1 DESCRIPTION

- **A.** This Section is intended to describe the general Intent of the Drawings and Specifications.
- **B.** The Owner will furnish the Contractor up to five (5) copies of the drawings and specifications without charge.

1.2 EXISTING CONDITIONS

A. All existing conditions shown on the drawings are for information purposes only and are based on limited information. The Contractor shall verify existing conditions and shall not be entitled to extra compensation for failure to do so.

1.3 INTENT OF DRAWINGS AND SPECIFICATIONS

A. The drawings and specifications are intended to show the general intent of the work. The Owner has contracted for a complete project although every detail, component, fitting and appurtenance may not have been shown. The Contractor shall be responsible for all items necessary to make a complete functional system.

1.4 DIMENSIONS

A. Drawings should not be scaled. All dimensions shall be taken from figured dimensions on the drawings or by actual field measurements. The Contractor shall notify the Engineer immediately of any discrepancy between figured dimensions labeled on the drawings and actual field measurements, whenever such discrepancy may impact the installation or operation of the Work.

SECTION 01 22 13

MEASUREMENT AND PAYMENT

PART 1 – GENERAL

1.1 RELATED DOCUMENTS

A. The provisions of the Contract, including General and Supplemental Conditions and General Requirements (if any), apply to the work specified in this Section.

1.2 RELATED WORK SPECIFIED ELSEWHERE

General Conditions
Supplemental Conditions

1.3 DESCRIPTION

- **A.** For unit price items, the Contractor shall be paid for the actual amount of work accepted and for the actual amount of materials in place during the period of construction. After the work is completed and before final payment is made therefore, the Engineer shall make final measurements to determine the quantities of the various items of work accepted as the basis for final payment.
- **B.** For lump sum items, the Contractor shall be paid on the basis of actual work accepted until the work item is completed. Upon completion of the item, 100 percent of the lump sum price may be paid, subject to the terms of the General Conditions or Supplemental Conditions.
- C. All units of measurement shall be standard United States convention as applied to the specific items of work by tradition and as interpreted by the Engineer.

1.4 SCOPE OF PAYMENT

- **A.** Payments to the Contractor will be made for the actual quantities of the contract items performed and accepted in accordance with the Contract Documents. Upon completion of construction, if these actual quantities show either an increase or decrease from the quantities given in the Bid, the contract unit prices will still prevail, except as provided hereinafter.
- **B.** The Contractor shall accept in compensation, as herein provided, in full payment for furnishing all materials, labor, tools, equipment, and incidentals necessary to complete the work and for performing all work contemplated and embraced by the Contract; also for all loss or damage arising from the nature of the Work, or from the action of the elements, or from any unforeseen difficulties which may be encountered during the prosecution of the work and until its final acceptance by the Engineer; and for all risks of every description connected with the prosecution of the work, except as provided herein; also for all expenses incurred in consequence of the suspension of the work as herein authorized.

- C. No extra payment shall be made to the Contractor for any delays caused by lack of progress, defective workmanship, or rescheduling of work by other contractors, subcontractors, or equipment and material suppliers.
- **D.** No additional payment will be allowed because of differences between field dimensions and those shown on the Drawings.
- **E.** Additional costs caused by ill-timed or defective work, or work not conforming to Contract Documents including costs for additional services of Engineer, shall be paid for by the party causing the rejected or non-conforming work.
- **F.** Work done on written instructions of Engineer, other than defective or non-conforming work, shall be paid for by the Owner.
- **G.** The cost of shop drawing reviewed by the Engineer in excess of two submissions shall be deducted from the Contractor's monthly invoices, based upon a rate of \$100 per hour.

1.5 PAYMENT FOR INCREASED OR DECREASED QUANTITIES

A. When alterations in the quantities of work not requiring Change Orders, as herein provided for, are ordered and performed, the Contractor shall accept payment in full at the contract price for the actual quantities of work done. No allowance will be made for anticipated profits. Increased or decreased work involving Change Orders will be paid for as stipulated in such Change Orders.

1.6 ELIMINATED ITEMS

- **A.** Should any unit price items contained in the proposal form be found unnecessary for the proper completion of the work contracted, the Engineer may eliminate such unit price items from the Contract, and such action shall in no way invalidate the Agreement, and no allowance will be made for items so eliminated in making final payment to the Contractor.
- **B.** Should any equipment or material be eliminated under a lump sum item, a Change Order shall be issued as stipulated in the General Conditions.

1.7 PARTIAL PAYMENTS

- **A.** Partial payments shall be made monthly as the work progresses. All partial invoices and payments shall be subject to correction in the final quantity invoice and payment.
- **B.** No monthly payment shall be required to be made when, in the judgment of the Engineer, the Work is not proceeding in accordance with the provisions of the Contract Documents, or when in his judgment the total value of the Work performed since the last payment amounts to less than \$1,000.
- C. Retained amounts shall be limited, except where greater retention is necessary under specific circumstances specifically provided for in the General Conditions.

D. No partial payment shall be made upon fuels, supplies, lumber, false work, or other materials, or on temporary structures of any kind which are not a permanent part of the Contract.

1.8 FINAL PAYMENT

BLANK

1.9 PAYMENT FOR MATERIAL DELIVERED

A. When requested by the Contractor, and at the discretion of the Owner, payment may be made for all or part of the value of acceptable, non-perishable materials and equipment which are to be incorporated into the Work, which have not been used and which have been delivered to the construction site and placed in storage places acceptable to the Owner. The Application for Payment shall be accompanied by such data, satisfactory to the Owner, that will establish the Owner's title to the material and equipment and protect the Owner's interest therein, including insurance.

Each subsequent Application for Payment shall include an affidavit of the Contractor stating that all previous progress payments received on account of the Work have been applied to discharge in full all of the Contractor's obligations reflected in prior Applications for Payment. The Owner shall have the right to deduct from the next progress payment an amount equal to payment for said material and/or equipment if reasonable and adequate proof is not submitted.

B. Materials and equipment, when so paid for by the Owner, shall become the property of the Owner and, in the event of default on the part of the Contractor, the Owner may use, or cause to be used, these materials and equipment in the construction of the Work. The Contractor shall be responsible for any damage to, or loss of, the materials and equipment. The amount thus paid by the Owner shall reduce the estimated amounts due the Contractor as the material is incorporated into the Work.

1.10 <u>DESCRIPTION OF PAY ITEMS</u>

- **A.** The following pay items describe the measurement of and payment for the work to be done under the respective items listed in the Bid.
- **B.** Each unit or lump sum price stated in the Bid shall constitute full compensation, as herein specified, for each item of the work completed.

1.11 PAY ITEMS

WATER MAIN AND APPURTENANCES

Item No. 1 Mobilization

A. Measurement for payment for General Conditions and Mobilization shall consist of project management, preparatory, and miscellaneous work and operations including but not limited to the following:

- 1. Supervision and project management
- 2. Overnight accommodations
- 3. Submittals
- 4. Equipment delivery
- 5. Office trailers and utilities
- 6. Sanitary facilities
- 7. Detour/construction/project signs
- 8. Furnishing of Bonds/Insurance (including railroad insurance and permitting)
- 9. Utility crossings and relocations (unless otherwise provided for)
- 10. Project record drawings and record tie sheets
- 11. Testing
- 12. Removal and disposal of existing pipe within trench if needed
- 13. Removal of existing hydrants, gate valves, valve boxes and covers, curb stops, and manhole frames and covers to be abandoned and salvaged to owner's yard
- 14. Cutting and capping of all mains and services to be abandoned
- 15. Tree clearing
- 16. Dust Control
- 17. Survey/Layout

B. Payments:

- Payment shall be made at the contract lump sum price, which price shall be full
 compensation for all costs incurred in furnishing labor, tools, materials and
 equipment and incidental work item costs for the preparatory work and operations
 described in the above Measurement section for General Conditions and
 Mobilization.
- 2. For the purpose of computing payments, the adjusted contract price shall include all contract unit price and lump sum items except the contract lump sum price for this Item.
- 3. Payments shall be made as follows:
 - a. First payment of fifty percent (50%) of the contract lump sum price for Mobilization or 2.5 percent of the adjusted contract price, whichever is less, will be made not later than payment of the first application for payment following the completion of five percent (5%) of the total contract price.
 - b. Second payment of twenty five percent (25%) of the contract lump sum price for Mobilization or 1.2 percent of the adjusted contract price, whichever is less, will be made not later than payment of the first application for payment following the completion of fifty percent (50%) of the total contract price.
 - c. Upon substantial completion of all work on the project, payment of the remainder of the contract lump sum price for Mobilization will be paid.

<u>Item No. 2 - Ordered Excavation/Test Pitting</u>

- **A.** Measurement for payment shall be by average dimension of three planes of the excavation ordered and approved by the Engineer.
- **B.** Payment for ordered excavation/test pitting shall be at the unit price per cubic yard as stated in the Bid. Payment includes traffic control/flagging, excavating (except rock excavation), dewatering, backfill, compaction, regrading, restoration of surfaces, restoration of growth and all else necessary to complete the item.

Item No. 3 – Eight Inch Water Main

- **A.** Measurement for payment shall be per the actual length in feet of water main furnished and installed. Measurement shall be made along the centerline of the pipe. No measurement deductions shall be made for water main valves or fittings.
- **B.** Payment for furnishing and installing pipe of the size specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for clearing/tree branch trimming, holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, pipe, fittings, bedding, jointing, connections to existing, select backfill around and over pipe, joint restraint, thrust blocks, warning tape, tracer wire (for PVC pipe), in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items. Payment will be made for ninety (90) percent of the price upon completion of installation; the remaining ten (10) percent upon completing satisfactory testing subject to other retainages set forth in the Contract Documents. Payment for this item may be withheld if the Record Drawings do not reflect the work for which payment is requested.

Item No. 4 – Six Inch Water Main

- **A.** Measurement for payment shall be per the actual length in feet of water main furnished and installed. Measurement shall be made along the centerline of the pipe. No measurement deductions shall be made for water main valves or fittings.
- **B.** Payment for furnishing and installing pipe of the size specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for clearing/tree branch trimming, holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, pipe, fittings, bedding, jointing, connections to existing, select backfill around and over pipe, joint restraint, thrust blocks, warning tape, tracer wire (for PVC pipe), in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items. Payment will be made for ninety (90) percent of the price upon completion of installation; the remaining ten (10) percent upon completing satisfactory testing subject to other retainages set forth in the Contract Documents. Payment for this item may be withheld if the Record Drawings do not reflect the work for which payment is requested.

Item No. 5 - 3/4 Inch Water Service

- **A.** Measurement for payment shall be per the actual length in feet of water service furnished and installed. Measurement shall be made along the centerline of the trench. No measurement deductions shall be made for valves or fittings.
- **B.** Payment for furnishing and installing pipe of the size specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for clearing/tree branch trimming, holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, pipe, fittings, bedding, jointing, connections to existing, removal and salvage of existing curb box and cover to owner, select backfill around and over pipe, warning tape, tracer wire, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items. Payment will be made for ninety (90) percent of the price upon completion of installation; the remaining ten (10) percent upon completing satisfactory testing subject to other retainages set forth in the Contract Documents. Payment for this item may be withheld if the Record Drawings do not reflect the work for which payment is requested.

Item No. 6 – One Inch Water Service

- **A.** Measurement for payment shall be per the actual length in feet of water service furnished and installed. Measurement shall be made along the centerline of the trench. No measurement deductions shall be made for valves or fittings.
- **B.** Payment for furnishing and installing pipe of the size specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for clearing/tree branch trimming, holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, pipe, fittings, bedding, jointing, connections to existing, removal and salvage of existing curb box and cover to owner, select backfill around and over pipe, warning tape, tracer wire, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items. Payment will be made for ninety (90) percent of the price upon completion of installation; the remaining ten (10) percent upon completing satisfactory testing subject to other retainages set forth in the Contract Documents. Payment for this item may be withheld if the Record Drawings do not reflect the work for which payment is requested.

<u>Item No. 7 – Eight Inch Tapping Sleeve and Valve</u>

- **A.** Measurement shall be per the actual number of tapping sleeves and valves furnished and installed.
- **B.** Payment for furnishing and installing tapping sleeves and valves shall be made for the quantity installed at the unit bid price per each as stated in the Bid. Payment shall be full compensation for holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, materials included in specific item, bedding, jointing, select backfill,

joint restraint, thrust blocks, warning tape, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill at surface, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 8 – Eight Inch Gate Valve</u>

- **A.** Measurement shall be per the actual number of gate valves furnished and installed.
- **B.** Payment for furnishing and installing gate valves shall be made for the quantity installed at the unit bid price per each as stated in the Bid. Payment shall be full compensation for holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, materials included in specific item, bedding, jointing, select backfill, joint restraint, thrust blocks, warning tape, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill at surface, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 9 – Six Inch Gate Valve

- **A.** Measurement shall be per the actual number of gate valves furnished and installed.
- **B.** Payment for furnishing and installing gate valves shall be made for the quantity installed at the unit bid price per each as stated in the Bid. Payment shall be full compensation for holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, materials included in specific item, bedding, jointing, select backfill, joint restraint, thrust blocks, warning tape, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill at surface, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 10 – Fire Hydrant

- **A.** Measurement shall be per the actual number of fire hydrants furnished by the Owner and installed.
- **B.** Payment for installing fire hydrants shall be made for the quantity installed at the unit bid price per each as stated in the Bid. Payment shall be full compensation for holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, materials included in specific item, hydrant extensions if required, bedding, jointing, select backfill, joint restraint, thrust blocks, warning tape, in-kind soil backfilling, compaction, restoring the trench surface and area around the hydrant to grade for suitable access, in kind gravel backfill at surface, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 11 – ¾ Inch Corporation Stop, Saddle, Curb Stop and Box to Grade</u>

A. Measurement shall be per the actual number of corporation stops, saddles, curb stops, and boxes to grade furnished and installed.

B. Payment for furnishing and installing items shall be made for the quantity installed at the unit bid price per each as stated in the Bid. Payment shall be full compensation for holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, materials included in specific item, bedding, jointing, connections to existing, select backfill, warning tape, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill at surface, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 12 – One Inch Corporation Stop, Saddle, Curb Stop and Box to Grade

- **A.** Measurement shall be per the actual number of corporation stops, saddles, curb stops, and boxes to grade furnished and installed.
- **B.** Payment for furnishing and installing items shall be made for the quantity installed at the unit bid price per each as stated in the Bid. Payment shall be full compensation for holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, materials included in specific item, bedding, jointing, connections to existing, select backfill, warning tape, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill at surface, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 13 – Two Inch Thick Rigid Polystyrene Insulation</u>

- **A.** Measurement for payment shall be per the actual length in feet of insulation furnished and installed. Measurement shall be made along the centerline of the trench.
- **B.** Payment for furnishing and installing insulation shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for furnishing and installing insulation, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 14 – Temporary Water Service

- **A.** Measurement shall be per lump sum.
- **B.** Payment for furnishing and installing temporary water service shall be made for lump sum bid price as stated in the Bid. Payment shall be full compensation for preparing and implementing a plan for temporary water as needed to maintain water service to all users in accordance with the specifications, furnishing, installing, and ultimately removing all piping and connections, testing, chlorination, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

SEWER AND STORM DRAIN

Item No. 15 - Four Foot ID Precast Concrete Catch Basin

- **A.** Measurement shall be each precast concrete catch basin furnished and installed.
- **B.** Payment for furnishing and installing precast concrete structure shall be made for the quantity installed at the per each bid price as stated in the Bid. Payment shall be full compensation for excavation (except rock excavation), sheeting and bracing, dewatering, concrete structure, bedding, frame and grate, jointing, in-kind soil backfilling, compaction, restoring the surface to grade, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 16 – Twelve Inch Storm Drain Pipe</u>

- **A.** Measurement for payment shall be per the actual length in feet of storm drain furnished and installed. Measurement shall be made along the centerline of the pipe from inside face of structure to inside face of structure.
- **B.** Payment for furnishing and installing pipe of the size specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, pipe, fittings, bedding, jointing, connections to existing, select backfill around and over pipe, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill and all work incidental to the satisfactory completion of the item for which payment is not provided under other items. Payment for this item may be withheld if the Record Drawings do not reflect the work for which payment is requested.

<u>Item No. 17 – Fifteen Inch Storm Drain Pipe</u>

- **A.** Measurement for payment shall be per the actual length in feet of storm drain furnished and installed. Measurement shall be made along the centerline of the pipe from inside face of structure to inside face of structure.
- **B.** Payment for furnishing and installing pipe of the size specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, pipe, fittings, bedding, jointing, connections to existing, select backfill around and over pipe, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill and all work incidental to the satisfactory completion of the item for which payment is not provided under other items. Payment for this item may be withheld if the Record Drawings do not reflect the work for which payment is requested.

Item No. 18 - Four Foot ID Precast Concrete Sewer Manhole

- **A.** Measurement shall be per vertical foot of manhole furnished and installed measured from the top of concrete to the pipe invert out elevation.
- **B.** Payment for furnishing and installing precast concrete structure shall be made for the quantity installed at the bid price as stated in the Bid. Payment shall be full compensation for excavation (except rock excavation), sheeting and bracing, dewatering, concrete structure, bedding, jointing, brick invert, in-kind soil backfilling, compaction, restoring the surface to grade, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 19 - Five Foot ID Precast Concrete Drop Sewer Manhole

- **A.** Measurement shall be per vertical foot of manhole furnished and installed measured from the top of concrete to the pipe invert out elevation.
- **B.** Payment for furnishing and installing precast concrete structure shall be made for the quantity installed at the bid price as stated in the Bid. Payment shall be full compensation for excavation (except rock excavation), sheeting and bracing, dewatering, concrete structure, drop pipe, bedding, jointing, brick invert, in-kind soil backfilling, compaction, restoring the surface to grade, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 20 - Four Foot ID Precast Concrete Drain Manhole

- **A.** Measurement shall be per vertical foot of manhole furnished and installed measured from the top of concrete to the bottom of sump elevation.
- **B.** Payment for furnishing and installing precast concrete structure shall be made for the quantity installed at the bid price as stated in the Bid. Payment shall be full compensation for excavation (except rock excavation), sheeting and bracing, dewatering, concrete structure, bedding, jointing, in-kind soil backfilling, compaction, restoring the surface to grade, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 21 – Manhole Frame and Cover

- **A.** Measurement shall be per each.
- **B.** Payment for furnishing and installing precast concrete structure frames and covers shall be made for the quantity installed at the bid price as stated in the Bid. Payment shall be full compensation for furnishing and installing frame and cover, mortar and brick, setting the frame to grade, restoring the surface to grade, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 22 – Eight Inch PVC Gravity Sewer Main</u>

- **A.** Measurement for payment shall be per the actual length in feet of sewer main furnished and installed. Measurement shall be made along the centerline of the pipe from inside face of structure to inside face of structure.
- **B.** Payment for furnishing and installing pipe of the size specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for clearing/tree branch trimming, holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, pipe, fittings, bedding, jointing, connections to existing, select backfill around and over pipe, joint restraint, warning tape, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill, testing, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items. Payment will be made for ninety (90) percent of the price upon completion of installation; the remaining ten (10) percent upon completing satisfactory testing subject to other retainages set forth in the Contract Documents.

Item No. 23 – Four Inch PVC Gravity Sewer Service

- **A.** Measurement for payment shall be per the actual length in feet of sewer service furnished and installed. Measurement shall be made along the centerline of the pipe.
- **B.** Payment for furnishing and installing pipe of the size specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for clearing/tree branch trimming, holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, pipe, fittings, bedding, jointing, connections to existing, select backfill around and over pipe, joint restraint, warning tape, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill, testing, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items. Payment will be made for ninety (90) percent of the price upon completion of installation; the remaining ten (10) percent upon completing satisfactory testing subject to other retainages set forth in the Contract Documents.

Item No. 24 – Five Foot Wide Bituminous Sidewalk

- **A.** Measurement for payment shall be per the actual length in feet of sidewalk furnished and installed. Measurement shall be made along the centerline of the sidewalk.
- **B.** Payment for furnishing and installing sidewalk as specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for clearing/tree branch trimming, holding utility poles, excavation (including excavation and disposal of existing sidewalk if any), bank run gravel, crushed gravel, fine grading, compaction, as shown on the plans, bituminous pavement, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 25 - Four Foot ID Precast Concrete Drywell

- **A.** Measurement shall be each precast concrete drywell furnished and installed.
- **B.** Payment for furnishing and installing precast concrete structure shall be made for the quantity installed at the per each bid price as stated in the Bid. Payment shall be full compensation for excavation (except rock excavation), sheeting and bracing, dewatering, concrete structure, crushed stone, geotextile, bedding, frame and grate, jointing, in-kind soil backfilling, compaction, restoring the surface to grade, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 26 – Five Foot Wide Concrete Sidewalk

- **A.** Measurement for payment shall be per the actual length in feet of sidewalk furnished and installed. Measurement shall be made along the centerline of the sidewalk.
- **B.** Payment for furnishing and installing sidewalk as specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for clearing/tree branch trimming, holding utility poles, excavation (including excavation and disposal of existing sidewalk), bank run gravel, crushed gravel, fine grading, compaction, concrete, curing and protection, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 27 – Granite Curb

- **A.** Measurement for payment shall be per the actual length in feet of granite curb furnished and installed. Measurement shall be made along the centerline of the curb.
- **B.** Payment for furnishing and installing curb as specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for excavation, bank run gravel, crushed gravel, fine grading, compaction, granite curb, concrete for curb setting, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 28 – Bituminous Curb

- **A.** Measurement for payment shall be per the actual length in feet of bituminous curb furnished and installed. Measurement shall be made along the centerline of the curb.
- **B.** Payment for furnishing and installing curb as specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for tack coat, curb, backfilling, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 29 – Concrete Sidewalk Handicap Ramps and Cast Iron Detectable Warning Plates</u>

A. Measurement for payment shall be per the actual number of ramps furnished and installed. Measurement shall be per each.

B. Payment for furnishing and installing ramps as specified shall be made for the quantity installed at the lump sum price as stated in the Bid. Payment shall be full compensation for clearing/tree branch trimming, holding utility poles, excavation (including excavation and disposal of existing sidewalk), bank run gravel, crushed gravel, fine grading, compaction, concrete, detectable plates as shown on the plans, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 30 – Temporary Bituminous Pavement for Roadways (1-1/2 Inch Thick)

- **A.** Measurement shall be the actual number of tons in place as determined by actual measurement and yield per ton. Weight slips shall be used for comparison only. The average depth of the pavement shall be one inch.
- **B.** Payment for furnishing and installing single course pavement shall be at the unit price per ton as stated in the Bid. Payment shall be full compensation for furnishing and installing bituminous concrete materials, fine subgrade grading, existing pavement preparation, compaction and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 31 – 3 Inch Bituminous Pavement for Roadways (Machine Method Pavement)</u>

- **A.** Measurement shall be the actual number of tons in place as determined by actual measurement and yield per ton. Weight slips shall be used for comparison only. The average depth of the pavement shall be 3 inches.
- **B.** Payment for furnishing and installing double course pavement shall be at the unit price per ton as stated in the Bid. Payment shall be full compensation for removing temporary pavement, furnishing and installing bituminous concrete materials, fine subgrade grading, existing pavement preparation, tack coat, compaction, pavement striping, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 32 – 3 Inch Bituminous Concrete Pavement for Driveways (Hand Method Pavement)</u>

- **A.** Measurement shall be the actual number of square yards of pavement three inches thick furnished and installed in place as determined by actual measurement. This item shall include driveway aprons. Payment for pavement for sidewalks shall be paid under the Bituminous Sidewalk item.
- **B.** Payment for furnishing and installing double course hand method three inch thick pavement shall be at the unit price per square yard as stated in the Bid. Payment shall be full compensation for furnishing and installing bituminous concrete materials, fine subgrade grading, existing pavement preparation, tack coat, pavement striping, compaction and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 33 – Six Inch Underdrain Pipe

- **A.** Measurement for payment shall be per the actual length in feet of underdrain furnished and installed. Measurement shall be made along the centerline of the pipe from inside face of structure to inside face of structure.
- **B.** Payment for furnishing and installing pipe of the size specified shall be made for the quantity installed at the unit bid price per linear foot as stated in the Bid. Payment shall be full compensation for holding utility poles, excavation (except rock excavation), sheeting and bracing, dewatering, pipe, fittings, bedding, jointing, connections to existing, select backfill around and over pipe, in-kind soil backfilling, compaction, restoring the trench surface to grade, in kind gravel backfill and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 34 - Unsuitable Material

- A. Measurement shall be the in-place volume in cubic yards of unsuitable material removed, disposed, and refilled with Select Fill as directed by the Engineer, to the payment limits shown on the plans. Material that is found to be unsuitable due to saturated moisture conditions only shall be stockpiled until sufficiently dewatered to allow its reuse. Material of this nature will not be considered unsuitable.
- **B.** Payment for removing and disposing of unsuitable material and material refill shall be at the unit price per cubic yard as stated in the Bid. Payment shall be full compensation for removal, disposal, directed refill, compaction and all other work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 35 - Rock Excavation</u>

A. Method of Measurement:

- 1. The quantity to be measured for payment shall be the actual in place volume, in cubic yards, of ledge removed within the stipulated pay limits. The width used to compute the volume of ledge for payment shall be the width, as shown of the Drawings. The depth used to compute the volume of ledge removed shall be determined from the actual ledge surface elevation and shall extend 6 inches below the bottom of the pipe or structure.
- 2. Measurements for computing volumes shall be determined by one of the following methods as selected by the Engineer:
 - a. By field measurement of the depth and width of ledge excavation as determined by the Engineer.
 - b. From actual ledge profile taken of exposed ledge before proceeding with the ledge excavation.

- 3. Rocks or boulders greater than two (2) cubic yards in volume shall be considered as ledge excavation only if blasting or power drilling is required to remove the rock. Volume of rocks and boulders shall be determined from their average length, width and depth as determined by the Engineer.
- 4. Loose rock which can be removed without blasting or power drilling will not be paid for under this item.
- **B.** Payment shall be made at the unit price per cubic yard as stated in the Bid which shall be full compensation for removing rock and replacement with suitable fill and for all labor, materials, tools and equipment, and for all work and expenses incidental thereto for which payment is not provided under other items.

Item No. 36 – Bank Run Gravel

- **A.** Measurement shall be per cubic yard furnished and installed as directed by the Engineer.
- **B.** Payment for furnishing and installing bank run gravel shall be made for the quantity installed at the cubic yard bid price as stated in the Bid. Payment shall be full compensation for surface preparation, materials, placement, rough grading and fine grading, compaction, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 37 – Crushed Gravel

- **A.** Measurement shall be per cubic yard furnished and installed as directed by the Engineer.
- **B.** Payment for furnishing and installing crushed gravel shall be made for the quantity installed at the cubic yard bid price as stated in the Bid. Payment shall be full compensation for common excavation to subgrade, surface preparation, materials, placement, rough grading and fine grading, compaction, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 38 - Erosion Control

- **A.** Measurement shall be lump sum furnished and installed. Measurement shall be proportional to the completed work.
- **B.** Payment for furnishing, installing, and maintaining erosion controls measures adequate for control shall be made for the quantity installed at the lump sum price as stated in the Bid. Payment shall be full compensation for preparation of an EPA Stormwater Pollution Prevention Plan (SWPPP), Notice of Intent (NOI), and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 39 - Traffic Control

- **A.** Measurement shall be lump sum furnished and installed. Measurement shall be proportional to the completed work.
- **B.** Payment for furnishing traffic control plans and traffic controls (signage, lights, uniformed officers and vehicles, flaggers, etc.) as needed, as required for safe management of traffic and prosecution of the work, and as required by the Owner. Payment shall be made for the quantity provided at the lump sum price as stated in the Bid. Payment shall be full compensation for all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 40 – Loaming, Seeding, Fertilizing, and Mulching

- **A.** Measurement shall be lump sum furnished and installed. Measurement shall be proportional to the completed work.
- **B.** Payment for furnishing and installing loam, seed, fertilizer, and mulch shall be made for the quantity installed at the lump sum price as stated in the Bid. Payment shall be full compensation for all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

Item No. 41 – Restoration of Surfaces

- **A.** Measurement shall be lump sum furnished and installed. Measurement shall be proportional to the completed work.
- **B.** Payment for restoration of surfaces shall be made for the quantity installed at the lump sum price as stated in the Bid. Payment shall be full compensation for removal and replacement of signage, fencing, mailboxes, boulder walls, grading and compaction of shoulders and lawn areas, landscaping restoration, and all work incidental to the satisfactory completion of the item for which payment is not provided under other items.

<u>Item No. 42 – Requirements for Compliance for Work Within Railroad Right-of-Way</u>

- **A.** Measurement shall be actual costs incurred by the Contractor for compliance with railroad requirements.
- **B.** Payment for compliance with railroad requirements for work within the railroad right of way shall be made for actual costs incurred plus a 5% Contractor fee. Payment shall be full compensation for the application fee for a Right of Entry (ROE) Agreement, required railroad insurance, flaggers, and other requirements/costs for which payment is not provided under other items.

SECTION 01 31 13

PROJECT COORDINATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division - 01 General Requirements, apply to this Section.

1.2 SUMMARY

- **A.** This Section specifies administrative and supervisory requirements necessary for Project coordination including, but not necessarily limited to:
 - 1. Coordination.
 - 2. Administrative and supervisory personnel.
 - 3. General installation provisions.
 - 4. Cleaning and protection.
- **B.** Progress meetings, coordination meetings and pre-construction conferences are included in Section "Project Meetings".
- **C.** Requirements for the Contractor's Construction Schedule are included in Section "Submittals".

1.3 COORDINATION

- **A.** Coordination: Coordinate construction activities included under various Sections of these Specifications to assure efficient and orderly installation of each part of the Work. Coordinate construction operations included under different Sections of the Specifications that are dependent upon each other for proper installation, connection, and operation.
 - 1. Where installation of one part of the Work is dependent on installation of other components, either before or after its own installation, schedule construction activities in the sequence required to obtain the best results.
 - 2. Where availability of space is limited, coordinate installation of different components to assure maximum accessibility for required maintenance, service and repair.
 - 3. Make adequate provisions to accommodate items scheduled for later installation.
- **B.** Where necessary, prepare memoranda for distribution to each party involved outlining

special procedures required for coordination. Include such items as required notices, reports, and attendance at meetings.

- 1. Prepare similar memoranda for the Owner and separate Contractors where coordination of their Work is required.
- C. Administrative Procedures: Coordinate scheduling and timing of required administrative procedures with other construction activities to avoid conflicts and ensure orderly progress of the Work. Such administrative activities include, but are not limited to, the following:
 - 1. Preparation of schedules.
 - 2. Installation and removal of temporary facilities.
 - 3. Delivery and processing of submittals.
 - 4. Progress meetings.
 - 5. Project close-out activities.
- **D.** Conservation: Coordinate construction activities to ensure that operations are carried out with consideration given to conservation of energy, water, and materials.
 - 1. Salvage materials and equipment involved in performance of, but not actually incorporated in, the Work. Refer to other sections for disposition of salvaged materials that are designated as Owner's property.

1.4 **SUBMITTALS**

- **A.** Coordination Drawings: Prepare and submit coordination Drawings where close and careful coordination is required for installation of products and materials fabricated off-site by separate entities, and where limited space availability necessitates maximum utilization of space for efficient installation of different components.
 - 1. Show the interrelationship of components shown on separate Shop Drawings.
 - 2. Indicate required installation sequences.
 - 3. Comply with requirements contained in Section 01 33 23 "Submittals."
- **B.** Staff Names: Within 15 days of Notice to Proceed, submit a list of the Contractor's principal staff assignments, including the Superintendent and other personnel in attendance at the site; identify individuals, their duties and responsibilities; list their addresses and telephone numbers.
 - 1. Post copies of the list in the Project meeting room, the temporary field office, and each temporary telephone.

PART 2 – PRODUCTS

Not Applicable

PART 3 - EXECUTION

3.1 GENERAL INSTALLATION PROVISIONS

- **A.** Inspection of Conditions: Require the installer of each major component to inspect both the substrate and conditions under which Work is to be performed. Do not proceed until unsatisfactory conditions have been corrected in an acceptable manner.
- **B.** Manufacturer's Instructions: Comply with manufacturer's installation instructions and recommendations, to the extent that those instructions and recommendations are more explicit or stringent than requirements contained in Contract Documents.
- **C.** Inspect materials or equipment immediately upon delivery and again prior to installation. Reject damaged and defective items.
- **D.** Provide attachment and connection devices and methods necessary for securing Work. Secure Work true to line and level. Allow for expansion and building movement.
- **E.** Visual Effects: Provide uniform joint widths in exposed Work. Arrange joints in exposed Work to obtain the best visual effect. Refer questionable choices to the Engineer for final decision.
- **F.** Recheck measurements and dimensions, before starting each installation.
- **G.** Install each component during weather conditions and Project status that will ensure the best possible results. Isolate each part of the completed construction from incompatible material as necessary to prevent deterioration.
- **H.** Coordinate temporary enclosures with required inspections and tests, to minimize the necessity of uncovering completed construction for that purpose.

3.2 <u>CLEANING AND PROTECTION</u>

- **A.** During handling and installation, clean and protect construction in progress and adjoining materials in place. Apply protective covering where required to ensure protection from damage or deterioration at Substantial Completion.
- **B.** Clean and maintain completed construction as frequently as necessary through the remainder of the construction period. Adjust and lubricate operable components to ensure operability without damaging effects.
- **C.** Limiting Exposures: Supervise construction activities to ensure that no part of the construction, completed or in progress, is subject to harmful, dangerous, damaging, or otherwise deleterious exposure during the construction period.

End of Section

SECTION 01 31 19

PROJECT MEETINGS

PART 1 – GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division - 01 General Requirements, apply to this Section.

1.2 SUMMARY

- **A.** This Section specifies administrative and procedural requirements for project meetings including but not limited to:
 - 1. Pre-Construction Conference
 - 2. Coordination Meetings
 - 3. Progress Meetings
- **B.** Construction schedules are specified in another Division 01 Section.

1.3 PRE-CONSTRUCTION CONFERENCE

- **A.** Schedule a pre-construction conference prior to commencement of construction activities. Conduct the meeting to review responsibilities and personnel assignments.
- **B.** Attendees: The Owner, Engineer and their consultants, the Contractor and its superintendent, major subcontractors, manufacturers, suppliers, regulatory officials, and other concerned parties shall each be represented at the conference by persons familiar with and authorized to conclude matters relating to the Work.
- C. Agenda: Discuss items of significance that could affect progress including such topics as:
 - 1. Tentative construction schedule
 - 2. Critical Work sequencing
 - 3. Designation of responsible personnel
 - 4. Procedures for processing field decisions and Change Orders
 - 5. Procedures for processing Applications for Payment
 - 6. Distribution of Contract Documents
 - 7. Submittal of Shop Drawings, Product Data and Samples
 - 8. Preparation of record documents
 - 9. Use of the premises
 - 10. Office, Work and storage areas
 - 11. Equipment deliveries and priorities
 - 12. Safety procedures

- 13. First aid
- 14. Security
- 15. Housekeeping
- 16. Working hours

1.4 COORDINATION MEETINGS

- **A.** Conduct Project coordination meetings at regularly scheduled times convenient for all parties involved. Project coordination meetings are in addition to specific meetings held for other purposes, such as regular progress meetings and special pre-installation meetings.
- **B.** Request representation at each meeting by every party currently involved in coordination or planning for the construction activities involved.
- **C.** Record meeting results and distribute copies to everyone in attendance and to others affected by decisions or actions resulting from each meeting.

1.6 PROGRESS MEETINGS

- **A.** Conduct progress meetings at the Project site at regularly scheduled intervals. Notify the Owner, Engineer and other concerned parties of scheduled meeting dates. Coordinate dates of meetings with preparation of the payment request.
- **B.** Attendees: In addition to representatives of the Contractor, Owner and Engineer, each subcontractor, supplier or other entity concerned with current progress or involved in planning, coordination or performance of future activities shall be represented at these meetings by persons familiar with the Project and authorized to conclude matters relating to progress.
- C. Agenda: Review and correct or approve minutes of the previous progress meeting. Review other items of significance that could affect progress. Include topics for discussion as appropriate to the current status of the Project.
 - 1. Contractor's Construction Schedule: Review progress since the last meeting.

 Determine where each activity is in relation to the Contractor's Construction Schedule, whether on time or ahead or behind schedule. Determine how construction behind schedule will be expedited; secure commitments from parties involved to do so.

 Discuss whether schedule revisions are required to ensure that current and subsequent activities will be completed within the Contract Time.
 - 2. Review the present and future needs of each entity present, including such items as:
 - a. Interface requirements

- b. Time Sequences
- c. Deliveries
- d. Off-site fabrication problems
- e. Access
- f. Site utilization
- g. Temporary facilities and services
- h. Hours of work
- i. Hazards and risks
- j. Housekeeping
- k. Quality and work standards
- 1. Change orders
- m. Documentation of information for payment requests
- **D.** Reporting: No later than 3 days after each progress meeting date, distribute copies of minutes of the meeting to each party present and to other parties who should have been present. Include a brief summary, in narrative form, of progress since the previous meeting and report.
 - 3. Schedule Updating: Revise the construction schedule after each progress meeting where revisions to the schedule have been made or recognized. Issue the revised schedule concurrently with the report of each meeting.

PART 2 – PRODUCTS

Not Applicable

PART 3 – EXECUTION

Not Applicable

SECTION 01 32 23

PROJECT SURVEY

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division - 01 General Requirements, apply to this Section.

1.2 SUMMARY

- **A.** General: This Section specifies administrative and procedural requirements for field engineering services, including, but not necessarily limited to, the following:
 - 1. Land survey
 - 2. Civil engineering services

1.3 **SUBMITTALS**

A. Project Record Documents: Submit a record of Work performed and record survey data as required under provisions of Sections "Submittals" and "Project Closeout".

1.4 QUALITY ASSURANCE

- **A.** All survey work shall be done by a qualified surveyor, as Chief of Party, and qualified assistants experienced in this type of work.
- **B.** Contractor is responsible for the accuracy of his own work and shall maintain all reference points, stakes, etc., throughout the life of the Contract.

PART 2 – PRODUCTS

2.1 MATERIALS

A. Provide all instruments, rods, measures, stakes, ribbons, nails and all other materials and equipment to perform the work of this Section.

PART 3 - EXECUTION

3.1 EXAMINATION

- **A.** The Owner will identify control points and will provide horizontal and vertical layout sufficient to construct the work.
- **B.** It shall be the Contractor's responsibility to preserve the stakeout. Replacement of control points damaged or destroyed by the Contractor shall be at the Contractor's expense.
- C. Verify layout information shown on the Drawings, in relation to apparent field boundary evidence and existing benchmarks before proceeding with the work. Locate and protect existing benchmarks and control points. Preserve permanent reference points during construction.
- **D.** The locations of buried utilities and/or structures shown on the drawings should be considered approximate. Before beginning work, investigate and verify the existence and location of underground utilities and other structures.

3.2 PERFORMANCE

- **A.** Working from lines and levels established by the Owner, establish control as needed to properly locate each element of the work. Calculate and measure required dimensions within indicated or recognized tolerances. Do not scale drawings to determine dimensions.
- **B.** Surveyor's Log: Maintain a surveyor's log of control and other survey work. Make this log available for reference.
 - 1. Record deviations from required lines and levels, and advise the Engineer when deviations that exceed indicated or recognized tolerances are detected. On Project Record Drawings, record deviations that are accepted and not corrected.
- **C.** Site Improvements: Locate and lay out site improvements, including pavements, stakes for grading, fill and topsoil placement, utility slopes and invert elevations by instrumentation and similar appropriate means.
- **D.** Existing Utilities: Furnish information necessary to adjust, move or relocate existing structures, utility poles, lines, services or other appurtenances located in, or affected by construction. Coordinate with local authorities having jurisdiction.

SECTION 01 32 33

CONSTRUCTION PHOTOGRAPHS

PART 1 – GENERAL

1.1 <u>DESCRIPTION</u>

A. Work covered under this section includes the furnishing of visual records of the work area and work by video and/or still photography.

1.2 RELATED REQUIREMENTS SPECIFIED ELSEWHERE

Summary of Work	01 11 13
Project Record Drawings	01 78 39

1.3 QUALITY ASSURANCE

- **A.** Provide video DVD with audio of all streets and easements where construction is to be performed under this contract prior to commencing work. Video DVDs shall be recorded while walking the project area. A running audio will state the street or easement area. The project, photographers name, date, and time of day shall be stated at the beginning of the taping of each street or easement.
- **B.** The Contractor shall digitally video record with audio all areas along the construction route. Examples being: cracked foundations or paved drives, lawns, ditch lines, mailbox and culvert locations, etc. When noting such items as stated above, audio reference shall be made to location by street, house number or any other identifying land marks.
- **C.** Provide construction progress photographs during the contract period as directed by the Engineer.
- **D.** Cost of the video taping and photographs to be considered incidental to the project.

1.4 VIDEO DVDS

A. Video DVDs shall be provided to and will remain the property of the Owner. Contractor will retain video DVD before erasing for a period of two years upon job completion.

PART 2 - PRODUCTS

2.1 <u>DIGITAL PHOTOGRAPHS</u>

- A. Color
- **B.** JPG OR BMP format.

2.2 <u>IDENTIFICATION</u>

- **A.** Identify each photo or recording.
 - 1. Name of project.
 - 2. Description of view.
 - 3. Time and date of photo or recording.
 - 4. Key plan, with location of camera and arrow to indicate the direction of view (structures only).
 - 5. Name and address of photographer.
 - 6. Photographer's numbered identifications of photo or recording.

2.3 <u>VIDEO DVDS WITH AUDIO</u>

A. Video DVDs shall be in color.

PART 3 – EXECUTION

3.1 TECHNIQUE

- **A.** Factual presentation.
- **B.** Correct exposure and focus.
 - 1. High resolution and sharpness
 - 2. Maximum depth-of-field
 - 3. Minimum distortion
 - 4. Photograph from locations to adequately illustrate state of project, or condition of construction.

SECTION 01 33 23

SUBMITTALS

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and other Division - 01 General Requirements, apply to this Section.

1.2 **SUMMARY**

- **A.** This Section specifies administrative and procedural requirements for submittals required for performance of the Work, including;
 - 1. Contractor's construction schedule
 - 2. Submittal schedule
 - 3. Daily construction reports
 - 4. Shop Drawings
 - 5. Product Data
 - 6. Samples
- **B.** Administrative Submittals: Refer to other Division 01 Sections and other Contract Documents for requirements for administrative submittals. Such submittals may include, but are not limited to:
 - 1. Permits
 - 2. Applications for payment
 - 3. Performance and payment bonds
 - 4. Insurance certificates
 - 5. List of Subcontractors
 - 6. Equal Employment Opportunity and Labor Laws

1.3 SUBMITTAL PROCEDURES

- **A.** Coordination: Coordinate preparation and processing of submittals with performance of construction activities. Transmit each submittal sufficiently in advance of performance of related construction activities to avoid delay. Provide digital copies of submittals to the Engineer for review.
 - 1. Coordinate each submittal with fabrication, purchasing, testing, delivery, other submittals and related activities that require sequential activity.
 - 2. Coordinate transmittal of different types of submittals for related elements of the Work so processing will not be delayed by the need to review submittals

concurrently for coordination.

- a. The Engineer reserves the right to withhold action on a submittal requiring coordination with other submittals until related submittals are received.
- 3. Processing: Allow sufficient review time so that installation will not be delayed as a result of the time required to process submittals, including time for resubmittals.
 - a. Allow two weeks for initial review. Allow additional time if processing must be delayed to permit coordination with subsequent submittals. The Engineer will promptly advise the Contractor when a submittal being processed must be delayed for coordination.
 - b. If an intermediate submittal is necessary, process the same as the initial submittal.
 - c. No extension of Contract Time will be authorized because of failure to transmit submittals to the Engineer sufficiently in advance of the Work to permit processing.
- **B.** Submittal Preparation: Place a title block on each submittal for identification. Indicate the name of the entity that prepared each submittal on the label or title block.
 - 1. Provide a space approximately 4" x 5" on the label or beside the title block on Shop Drawings to record the Contractor's review and approval markings and the action taken.
 - 2. Include the following information on the label for processing and recording action taken.
 - a. Project name
 - b. Date
 - c. Name and address of Engineer
 - d. Name and address of Contractor
 - e. Name and address of subcontractor
 - f. Name and address of supplier
 - g. Name of manufacturer
 - h. Number and title of appropriate Specification Section
 - i. Drawing number and detail references, as appropriate
- C. Package each submittal appropriately for transmittal and handling. Transmit each submittal from Contractor to Engineer using a transmittal form. Submittals received from sources other than the Contractor will be returned without action.
 - 1. On the transmittal record relevant information and requests for data. On the

form, or separate sheet, record deviations from Contract Document requirements, including minor variations and limitations. Include Contractor's Certification that information complies with Contract Document requirements.

1.4 CONTRACTOR'S CONSTRUCTION SCHEDULE

- **A.** Prepare a fully developed, horizontal bar chart type Contractor's construction schedule. Submit within 15 days of "Notice to Proceed".
 - 1. Provide a separate time bar for each significant construction activity. Provide a continuous vertical line to identify the first working day of each week. Use the same breakdown of units of the Work as indicated in the "Schedule of Values", if applicable.
 - 2. Within each time bar indicate estimated completion percentage in 10 percent increments. As Work progresses, place a contrasting mark in each bar to indicate Actual Completion.
 - 3. Prepare the schedule on a sheet, or series of sheets, of sufficient width to show data for the entire construction period.
 - 4. Secure time commitments for performing critical elements of the Work from parties involved. Coordinate each element on the schedule with other construction activities; include minor elements involved in the sequence of the Work. Show each activity in proper sequence. Indicate graphically sequences necessary for completion of related portions of the Work.
 - 5. Coordinate the Contractor's construction schedule with the schedule of values (if applicable), list of subcontracts, submittal schedule, progress reports, payment requests and other schedules.
- **B.** Phasing: Provide notations on the schedule to show how the sequence of the Work is affected by requirements for phased completion to permit work by separate Contractors and partial occupancy by the Owner prior to Substantial Completion.
- **C.** Work Stages: Indicate important stages of construction for each major portion of the Work, including testing and installation.
- **D.** Area Separations: Provide a separate time bar to identify each major construction area for each major portion of the Work. Indicate where each element in an area must be sequenced or integrated with other activities.
- **E.** Distribution: Following response to the initial submittal, print and distribute copies to the Engineer, Owner, subcontractors, and other parties required to comply with scheduled dates. Post copies in the Project meeting room and temporary field office.

- 1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.
- **F.** Schedule Updating: Revise the schedule after each meeting or activity, where revisions have been recognized or made. Issue the updated schedule concurrently with report of each meeting.

1.5 SUBMITTAL SCHEDULE

- **A.** After development and acceptance of the Contractor's construction schedule, prepare a complete schedule of submittals. Submit the schedule within 7 days of the date required for establishment of the Contractor's construction schedule.
- **B.** Distribution: Following response to initial submittal, print and distribute copies to the Engineer, Owner, subcontractors, and other parties required to comply with submittal dates indicated. Post copies in the Project meeting room and field office.
 - 1. When revisions are made, distribute to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in construction activities.
- **C.** Schedule Updating: Revise the schedule after each meeting or activity, where revisions have been recognized or made. Issue the updated schedule concurrently with report of each meeting.

1.6 SHOP DRAWINGS

- **A.** Submit newly prepared information, drawn to accurate scale. Indicate deviations from the Contract Documents. Do not reproduce Contract Documents or copy standard information as the basis for Shop Drawings.
- **B.** Shop Drawings include fabrication and installation drawings, setting diagrams, schedules, patterns, templates and similar drawings. Include the following information:
 - 1. Dimensions
 - 2. Identification of products and materials included
 - 3. Compliance with specified standards
 - 4. Notation of coordination requirements
 - 5. Notation of dimensions established by field measurement
 - 6. Sheet Size: Except for templates, patterns and similar full size drawings, submit Shop Drawings on sheets at least 8-1/2" x 11" but no larger than 24" x 36"
 - 7. Submittals: Submit six (6) copies of all information to the Engineer for review.

- 8. Distribution: Furnish copies of final submittal to installers, subcontractors, suppliers, manufacturers, fabricators, and others required for performance of construction activities. Show distribution on transmittal forms.
- 9. Do not use Shop Drawings without an appropriate final stamp indicating action taken in connection with construction.

1.7 PRODUCT DATA

A. Collect Product Data into a single submittal for each element of construction or system. Product Data includes printed information such as manufacturer's installation instructions, catalog cuts, standard color charts, roughing-in diagrams and templates, standard wiring diagrams and performance curves.

1.8 SAMPLES

- **A.** Submit samples as required. Samples include, but are not limited to, physical examples of the work, such as sections of manufactured or fabricated work, small cuts or containers of materials, complete units of repetitively-used products, color/texture/pattern swatches and range sets, specimens for coordination of visual effects, graphic symbols, and units of work to be used by the Engineer or Owner for independent inspection and testing, as applicable to the work.
 - 1. Submittals: Except for Samples illustrating assembly details, workmanship, fabrication techniques, connections, operation and similar characteristics, submit 3 sets; one will be returned marked with the action taken.
 - 2. Maintain sets of Samples, as returned, at the Project site, for quality comparisons throughout the course of construction.
 - 3. Distribution of Samples: Prepare and distribute additional sets to subcontractors, manufacturers, fabricators, suppliers, installers, and others as required for performance of the Work. Show distribution on transmittal forms.

1.9 ENGINEER'S ACTION

- **A.** Except for submittals for record, information or similar purposes, where action and return is required or requested, the Engineer will review each submittal, mark to indicate action taken, and return promptly.
 - 1. Compliance with specified characteristics is the Contractor's responsibility.
- **B.** Action Stamp: The Engineer will stamp each submittal with a uniform, self-explanatory action stamp. The stamp will be appropriately marked, as follows, to indicate the action taken:
 - 1. Reviewed as submitted: Where submittals are marked "Reviewed as submitted,"

that part of the work covered by the submittal may proceed provided it complies with requirements of the Contract Documents; final acceptance will depend upon that compliance.

- 2. Reviewed make corrections noted: When submittals are marked "Reviewed make corrections noted," that part of the Work covered by the submittal may proceed provided it complies with notations or corrections on the submittal and requirements of the Contract Documents; final acceptance will depend on that compliance.
- 3. Rejected revise and re-submit: When submittal is marked "Rejected revise and resubmit", do not proceed with that part of the Work covered by the submittal, including purchasing, fabrication, delivery, or other activity. Revise or prepare a new submittal in accordance with the notations; resubmit without delay.

PART 2 - PRODUCTS

Not Applicable

PART 3 – EXECUTION

Not Applicable

End of Section

SECTION 01 35 43

ENVIRONMENTAL PROCEDURES

PART 1 – GENERAL

1.1 SCOPE

A. Requirements for environmental protection during construction including environmental regulations, wetlands and floodplains, water pollution, accidental release of petroleum products and chemical pollutants, noise, dust, protection of vegetation, and solid waste management.

1.2 **REFERENCES**

ANSI Z400.1-1988 Hazardous Industrial Chemicals – Material Safety Data Sheets –

Preparation

NHDES Spill Response and Complaint Investigation Section

OSHA Safety and Health Standards for Construction

OSHA Hazard Communication Standard

1.3 SUBMITTALS

A. Submit Material Safety Data Sheets (MSDS) for hazardous compounds brought on site and/or used by the Contractor in performance of the Work in accordance with Specification 01 33 23.

1.4 <u>ENVIRONMENTAL PROTECTION</u>

- A. DEFINITION OF ENVIRONMENTAL POLLUTION. Environmental pollution is defined as the presence of chemical, physical, or biological elements or agents which adversely affect human health or welfare; unfavorably alter ecological balances or adversely affect other species of importance to man; or degrade the aesthetic or recreational quality of the environment. Such pollution includes, but is not limited to, eroded soils, refuse, garbage, cement, concrete, sanitary waste, industrial waste, oil and other petroleum products, mineral salts and spirits, noise pollution, and thermal pollution.
- B. ENVIRONMENTAL LAWS AND REGULATIONS. Comply with all applicable Federal, State, and local laws and regulations concerning environmental pollution control and abatement. Conform with all applicable safety laws, particularly Part 1926 of the OSHA Safety and Health Standards for Construction. The Contractor shall determine the specific construction techniques to meet all applicable laws and regulations.
- C. ACCIDENTAL RELEASE OF CHEMICALS. In the event of any accidental release of petroleum products and other chemical pollutants, the Contractor shall call **911** who can

direct your call to the local fire rescue hazardous materials team, and notify the New Hampshire Department of Environmental Services' Spill Response and Complaint Investigation Section (SRCIS) by calling 603.271.3899 Monday through Friday 8am to 4pm or by calling 603.223.4381 (State police) on evenings and weekends. Report any accidental release of chemicals in accordance with the current requirements on the SRCIS website (https://www.des.nh.gov/organization/divisions/waste/orcb/srcis/reporting.htm). In the event of any chemical spill or whenever notified of any non-compliance with Federal, State or local environmental protection requirements, the Contractor shall immediately take corrective action. If the Contractor fails to take corrective action promptly, the Owner may stop all or part of the Work until satisfactory corrective action has been taken.

D. CONSTRUCTION IMPACT ON THE ENVIRONMENT. Construction activities and methods shall minimally impact the environment surrounding the project, including dust, air and noise pollution and prevention of entrance or accidental spillage of solid matter, contaminants, debris and other pollutants and wastes into any water course, and/or any underground water sources. Such wastes include, but are not limited to, eroded soils, refuse, garbage, cement, concrete, sanitary waste, industrial waste, oil and other petroleum products, chemicals, mineral salts and spirits, and thermal pollution.

1.5 CHEMICALS AND PETROLEUM BASED PRODUCTS

- A. CHEMICAL STORAGE AND HANDLING. All chemicals and petroleum based products stored in drums or tanks on the Site shall be provided with secondary containment facilities meeting Federal, State, and local laws, ordinances, regulations and permit conditions. All spigots shall be positioned so that secondary containment facilities catch any drips. All-weather temporary roofs or covers shall be provided over such containers and secondary containment facilities to the satisfaction of the Engineer.
- B. DISPOSAL OF WASTE PETROLEUM PRODUCTS. All waste petroleum based products shall be contained in sealed containers and disposed of at approved recycling facilities, and, if not recyclable, shall be disposed of at an approved dump site. Any spills shall be promptly cleaned up and waste, spillage, and contaminated materials disposed of at an approved dump site. Proof that a spill is cleaned up adequately shall be done to the satisfaction of the Owner, the Engineer, and appropriate regulatory authority.
- C. FLAMMABLE AND COMBUSTIBLE MATERIALS. Storage and handling of flammable and combustible materials and provisions for fire prevention shall be in accordance with the latest applicable OSHA requirements.

1.6 WETLANDS AND FLOODPLAINS

A. Construction staging areas, temporary storage areas and buildings, and other construction activities shall be located outside any designated floodplain or wetland. Disposal of excess excavated material in wetlands, stream corridors and floodplains is strictly prohibited. Any violation of this restriction will be reported to the responsible regulatory agencies.

1.7 NOISE CONTROL

A. Maintain noise below levels proscribed by the Occupational Safety and Health Administration. No individual piece of equipment shall produce a noise level exceeding 110 dBA at a distance of twenty-five feet. All construction equipment operated at the Site shall be equipped with manufacturer's standard noise control devices such as mufflers, lagging, and/or engine enclosures which normally achieve compliance with noise limits.

1.8 PROTECTION OF NATURAL RESOURCES

A. Protect land, streams, wetlands, and groundwater resources from environmental pollution resulting from operation of equipment, materials storage, or other construction activity in or near such resources. Restore disturbed land to a condition that has a natural appearance. Install temporary fences or barricades to protect monuments and markers before beginning operations. Water used for washing or processing, from dewatering operations, or that contains oils or sediments, shall not be discharged directly to any waters of the State or indirectly through any storm sewer or temporary drainage channel. Contaminated or polluted waters shall be diverted through a settling basin, filter, or other treatment process acceptable to the New Hampshire Department of Environmental Services prior to discharge off-Site. Purging or testing of the water system shall have water channeled directly to a drainage culvert using fire hoses to that point of discharge. Uncontrolled water flows are not permitted.

PART 2 – PRODUCTS

2.1 <u>APPROVED CHEMICALS</u>

A. All chemicals used in construction or furnished for operation shall show approval of either the U.S. Environmental Protection Agency or the U.S. Department of Agriculture. Use of all such chemicals and disposal of residues shall be in strict accordance with the printed instructions of the manufacturer. Submit Material Safety Data Sheets (MSDS) for any hazardous material proposed to be used in the construction of the Work. Follow the information on the MSDS to assure safe use, handling, storage, and environmentally acceptable disposal of the material used.

PART 3 – EXECUTION

3.1 GENERAL

A. Maintain all facilities constructed for pollution control or Site protection as long as the potential for pollution or damage from construction activities exists. At completion of the Work, remove temporary fencing, silt barriers, backfill temporary ditches, and other temporary environmental protection measures, and restore the ground surface to original condition unless otherwise directed by permit or Owner requirements.

3.2 WETLANDS AND FLOODPLAINS

A. Locate construction staging areas, temporary storage areas, and other construction activities outside any designated floodplain or wetland.

3.3 NOISE CONTROL

A. Inspect construction equipment regularly to ensure proper maintenance and presence of noise control devices such as mufflers and shrouding. Monitor construction equipment noise to ensure compliance to the required noise limits. Maximize the distance between noisy construction activities and noise-sensitive land uses. Locate noisy temporary equipment, such as air compressors, away from nearby occupied structures.

3.4 RUBBISH CONTROL

A. Maintain the Site in a neat and clean condition, free from any accumulation of rubbish. At regular intervals, collect and dispose of all rubbish and waste materials. Provide trash bins or otherwise control the collection, storage and transport of rubbish and waste materials so as to preclude blowing of loose trash due to high winds. Furnish, at a minimum, one covered dumpster at the Site and empty dumpster whenever the dumpster is full. Keep roadways free from dirt, rubbish, and obstructions during construction operations.

3.5 PROTECTION OF VEGETATION

A. Outside of construction areas for new facilities, maintain and protect trees, shrubs, and other vegetation. Do not remove or cut trees or shrubs without prior approval. Place fences or barricades around trees that could be damaged by construction operations. Perform all tree trimming or pruning in an approved manner by experienced professionals with saws or pruning shears. Tree trimming with axes will not be permitted. Apply an approved tree wound dressing to all scars made on trees by construction operations or by the removal of limbs larger than 1 inch in diameter as soon as possible. Restore any trees or other landscape feature scarred or damaged by construction operations as nearly as possible to its original condition. Trees that are damaged by the Contractor and are beyond saving in the opinion of the Engineer shall be immediately removed and replaced.

3.6 DUST CONTROL

A. Prevent any dust nuisance or hazards. Control fugitive dust from all excavations, embankments, stockpiles, access roads, waste areas, and borrow areas by sprinkling with water or other methods. The use of petroleum products is prohibited. Repeat sprinkling at such intervals as to keep all disturbed area at least damp at all times. Furnish sufficient equipment on the job to accomplish sprinkling.

3.7 **WELDING**

A. Notify all occupants of nearby buildings prior to welding activities within their visual range. Safeguard construction workers and all others from welding activities.

3.8 CLEANUP AND DISPOSAL OF EXCESS MATERIAL

A. Keep the Site in a clean and neat condition. Dispose of all construction residues at an appropriate disposal facility. Remove and haul away any surplus excavated material, broken pavement, lumber, equipment, temporary structures and any other refuse remaining from construction operations. Leave the Site in a neat and orderly condition. Burning at the Site for the disposal of refuse and debris is not permitted.

SECTION 01 45 29

TESTING LABORATORY SERVICES

PART 1 -GENERAL

1.1 GENERAL

- **A.** This Section specifies requirements for testing laboratory services. These services include inspections and tests performed by independent contractors, governing authorities, as well as the Contractor.
- **B.** Related Work specified elsewhere includes:

Division - 02

1.2 QUALITY ASSURANCE

- **A.** Duties of the Testing Company: The company engaged to perform inspections and testing shall cooperate with the Engineer and Contractor in performance of its duties, and provide qualified personnel to perform inspections and tests.
 - 1. The agency shall notify the Engineer and Contractor promptly of deficiencies observed during performance of its services.
 - 2. The agency is not authorized to release, revoke, alter or enlarge requirements of the Contract Documents, or approve or accept any portion of the Work.
- **B.** Coordination: The Contractor and each agency engaged to perform inspections and tests shall coordinate the sequence of activities to accommodate services with a minimum of delay. The Contractor and each agency shall coordinate activities to avoid removing and replacing construction to accommodate inspections and tests.
 - 1. The Contractor is responsible for scheduling inspections, tests, taking samples and similar activities.

1.3 SUBMITTALS

- **A.** Submit digital certified copies of test results from the laboratory to the Engineer.
- **B.** The Contractor shall submit the name, address, and telephone number of a qualified testing laboratory whose services will be used for the testing required under these Specifications. Provide documentation outlining the experience, ability, facilities, and fees of the proposed laboratory. The Engineer will authorize or reject the use of the proposed laboratory based on evaluation of this information.

1.4 DELIVERY, HANDLING, AND STORAGE

- **A.** Care shall be taken during the collection, storage, and transportation of samples to prevent disturbances of damage.
- **B.** Follow recognized procedures for collecting, storing, and transporting samples to the testing laboratory.

1.5 SCHEDULING AND PAYMENT

- **A.** The Engineer shall determine the date, time, and quantity of samples and tests to be taken unless otherwise specified. The Engineer shall notify the Contractor of his decision to perform testing. It shall be the Contractor's responsibility to notify the testing laboratory and have the testing performed as requested by the Engineer.
- **B.** The Owner shall pay for all initial compaction testing. Any retesting necessary due to failing test results and/or non-conforming work shall be at the Contractor's expense.
- C. Every effort shall be made to avoid delays in the Work which may impact scheduled testing. Should testing be impossible due to construction delays, reschedule testing to a date acceptable to the Engineer.
- **D.** If sampling or testing cannot be performed when required, delay the Work until such testing can be performed. If requested by the Engineer, uncover work which has been covered or hidden without being tested. The Engineer reserves the right to reject any work which cannot be tested, and the Contractor shall be responsible for all costs associated with said rejection.

PART 2 – PRODUCTS

2.1 REPORTING

- **A.** All test reports shall be submitted in writing and shall include date, time, and location of the testing or sampling. The report shall also specify the testing method used, the test results, project name, and any other information pertinent to the report.
- **B.** Each report shall be signed by an officer of the testing laboratory and forwarded to the Engineer.

2.2 PAYMENT

A. The Owner shall pay the cost of all initial compaction tests. Costs for sieve analyses, Proctor testing, water main leakage and bacteria testing, sewer main pressure testing, manhole vacuum testing, and any testing required because of improperly installed or nonconforming work shall be borne by the Contractor.

PART 3 – EXECUTION

3.1 TESTING AND SAMPLING

- **A.** Samples shall be taken by and testing performed by persons who are employed by the testing laboratory and familiar with sampling and testing procedures, unless otherwise directed by the Engineer.
- **B.** Provide the representative of the testing laboratory and the Engineer with all materials, equipment, and facilities necessary to secure samples and otherwise perform work under this Section.
- **C.** With prior approval by the Engineer, the Contractor may conduct testing with in-house staff.

SECTION 01 51 36

TEMPORARY WATER SERVICE

PART 1 – GENERAL

1.1 DESCRIPTION

- **A.** Work covered under this Section includes the furnishing, installation, maintenance, and removal of temporary water service.
- **B.** It is the intent of this Specification that temporary water service is to be supplied to all users that, due to construction activities, may be without normal water service for a period of time that exceeds two (2) hours. The decision to provide, or not provide temporary water service is left to the Contractor to provide flexibility in schedule, sequencing, and prosecution of the work. The Engineer may however require temporary water service to be installed at any location if, in the Engineer's opinion, the anticipated interruption may cause undue hardship or risk.

1.2 QUALITY ASSURANCE

- **A.** All temporary main installation shall be done in conformance with the requirements of the State having jurisdiction.
- **B.** The Engineer shall be notified a minimum of 48 hours prior to the installation of any temporary water service.

1.3 SUBMITTALS

- **A.** Provide the following submittals in accordance with Section 01 33 23.
- **B.** Submit to the Engineer for approval the plan(s), the materials and methods proposed by the Contractor to provide temporary water service as required. The submittal shall include a schedule for installation and removal of the temporary service, a plan showing connection to supply, and the extent of users to be serviced by each temporary main.

PART 2 – PRODUCTS

2.1 <u>TEMPORARY MAINS AND APPURTENANCES</u>

A. Temporary mains and appurtenances shall be of the sizes and materials of construction that are serviceable for the intended use. It shall be the Contractor's responsibility to submit to the Engineer for approval of the type and sizes of materials proposed for the work.

B. In general, all temporary works shall be of size and material to supply potable water at adequate pressure and flow for normal domestic use. The materials must be capable of sustaining the working pressures of the system for their useful life.

PART 3 – EXECUTION

3.1 <u>INSTALLATION OF TEMPORARY WATER SERVICE</u>

- **A.** All temporary water services shall be installed in accordance with the requirements of the New Hampshire Department of Environmental Services and the Engineer.
- **B.** The Contractor shall ensure that all temporary water services are installed in a manner which protects them from damage by construction activities, vehicular and pedestrian traffic, livestock, etc. and does not present a hazard or nuisance to the public.

3.2 **DISINFECTION**

A. Prior to connection to users, all temporary mains shall be disinfected in accordance with the requirements of these Specifications.

3.3 LEAKAGE

A. Leakage testing of temporary water service is not required. The Contractor shall, however, repair all visible leaks immediately.

End of Section

SECTION 01 52 13

TEMPORARY FIELD OFFICES

PART 1 – GENERAL

1.1 DESCRIPTION

A. Work covered under this Section includes the furnishing and maintenance of temporary field offices.

1.2 CONTRACTOR'S OFFICE

A. The Contractor shall provide its own temporary field offices and storage facilities. All maintenance and utility costs associated with temporary offices shall be borne by the Contractor.

1.3 ENGINEER'S OFFICE

- **A.** The Contractor shall provide, equip, and maintain, as specified, a temporary field office for the exclusive use of the Engineer and its staff. The intent of this Section is to provide a comfortable working space with adequate size and equipment for the Engineer to perform its duties.
- **B.** The Engineer's office shall be a suitably air-conditioned and heated structure with a minimum of two hundred (200) square feet of floor space. The structure shall be located in the area adjacent to the Work and Contractor's office but shall not interfere with the progress of the Work.
- **C.** The Engineer's office shall be equipped with the following, as a minimum:
 - 1. Lockable entrance door with two (2) keys.
 - 2. One (1) flat top office desk, 30 inches x 60 inches, with desk chair.
 - 3. One (1) drafting table, or "lay out" table, with adjustable swivel stool.
 - 4. Adequate lighting and electrical outlets.
 - 5. One (1) wastebasket.

1.4 MAINTENANCE AND UTILITIES

A. GENERAL

- 1. The Contractor shall maintain, clean, and provide utilities to all temporary field offices.
- 2. No separate payment shall be made to the Contractor for costs associated with the furnishing and maintenance of temporary field offices.

B. POWER

- 1. The Contractor shall arrange for its own power supply. Where power is available at a given construction site, the Contractor shall make arrangements with the Owner for power takeoff points, voltage and phasing requirements, and transformers. The Contractor will pay for power service as well as costs or fees arising from tapping into the system. The Contractor shall provide any special connections required for its operations under the Agreement including the provision and maintenance of all temporary power lines required to perform the Work in a safe and satisfactory manner.
- 2. All Work conducted under conditions of deficient daylight shall be suitably lighted to insure proper and safe working and inspection conditions.
- 3. All temporary connections for electricity shall be subject to approval of the Engineer and regulating authorities and shall be removed in like manner at the completion of the Work.
- 4. Unless otherwise permitted by the Engineer, circuits separate from lighting shall be used for all power purposes.
- 5. All construction wiring for temporary electric light and power shall be properly installed and maintained and shall be securely fastened in place. All electrical facilities shall conform to the requirements of Subpart K of the OSHA Safety and Health Standards for Construction and the requirements of local authorities.
- C. TELEPHONE: Cellular telephone service is acceptable as telephone service.
- **D.** SANITARY FACILITIES: The Contractor shall provide a toilet for its work force at the Site. They shall comply with applicable laws, ordinances, and regulations pertaining to the public health and sanitation of dwellings and camps.

E. TEMPORARY WATER

- 1. The Contractor shall arrange for its own water supply. Contractor will pay any fees, deposits, and permits to connect and transport water to construction areas.
- 2. Before final acceptance of the Work on the project, all temporary connections and piping installed by the Contractor shall be entirely removed, and all affected improvements shall be restored to their original condition, or better, to the satisfaction of the Engineer and to the agency owning the affected utility.
- 3. The construction site, and all other parts of the Work shall be protected against damage by fire. The Contractor shall provide chemical fire extinguishers for fighting fires at the construction site. Responsible persons shall be designated and instructed in the operation of such fire apparatus so as to prevent or minimize the hazard of fire. The Contractor's fire protection program shall conform to the requirements of Subpart F of the OSHA Standards for construction and applicable state and local codes.

1.5 PROJECT CLOSE-OUT

- **A.** Upon completion of the Work, the Contractor shall remove from the site all temporary field offices, utilities, etc. and leave the site in a condition acceptable to the Engineer.
- **B.** All equipment and facilities under this Section shall be the property of the Contractor at the completion of the Work.

PART 2 - PRODUCTS (Not Applicable)

<u>PART 3 – EXECUTION</u> (Not Applicable)

SECTION 01 55 26

TRAFFIC CONTROL

PART 1 – GENERAL

1.1 DESCRIPTION

- **A.** The Contractor shall take all necessary measures to maintain a normal flow of vehicular traffic to prevent accidents and to protect the Work throughout the entire Project. The Contractor shall make the necessary arrangements to bypass traffic as well as to provide and maintain barriers, cones, guards, barricades, and construction warning and regulatory signs. The Contractor shall take effective measures necessary to protect all other portions of the Work during construction and until completion.
- **B.** Schedule Work in such a manner that it shall be carried on to provide safe passage at all times for public traffic and with a minimum of obstruction to traffic.
- C. Maintain at least one-way traffic over the area during the working day and provide all necessary warnings, signs, flags, and flagmen to accomplish this. Prior to any work in a State right-of-way, the Contractor shall notify the agency having jurisdiction and shall meet all requirements set forth by the agency.
- **D.** The road or bypass shall be kept open and in passable condition for emergency vehicles at all times. The Contractor shall be liable for any damages which may result from his failure to do so.
- **E.** The Contractor cannot initiate a road closure without providing a manned by-pass route. During traffic bypass periods, the Contractor shall provide at least one dedicated (no other responsibilities) person to control traffic through the by-pass. This person shall be able to communicate effectively with the public.
- **F.** Leave the area in a satisfactory state at the end of each day so as to provide two-way traffic during the night and over the weekend. No excavation shall be left open in the road right-of-way overnight.
- **G.** When it is necessary to remove street directory or regulatory signs during the course of construction, reset and maintain the signs during construction and permanently reset the signs at designated locations prior to completion of the Contract. The cost of such maintenance and relocation of signs shall be incidental to the Work.
- **H.** All traffic controls shall be in accordance with the Manual of Uniform Traffic Control Devices published by the U.S. Department of Transportation (latest edition) and coordinated with the proper local authorities.

PART 2 – PRODUCTS (Not Applicable)

<u>PART 3 – EXECUTION</u> (Not Applicable)

End of Section

SECTION 01 58 13

TEMPORARY PROJECT SIGNAGE

PART 1 - GENERAL

1.1 GENERAL PROVISIONS

- **A.** Drawings and general provisions of the Contract, including General and Supplementary Conditions and Division 1 Specification Sections, apply to this Section.
- **B.** Carefully review and examine all other Contract Documents for requirements therein affecting the work of this Section. Furthermore, coordinate and sequence the work of this Section with all other trades affected.

1.2 SUMMARY

A. Furnish and install temporary project identification sign, project informational signs, and associated accessories.

1.3 RELATED WORK

- **A.** Examine Contract Documents for requirements that affect work of this Section. Other Specification Sections that directly relate to work of this Section include, but are not limited to:
- **B.** Division 1 Section "Temporary Facilities and Controls": for all other related temporary facilities and controls requirements

1.4 QUALITY ASSURANCE

A. Design sign and structure to withstand 90 miles/hr wind velocity.

1.5 SUBMITTALS

- **A.** Submit under the provisions of Division 1 Section "Submittals".
 - 1. Shop Drawing: Show content, layout, lettering, color, foundation, structure, sizes and grades of members.

PART 2 - PRODUCTS

2.1 SIGN MATERIALS

A. Structure and Framing: New, pressure-treated wood, structurally adequate.

- **B.** Sign Surfaces: Exterior grade plywood with medium density overlay, minimum 3/4 inch thick, standard large size sheets to avoid joints.
- C. Rough Hardware: Galvanized.
- **D.** Paint and Primers: Exterior quality, two coats; sign background of color as selected.
- **E.** Lettering: Exterior quality paint, contrasting colors.

2.2 PROJECT IDENTIFICATION SIGN

- **A.** Project identification sign shall be placed on the construction site mounted 6 feet above ground at a location designated by the Engineer, for the duration of the entire contract period. Said sign shall be visible from the primary roadway adjoining the site.
- **B.** Sign shall be constructed of exterior grade plywood with medium density overlay panels and pressure-treated wood frame construction. painted, with self-adhesive color printed text. Engineer will provide signage design for each panel.
- C. Graphic design, colors, and fonts shall be as designated by Engineer and approved by Owner.

2.3 **PROJECT INFORMATIONAL SIGNS**

- **A.** Painted informational signs of same colors and lettering as Project Identification sign, or standard products; size lettering to provide legibility at 100 foot distance.
 - 1. Provide temporary directional signs for construction traffic, personnel, visitors, and adjacent occupants.
 - 2. Provide temporary warning signs for construction areas and/or activities as required by authorities having jurisdiction.
 - a. Signage at perimeter of construction site: Provide clear and visible warning signs with appropriate language such as:
 - "Danger Construction Zone"
 - "Prohibited Access"
 - "Hard Hat Required"
 - "No Trespassing Authorized Personal Only"
 - 3. Relocate signs as Work progress requires.

2.4 OTHER SIGNS

A. Signs other than those specified herein shall not be permitted, except those required by law or expressly authorized by the Owner.

PART 3 - EXECUTION

3.1 INSTALLATION

- **A.** Install project identification sign within 30 days after date fixed by Notice to Proceed.
- **B.** Erect at a location as directed by Engineer.
- **C.** Erect supports and framing on secure footings, rigidly braced and framed to resist wind loadings.

3.2 MAINTENANCE

A. Maintain signs and supports clean and in good appearance for the duration of the Contract. Repair deterioration and damage when necessary so all signs remain legible at all times.

3.3 REMOVAL

A. Remove signs, framing, supports, and foundations at completion of Project and restore the area.

SECTION 01 77 19

PROJECT CLOSEOUT

PART 1 — GENERAL

1.1 GENERAL

- **A.** Substantial Completion: Before requesting inspection for Statement of Substantial Completion, complete the following:
 - 1. In the Application for Payment that coincides with the date Substantial Completion is claimed, show 100 percent completion for the portion of the Work claimed substantially complete.
 - 2. Submit specific warranties, workmanship bonds, maintenance agreements, final certifications and similar documents.
 - 3. Submit record drawings, maintenance manuals, final project photographs, damage or settlement survey, property survey, and similar record information.
- **B.** Inspection Procedures: On receipt of a request for inspection, the Engineer will proceed or advise the Contractor of unfilled requirements. The Engineer will prepare the Statement of Substantial Completion following inspection, or advise the Contractor of construction that must be completed or corrected before the certificate will be issued.
 - 1. The Engineer will repeat inspection when requested and assured that the Work has been substantially completed.
 - 2. Results of the completed inspection will form the basis of requirements for final acceptance.
- **C.** Final Acceptance: Before requesting inspection as basis for final acceptance and final payment, complete the following:
 - 1. Submit final payment request with releases.
 - 2. Submit a final statement, accounting for changes to the Contract Sum.
 - 3. Submit a copy of the final inspection list stating that each item has been completed or otherwise resolved for acceptance.
 - 4. Submit final meter readings for utilities, a record of stored fuel, and similar data as of Substantial Completion.
 - 5. Submit consent of surety to final payment.

- 6. Submit evidence of continuing insurance coverage complying with insurance requirements.
- **D.** Re-inspection Procedure: The Engineer will re-inspect the Work upon receipt of notice that the Work has been completed, except items whose completion has been delayed because of circumstances acceptable to the Engineer.
 - 1. Upon completion of re-inspection, the Engineer will prepare a Statement of final acceptance, or advise the Contractor of work that is incomplete or of obligations that have not been fulfilled but are required for final acceptance.
 - 2. If necessary, re-inspection will be repeated.
- **E.** Record Document Submittals: Do not use Record Documents for construction purposes; protect from loss in a secure location; provide access to Record Documents for the Engineer's reference.
- **F.** Record Drawings: Maintain a clean, undamaged set of blue or black line white-prints of Contract Drawings and Shop Drawings. Mark-up these drawings to show the actual installation. Mark whichever drawing is most capable of showing conditions accurately. Give particular attention to concealed elements that would be difficult to measure and record at a later date.
- **G.** Record Specifications: Maintain one copy of the Project Manual, including addenda. Mark to show variations in actual Work performed in comparison with the Specifications and modifications. Give particular attention to substitutions, selection of options and similar information on elements that are concealed or cannot be readily discerned later by direct observation. Note related record drawing information and product data. Upon completion of the Work, submit record Specifications to the Engineer for the Owner's records.
- **H.** Removal of Protection: Remove temporary protection and facilities.
- I. Compliance: Comply with regulations of authorities having jurisdiction and safety standards for cleaning. Remove waste materials from the site and dispose of in a lawful manner.

PART 2 — PRODUCTS

Not Applicable

PART 3 — EXECUTION

Not Applicable

End of Section

SECTION 01 78 36

WARRANTIES

PART 1 – GENERAL

1.1 GENERAL

- **A.** Standard Product Warranties are pre-printed written warranties published by individual manufacturers for particular products and are specifically endorsed by the manufacturer to the Owner.
- **B.** Special Warranties are written warranties required by or incorporated in Contract Documents, to extend time limits provided by standard warranties or to provide greater rights for the Owner.
 - 1. Refer to the General Conditions for terms of the Contractor's special warranty of workmanship and materials.
- **C.** Requirements for warranties for products and installations that are specified to be warranted, are included in the individual Specifications.
- **D.** Disclaimers and Limitations: Manufacturer's disclaimers and limitations on product warranties do not relieve the Contractor of the warranty on the Work that incorporates the products, nor does it relieve suppliers, manufacturers, and subcontractors required to countersign special warranties with the Contractor.
- **E.** Related Damages and Losses: When correcting warranted Work that has failed, remove and replace other Work that has been damaged as a result of such failure or that must be removed and replaced to provide access for correction of warranted Work.
- **F.** Reinstatement of Warranty: When Work covered by a warranty has failed and been corrected, reinstate the warranty by written endorsement. The reinstated warranty shall be equal to the original warranty with an equitable adjustment for depreciation.
- **G.** Replacement Cost: On determination that Work covered by a warranty has failed, replace or rebuild the Work to an acceptable condition complying with requirements of Contract Documents. The Contractor is responsible for the cost of replacing or rebuilding defective Work regardless of whether the Owner has benefited from use of the Work through part of its useful service life.
- **H.** Owner's Recourse: Written warranties made to the Owner are in addition to implied warranties, and shall not limit duties, obligations, rights and remedies otherwise available under the law, nor shall warranty periods be interpreted as limitations on time in which the Owner can enforce such other duties, obligations, rights, or remedies.

- 1. Rejection of Warranties: The Owner reserves the right to reject warranties and limit selections to products with warranties not in conflict with requirements of the Contract Documents.
- 2. The Owner reserves the right to refuse to accept Work where a special warranty, or similar commitment is required, until evidence is presented that entities required to countersign commitments are willing to do so.
- I. Submit written warranties to the Engineer prior to the date for Substantial Completion. If the Engineer's Certificate of Substantial Completion designates a commencement date for warranties other than the date of Substantial Completion, submit written warranties on the Engineer's request.
 - 1. When a designated portion of the Work is completed and occupied or used, by separate agreement with the Contractor during the construction period, submit properly executed warranties to the Engineer within fifteen days of completion of that designated portion of the Work.
- **J.** When a special warranty is to be executed by the Contractor or the Contractor and a subcontractor, supplier, or manufacturer, prepare a written document that contains appropriate terms and identification ready for execution by the required parties. Submit a draft to the Owner through the Engineer for approval prior to final execution.
 - 1. Refer to individual Specifications for specific content and particular requirements for submittal of special warranties.
- **K.** Bind warranties in heavy-duty, commercial quality, durable 3-ring vinyl covered loose-leaf binders, thickness as necessary to accommodate contents, and sized to receive 8-1/2" by 11" paper.
 - 1. Provide heavy paper dividers with celluloid covered tabs for each warranty. Mark the tab to identify the product or installation. Provide a typed description of the product or installation, including the name of the product, and the name, address and telephone number of the installer.
 - 2. Identify each binder on the front and the spine with the typed or printed title "WARRANTIES", the Project title or name, and the name of the Contractor.

<u>PART 2 – PRODUCTS</u> (Not Applicable)

<u>PART 3 – EXECUTION</u> (Not Applicable)

End of Section

SECTION 01 78 39

PROJECT RECORD DRAWINGS

PART 1 – GENERAL

1.1 DESCRIPTION

A. Work covered under this Section includes the preparation and submittal of record documents.

1.2 SUBMITTALS

- **A.** As soon as possible after the completion of the Work, submit record documents as specified in the Section to the Engineer for review and final payment.
- **B.** The Engineer will retain all materials submitted by the Contractor.

PART 2 – PRODUCTS

2.1 RECORD DRAWINGS

A. One (1) set of legibly marked plans showing all work as actually installed.

PART 3 – EXECUTION

3.1 RECORD DOCUMENTS

A. Maintain on-site in a clean, orderly fashion one (1) set of all drawings, specifications, addenda, change orders, test reports, submittals, and all other information pertinent to the work.

3.2 RECORD DRAWINGS

- **A.** Maintain one (1) set of record drawings which accurately depicts existing conditions on-site, the Work as it is actually installed, and all existing utilities, etc. encountered during the installation of the work.
- **B.** Legibly mark up one (1) set of drawings with the following information as a minimum:
 - 1. The work as it is actually installed.
 - 2. All approved field changes.
 - 3. All pipes, structures, or obstructions encountered during the performance of the work, including the limits and depth of rock or unstable materials.

- 4. Locations, ties, and elevations of all buried utilities, appurtenances, and/or structures to the nearest 0.1 foot.
- 5. All changes to the work not shown on original construction drawings.
- 6. Locations and elevations of all Work; limits and quantities of all pay items.

End of Section

DIVISION 02 – EXISTING CONDITIONS

SECTION 02 01 00

EXISTING UTILITIES AND UNDERGROUND STRUCTURES

PART 1 – GENERAL

1.1 DESCRIPTION

- A. The Engineer and Owner have made limited investigations to determine the locations of underground utilities and structures. Because of the nature of subsurface utilities and the difficulty in determining exact locations, the locations as shown on the plans should be considered approximate. Wherever underground utilities are encountered by the Contractor during construction they shall be protected by the Contractor, at his own expense, until the construction work is complete and the existing structures are made secure. Injury to any such utilities/structures caused by or resulting from the Contractor's work shall be repaired at the Contractor's expense. No additional compensation will be allowed for any delays sustained by the Contractor due to any interference from underground utilities.
- **B.** It shall be the Contractor's responsibility to notify Dig Safe and locate all utilities within the construction area prior to proceeding with construction.
- **C.** The restoration of existing property shall be done as promptly as practicable and shall not be left until the end of the construction period.
- **D.** Cooperation with Utilities:
 - 1. The Contractor shall allow the Owner or its agents and other contractors, and public service corporations, or their agents, to enter upon the work for the purpose of constructing, maintaining, repairing, removing, altering or replacing such pipes, sewers, conduits, manholes, wires, poles, or other structures and appliances as are now located or as may be required or permitted at or on the work by the Engineer.

The Contractor shall cooperate with all aforesaid parties and shall allow reasonable facilities for the prosecution of any other work by the Owner, or of public service corporation, to be done in connection with this work. Care shall be taken at all times to inconvenience abutters as little as possible.

PART 2 – PRODUCTS

Not Used

PART 3 – EXECUTION

Not Used

DIVISION 31 – EARTHWORK

SECTION 31 08 00

RESTORATION OF SURFACES

PART 1 – GENERAL

1.1 <u>DESCRIPTION</u>

- **A.** Work covered in this Section includes the restoration of surfaces and items disturbed during the Work.
- **B.** Related work described elsewhere:

Earthwork

Division - 31

1.2 QUALITY ASSURANCE

- **A.** Restoration of surfaces and items shall be done in accordance with the requirements of those authorities having jurisdiction.
- **B.** Existing pavements and bituminous walks shall be replaced using new pavement equal to or better than the existing in quality and thickness, except where otherwise specified. Pavements shall be free from all noticeable sags, humps, cracks, or other defects.
- **C.** Replacement curbing shall be of the same size, material, and appearance as adjoining curbing.
- **D.** Grassed and vegetated areas shall be loamed and replanted with healthy vegetation of a type and quality equal to or superior to existing vegetation.
- **E.** Miscellaneous items including but not limited to mailboxes, fencing, signage, etc. shall be carefully removed and replaced.

1.3 SUBMITTALS

A. Submittals shall be submitted in accordance with Section - 01 33 23 "Submittals".

1.4 **SCHEDULING**

A. All surfaces shall be restored as soon as possible after completion of that portion of the Work.

PART 2 – MATERIALS

2.1 NEW MATERIALS

A. New materials shall comply with the requirements of the authority having jurisdiction.

2.2 REUSED MATERIALS

A. Items such as granite curbs, fencing, signs, walks, etc. which have been disturbed during the Work may be replaced with existing materials when, in the opinion of the Engineer, such materials are in acceptable condition.

PART 3 – EXECUTION

3.1 INSPECTION

A. Prior to restoring any surfaces, carefully inspect the Work to ensure that the work is complete. Unnecessary disturbance of restored surfaces is to be avoided.

3.2 PLANTS

- **A.** Replace in their original locations all surviving, health plants, shrubs, trees, etc. which were removed during installation of the Work.
- **B.** Replace with the same type and size any vegetation which does not survive moving.

3.3 GRASS AND LAWNS

A. Grassed areas are to be restored in accordance with Section 32 92 00 "Loaming, Seeding, and Fertilizing".

3.4 BITUMINOUS PAVING

- **A.** All Work shall conform to Section 32 12 16.31 "Bituminous Concrete Pavement NH".
- **B.** Replace all pavement markings immediately after installation of new pavement.
- C. In areas where new pavement is to meet existing pavement, it shall be neatly and uniformly cut, with square edges by machine, at each side of all trenches. Every precaution shall be used to prevent undermining of the remaining pavement. Undermined areas inadvertently developed shall have the projecting pavement cut square and removed.
- **D.** Excavation and handling of material shall be performed in a manner that will minimize trench width and the possibility of cave-ins. Excavation below subgrade is to be saved

- and used for backfill to prevent differential frost heaving. Any blasting required shall be cautiously performed to minimize disturbance beyond the trench limits.
- E. Not less than 30 days after placement of subbase crushed gravel and/or temporary pavement patch, before completion of the project and after suitable exposure of temporary patches to traffic compaction, the pavement shall be sawn, as directed, on either side of the trench to provide a one (1) foot minimum overlap of the final patch on undisturbed material. Within the sawn limits, the existing pavement and temporary patch material shall be removed, the sawn edges tack coated, and the material replaced with hot bituminous concrete, placed as directed, and compacted to meet the existing pavement edge exactly. Finished pavement must replicate the original pavement design including normal crown, superelevations, and breaks in superelevated shoulders. Saw cuts for final patching shall be as directed by the Engineer.
- F. Shoulders, other than paved, disturbed during the construction, shall be restored by providing a similar depth of crushed bank run gravel which shall be graded and compacted on a slope to match the cross slope of the existing roadway shoulder or as directed by the Engineer.
- G. In other areas, the present surface type shall be restored, by placing similar material to a depth and quality equal to that existing before excavation. Reestablish existing grassland to equal what existed before excavation. Reestablish lawns to preconstruction condition, using a minimum of four (4) inches of loam, lime, fertilizer, similar seed, and mulch. The surface shall be reasonably smooth, free of stones larger than two (2) inches or debris, and be graded to drain.
- H. No trench shall be left open at night or over weekends. Suitable unrestricted ingress and egress to properties abutting the highway shall be maintained at all times. Two-way traffic shall be maintained at all times during nights, weekends, and holidays. In all cases, trench is to be flush with the existing pavement at the end of each working day.

3.5 MISCELLANEOUS

A. Replace miscellaneous items such as fencing, gates, signage, mailboxes, etc. in the same location as soon as possible after installation of the Work.

SECTION 31 11 00

CLEARING, GRUBBING, and STRIPPING

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division - 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- **A.** This Section includes the following:
 - 1. Protection of existing trees
 - 2. Removal of trees and other vegetation
 - 3. Topsoil stripping
 - 4. Clearing and grubbing
 - 5. Removing above-grade improvements
 - 6. Removing below-grade improvements

1.3 PROJECT CONDITIONS

- **A.** Traffic: Conduct site clearing operations to ensure minimum interference with roads, streets, walks, and other adjacent occupied or used facilities. Do not close or obstruct streets, walks or other occupied or used facilities without permission from authorities having jurisdiction.
- **B.** Protection of Existing Improvements: Provide protections necessary to prevent damage to existing improvements indicated to remain in place.
 - 1. Protect improvements on adjoining properties and on Owner's property.
 - 2. Restore damaged improvements to their original condition, as acceptable to property owners.
- C. Protection of Existing Trees and Vegetation: Protect existing trees and other vegetation indicated to remain in place, against unnecessary cutting, breaking or skinning of roots, skinning or bruising of bark, smothering of trees by stockpiling construction materials or excavated materials within drip line, excess foot or vehicular traffic, or parking of vehicles within drip line. Provide temporary guards to protect trees and vegetation to be left standing.
- **D.** Salvageable Equipment and Materials: Carefully remove any items indicated to be salvaged, and store on Owner's premises where indicated or directed.

PART 2 - PRODUCTS

Not Applicable

PART 3 - EXECUTION

3.1 SITE CLEARING

- **A.** General: Remove trees, shrubs, grass and other vegetation, improvements, or obstructions as required to permit installation of new construction. Do not exceed clearing limits shown on the plans and clear only the minimum area required to install the work. Excessive clearing is to be avoided.
 - 1. Cut minor roots and branches of trees indicated to remain in a clean and careful manner, where such roots and branches obstruct installation of new construction.
- **B.** Clearing and Grubbing: Clear indicated areas of site of trees, shrubs and other vegetation, except for those indicated to be left standing.
 - 1. Completely remove stumps, roots, and other debris protruding through ground surface. Stockpile separate from other materials to avoid contamination.
 - 2. Use only hand methods for grubbing inside drip line of trees indicated to remain.
 - 3. Fill depressions caused by clearing and grubbing operations with common earth, unless further excavation, earthwork or surface treatment is indicated.
 - a. Unless indicated otherwise, place fill material in horizontal layers not exceeding one (1) foot loose depth, and compact to a density nearly equal to that of adjacent, original ground.
- **C.** Removal of Improvements: Remove existing above-grade and below-grade improvements as indicated and as necessary to facilitate new construction.

3.2 DISPOSAL OF WASTE MATERIALS

A. Removal from Owner's Property: Remove and properly dispose of stumps, waste materials and unsuitable or excess earth materials off site unless otherwise directed by the Engineer.

SECTION 31 23 16

EARTHWORK

PART 1 - GENERAL

1.1 DESCRIPTION

- **A.** Work covered by this Section includes Drawings and general provisions of the Contract, including General and Supplementary Conditions, Division 01 and Division 31 Specification Sections.
- **B.** Work performed under this Section is intended to conform with State of New Hampshire, Department of Transportation, "Standard Specifications for Road and Bridge Construction (latest revision)".

1.2 SUMMARY

- **A.** This Section includes the following:
 - 1. Preparing subgrade, subbase and base for building slabs, walks, and pavements.
 - 2. Excavating, trenching and backfilling of underground utilities, structures and foundations.
 - 3. Preparing subgrade and installing earthen material courses for site projects.

1.3 DEFINITIONS

- **A.** <u>Borrow</u> consists of approved material required for the construction of fills or other portions of the work, and shall be obtained from approved sources, which sources may be designated in the Contract.
- **B.** Earth consists of clay, loam, sand, gravel, topsoil and other materials not otherwise classified.
- C. <u>Excavation</u> consists of removal of material encountered to subgrade elevations or dimensions indicated and subsequent disposal of materials removed, classified as follows:
 - 1. Earth Excavation includes excavation of pavements and other obstructions visible on surface; underground structures, utilities, and other items indicated to be demolished and removed; together with earth and other materials encountered that are not classified as rock or unauthorized excavation.
 - a. <u>Common Earth Excavation</u> consists of all excavation other than Trench Earth Excavation and Rock Excavation.

- b. <u>Trench Earth Excavation</u> consists of excavations for pipelines, cables, conduits, manholes and other related work where the bottom-width limit of excavation does not exceed 8 feet.
- 2. Rock Excavation consists of all solid rock which cannot be removed without blasting or ripping. Intermittent drilling, blasting, or ripping performed to increase production and not necessary to permit excavation of material encountered will be classified as earth excavation.
 - a. <u>Site Rock Excavation</u> consists of all rock excavation other than Trench Rock Excavation and includes the excavation of boulders and parts of masonry structures when found to measure 2 cubic yards or more.
 - b. <u>Trench Rock Excavation</u> consists of rock excavation where solid rock and boulders or parts of masonry structures found to measure 1 cubic yard of more are removed from trenches where the bottom-width limit of excavation does not exceed 8 feet.
- 3. <u>Unauthorized excavation</u> consists of removal of materials beyond indicated subgrade elevations or dimensions without specific direction of Engineer. Unauthorized excavation, as well as remedial work directed by Engineer, shall be at Contractor's expense.
 - a. Under footings, foundation bases, or retaining walls, fill unauthorized excavation by extending indicated bottom elevation of footing or base to excavation bottom, without altering required top elevation. Lean concrete fill may be used to bring elevations to proper position, when acceptable to Engineer.
 - b. In locations other than those above, backfill and compact unauthorized excavations as specified for authorized excavations of same classification, unless otherwise directed by Engineer.
- 4. <u>Additional Excavation</u>: When excavation has reached required subgrade elevations, notify Engineer, who will observe subgrade conditions. If Engineer believes that bearing materials at required subgrade elevations are unsuitable, continue excavation until suitable bearing materials are encountered and replace excavated material as directed by Engineer.
 - a. Removal of unsuitable material and its replacement as directed will be paid on basis of Conditions of the Contract relative to changes in work.
- **D.** <u>Subgrade</u> consists of the undisturbed earth or the compacted soil layer immediately below indicated surface treatment systems.

- **E.** <u>Structure</u>: Buildings, foundations, slabs, tanks, curbs, or other man-made stationary features occurring above or below ground surface.
- **F.** <u>Unstable Material</u> consists of debris, frozen materials, topsoil, quick-sand, and all wet, soft or loose material which does not provide sufficient bearing capacity to satisfactorily support pipes or other work.
- **G.** <u>Unsuitable Material</u> consists of excavated material which does not meet requirements for backfilling purposes and includes solid and loose rock and unstable material.
- **H.** Paved Areas consist of the area which lies directly under a paved surface, whether it is asphalt, concrete, or other paving materials.
- **I.** <u>Select Fill</u> Consists of Select Earth, imported sand and or other granular materials as specified and/or approved by the Engineer.
- **J.** <u>Earth Overburden</u> Earth overlying solid rock and in place during blasting operations or earth not classified as Select or Common Earth.
- **K.** Pipe Bedding Sand, crushed stone, or other processed granular materials as approved by the Engineer. Pipe bedding material(s) shown on the Drawings take precedence over this paragraph.
- L. <u>Wood Sheeting and Bracing</u> Sound timber, free from defects which might impair its strength and effectiveness.
- M. Steel Sheeting and Bracing ASTM A328.
- N. <u>Backfill General</u> To the extent suitable materials are available, backfill shall consist of excavated material. Where excavation does not provide sufficient approved material, import additional material from off-site.
- O. <u>Backfill-Trenches</u> Select fill from pipe bedding material up to a minimum of 12" over the top of pipe; suitable Common Earth, Select Earth, of Select Fill for the remainder of the trench. Backfill materials shown on the Drawings take precedence over this paragraph.
- **P.** <u>Backfill Around Structures</u> In paved areas, Select Fill, or a better material when required, for the full depth. In unpaved areas, Select Fill for the full depth. Backfill materials shown on the Drawings take precedence over this paragraph.
- **Q.** Concrete for Cradles and Encasements Class C concrete.

1.4 SUBMITTALS

- **A.** Test Reports: Submit the following reports directly to Engineer from the testing services, with copy to Contractor:
 - 1. Certified copies of all results of moisture-density tests and field compaction density tests.
 - 2. Gradations of materials proposed for use in the Work.
 - 3. Copies of measurements and computed volumes of unstable material removed.
 - 4. Certification from testing laboratory that materials meet permeability requirements at required compaction.
 - 5. Verification of suitability of each footing subgrade material, in accordance with specified requirements.
 - 6. Report of actual unconfined compressive strength and/or results of bearing tests of each strata tested.

1.5 QUALITY ASSURANCE

- **A.** All fill material shall be subject to the approval of the Engineer.
- **B.** Codes and Standards: Perform excavation work in compliance with applicable requirements of authorities having jurisdiction.
- **C.** Testing and Inspection Service: Contractor shall employ and pay for (unless specified otherwise) a qualified independent geotechnical testing laboratory to perform soil testing and inspection service during earthwork operations.
- **D.** Testing Laboratory Qualifications: To qualify for acceptance, the geotechnical testing laboratory must demonstrate to Engineer's satisfaction, based on evaluation of laboratory-submitted criteria conforming to ASTM E 699, that it has the experience and capability to conduct required field and laboratory geo-technical testing without delaying the progress of the Work.
- **E.** Moisten or dry backfill to the proper moisture content as determined in accordance with ASTM D1577.

1.6 PROJECT CONDITIONS

- **A.** Site Information: Subsurface explorations data, if made available to the Contractor, is for informational purposes only. Conditions are not intended as representations or warranties of accuracy or continuity between subsurface explorations. The Owner will not be responsible for interpretations or conclusions drawn from this data by Contractor.
 - 1. Additional test pits, borings or other explorations may be performed by Contractor, at the Contractor's option; however, no change in the Contract Sum will be authorized for such additional explorations.

- **B.** Existing Utilities: Locate existing underground utilities in areas of excavation work. If utilities are indicated to remain in place, provide adequate means of support and protection during earthwork operations.
 - 1. Should uncharted, or incorrectly charted, piping or other utilities be encountered during excavation, consult utility owner immediately for directions. Cooperate with Owner and utility companies in keeping respective services and facilities in operation. Repair damaged utilities to satisfaction of utility owner.
 - 2. Do not interrupt existing utilities serving facilities occupied by Owner or others, during occupied hours, except when permitted in writing by Engineer and then only after acceptable temporary utility services have been provided.
 - a. Provide minimum of 48-hour notice to Engineer, and receive written notice to proceed before interrupting any utility.
 - 3. Demolish and completely remove from site existing underground utilities indicated to be removed. Coordinate with utility companies for shutoff of services if lines are active.
- C. Use of Explosives: Do not bring explosives onto site or use in work without prior written permission from authorities having jurisdiction.
- **D.** Protection of Persons and Property: Barricade open excavations occurring as part of this work per applicable regulatory requirements.
 - 1. Operate warning lights as recommended by authorities having jurisdiction.
 - 2. Protect structures, utilities, sidewalks, pavements, and other facilities from damage caused by settlement, lateral movement, undermining, washout, and other hazards created by earthwork operations.
 - 3. Perform excavation by hand within drip-line of large trees to remain. Protect root systems from damage or dry-out to the greatest extent possible. Maintain moist condition for root system and cover exposed roots with moistened burlap.
- **E.** Maintain excavations and trenches free of groundwater, sewage, storm water, ice and snow.
- **F.** Backfilling with frozen materials or when materials already in place are frozen is not permitted.

DELIVERY, STORAGE, AND HANDLING

- **A.** Segregate topsoil, excavated materials, and other earth materials on the site to prevent contamination.
- **B.** Store excavated materials meeting the requirements for backfill a sufficient distance away from excavations and trenches to avoid overloading and to prevent slides or caveins. Do not store materials on, over, or adjacent to structures or utilities, which may collapse or become damaged due to the added weight. Remove excess excavated material promptly and dispose of off- site.
- **C.** No construction activity, access, storage or other use shall take place beyond the construction easement boundaries.

PART 2 – PRODUCTS

2.1 MATERIALS

- **A.** Common Earth Clay, loam, sand, gravel, topsoil and similar materials which may contain some stones, pebbles, lumps and rock fragments up to 6" in largest dimension, nut does not contain debris, organic or frozen material.
- **B.** Select Earth Sand, gravel and similar materials which may contain small amounts of stones, pebbles, or lumps over 1" but not over 2" in largest dimension, but does not contain clay, silt, loam, organic material, debris and frozen material.
- C. <u>Embankment Fill</u>: Shall have no stones larger than six inches in size, organic material or debris, construction debris, clumps of silt or clay, or other deleterious materials.

Gradation:	Passing 6" Sieve	=	100%
	Passing No. 4 Sieve	=	70-100%
	Passing No. 40 Sieve	=	40-80%
	Passing No.100 Sieve	=	25-60%
	Passing No.200 Sieve	=	20-45%

D. Sand - Conforming to NHDOT Item No. 304.1.

Gradation:	Passing 1/2" Sieve	=	100%
	Passing No. 4 Sieve	=	70-100%
	Passing No.200 Sieve	=	0-12%
	(Paged on Fraction Pagging No. 4)		

(Based on Fraction Passing No. 4)

E. Gravel (Bank Run) – Conforming to NHDOT Item No. 304.2.

Gradation:	Passing 6" Sieve	=	100%
	Passing No. 4 Sieve	=	25-70%
	Passing No.200 Sieve	=	0-12%

(Based on Fraction Passing No. 4)

F. Screened Gravel – Uniformly graded, clean, hard, and durable particles free from an excess of soft, thin, elongated, laminated, or disintegrated pieces and be free form silt, loam, clay, or organic matter.

> Gradation: Passing 1-1/2" Sieve 100% Passing 3/4" Sieve 90-100% = Passing 3/8" Sieve = 0-30% Passing No. 4 Sieve 0-5% =

- **G.** Pea Gravel: Natural stone, washed free of clay, shale and organic matter, graded in accordance with ANSI/ASTM C136 to the following: maximum size 5/8 inch, minimum size 1/4 inch.
- **H.** Crushed Gravel Conforming to NHDOT Item No. 304.3.

Gradation: Passing 3" Sieve 100% Passing 2" Sieve 95-100% = Passing 1" Sieve 55-85% = Passing No. 4 Sieve 27-52% Passing No. 200 Sieve 0-12%

(Based on Fraction Passing No. 4)

I. Crushed Aggregate For Shoulders - Conforming to NHDOT Item No. 304.33.

> Gradation: Passing 1-1/2" Sieve 100% Passing 1" Sieve 90-100% = Passing No. 4 Sieve 30-65% = Passing No. 200 Sieve 0-10% = (Based on Total Sample)

J. Crushed Stone (Fine) - Conforming to NHDOT Item No. 304.4.

Gradation: Passing 2" Sieve 100% = Passing 1-1/2" Sieve 85-100% = Passing 3/4" Sieve 45-75% Passing No. 4 Sieve 0-45% = Passing No. 200 Sieve = 0-5% (Based on Total Sample)

K. Crushed Stone (Course) – Conforming to NHDOT Item No. 304.5.

Passing 3-1/2" Sieve Gradation: 100% Passing 3" Sieve 85-100% = Passing 1-1/2" Sieve 60-90%

Passing 3/4" Sieve	=	40-70%
Passing No. 4 Sieve	=	15-40%
Passing No.200 Sieve	=	0-5%
(Based on Total Sample)		

- L. Loam (Topsoil) Loam shall be the surface layer of natural workable soil containing 3% minimum to 10% maximum organic matter (determined by loss by ignition), capable of sustaining the growth of vegetation, with no admixture of refuse or material toxic to plant growth. It shall be relatively free from stones, lumps, stumps or similar objects larger than 1" in greatest diameter, sterile soil, roots and brush. Ordinary sods of herbaceous growth such as grass and non-noxious weeds will be permitted. The loam shall be free from subsoil. The acidity range of the loam prior to treatment as specified herein shall be between pH 5.0 and 6.0 inclusive. Not more than 65% shall pass the No. 200 Sieve as determined by the wash test in accordance with ASTM D 1140. No more than 20% of the material passing the No. 4 Sieve shall consist of clay particles.
- M. Silt Silt Loam or Silt, at least 50% of material by weight shall have a particle size less than 0.05 mm. The material shall be free of debris, frozen material, and stones greater than 3" in largest dimension. The saturated permeability of the compacted material shall not exceed 1 X 10⁻⁵ as determined by the U.S. Army Corps of Engineers "Falling Head Permeability Test EM1110-2-1906, Appendix 7", when compacted to 85% of the maximum density obtainable at optimum moisture content (as determined by ASTM D1557, Method C).
- N. Spalls Stones or broken rock ranging downward from the maximum size indicated.
- **O.** Stabilization Fabric: "Mirafi Filterweave FW 700" or approved equivalent.
- **P.** Stone Filter Blanket Clean durable fragments of either ledge rock, boulders or both, reasonably free of thin or elongated pieces and organic material.

Gradation:	Passing 5" Sieve	=	100%
	Passing 4" Sieve	=	85-100%
	Passing 1-1/2" Sieve	=	20-55%
	Passing ³ / ₄ " Sieve	=	0-25%

Q. <u>Structural Fill</u> – Hard durable particles or fragments of stone, gravel and natural sand free from deleterious amounts of clay, silt or organic matter. At least 30 percent of the materials retained on the No. 4 sieve shall have a fractured face.

Gradation:	Passing 2" Sieve	=	100%
	Passing 1-1/2" Sieve	=	90-100%
	Passing No. 4 Sieve	=	30-60%
	Passing No.100 Sieve	=	0-12%
	Passing No.200 Sieve	=	0-5%

(Based on Fraction Passing No. 4)

R. Pipe Bedding – Screened gravel and/or crushed stone free from organic matter, clay, and/or loam meeting ASTM C33 Stone Size No. 67.

 Gradation:
 Passing 1" Sieve
 =
 100%

 Passing 3/4" Sieve
 =
 90-100%

 Passing 3/8" Sieve
 =
 20-55%

 Passing No. 4 Sieve
 =
 0-10%

 Passing No. 8 Sieve
 =
 0-5%

PART 3 – EXECUTION

3.1 EXCAVATION - GENERAL

- **A.** Notify "Dig Safe" (800-225-4977) of intended excavation.
- **B.** Identify and mark known underground utilities.
- **C.** Identify required lines, levels, contours and datum.
- **D.** Comply with local codes, ordinances, and requirements of agencies having jurisdiction.
- **E.** Do not perform rock excavation work until material to be excavated has been measured and classified by Engineer.

3.2 STABILITY OF EXCAVATIONS

- **A.** Slope sides of excavations to comply with local codes, ordinances, and requirements of agencies having jurisdiction. Shore and brace where sloping is not possible because of space restrictions or stability of material excavated. Maintain sides and slopes of excavations in safe condition until completion of backfilling.
- **B.** Shoring and Bracing: Provide materials for shoring and bracing, such as sheet piling, uprights, stringers, and cross braces, in good serviceable condition. Maintain shoring and bracing in excavations regardless of time period excavations will be open. Extend shoring and bracing as excavation progresses.
 - 1. Provide permanent steel sheet piling or pressure-creosoted timber sheet piling wherever subsequent removal of sheet piling might permit lateral movement of soil under adjacent structures. Unless indicated otherwise, cut off tops a minimum of 2.5 feet below final grade and leave permanently in place.

3.3 **DEWATERING**

A. Prevent surface and ground water from flowing into excavations and from flooding project

site and surrounding area.

- 1. Do not allow water to accumulate in excavations. Remove water to prevent softening of foundation bottoms, undercutting footings, and soil changes detrimental to stability of subgrades and foundations. Provide and maintain pumps, well points, sumps, suction and discharge lines, and other dewatering system components necessary to convey water away from excavations without erosion or sedimentation.
- 2. Establish and maintain temporary drainage ditches and other diversions outside excavation limits to convey rain water and water removed from excavations to collecting or runoff areas. Do not use trench excavations as temporary drainage ditches.

3.4 STORAGE OF EXCAVATED MATERIALS

- **A.** Stockpile excavated materials acceptable for backfill and fill where directed. Place, grade, shape and stabilize stockpiles as necessary to prevent storm water erosion.
 - 1. Locate and retain soil materials away from edge of excavations. Do not store within drip line of trees indicated to remain.
 - 2. Dispose of excess excavated soil material and materials not acceptable for use as backfill or fill.

3.5 EXCAVATION FOR STRUCTURES

- **A.** Conform to elevations and dimensions shown within a tolerance of plus or minus 0.10 foot, and extending a sufficient distance from footings and foundations to permit placing and removal of concrete form-work, installation of services, and other construction and for inspection.
 - Excavations for footings and foundations: Do not disturb bottom of excavation.
 Excavate by hand to final grade just before concrete reinforcement is placed.
 Trim bottoms to required lines and grades to leave solid base to receive other work.
 - 2. For pile foundations, stop excavations from 6 inches to 12 inches above bottom of footing before piles are placed. After piles have been driven, remove loose and displaced material. Excavate to final grade, leaving solid base to receive concrete pile caps.
 - 3. Excavation for Underground Tanks, Basins, and Mechanical or Electrical Structures: Conform to elevations and dimensions indicated within a tolerance of plus or minus 0.10 foot; plus a sufficient distance to permit placing and removal

of concrete form-work, installation of services, and other construction and for inspection. Do not disturb bottom of excavations, intended for bearing surface.

3.6 EXCAVATION FOR PAVEMENTS

A. Cut surface under pavements to comply with cross-sections, elevations and grades as indicated.

3.7 TRENCH EXCAVATION FOR PIPES AND CONDUIT

- **A.** Excavate trenches sufficiently wide to provide ample working room but not wider than the maximum width indicated.
- **B.** Where it is necessary for pipes to be laid in fill, place Select fill in uniform horizontal layers not over 6" in compacted thickness. Carry fill up to elevation at least two feet above the elevation of the top of the pipe to be laid and then excavate trench.
- C. Bedding requirements are detailed on the plans.
- **D.** Excavate trenches and conduit to depth indicated or required to establish indicated slope and invert elevations and to support bottom of pipe or conduit on undisturbed soil or compacted bedding material as indicated. Beyond building perimeter, excavate trenches to allow installation of top of pipe below frost line.
 - 1. Where rock is encountered, carry excavation 6 inches below invert elevation and backfill with a 6-inch layer of stone bedding prior to installation of pipe.
 - 2. For pipes or conduit less than 6 inches in nominal size, and for flat-bottomed, multiple-duct conduit units, hand-excavate bottom cut to accurate elevations and support pipe or conduit on undisturbed soil or compacted bedding material as indicated.
 - 3. For pipes or conduit 6 inches or larger in nominal size, shape trench bottom or bedding to fit bottom of pipe for 90 degrees (bottom 1/4 of the circumference). Where no bedding is indicated, fill depressions with granular fill-sand and tamp. At each pipe joint, dig bell holes to relieve pipe bell of loads to ensure continuous bearing of pipe barrel on bearing surface.

3.8 COLD WEATHER PROTECTION

A. Protect excavation bottoms against freezing when atmospheric temperature is less than 35 degrees F.

3.9 REQUIREMENTS PRIOR TO BACKFILLING

A. Backfill excavations as promptly as work permits, but not until completion of the

following:

- 1. Acceptance of construction below finish grade including, where applicable, damp-proofing, waterproofing, and perimeter insulation.
- 2. Inspection, testing, approval, and recording locations of underground utilities have been performed and recorded.
- 3. Removal of concrete form-work.
- 4. Removal of shoring and bracing, and backfilling of voids with satisfactory materials. Cut off temporary sheet piling driven below bottom of structures and remove in manner to prevent settlement of the structure or utilities, or leave in place if required.
- 5. Removal of trash and debris from excavation.
- 6. Permanent or temporary horizontal bracing is in place on horizontally supported walls.
- 7. Inspection, testing and approval of subgrade.

3.10 SUBGRADE PREPARATION

- **A.** Clear, grub and dispose of vegetation. Strip humus, excavate unsuitable materials and remove obstructions. Uniformly grade subgrade to indicated lines, grades and acceptable grading tolerances. Grade subgrade to be free of non-draining depressions where practical.
- **B.** When subgrade density is less than that specified under "Compaction" for particular area classification, break up surface, pulverize, moisture-condition to optimum moisture content, and compact to required depth and percentage of maximum density.
- **C.** Unless otherwise indicated, roughen sloped surfaces steeper than 1 vertical to 4 horizontal so that fill material will bond with existing surface.

3.11 GENERAL BACKFILL AND FILL PLACEMENT

- **A.** Do not place backfill or fill material on surfaces that are muddy, frozen, or contain frost or ice.
- **B.** Place backfill and fill materials in layers not more than 12 inches in loose depth for material compacted by heavy compaction equipment, and not more than 6 inches in loose depth for material compacted by hand-operated tampers.
- C. Place backfill and fill materials evenly adjacent to structures, piping, or conduit to required elevations. Prevent wedging action of backfill against structures or displacement of piping or conduit by carrying material uniformly around structure, piping, or conduit to

- approximately same elevation in each lift.
- **D.** Backfill trenches with concrete where trench excavations pass within 18 inches of column or wall footings and that are carried below bottom of such footings or that pass under wall footings. Place concrete to level of bottom of adjacent footing.
 - 1. Do not backfill trenches until tests and inspections have been made and backfilling is authorized by Engineer. Use care in backfilling to avoid damage or displacement of pipe systems.

3.12 PLACING SUB-PAVEMENT GRAVEL COURSES

- **A.** General: Sub-pavement gravel courses consist of placing subbase and base gravel materials, in layers of specified thickness, over subgrade surface to support pavements.
 - 1. Refer to other Division 31 sections for paving specifications.
- **B.** Grade Control: During construction, maintain lines and grades including crown and cross-slope of sub-pavement gravel courses.
- C. Shoulders: Place shoulders along edges of sub-pavement gravel courses to prevent lateral movement. Construct shoulders of acceptable soil materials, placed in such quantity to compact to thickness of each sub-pavement gravel course layer. Compact and roll at least a 12-inch width of shoulder simultaneous with the compaction and rolling of each layer of sub-pavement gravel.
- **D.** Placing: Place sub-pavement gravel course material on prepared subgrade in layers of uniform thickness, conforming to indicated cross-section and thickness. Maintain optimum moisture content for compacting sub-pavement gravel material during placement operations.
 - 2. When a compacted sub-pavement gravel course is indicated to be 6 inches thick or less, place material in a single layer. When indicated to be more than 6 inches thick, place material in equal layers, except no single layer shall be more than 6 inches or less than 3 inches in thickness when compacted.

3.13 PLACING SLAB STRUCTURAL FILL COURSE

- **A.** General: Structural fill course consists of placement of structural fill material, in layers of indicated thickness, over subgrade surface to support concrete building slabs.
- **B.** Placing: Place structural fill material on prepared subgrade in layers of uniform thickness, conforming to indicated cross-section and thickness. Maintain optimum moisture content for compacting material during placement operations.
 - 1. When a compacted structural fill course is indicated to be 6 inches thick or less,

place material in a single layer. When indicated to be more than 6 inches thick, place material in equal layers, except no single layer shall be more than 6 inches or less than 3 inches in thickness when compacted.

3.14 BACKFILLING TRENCHES

- **A.** <u>Pipe Bedding</u> Bedding requirements shall be as shown on the plans. Provide bedding to the spring line of the pipe. Place fill by hand in not greater than 6 inch compacted layers.
- **B.** 12" Over Pipes Provide 12 inches of Select Fill over the top of the pipe as detailed on the plans. Place fill by hand in not greater than 6 inch layers. Bring Select Fill up evenly on both sides of pipes and carefully and thoroughly compact.
- C. Remainder of Trench Paved Areas Select Fill, Select Earth, or Common Earth placed no greater than 12 inch compacted layers.
- **D.** Remainder of Trench Other Areas Select Fill, Select Earth, or Common Earth placed no greater than 12 inch compacted layers.

3.15 BACKFILLING AROUND STRUCTURES

- **A.** Uniformly spread and deposit backfill in horizontal layers, not over twelve inches in compacted thickness. Take special precautions to prevent damage to new construction.
- **B.** In paved areas, backfill with Select Fill for the full depth. In unpaved areas, backfill with Select Fill, Select Earth or Common Earth.

3.16 SHEETING AND BRACING

- **A.** Provide and maintain adequate sheeting and bracing as required for the safety and protection of the Work, persons and adjacent property and structures in accordance with federal, state and local laws, codes ordinances, and standards.
- **B.** Where sheeting is placed along side pipe and extends below mid-diameter, it shall be cut off and left in place to an elevation not less that one foot above the top of the pipe. The Engineer may, at his discretion, order sheeting and bracing to be cut-off and left in place. Where, in the opinion of the Contractor, damage may result from withdrawing sheeting, he shall immediately notify the Engineer. Sheeting ordered left in place adjacent to piping shall be cut-off at least three feet below grade but not less than one foot above the top of the pipe.
- C. Contractor is fully responsible for the design and construction of all sheeting and bracing used and for all damages resulting from improper quality, strength, placing, maintenance or removal of sheeting and bracing.

3.17 UNSTABLE OR UNSUITABLE MATERIALS

- **A.** Remove unstable materials in excavations and trench bottoms which are incapable of supporting pipes or structures, to the extent and depths directed by the engineer, and properly dispose of off-site. Refill and compact the excavation as required.
- **B.** Whenever the material encountered is, in the Contractor's opinion, incapable of providing adequate support, he shall immediately notify the Engineer.

3.18 <u>DISPOSAL OF EXCAVATED MATERIALS</u>

- **A.** Excavated materials which meet the requirements for embankment fill or backfill may be used for constructing embankments and backfilling, as possible. Remove excess excavated materials and dispose of off-site.
- **B.** The storing and stockpiling of unsuitable material on-site is not permitted. Re-use shall be at the discretion of the Engineer.

3.19 COMPACTION AND MOISTURE CONDITIONING

- **A.** Control soil and fill compaction and moisture conditioning, providing minimum percentage of density specified for each area classification indicated below or in accordance with Section 31 23 23.23. Correct improperly compacted areas or lifts as directed by Engineer if soil density tests indicate inadequate compaction.
 - 1. Percentage of Maximum Density Requirements: Compact soil to not less than 95% of maximum density, in accordance with ASTM D 1557, Method C.
 - 2. Moisture Control: Where subgrade or layer of soil material must be moisture conditioned before compaction, uniformly apply water to surface of subgrade or layer of soil material. Apply water in minimum quantity as necessary to prevent free water from appearing on surface during or subsequent to compaction operations.
 - a. Remove and replace, or scarify and air dry, soil material that is too wet to permit compaction to specified density.
 - b. Stockpile or spread soil material that has been removed because it is too wet to permit compaction. Assist drying by discing, harrowing, or pulverizing until moisture content is reduced to a satisfactory value.

3.20 FIELD QUALITY CONTROL

A. Quality Control Testing During Construction: Allow testing service to inspect and approve each subgrade and fill layer before further backfill or construction work is performed in accordance with Section 31 23 23.23 Soil Compaction.

3.21 GRADING

- **A.** General: Uniformly grade areas within limits of grading, including adjacent transition areas. Smooth finished surface within specified tolerances, compact with uniform levels or slopes between points where elevations are indicated or between such points and existing grades.
- **B.** Grading Outside Building Lines: Grade areas adjacent to building lines to drain away from structures and to prevent ponding.
- C. Grading Surface of Fill under Building Slabs: Grade smooth and even, free of voids, compacted as specified, and to required elevation. Provide final grades within a tolerance of 0.05 foot when tested with a 10-foot straight edge.
- **D.** Compaction: After grading, compact subgrade surfaces to the depth and indicated percentage of maximum or relative density for each area classification.

3.22 EROSION CONTROL

A. Provide measures as necessary to control all erosion and sedimentation resulting from construction activities as indicated, warranted or required by authorities having jurisdiction.

3.23 MAINTENANCE

- **A.** Protection of Graded Areas: Protect newly graded areas from traffic and erosion. Keep free of trash and debris.
- **B.** Repair and reestablish grades in settled, eroded, and rutted areas to specified tolerances.
- **C.** Reconditioning Compacted Areas: Where completed compacted areas are disturbed by subsequent construction operations or adverse weather, scarify surface, reshape, and compact to required density prior to further construction.
- **D.** Settling: Where settling is measurable or observable at excavated areas during general project warranty period, remove surface (pavement, lawn, or other finish), add backfill material, compact, and replace surface treatment. Restore appearance, quality, and condition of surface or finish to match adjacent work, and eliminate evidence of restoration to greatest extent possible.

3.24 <u>DISPOSAL OF EXCESS AND WASTE MATERIALS</u>

A. Do not dispose of spoil materials on or off site in wetlands or other environmentally sensitive areas unless properly permitted through regulatory authorities having jurisdiction and conducted in accordance with the permit conditions thereof.

B. Remove spoil materials and legally dispose of off site.

End of Section

SECTION 31 23 16.26

ROCK REMOVAL

PART 1 — GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division - 01 Specification Sections, apply to this Section.

1.2 SUMMARY

- **A.** This Section includes the removal and disposal of rock from the site and trench excavations.
- **B.** Refer to other "Division 31 Earthwork" Sections for additional requirements relating to this Section.

1.3 **DEFINITIONS**

- **A.** Rock Excavation consists of all solid rock which cannot be removed without blasting or ripping. Intermittent drilling, blasting, or ripping performed to increase production and not necessary to permit excavation of material encountered will be classified as earth excavation.
 - 1. <u>Site Rock Excavation</u> consists of all rock excavation other than Trench Rock Excavation and includes the excavation of boulders and parts of masonry structures when found to measure two (2) cubic yards or more.
 - 2. <u>Trench Rock Excavation</u> consists of rock excavation where solid rock and boulders or parts of masonry structures found to measure two (2) cubic yards or more are removed from trenches where the bottom-width limit of excavation does not exceed 8 feet.
- **B.** <u>Unauthorized Excavation</u> consists of removal of materials beyond indicated subgrade elevations or dimensions without specific direction of Engineer. Unauthorized excavation, as well as remedial work directed by Engineer, shall be at Contractor's expense.

1.4 SUBMITTALS

- **A.** Name, qualifications, experience records, certificates of insurances and copies of licenses.
- **B.** Listing and description of materials and methods proposed for use.
- C. Prior to blasting, the Contractor shall at his own expense have a survey done of all

existing structures and utilities on the site and within 500 feet of the site. Said survey shall be conducted by an independent entity approved by the Engineer and shall address the structural integrity of all existing structures and utilities. Upon completion of blasting operations, the Contractor shall have prepared by the same independent entity, a survey addressing the structural integrity of the same structures and utilities.

- **D.** Written notice to Owner, Engineer, and individual property owners in immediate vicinity at least 48 hours in advance of blasting operations.
- **E.** On a daily basis, the Contractor shall submit to the Engineer accurate records including but not limited to, the location, depth, elevation of blast, maximum explosive weight per delay and the date and time of blast.

1.5 QUALITY ASSURANCE

A. All blasting operations shall be conducted in full compliance with all laws of the State, all local ordinances, and with all possible care so as to avoid injury to persons and property. The rock shall be well covered, and sufficient warning given to all persons in the vicinity of the work before blasting. Care shall be taken to avoid injury to all structures, utilities and property. The Contractor, in addition to observing all municipal and other ordinance relating to the storage and handling of explosives, shall also conform to and further requirements the Engineer deems necessary.

1.6 PROJECT CONDITIONS

- **A.** Site information: Subsurface explorations data, if made available to the Contractor, is for informational purposes only. Conditions are not intended as representations or warranties of accuracy or continuity between subsurface explorations. The Owner will not be responsible for interpretations or conclusions drawn from this data by the Contractor.
 - 1. Additional test pits, borings, or other explorations may be performed by Contractor, at the Contractor's option; however, no change in the Contract Sum will be authorized for such additional explorations.

1.7 DELIVERY, STORAGE, AND HANDLING

- **A.** Handle and store explosives in strict accordance with requirements of regulatory authorities have jurisdiction.
- **B.** Keep explosives on site only in such quantity as may be needed for the work under way and only during such time as they are to be used.
- **C.** Store explosives in a secure manner separate from all tools, with caps or detonators safely stored at a separate point more than 100 feet distant.
- **D.** Disposal of rock shall be by one of the following:

- 1. If rock is suitable in nature and of the proper size, it may be crushed and re-used for backfill if approved by the Engineer.
- 2. If the Contract Documents permit or require the use of rock in embankments, fills or other areas, it may be incorporated into the Work accordingly.
- 3. Deliver to a spoil or stockpile area for disposal or re-use.
- 4. If none of the above apply, remove the rock from the project site and dispose of off-site in a lawful manner.

PART 2 — PRODUCTS

2.1 MATERIALS

- **A.** Concrete used to fill over-excavations shall be Class C (28 day compressive strength of 2,000 psi) as specified in Division 03 Section "Cast-in-Place Concrete".
- **B.** Other Materials required for the complete removal and for providing a safe operation shall be as selected by the Contractor, as complying with the requirements of regulatory authorities having jurisdiction, subject to the approval of the Engineer.

PART 3 — EXECUTION

3.1 GENERAL

- **A.** Where rock is encountered, it shall be uncovered but not excavated until measurements have been made by the Engineer.
- **B.** Attempt to remove rock by mechanical means before resorting to blasting.
- **C.** Protect structures, utilities, sidewalks, pavements, and other facilities and property from blasting hazards.
- **D.** Remove rock to the limits indicated or directed by Engineer.

3.2 UNAUTHORIZED EXCAVATION

- **A.** Rock excavated below foundation subgrades, not authorized by Engineer, shall be refilled with Class C concrete or other materials approved by Engineer, to the indicated subgrade elevation.
- **B.** Other unauthorized rock excavations shall be backfilled and compacted as specified for

- authorized excavations of same classification, unless otherwise directed by Engineer.
- **C.** Excavations which are made wider than shown on the Drawings, specified or authorized by Engineer, may necessitate redesigns and stronger materials for which all costs shall be borne by Contractor.

SECTION 31 23 23.23

SOIL COMPACTION

PART 1 — GENERAL

1.1 <u>DESCRIPTION</u>

- **A.** This Section covers the requirements for all soil compaction.
- **B.** Related work specified elsewhere includes:

Earthwork

31 23 16

1.2 QUALITY ASSURANCE

A. The Contractor shall provide at least one person who shall be present at all times during the soil compaction operations and who shall be thoroughly familiar with proper soil compaction techniques.

1.3 SUBMITTALS

- **A.** All submittals shall be in accordance with Section 01 33 23 "Submittals".
- **B.** Provide six (6) copies of the results of the laboratory sieve analyses, moisture density tests, and any other test results required by this or other Sections.

1.4 JOB CONDITIONS

- **A.** Compaction shall not take place in freezing weather or when materials to be compacted are frozen, too wet or moist, or too dry.
- **B.** Schedule the Work to allow ample time for laboratory tests and to permit the collecting of samples and the performing of field density tests during the backfilling and compaction operations.

PART 2 — PRODUCTS

2.1 COMPACTION

A. Utilize the proper compaction methods and equipment to suit the soils and conditions encountered.

2.2 <u>LABORATORY TESTING</u>

A. Testing performed under this Section shall be by an independent testing firm qualified to provide the necessary services. The firm shall be approved by the Engineer before any testing is performed.

2.3 <u>LABORATORY TEST REPORTS</u>

- **A.** As a minimum, the laboratory testing reports shall contain the following:
 - 1. Laboratory's name.
 - 2. Date, time and specific location from which sample was taken and name of person who collected the sample.
 - 3. Designation of the test method used.
 - 4. A description of the sample, the test, and the test results.
 - 5. The date the test was performed and the person who performed the test.
 - 6. The Project name, identification, and Contractor's name.

PART 3 — EXECUTION

3.1 INSPECTION

- **A.** Verify that layers of material are no thicker than twelve (12) inches.
- **B.** Verify that moisture content is nearly optimum.
- **C.** Do not begin compaction operations until conditions are satisfactory.

3.2 PERFORMANCE

- **A.** Compaction densities shown are percentage of the maximum density obtainable at optimum moisture content as determined by ASTM D1557, Method C (Modified Proctor).
- **B.** Compact each layer of material to the following required densities:

Location	<u>Density</u>
Under concrete slabs, foundations and footings	95%
Trenches under pavement	90%
Backfill around structures	95%
Embankments	95%
Cross country areas	85%

C. Embankment material shall be compacted using a vibratory sheepsfoot roller or other method that kneads successive lifts and does not cause potential layering.

3.3 FIELD QUALITY CONTROL

- **A.** Perform a laboratory moisture density test for each type of soil proposed for use or encountered in the Work. Determine optimum moisture content in accordance with ASTM D1557, Method C.
- **B.** Costs for initial field density tests shall be paid for as in Laboratory Services. Costs for retesting shall be borne by the Contractor. Field density tests shall be performed in accordance with the following average frequencies;
 - 1. <u>Under Structures</u> One test for every 200 square feet of area of each layer of compacted granular.
 - 2. <u>Around Structure</u> One test for each foot of backfill at intervals of approximately fifty (50) feet around the structure.
 - 3. <u>Trenches</u> One test at intervals of approximately 300' along the trench.
 - 4. Embankment Three tests for each foot of compacted fill.
- C. Testing frequency indicated in Paragraph 3.3 B is at the discretion of the Engineer and may be decreased as the Project progresses.
- **D.** Field density and moisture testing shall conform to the requirements of ASTM D1556 or D2922 and ASTM D3017. Soils shall be described in accordance with ASTM D2488, Visual-Manual Procedure.
- **E.** Soils not meeting the specified in-place densities shall be excavated and re-compacted at the Contractor's expense.

3.4 COORDINATION

A. Provide all assistance and cooperation during testing and coordinate operations to allow ample time for the required sampling and testing.

SECTION 31 23 19

DEWATERING

PART 1 – GENERAL

1.1 WORK INCLUDED

Work included under this Section includes the dewatering equipment for the control of ground and surface water entering excavations on the project site.

1.2 RELATED WORK

Excavating, Trenching, and Backfilling	31 23 33
Erosion Control	31 25 00

1.3 QUALITY ASSURANCE

- **A.** The Contractor shall employ whatever means deemed appropriate to control water on the Site. The Owner and Engineer shall not be responsible for the means and methods of dewatering. Unless otherwise noted, dewatering shall be incidental in the work.
- **B.** The Contractor shall keep work free of standing or flowing groundwater, surface water, sewage, snow, or ice. Unless otherwise directed by the Engineer, the placement of work is not permitted.

PART 2 – PRODUCTS

2.1 GENERAL

- **A.** Provide, operate and maintain a dewatering system to remove all water from excavations and trenches including pumps, drains, wellpoints, piping and any other facilities necessary to keep the excavations and trenches free from water.
- **B.** Assure proper permits have been acquired for dewatering of excavations if the discharge from the dewatering operations will reach surface waters or wetlands. Coverage under any of the following permits, and performance of any of the associated sampling requirements, shall be deemed to satisfy this section:
 - 1. U.S. EPA National Pollution Discharge Elimination System (NPDES) Construction General Permit; or,
 - 2. US EPA National Pollution Discharge Elimination System (NPDES) Construction Dewatering Permit.

PART 3 – EXECUTION

3.1 PERFORMANCE

- **A.** Keep excavations and trenches dry until the structures, pipes and appurtenances have been completed.
- **B.** Dispose of water pumped or drains from the construction site in a suitable manner to avoid public nuisance, injury to public health, damage to public and private property, and damage to work completed or in progress. Water discharged to a natural drainage course or stream shall pass through a sediment trap prior to discharge. Discharge water from excavations shall be treated to meet applicable treatment performance standards specified in state or federal permits. In no case shall discharges to surface waters exceed state water quality standards for turbidity.
- C. All damage from dewatering operations, or the failure of the Contractor to maintain the work in a suitable dry condition shall be repaired by the Contractor, at no additional cost to the Owner,
- **D.** Cofferdams shall be utilized where necessary for the dewatering, control and diversion of water to keep excavations and trenches free of water. Design and construct cofferdams to withstand all imposed loads to prevent injury to persons and property. Construct cofferdams to depths to permit a reasonable change in depths of the work, of sufficient height to prevent flooding, and of such dimensions to give sufficient clearance for construction and inspection.
- **E.** Temporary underdrains When and where found necessary, install temporary underdrains in the excavation. Surround the underdrain and fill the space between the underdrain and the pipe or structure with crushed stone to prevent the migration of fines.
- **F.** Wellpoint system If required, dewater the excavations and trenches by an efficient drainage wellpoint system to drain the soil and prevent saturated soils from flowing in to the excavated area.

SECTION 31 25 00

EROSION CONTROL

PART 1 - GENERAL

1.1 DESCRIPTION

- **A.** Work covered by this Section includes the control of erosion, siltation, and sedimentation.
- **B.** Related work described elsewhere:

Earthwork

Division - 31

1.2 PROJECT REQUIREMENTS

- **A.** Take every reasonable precaution and do whatever is necessary to avoid any erosion and to prevent silting of rivers, streams, lakes, reservoirs, impoundments, wetlands, drainage ditches and swales.
- **B.** The exposure of uncompleted cut slopes, embankments, trench excavations, and site graded areas shall be kept as short as possible. Initiate seeding and other erosion control measures on each segment as soon as reasonably possible.
- **C.** Adhere to any and all applicable local, state, and federal requirements and permits related to erosion control.

1.3 SEDIMENT CONTROL GUIDELINES

- **A.** U.S. Environmental Protection Agency Publication 430/9-73-007 "Processes, Procedures and Methods to Control Pollution Resulting from All Construction Activity."
- **B.** "Stormwater Management and Erosion and Sediment Control Handbook for Urban and Developing Areas in New Hampshire" Rockingham County Conservation District, August 1992.

1.4 SUBMITTALS

- **A.** The Contractor shall furnish to the Engineer, in writing, his plan for controlling erosion and siltation before beginning the construction work. Said plan shall also include the methods to be utilized for protecting and stabilizing steep slopes, stream banks, and channels which will be affected by the construction work.
- **B.** Where earth disturbance will exceed once acre, the Contractor shall prepare a Storm Water Pollution Prevention Plan (SWPPP) that conforms to the requirements of the USEPA

National Pollution Discharge Elimination System (NPDES) Construction General Permit, or agree to abide by an alternate SWPPP if one has been prepared by the Owner or their agent. In the latter instance, the singing of the SWPPP by the contractor shall constitute such an agreement.

- 1. Contractor shall prepare and submit a Construction General Permit Notice of Intent form at least 7 days prior to beginning earth disturbance activities, and only after a SWPPP has been prepared. Earthwork shall not commence until the Contractor has received confirmation from EPA that said Contractor has obtained coverage under the Construction General Permit.
- C. Acceptance of a plan will not relieve the Contractor of responsibility for completing the work as specified.

PART 2 - PRODUCTS

2.1 MATERIALS

- **A.** Dewatering Bag- Dirt Bag as manufactured by ACF or approved equal
- **B.** Erosion Stone- See 02341
- **C.** Matting for erosion control jute mat or excelsior mat
- **D.** Hay bales rectangular-shaped bales of hay or straw weighing at least 40 pounds per bale and free from primary noxious weed seeds and rough or woody materials
- E. Mulch Cured hay free from primary noxious weed seeds and rough or woody materials
- **F.** Seed for erosion control shall be annual or perennial ryegrass, and NH Conservation Seed Mix
- **G.** Silt fence: Envirofence as manufactured by Mirafi, Inc. or approved equal.
- **H.** Wattles- Sediment Log as manufactured by the American Excelsior Company or approved equal

PART 3 - EXECUTION

3.1 PERFORMANCE

A. Erosion and sediment controls shall be operated to prevent violations of NH water quality standards (NH Env-Ws 1700).

B. Diverting Surface Water:

- Perform no earthwork in flowing waters. Build, maintain, and operate all cofferdams, channels, flumes, slope drains, sumps, and other temporary diversion and protection works needed to divert stream flow, runoff, water from seeps in cut slope, and other surface water through or around the construction site and away from the construction work while construction is in progress.
- 2. Protect areas where existing stream banks are to be excavated by constructing hay bale dikes at the top of slope to divert storm runoff from the disturbed area and at the toe of the slope to retain sediments.
- 3. A diversion shall outlet to a durable surface that prevents erosion at the point of discharge.
- 4. Contain turbid discharge from pumped dewatering operations by a filter bag or a dike located in an upland area at least 20 feet from surface waters or wetlands and constructed to prevent silt from entering the stream and to protect the area of the outlet pipe against erosion by flowing water by the construction of a rock or timber apron.
- 5. Prior to removal of all sediment control dikes, remove all retained silt, filter bags or other materials at no additional cost to the Owner.

C. Erosion Prevention Provisions:

- 1. Limit period of time that disturbed soils are exposed to precipitation.
 - a). Apply stabilization measures within 72 hours of completing earth disturbing work adjacent to wetlands.
 - b). Apply stabilization measures within 14 days of finish grading areas that are not adjacent to wetlands.
- 2. Apply matting to seeded slopes steeper than 3:1. Apply mulch to all other seeded slopes.

3. Mulch:

- a). Undertake immediately after each area has been properly prepared.
- b). Place mulch on the seeded areas within 48 hours after seeding.
- c). Apply hay that has been thoroughly fluffed at approximately, but not to exceed, 2 tons per acre unless otherwise ordered.

4. Matting:

- a). Place strips lengthwise in the direction of the flow of water.
- b). Where strips are laid parallel or meet as in a tee, overlap at least 4 inches.
- c). Ends: Overlap at least 6 in., shingle fashion.

- d). The up-slope end of each strip of the matting shall be turned down and buried to a depth of not less than 6 in. with the soil firmly tamped against it.
- 5. Install rock check dams, hay bale check dams, or other temporary grade controls structures in swales and temporary channels that receive concentrated flow.

D. Sediment Control Provisions:

- 1. Install silt fence and other perimeter controls at early stages of earth disturbance. As shown on plans and as directed by engineer. Avoid usage where concentrated flow may occur. Back up silt fence with wire backing or hay bales as needed.
- 2. Install coarse stone tracking pad at site exit to prevent sediments from being tracked onto pavement by construction vehicles. Supplement with street sweeping.
- 3. Avoid interim grading that concentrates runoff to unstable ground or channels. Utilize temporary water bars or other methods to interrupt long flowpaths on unfinished roads and convey runoff to stable upland areas.
- 4. Install temporary sediment basins in swales and temporary channels that receive concentrated flow. Locate for convenience of frequent maintenance, but do not site in areas where inadvertent basin breeching would cause safety hazards, property damage, or result in preventable environmental impacts.
- 5. Place erodable material stockpiles on level ground and away from drainage channels. Install silt fence along downgradient perimeter of stockpile between pile and nearest surface water or wetlands.

E. Winter Erosion Control

- 1. All proposed vegetative areas which do not exhibit a minimum of 85% vegetative growth by October 15th. Or which are disturbed after October 15th, shall be stabilized by seeding and installing erosion control blankets on slopes greater than 3:1, and seeding and placing 3 to 4 tons of mulch per acre, secured with anchored netting, elsewhere. The installation of erosion control blankets or mulch and netting shall not occur over accumulated snow or frozen ground and shall be completed in advance of thaw or spring melt events.
- 2. All ditches or swales which do not exhibit a minimum of 85% vegetative growth by October 15th, or which are disturbed after October 15th, shall be stabilized temporarily with stone or erosion control blankets appropriate for the design flow conditions.
- 3. After November 15th, incomplete road or parking surfaces, where work has stopped for the winter season, shall be protected with a minimum of 3 inches of crushed gravel per NHDOT Item 304.3.

3.2 MAINTENANCE

- **A.** Maintain all temporarily stabilized surfaces until they are stable.
 - 1. Repair rills that form on gravel stabilized roadways until paving occurs.
 - 2. Apply supplemental seed, fertilizer and lime as needed to achieve final stabilization; defined by NHDES as 85% vegetative growth.
- **B.** If any matting staples become loosened or raised or if any matting becomes loose, torn, or undermined, make satisfactory repairs immediately.
- **C.** Maintain areas mulched or matted, with no extra compensation, until the completion of the Contract.
- **D.** Maintain siltation fence by checking the installation for fallen segments and keep build-up of silt to less than 50% of its height.
- **E.** Check all sediment capturing devices at a regular frequency, after storms, and as dictated by applicable permits. Remove sediments from sediment capturing features when 50% of the devices volume is occupied by sediment and prior to anticipated large storms.
 - 1. Place sediments cleaned from basins and other devices in upland area and out of drainage paths.

3.3 REMOVAL OF TEMPORARY WORKS

A. Remove or level and grade to the extent required to present a sightly appearance and to prevent any obstruction of the flow of water or any other interference with the operation of or access to the permanent works.

DIVISION 32 – EXTERIOR IMPROVEMENTS

SECTION 32 12 16.31

BITUMINOUS CONCRETE PAVING - NH

PART 1 - GENERAL

1.1 RELATED DOCUMENTS

A. Drawings and general provisions of Contract, including General and Supplementary Conditions and Division - 01 Specification Sections, apply to this Section.

1.2 QUALITY ASSURANCE

- **A.** All work performed under and relating to this Section shall be in conformance to the State of New Hampshire Department of Transportation, Standard Specifications for Road and Bridge Construction (latest revision).
- **B.** Provide at least one person who shall be present at all times during the execution of this portion of the Work and who shall be thoroughly trained and experienced in the placing of the type of asphalt pavement specified and who shall direct all work performed under this Section.
- C. All materials and the asphalt plant will be subject to inspections and tests by Engineer and by the approved testing laboratory. Provide all equipment, materials, facilities and labor as specified in the Standard Specification for Road and Bridge Construction Section NHS 401.

1.3 SUMMARY

- **A.** This Section includes provisions for hot-mixed asphalt paving over sub-pavement gravel courses and over existing asphalt surfaces.
- **B.** This Section is also applicable to hot-mixed asphalt temporary pavements.
- C. Prepared sub-pavement gravel courses are specified in Division 31.
- **D.** Proof rolling of prepared sub-pavement gravel courses is included in this Section.
- **E.** Saw-cutting of existing pavement edges is included in this Section.
- **F.** Traffic and lane markings are covered by this Section.

1.4 SUBMITTALS

- **A.** General: Submit the following in accordance with Conditions of Contract and Division 01 Specification Sections.
- **B.** Material Certificates signed by material producer and Contractor, certifying that each material item complies with or exceeds specified requirements.

1.5 SITE CONDITIONS

- **A.** Weather Limitations: Apply prime and tack coats when ambient temperature is above 50 deg F (10 deg C) and when temperature has not been below 35 deg F (1 deg C) for 12 hours immediately prior to application. Do not apply when base is wet or contains an excess of moisture.
- **B.** Construct hot-mixed asphalt surface course when base is dry and when atmospheric temperature is above 40 deg F for courses greater than 1-1/4 inches compacted depth and when atmospheric temperature is above 50 deg F for courses less than 1-1/4 inches in compacted depth. Base course may be placed when air temperature is above 35 deg F and rising.
- C. Grade Control: Establish and maintain required lines and elevations.

PART 2 - PRODUCTS

2.1 MATERIALS

- **A.** General: Use locally available materials and gradations that exhibit a satisfactory record of previous installations.
- **B.** When products are not otherwise specified by Engineer, provide products meeting the requirements of applicable city or town public works department's highway construction standards. In the absence of applicable local highway construction standards, provide products meeting the requirements of the Department of Transportation of the state in which the project is located, as appropriate, based on highway class designation, traffic loading and surfacing requirements.
- **C.** Restore existing pavements damaged by construction in kind with regard to materials and thickness of courses unless otherwise directed by Engineer.

PART 3 - EXECUTION

3.1 SURFACE PREPARATION

- **A.** General: Remove loose material from compacted sub-pavement gravel course surface immediately before applying herbicide treatment or prime coat.
- **B.** Proof-roll prepared sub-pavement gravel course surface to check for unstable areas and areas requiring additional compaction. Do not begin paving work until deficient areas have been corrected and are ready to receive paving.
- **C.** Herbicide Treatment: When indicated or warranted, apply chemical weed control agent in strict compliance with manufacturer's recommended dosages and application instructions. Apply to compacted, dry sub-pavement gravel course surface prior to application of prime coat.
- **D.** Prime Coat & Sealants: When indicated or warranted, apply at rate necessary to penetrate and seal, but not flood, surface. Squeegee excess material from surface. Cure and dry as long as necessary to attain penetration and evaporation of volatile. If the prime coat fails to penetrate within the time specified and the roadway must be used by traffic, blotter material shall be spread in the amounts required to absorb excess bituminous material. When the bituminous material is sufficiently cured, blotter material remaining shall be removed by sweeping.
- **E.** Saw-cut: Neatly saw-cut existing pavements to be joined and damaged pavements to be joined or over-laid. Remove saw cut pavement disturbing adjoining pavements as little as possible.
- **F.** Tack Coat: Clean the edges of previously constructed asphalt or Portland cement concrete pavements to be joined. Apply uniformly to contact surfaces of previously constructed pavements and to drainage or utility casting surfaces abutting or projecting into hot-mixed asphalt pavement. Allow to dry until at proper condition to receive paving. Exercise care in applying bituminous materials to avoid smearing of adjoining concrete surfaces.

3.2 PLACING MIX

- **A.** General: Place hot-mixed asphalt mixture on prepared surface, spread, and strike off. Spread mixture at minimum temperature of 250 deg F. Use of hand method of placement is limited to the paving of raised islands, slopes, cattle passes, areas between rails at railroad crossings, sidewalks, driveways and aprons and incidental paving in areas inaccessible to equipment. Place each course to required grade, cross-section, and compacted thickness. Place temporary pavements to indicated thickness and in no case less than 1".
- **B.** Paver Placing: Place in strips not less than 10 feet wide, unless otherwise acceptable to Engineer. After first strip has been placed and rolled, place succeeding strips and extend

- rolling to overlap previous strips. Complete base course for a section before placing surface course.
- **C.** Immediately correct surface irregularities in finish course behind paver. Remove excess material forming high spots with shovel or lute.
- **D.** Joints: Make joints between old and new pavements, or between successive days' work, to ensure continuous bond between adjoining work. Construct joints to have same texture, density, and smoothness as other sections of hot-mixed asphalt course. Clean contact surfaces and apply tack coat.
- **E.** Curbs: Construct curbs over compacted pavement surfaces. Apply a light tack coat unless pavement surface is still tacky and free from dust.
- **F.** Place curb materials to cross-section indicated or, if not indicated, to local standard shapes, by machine or by hand in wood or metal forms. Tamp hand-placed materials and screed to smooth finish. Remove forms as soon as material has cooled.

3.3 ROLLING

- **A.** General: Begin rolling when mixture will bear roller weight without excessive displacement.
- **B.** Compact mixture with hot hand tampers or vibrating plate compactors in areas inaccessible to rollers.
- C. Breakdown Rolling: Accomplish breakdown or initial rolling immediately following rolling of joints and outside edge. Check surface after breakdown rolling and repair displaced areas by loosening and filling, if required, with hot material.
- **D.** Second Rolling: Follow breakdown rolling as soon as possible, while mixture is hot. Continue second rolling until mixture has been evenly compacted.
- **E.** Finish Rolling: Perform finish rolling while mixture is still warm enough for removal of roller marks. Continue rolling until roller marks are eliminated and course has attained 95 percent laboratory density.
- **F.** Patching: Remove and replace paving areas mixed with foreign materials and defective areas. Cut out such areas and fill with fresh, hot-mixed asphalt. Compact by rolling to specified surface density and smoothness.
- **G.** Protection: After final rolling, do not permit vehicular traffic on pavement until it has cooled and hardened.
- **H.** Erect barricades to protect paving from traffic until mixture has cooled enough not to become marked.

3.4 CLEANUP

A. General: Any bituminous material remaining on exposed surfaces of curbs, sidewalks, or other masonry structures shall be removed at the Contractor's expense.

3.5 TRAFFIC AND LANE MARKINGS

- **A.** Cleaning: Sweep and clean surface to eliminate loose material and dust.
- **B.** Do not apply traffic and lane marking paint until layout and placement have been verified with Engineer.
- C. Apply paint with mechanical equipment to produce uniform straight edges. Apply at manufacturer's recommended rates and thickness.
- **D.** Protect painted markings until dry enough to withstand traffic loading.

3.6 FIELD QUALITY CONTROL

- **A.** General: Testing in-place hot-mixed asphalt courses for compliance with requirements for thickness and surface smoothness will be done by Owner's testing laboratory. Repair or remove and replace unacceptable paving as directed by Engineer.
- **B.** Thickness: In-place compacted thickness tested in accordance with ASTM D 3549 will not be acceptable if exceeding following allowable variations:
 - 1. Base Course: Plus or minus 3/8 inch.
 - 2. Surface Course: Plus or minus 3/16 inch.
- C. Surface Smoothness: Test finished surface of each hot-mixed asphalt course for smoothness, using 10-foot straightedge applied parallel with and at right angles to centerline of paved area. Any variations from a true profile exceeding 3/16 of an inch shall be satisfactorily eliminated.
 - 1. Crowned Surfaces: Test with crowned template centered and at right angle to crown. Maximum allowable variance from template is 1/4 inch.
- **D.** Check surface areas at intervals as directed by Engineer.

SECTION 32 92 00

LOAMING, SEEDING, AND FERTILIZING

PART 1 – GENERAL

1.1 DESCRIPTION

A. Work included under this Section includes furnishing all labor, materials, equipment, and incidentals necessary to place topsoil, fertilizer, seed and mulch as required.

1.2 QUALITY ASSURANCE

A. Employ trained personnel experienced in this type of work.

1.3 PRODUCT DELIVERY AND STORAGE

- **A.** Fertilizer shall be delivered to the Site showing the manufacturer's guaranteed analysis and stored so that when used it shall be dry and free flowing.
- **B.** Lime shall be delivered and maintained in a dry, free flowing condition until used.
- C. All seed shall be delivered in sealed containers bearing the dealer's guaranteed analysis and stored in a dry, protected place.

PART 2 – PRODUCTS

2.1 MATERIALS

- **A.** Loam shall be the surface layer of natural workable soil containing organic matter, or material generally humus in nature capable of sustaining the growth of vegetation. It shall be free from stones, lumps, stumps, or similar objects larger than 2 inches in greatest diameter, sterile soil, roots, and brush. The loam shall be free from subsoil.
- **B.** The acidity range of the loam prior to treatment as specified herein shall be between pH 5.0 and 6.0 inclusive.
- **C.** The gradation analysis of the loam shall be as follows:

Passing	<u>Percentage</u>
1" Screen	100%
¹ / ₄ " Screen	3 %(max)
No. 100 USS mesh sieve	40 to 60 %

- **D.** Loam shall not be delivered until representative samples proposed for use have been furnished by the Contractor and approved by the Engineer. When requested to do so, the Contractor shall furnish at his own expense, a certified analysis of the loam made by an approved soil testing laboratory.
- **E.** Fertilizer shall be a complete commercial fertilizer, 5-10-10 grade.
- **F.** Lime shall be ground limestone containing not less than 85% calcium and magnesium carbonate.
- **G.** Seed shall be from the same or previous year's crop and shall have not more than 1% weed content. Seed shall also meet the following requirements:
 - 1. Grass seed of the specified mixtures shall be furnished in fully labeled, standard, sealed containers.
 - 2. Percentage and germination of each seed type in the mixture, purity and weed seed content of the mixture shall be clearly stated on the label.
 - 3. Seed shall be furnished on a percentage of live seed basis.
- **H.** Lawn areas shall be seeded with a Class A mixture of the following:

Class A (Lawn Seed)

		Minimum Purity % /	
	Species	Minimum Germination %	Lbs/Acre
•	Kentucky Blue Grass (at least two varieties		
	America, Liberty Crest, Monopoly, etc.)	97/85	105
•	Creeping Red Fescue	96/85	44
•	Perennial Rye Grass (Manhattan III, Envy,		
	Fiesta II, Caliente, etc.)	98/90	<u>25</u>
	TOTAL		1 74

I. Class B shall normally be used for all slope work. And shall conform to the following:

Class B (Slope Seed)

<u>Species</u>	Minimum Purity % / Minimum Germination %	<u>Lbs/Acre</u>
 Creeping Red Fescue 	96/85	35
 Perennial Rye Grass 	98/90	30
Redtop	95/80	5
 Alsike Clover 	97/90	5
 Birdsfoot Trefoil 	98/80	5
(Empire variety preferred Inoculum)		_
TOTAL		80

- **J.** Red clover and birdsfoot trefoil seed shall include not more than 25% hard seed. If necessary, to meet this requirement extra seed shall be supplied at no expense to the Owner.
- **K.** Inoculum specific to birdsfoot trefoil must be used with this mixture. The inoculum shall be a pure culture of nitrogen-fixing bacteria selected for maximum vitality and the ability to transform nitrogen from the air into soluble nitrates and to deposit them in the soil. The inoculum shall not be used later than the date indicated on the container or later than specified. The inoculum shall be subject to approval.
- L. Hay and straw mulch shall consist of mowed and properly cured grass or legume mowings, reasonably free from swamp grass, seeds, weeds, twigs, debris or other deleterious material. It shall be free from rot or mold.

PART 3 – EXECUTION

3.1 GENERAL

- **A.** Loosen any heavily compacted subsoil to a depth of 12 inches. Rake the subgrade of all areas to receive loam and remove rubbish, sticks, roots and stones larger than 2 inches in diameter. Spread and lightly compact loam to finish grade as shown on the Drawings.
- **B.** After the loam is placed and before it is raked to true lines and rolled, spread limestone evenly and thoroughly incorporate into the loam by heavy raking to at least one-half the depth of the loam. The amount of limestone shall be based on a soil test with recommendations from the Engineer.
- **C.** Uniformly spread fertilizer and immediately mix with the loam.
- **D.** Immediately following this preparation, uniformly apply the seed and lightly rake the seed in to the surface. Apply mulches before rolling. Lightly compact the soil using a light weight roller or a tracked dozer run parallel with the slope. Water with a fine spray on a regular basis to ensure germination.
- **E.** Seeding and fertilizing shall be done between April 1 and June 1, between August 15 and October 15, or as directed or permitted. Seeding shall not be done during windy weather or when the ground is frozen, excessively wet, or otherwise untellable.
- **F.** Mulching should consist of light and uniform mulch over the area as follows:

Class A areas – use straw mulch Class B areas – use hay mulch

G. Protect seeded areas from pedestrian and vehicular traffic.

3.2 <u>APPLICATION RATES</u>

- **A.** Spread loam over properly prepared areas to give a covering which will be 4 inches in compacted depth.
- **B.** Apply lime at the recommended rate determined by the Engineer.
- C. Apply fertilizer at a rate of 20 pounds per 1,000 square feet.
- **D.** Apply mulch at a rate of 90 pounds per 1,000 square feet.
- **E.** The Engineer reserves the right to vary the amounts of materials used, as required to produce optimum results.

3.3 MAINTENANCE

A. Keep all seeded areas watered, reseeding if and when necessary, until a healthy, uniform growth is established over the entire area.

3.4 **GUARANTEE**

A. The Contractor shall guarantee for a period of one year from the date of substantial completion that the new grass will be free from dead areas or washout. The Contractor shall reseed areas necessary to establish a firm, healthy stand of grass.



SECTION 33 14 00

WATER UTILITY PIPING, VALVES, AND ACCESSORIES

PART 1 – GENERAL

1.1 SCOPE OF WORK

- **A.** Furnish all labor, materials, equipment and incidentals required to install and test pipe, fittings, and accessories complete as shown on Drawings and as specified herein.
- **B.** This Specification includes all exterior water main and service piping and appurtenances to 5 feet outside of a building or vault exterior wall.

1.2 SUBMITTALS

- **A.** General: Provide submittals in accordance with Specification 01 33 23.
- **B.** Product data for pipe, gaskets, fittings, valves, water meters, and associated components listed herein. Pipe data shall include pipe class, wall thickness, and pressure rating.
- C. Shop drawings for pre-cast concrete valve pits and meter pit, including frames and covers.
- **D.** Shop drawings for cast-in-place concrete valve pits and meter pit, including frames and covers.
- **E.** Line layout and marking diagrams for all restrained joint areas.
- **F.** Operation and maintenance data for valves.

1.3 QUALITY ASSURANCE

- **A.** Comply with the requirements of utility supplying water to the Project.
- **B.** All pressure water pipe shall be furnished by a single manufacturer. The supplier shall be responsible for the provisions of all specified test requirements as applicable. In addition, all water pipe to be installed under this Contract may be inspected at the plant for compliance with these specifications by an independent testing laboratory provided by the Owner. The Contractor shall require the manufacturer's cooperation in these inspections. The cost of plant inspection of all pipe approved for this Contract will be borne by the Owner.
- C. Inspections of pipe may also be made by the Owner after delivery. The pipe shall be subject to rejection at any time on account of failure to meet any of the Specification requirements, even though sample pipes may have been accepted as satisfactory at the

place of manufacture. Pipe rejected after delivery shall be marked for identification and shall be removed from the job at once.

1.4 <u>DELIVERY, STORAGE, AND HANDLING</u>

- **A.** Deliver, store, and handle water mains, valves, and appurtenances in accordance with the manufacturers' recommendations and in a manner which protects the materials.
- **B.** All items shall be bundled or packaged in such a manner as to provide adequate protection of the ends during transportation to the site. Any pipe damaged in shipment shall be replaced as directed by the Owner.
- C. The use of chains, hooks or other equipment that might damage the pipe or pipe coating is not permitted. Stockpiled pipe shall be supported on sand or earth berms free of rock exceeding three inches in diameter.
- **D.** Any pipe or fitting showing a crack or which has received a blow that may have caused an incident fracture, even though no such fracture can be seen, shall be marked as rejected and removed at once from the work.
- E. Gaskets shall be stored in a secure dry place and protected from ultraviolet light.
- **F.** If any defective item is discovered after it has been installed, it shall be removed and replaced with an exact replacement item in a satisfactory manner by the Contractor, at the Contractor's own expense. All pipe and fittings shall be thoroughly cleaned before installation and the interior shall be kept clean until completion of the project.
- **G.** In handling the items, use special devices and methods as required to achieve the results specified herein. No uncushioned devices shall be used in handling the item.

1.5 PROJECT CONDITIONS

- **A.** Site Information: Perform site survey, research public utility records, and verify existing utility locations. Verify that water service piping may be installed in compliance with the original design and referenced standards.
- **B.** Contractor is responsible for compatibility between pipe materials, fittings, and appurtenances.

1.6 SEQUENCING AND SCHEDULING

- **A.** Coordinate connection to public water mains with utility company.
- **B.** Coordinate with interior water distribution piping.
- **C.** Coordinate with other utility work.

PART 2 – PRODUCTS

2.1 WATER MAIN PIPE AND FITTINGS

- **A.** Ductile Iron Pipe, 3- through 12-inch (DI). Push on joint ductile iron pipe shall conform to ANSI/AWWA C151/A21.51, ANSI/AWWA C111/A21.11, and ANSI/AWWA C104/A21.4 (cement lined). Pipe 12 inches and less shall meet Pressure Class 350, A21.51 standards.
- **B.** Ductile Iron Pipe Fittings, 3- through 48-inch. Mechanical joint fittings shall be ductile iron Class 350, conforming to ANSI/AWWA C153/A21.53 or ANSI/AWWA C111/A21.11. Joints shall comply with ANSI/AWWA C111/A21.1. Fittings shall be cement lined in accordance with ANSI/AWWA C104/A21.04. Fittings shall have fully restrained joints. Provide ductile iron fittings conforming to AWWA C110 with a minimum rated working pressure of 350 psi. Provide fittings with bells and gaskets specifically designed for cast iron equivalent outside diameter PVC or HDPE pipe, as required.
- **C.** The manufacturer shall furnish all joint materials including rubber gasket and joint lubricant. Gasket shall meet ASTM F477 unless otherwise specified.
- **D.** Where flanges are required as indicated in the Drawings or as specified herein, flanges shall be in accordance with ANSI B16.1 and shall be rated for the piping system's working pressure. Gaskets shall be 1/8 inch ring type full face Garlock 3200 compressed non-asbestos sheet packing or approved equal.
- **E.** Dielectric Insulation. Provide dielectric insulating-flanged joints as required for cathodic protection for dissimilar metals. Provide flange insulation kits to include flange insulating gasket, flange bolt insulating sleeves and flange bolt insulating washers.
 - 1. Pipeline Seal and Insulator, Inc., Advance Products and Systems, Inc, Type E for full protection of both flange faces, or approved equal.
 - 2. Neoprene faced phenolic gaskets.
 - 3. Insulating bolt sleeves shall be the single one-piece type. Separate insulating sleeve and insulating washers are unacceptable.

2.2 WATER SERVICE LINE AND FITTINGS

- **A.** Copper Tubing (COP)
 - 1. Underground installations Soft annealed, Type K, conforming to ANSI H23.1.
 - 2. Interior and above ground installations Hard drawn domestic Type L, conforming to ANSI H23.1.

B. High Density Polyethylene (HDPE) Tubing. Class 200, copper tube size (CTS), for potable water supply.

C. Fittings

- 1. Heavy duty three-part couplings shall be used to join lengths of service line. Compression pack joints shall be used. Provide tubing inserts as needed.
- **D.** All brass that comes in contact with potable water shall conform to AWWA C800 (UNS C89833). These products shall have the letters "NL" cast into the body for proper identification. Brass components that do not come in contact with potable water shall conform to AWWA C800 (ASTM B-62 and ASTM B584, UNS C83600-85-5-5).
- **E.** Corporation stops shall be ball type, heavy duty brass as manufactured by Ford Meter Box Company, Mueller or equal. Only compression pack joints may be used.
- **F.** Service saddles on 4-inch and larger mains shall be double strap, epoxy coated with stainless steel hardware, and used for all taps. Services on 3-inch and smaller mains shall use deep bell ductile iron fittings meeting ASTM A536 with joints meeting AWWA C111 and coating meeting AWWA C153.
- **G.** Curb stops shall be ball type, heavy duty brass as manufactured by Ford Meter Box Company, Mueller, McDonald or equal. Only compression pack joints may be used. The curb stops shall not have a drain. Provide each curb stop with a valve box as specified herein.

2.3 VALVES

A. Gate Valves 2- to 12-inch: Conform to AWWA C509 latest revision. Gate valves shall be resilient seated with an encapsulated disc with elastomer seat which, in the closed position, creates a seal on the cast iron body resulting in a bubble tight seal across this disc at 200 psi. Buried valves shall operate with a 2" square wrench nut and shall open counter-clockwise. Valves shall have non-rising stem, mechanical joints on both sides (except that tapping valves shall be mechanical joint on one side and flanged on the other side), and shall have fusion bonded epoxy coating on all exterior and interior surfaces. Valve stem shall seal with two "O" rings, each of which shall be designed to allow replacement under full line pressure when the valve is in the open position. Valve bolts shall be Type 18-8 stainless steel.

B. Buried Operators

- 1. Buried service operators on valves larger than 2-1/2 inches shall have a 2-inch AWWA operating nut. Buried operators on valves 2 inches and smaller shall have cross handle for operation by forked key unless specified otherwise. Enclose moving parts of valve and operator in housing to prevent contact with the soil.
- 2. Design buried service operators for quarter-turn valves to withstand 450 foot-pounds

- of input torque at the FULLY OPEN or FULLY CLOSED positions, grease packed and gasketed to withstand a submersion in water to 10 psi.
- 3. Buried valves shall have extension stems, bonnets, and valve boxes. Where the depth of the valve is such that its centerline is more than 3 feet below grade, furnish an operating extension stem with 2-inch operating nut to bring the operating nut to a point 6 inches below the surface of the ground and/or box cover.

2.4 VALVE BOXES

- **A.** Cast iron valve boxes and covers shall be provided on all buried gate valves. The boxes shall be adjustable and extend from the valve to the ground surface, with an 18-inch minimum overlap. Minimum diameter of valve boxes shall be six (6) inches. Provide a minimum of one (1) 4-foot long valve key, Mueller A-24610 T-handle operating wrench or approved equal. Valve boxes shall be provided with a valve box alignment device by Trumbull Manufacturing, Utility Technologies, Boxlok, or approved equal.
- **B.** Cast iron curb stop boxes shall be "Erie" type with 9/16" diameter rod and plug cover, cotter pin at base of rod shall be stainless steel. For any valve larger than 1", a properly sized foot piece shall also be installed. Provide a minimum of two (2) 4-foot long curb stop wrenches, Trumbull 367-4294 or approved equal.

2.5 PRESSURE REDUCING VALVES – 1" AND SMALLER

A. None

2.6 PRESSURE REDUCING VALVES – 1-1/2" AND LARGER

A. None

2.7 RESIDENTIAL WATER METERS

A. None

2.8 METER PITS

A. None

2.9 FIRE HYDRANTS

- **A.** Fire hydrants shall be furnished by the Owner and installed by the Contractor, Waterous Pacer WB-67-250.
- **B.** Nozzles, Operating Nuts, and Direction to Open: One (1) 4-1/2 inch steamer and two (2) 2-1/2 inch outlets. Threads on nozzles and caps and operating nuts shall be National Fire Hose Coupling Screw Threads, 1-1/2 inch point to flat pentagon operating nuts, and the

direction to open shall be to the left (counter-clockwise). A direction to open arrow shall be cast in hydrant adjacent to operating nut. Furnish chains for outlet caps.

- **C.** Pipe Connection: 6 inch mechanical joint.
- **D.** Pressure Rating: 250 psi rated working pressure.
- **E.** Type: 5-1/4 inch dry-barrel, compression type safety breakable section, AWWA C502.
- **F.** Hydrant drains shall be plugged per State requirements.
- **G.** Hydrant install shall include hydrant extensions to bring the hydrant to grade, and any and all grading around the hydrant to facilitate access by the water and fire departments.

2.10 FLEXIBLE COUPLINGS

A. Not allowed unless the product and application are approved by Engineer.

2.11 TAPPING SLEEVES

A. Tapping sleeves shall be cast iron or ductile iron, mechanical joint, with outlet flange conforming to AWWA C-110.

2.12 **ANCHORAGES**

- A. Clamps, Straps, and Washers: ASTM A 506, steel.
- **B.** Rods: ASTM A 575, steel.
- C. Rod Couplings: ASTM A 197, malleable iron.
- **D.** Bolts: ASTM A 307, steel.
- E. Cast-Iron Washers: ASTM A 126, gray iron.
- F. Concrete Reaction Backing: Portland cement concrete mix, 3000 psi.
 - 1. Cement: ASTM C 150, Type I.
 - 2. Fine Aggregate: ASTM C 33, sand.
 - 3. Coarse Aggregate: ASTM C 33, crushed gravel.
 - 4. Water: Potable
- **G.** Mechanical joint restraints shall be manufactured of ductile iron in accordance with ASTM A536 with the following additional requirements or exceptions:

- 1. Mechanical joint restraints shall be incorporated into the design of a follower gland. Dimensions of the gland shall be such that it can be used with the standardized mechanical joint bell and tee-head bolts in accordance with AWWA C111 and C153.
- 2. The restraint mechanism shall consist of numerous individually activated gripping surfaces to maximize restraint capability. The gripping surfaces shall be wedges that are designed to spread the bearing surfaces on the pipe. Twist-off nuts, sized the same as tee-head bolts, shall be used to ensure the proper actuating of restraining devices. When the nut is sheared off, a standard hex nut shall remain.
- 3. The mechanical joint restraint device shall be rated for a maximum working pressure of 350 psi, with a factor of safety of 2.
- 4. Mechanical joint restraint for 2- to 3-inch PVC pipe shall be Ford Meter Box Uni-Flange Series 1350 or approved equal.
- 5. Mechanical joint restraint for 4-inch and larger PVC and HDPE pipe shall be EBAA Iron, Inc. Megalug 2000 PV, Sigma Corporation One-Lok SLCE, Star Pipe Products StarGrip 4000, or approved equal.
- 6. Mechanical joint restraint for ductile iron pipe shall be EBAA Iron, Inc. Megalug 1100, Romac Industries RomaGrip, Sigma Corporation One-Lok SLDE, Star Pipe Products StarGrip 3000 Series, or Uni-Flange (Ford) UFR, or approved equal.

2.13 <u>IDENTIFICATION</u>

- **A.** Plastic Underground Warning Tapes: Polyethylene plastic tape, 6 inches wide by 4 mils thick, solid blue in color with continuously printed caption in black letters "CAUTION WATER LINE BURIED BELOW."
- **B.** Metallic-Lined Plastic Underground Warning Tapes: Polyethylene plastic tape with metallic core shall be used for all PVC or HDPE pipe, 6 inches wide by 4 mils thick, solid blue in color with continuously printed caption in black letters "CAUTION WATER LINE BURIED BELOW."

2.15 POLYETHYLENE ENCASEMENT

A. None

PART 3 – EXECUTION

3.1 PREPARATION OF BURIED PIPE FOUNDATION

- **A.** Excavate to a depth that provides a minimum finished grade pipe cover of 6-feet.
- **B.** Grade trench bottom to provide a smooth, firm, stable, and rock-free foundation throughout the length of the piping.
- C. Remove unstable, soft, and unsuitable materials at the surface upon which pipes are to be laid and backfill with clean sand or pea gravel to indicated level.

D. Shape bottom of trench to fit bottom of piping. Fill unevenness with tamped sand backfill. Dig bell holes at each pipe joint to relieve the bells of all loads and to ensure continuous bearing of the pipe barrel on the foundation.

3.2 INSTALLATION OF PIPE AND PIPE FITTINGS

- **A.** As soon as the excavation is complete to normal grade of the bottom to the trench, bedding shall be placed, compacted, and graded to provide firm, uniform, and continuous support for the pipe. Bell holes shall be excavated so that only the barrel of the pipe bears upon the bedding. The pipe shall be laid accurately to the lines and grades indicated on the Drawings. Blocking under the pipe will not be permitted. Bedding and backfill shall be placed in accordance with Specification 31 23 16. Generally the compaction shall be done evenly on each side of the pipe and compaction equipment shall not be operated directly over pipe until sufficient backfill has been placed to ensure that such compaction equipment will not have a damaging effect on the pipe.
- **B.** Ductile-Iron Pipe: Install with cement-mortar-lined, ductile-iron or cast-iron, mechanical joint or push-on joint fittings and rubber gaskets in accordance with AWWA C600.
- C. Copper Tube: Install with compression pack joint fittings.
- **D.** PE (Polyethylene) Pipe and Tubing: Install with compression pack joint fittings.
- **I.** Depth of Cover: Provide six (6.0) feet of minimum cover over piping.
- **J.** The Owner may examine each bell and spigot end to determine whether any preformed joint has been damaged prior to installation. Any pipe having defective joint surfaces shall be rejected, marked as such and immediately removed from the job site.
- **K.** Before any joint is made, the pipe shall be checked to assure that a close joint with the next adjoining pipe has been maintained and that the inverts are matched to conform to the required grade. The pipe shall not be driven down to the grade by striking it.
- L. Whenever the pipe is left unattended, temporary plugs shall be installed at all openings. Temporary plugs shall be watertight and of such design as to prevent debris, children, and animals from entering the pipe. If water accumulates in the trench, the plugs shall remain in place until the trench has been pumped out and is sufficiently dry to permit the continuance of work.

3.3 INSTALLATION OF VALVES

A. General Application: Use mechanical joint end valves for 3-inch and larger buried installation. Use flanged end valves for installation in pits and inside building. Use bronze corporation stops and valves with ends compatible to piping for 2-inch and smaller installations.

- **B.** Count and record number of turns to open and close each valve; account for any discrepancies with manufacturer's data.
- C. AWWA-Type Gate Valves: Comply with AWWA C600. Install buried valves with stem pointing up and with cast-iron valve box.
- **D.** Bronze Corporation Stops and Curb Stops: Comply with manufacturer's installation instructions. Install buried curb stops with head pointed up and with cast-iron curb box.

3.4 INSTALLATION OF ANCHORAGES

A. Anchorages: Provide anchorages for tees, plugs and caps, bends, crosses, valves, and hydrant branches.

3.5 APPLICATION OF PROTECTIVE COATINGS

A. Apply full coat of asphalt or other acceptable corrosion-retarding material to surfaces of installed ferrous anchorage devices.

3.6 INSTALLATION OF HYDRANTS

- **A.** Install hydrants in locations shown on the plans or as directed by the Engineer. Hydrants shall be installed in accordance with the manufacturer's recommendations. Hydrant drains shall be plugged.
- **B.** Hydrants shall be installed with extensions as necessary to bring to appropriate grade. Ground around the hydrant shall be graded to allow for easy maintenance and access.

3.7 INSTALLATION OF VALVE PITS AND WATER METER PITS

- **A.** Construct poured-in-place or pre-cast concrete of dimensions indicated, with manhole frame and cover, ladder, and drain. Provide sleeves with waterproof sleeve seals for pipe entry and exit.
- **B.** Water Meter: Install water meter in accordance with AWWA M6, in meter pit, in location and with support as indicated. Provide 3-valve bypass around meter, full size of water service piping.

3.8 INSTALLATION OF IDENTIFICATION

A. Install continuous plastic underground detectable warning tape during back-filling of trench for underground water service piping. Locate approximately 18 inches above pipe, directly over centerline of piping.

3.9 RECORD DRAWINGS

A. The following record drawings must be prepared by the Contractor:

- 1. Precisely measured dimensions to all on-line gate valves.
- 2. Precisely measured dimensions to all blow-offs.
- 3. Precisely measured dimensions to all house service shut-offs.
- 4. Precisely measured dimensions to all house service taps to primary mains.
- 5. Precisely measured dimensions to all distribution piping at approximately 200-foot intervals.
- 6. Precisely measured dimensions to any principal changes in pipe direction or size.
- 7. Precisely measured dimensions of vertical depths of pipes and appurtenances, shown on the profiles.

3.10 CLEANING AND DISINFECTION

- **A.** Mains and appurtenances shall not be put in service until satisfactory disinfection and leakage testing has been performed. Testing shall be completed between main line gate valves, with a maximum length of 1,000 linear feet. Clean and disinfect water distribution piping as follows:
 - 1. Purge all new water distribution piping systems and parts of existing systems that have been altered, extended, or repaired, prior to use.
 - 2. Use the purging and disinfecting procedure prescribed by the authority having jurisdiction or, in case a method is not prescribed by that authority, use the procedure described in AWWA C651-14, or as described below:
 - a. Fill the system or part thereof with a water/chlorine solution containing at least 50 parts per million of chlorine.
 - b. Isolate (valve off) the system or part thereof and allow to stand for 24 hours. At the end of the 24 hour period, the treated water in all portions shall contain a residual of not less than 10 mg/l free chlorine.
 - c. Operate all gate valves within the test section to disinfect.
 - d. Following the allowed standing time, flush the system with clean, potable water from the system in accordance with AWWA C651-14.
 - e. Submit water samples to a laboratory approved by the Engineer for bacteriological analysis in accordance with AWWA C651-14.
- **B.** Furnish copies of laboratory test results to the Engineer for review prior to placing the mains in service.
- C. Heterotrophic plate count (HPC) testing may be required at the discretion of the Owner.
- **D.** The Contractor is responsible for all costs associated with disinfection and testing, including any and all costs for re-chlorination and re-testing necessary due to failed tests.
- **E.** After a failed disinfection test, the Contractor shall flush, re-chlorinate, and re-test the main until such time as a satisfactory test result is obtained.

3.11 HYDROSTATIC TESTING

- **A.** The Contractor shall notify the Engineer and the Owner at least 48 hours in advance of beginning testing or disinfection. The Contractor shall utilize the services of a certified subcontractor to perform hydrostatic, conductivity, and other tests on the completed water main in accordance with AWWA C600-17 Specifications. This third-party will provide a certified report to the Owner and Engineer. The Contractor may assist the subcontractor and furnish all necessary equipment.
- **B.** The pipe shall be subjected to hydrostatic pressure of one (1) and one-half (1-1/2) times the design pressure (at least 100 psi) at the lowest elevation of the test section, and this pressure maintained for at least two hours. The test pressure shall not exceed the thrust restraint design pressures or 1.5 times the pressure rating of the pipe or joint, whichever is less (as specified by the manufacturer).
- C. The leakage test shall be conducted at a pressure as determined by the Engineer and this pressure shall be maintained for at least 120 minutes during the test. The amount of leakage which will be permitted shall be in accordance with the Specifications for Installation of Water Mains by AWWA C600. For flanged joints, no leakage shall be allowed. The allowable rate of leakage shall be less than the number of gallons per hour determined by the following formula:

$$L= \frac{SD (P)^{1/2}}{148,000}$$

L= Allowable leakage in gallons per hour

S= Length of pipe tested, feet

D= Nominal diameter of the pipe in inches

P= Average test pressure maintained during the leakage test in pounds per square inch gauge

The testing procedure shall include the continued application of the specified pressure to the test system for the two-hour period by way of a pump taking supply from a container suitable for measuring water loss. The amount of loss shall be determined by measuring the volume displaced from said container. When hydrants are in the test section, the test shall be made against the main valve in the hydrant.

- C. Any exposed pipe, fittings, valves, hydrants, and joints shall be examined during the test. Any damaged or defective pipe fittings, valves, or hydrants that are discovered following the pressure test shall be repaired or replaced with sound material, and all tests shall be repeated.
- **D.** The pressure shall not vary by more than ± 5 psi from the required pressure for the duration of the test. If at any point during the test the pressure loss exceeds 5 psi, the test is considered failed. Should the test fail, the Contractor shall accomplish necessary repairs and the test repeated until within the established limits.

- **E.** Tests to be made only after partial or complete backfilling of trenches. Position of valves (fully opened or closed) in section of line to be tested shall be checked in the presence of the Engineer to ensure that:
 - 1. All hydrant branch connections are open to the hydrant (hydrant closed, branch connection valve open).
 - 2. All main line valves are properly positioned for section of line being tested.
- **F.** Tests not to be performed for at least seven (7) days after last concrete block or anchor has been cast.
- **G.** Expel air from pipelines, fittings and appurtenances prior to performing tests. If permanent air vents are not located at all high points, the Contractor shall install corporation stops at his expense at such points so that the air can be expelled as the line is filled with water. These stops shall be protected with a masonry bridge to prevent breakage during backfilling.
- **H.** Examination under pressure: All exposed valves, hydrants and joints shall be examined carefully during the hydrostatic and leakage tests.
- **I.** Evaluation of Results/Corrective Actions:
 - 1. Examination of leakage: If any leakage test of section of the system discloses a leakage greater than that specified herein, the Contractor shall, at his own expense, locate and repair or replace the defective or damaged materials. He shall then repeat the entire test and make additional repair and test and continue to repeat until the leakage is within specified allowance.
 - 2. All visible leaks are to be repaired by the Contractor, at his own expense, regardless of the amount of leakage.

SECTION 33 31 13

<u>SANITARY SEWERS, MANHOLES, and APPURTENANCES – NH</u>

PART 1 – GENERAL

1.1 SUMMARY

- **A.** This Section includes the following:
 - 1. Furnishing and installing sanitary sewers
 - 2. Furnishing and installing building sewer service laterals
 - 3. Furnishing and installing pre-cast concrete manholes
 - 4. Furnishing and installing manhole frames and covers
 - 5. Miscellaneous sewerage system appurtenances
 - 6. Testing

1.2 SUBMITTALS

- **A.** General: Provide submittals in accordance with Specification 01 33 23.
 - 1. Name, address and telephone number of suppliers of all manufactured products.
 - 2. Product data containing information and instructions relating to the storage, handling, installation, and inspection of furnished pipe, fittings and appurtenances.
 - 3. Pipe and fitting manufacturers' Certificate of Compliance with specified standards and tests for each lot of pipe and fittings supplied. Immediately turn certificates over to Engineer. Materials delivered to the job site without accompanying certificates will be subject to rejection.
 - 4. Shop drawings and technical data for pre-cast concrete sanitary manholes, including frames and covers, pipe penetration and wall joint sealing systems, and water proof coatings.
 - 5. Certified copy of all leakage tests including all failures and retests.

1.3 QUALITY ASSURANCE

A. Pipe and fittings shall be produced in a plant of recognized reputation that is regularly engaged in the production of pipe conforming to the specified standards. Pipe and pipe fittings of the same type shall be the product of a single manufacturer.

- **B.** All pre-cast concrete manhole sections and all castings shall be the product of a single manufacturer who can furnish evidence of satisfactory experience in the production of high quality products of the type indicated and specified.
- **C.** Provide at least one skilled mason who shall be present at all times during the installation of inverts, shelves and chimneys and who shall personally direct the masonry work performed under this Section.
- **D.** Comply with applicable regulations and standards of all local, state, and federal regulatory authorities having jurisdiction.

1.4 <u>DELIVERY, STORAGE AND HANDLING</u>

- **A.** Carefully handle all pipes and fittings when loading and unloading. Lift pipes by hoists or lower on skid-ways in manner to avoid shock. Lower pipe into trench with suitable equipment as recommended by manufacturer. Do not dump or drop pipe or fittings. Those that are dumped or dropped are subject to rejection by Engineer.
- **B.** Each length of pipe delivered to the job site shall be clearly marked with the name of the manufacturer, class of pipe and pipe diameter. Store in accordance with manufacturer's recommendations.
- C. Pre-cast manhole sections shall not be shipped until the concrete has attained a compressive strength of 3,000 psi or until 5 days after fabrication, whichever is longer.
- **D.** All pre-cast manhole sections shall be lifted and moved by use of suitable lifting slings, plugs, and holes so as not to damage ship-lap joints or edges.
- **E.** All materials found at anytime during the work to be defective will be rejected, marked and promptly removed from the job site.

1.5 PROJECT CONDITIONS

- **A.** Verify existing utility and connection locations and elevations. Affirm that sewerage system piping may be installed in compliance with original design and referenced standards. Immediately notify the Engineer of any conflicts which may require design modifications and do not initiate or resume construction until such conflicts have been resolved.
 - 1. Locate existing sanitary sewerage system piping and structures that are to be abandoned and closed.

1.6 SEQUENCING AND SCHEDULING

A. Coordinate construction schedule, service interruptions, traffic control, leakage testing and project start-up with Owner, Engineer and regulatory authorities having jurisdiction.

- **B.** Coordinate building sewer service lateral construction with interior building sanitary sewerage piping.
- **C.** Coordinate with other utility work.

PART 2 – PRODUCTS

2.1 PIPE AND FITTINGS

- **A.** General: Provide pipe and pipe fitting materials compatible with each other.
- **B.** Ductile-Iron Pressure Pipe: AWWA C151, Class 52, for push-on joints.
 - 1. Lining: AWWA C104, cement lining.
 - 2. Gaskets: AWWA C 111, rubber.
 - 3. Ductile-Iron Fittings: AWWA C110, ductile-iron, or AWWA C153, ductile-iron compact fittings.
 - a. Lining: AWWA C104, cement lining.
 - b. Gaskets: AWWA C111, rubber.
- C. PVC (Polyvinyl Chloride) Sewer Pipe and Fittings:
 - 1. Gravity Sewer ASTM D3034, SDR 35, elastomeric gasket joints. Gaskets to meet ASTM F477, elastomeric seal.
 - 2. Force Main (Pressure) Sewer ASTM D2241 or ASTM D1785, SDR 26, elastomeric gasket joints.
- **D.** Non-pressure Couplings: Rubber or elastomeric sleeve and stainless steel band assembly fabricated to match outside diameters of pipes to be joined.
 - 1. Sleeves: ASTM C 425, rubber for vitrified clay pipe; ASTM C 443, rubber for concrete pipe; ASTM C 564, rubber for cast-iron soil pipe; and ASTM F 477, elastomeric seal for plastic pipe. Sleeves for dissimilar or other pipe materials shall be compatible with pipe materials being joined.
 - 2. Bands: Stainless steel, one at each pipe insert.
- **E.** Non-pressure Joint Seals: Rubber or elastomeric compression gasket, made to match pipe inside diameter or hub, and adjoining pipe outside diameter.
 - 1. Gaskets: ASTM C 425, rubber for vitrified clay pipe; ASTM C 443, rubber for concrete pipe; ASTM C 564, rubber for cast-iron soil pipe; and ASTM F 477, elastomeric seal for plastic pipe. Gaskets for dissimilar or other pipe materials shall be compatible with pipe materials being joined.

2.2 MANHOLES

- **A.** Precast Concrete Manholes: ASTM C 478, pre-cast reinforced H-20 loading rated concrete, of depth indicated with provision for rubber gasket joints.
 - 1. Base Section: 6-inch minimum floor thickness and 5-inch minimum thickness for walls and base riser section, and having a base section with integral floor.
 - 2. Riser Sections: 5-inch minimum thickness; 48-inch minimum diameter, and barrel heights to provide depth indicated.
 - 3. Top Section: Eccentric cone type, unless concentric cone or flat-slab-top type is indicated.
 - 4. Horizontal Joint Sealant: Double row, Bitumastic.
 - 5. Pipe Connectors: Lock-Joint Flexible Manhole Sleeve or Kor-N-Seal Joint Sleeve, for each pipe connecting to base section.
 - 6. Inverts and Shelves: Brick paved.
 - 7. Mortar and Parging: ASTM C 270, Type S, using ASTM C 150, Type II Portland cement.
 - 8. Bricks: Bricks for shelves, inverts, and grade adjustment shall conform to Env-Wq 704.13 (a) (9), Grade SS hard brick. No more than five (5) brick courses shall be allowed for grade adjustment.
 - 9. Manhole Mortar: Mortar for pointing and sealing manholes shall conform to Env-Wq 704.13 (c).
 - 10. All manholes shall be water proofed, at the factory, with two seal coats applied to the exterior of the manhole in accordance with the seal coating manufacturer's recommendations. Water proofing shall be masonry seal MSP-1 waterproofing material as made by the Masonry Seal Foundation, 7500 West Ridge Road, Elyria, Ohio, or Foundation Coating 47-461 as made by TNEMEC. Exterior of all joints shall be coated with waterproofing after setting.
- **B.** Reinforcement: Steel conforming to the following:
 - 1. Fabric: ASTM A 185, welded wire fabric, plain.
 - 2. Reinforcement Bars: ASTM A 615, Grade 60, deformed.
- C. Precast Concrete Structure Steps: Manholes shall not be provided with steps. Steps for other structures, if required shall be as follows: Stainless steel or plastic covered steel or

plastic shaped so they cannot be pulled out of the concrete wall in which they are secured. All steps shall meet the requirements of ASTM C478 for load carrying capacity and pull-out resistance and steps shall not be secured with mortar. The steps shall have a drop section or raised abutments to prevent sideways slippage off the step, the foot contact surface shall have non-skid safety serrations and steps shall be approximately 14" X 10".

- **D.** Manhole Frames and Covers: ASTM A 48, Grade 30, heavy-duty, grey cast iron, H-20 loading rated, 30-inch minimum clear opening, 6-inch minimum riser with 4-inch minimum width flange, and 31-3/4-inch diameter cover, indented top design, with 3-inch lettering "SANITARY SEWER" cast into cover, coal tar epoxy coated.
 - 1. Standard Frame and Cover: Quality Water Products, Style 30, or equivalent.
 - 2. Water-Tight Frame and Cover: Quality Water Products Style C-47 WT.

2.3 IDENTIFICATION

A. Metallic-Lined Plastic Underground Warning Tapes: Polyethylene plastic tape with metallic core, 6 inches wide by 4 mils thick, solid green in color with continuously printed caption in black letters "CAUTION - SEWER LINE BURIED BELOW."

PART 3 – EXECUTION

3.1 <u>PREPARATION OF FOUNDATION FOR BURIED SANITARY SEWERAGE SYSTEMS</u>

- **A.** Grade trench subgrade to provide a smooth, firm, stable, and rock-free foundation, throughout the length of the pipe.
- **B.** Remove unstable, soft, and unsuitable materials below subgrade to depth directed by Engineer. Refill to subgrade with course gradation crushed stone or screened gravel.
- C. Place stone bedding and shape bottom of trench to fit bottom of pipe. Dig bell holes at each pipe joint to relieve the bells of all loads and to ensure continuous bearing of the pipe barrel on the bedding.

3.2 PIPE APPLICATIONS FOR UNDERGROUND SANITARY SEWERS

- **A.** Gravity Sewers: PVC SDR 35 sewer pipe and fittings or DI Pressure Class 350 pipe and fittings, materials and sizes as indicated on plan profile drawings.
- **B.** Building Sewer Service Laterals: PVC SDR 35 sewer pipe and fittings, 4-inch minimum diameter.

3.3 INSTALLATION - GENERAL

- **A.** General Locations and Arrangements: Drawings (plans and details) indicate the general location and arrangement of the underground sanitary sewerage system piping. Location and arrangement of piping layout take into account many design considerations. Install the piping as indicated, to the extent practical.
- **B.** Install piping beginning at low point of systems, true to grades and alignment indicated with unbroken continuity of invert. Place bell ends of piping facing upstream. Place stone haunching and chink pipe to grade. Install gaskets, seals, sleeves, and couplings in accordance with manufacturer's recommendations for use of lubricants, cements, and other installation requirements. Place and compact initial backfill and suitable backfill materials as indicated in "Section 31 23 16 Earthwork".
- C. Use manholes for changes in direction and at all main intersections. Use wye or tee fittings for branch connections, except where direct tap into existing sewer is indicated.
- **D.** Use proper size increasers, reducers, and couplings, where different size or material of pipes and fittings are connected. Reduction of the size of piping in the direction of flow is prohibited.
- E. When installing below pavement sewers at depths less than 6-feet or cross-country sewers less than 4-feet, install 2-inch thick extruded polystyrene insulation 6 inches over piping. Width of insulation shall be a minimum of 24 inches, centered on the centerline of pipe. Joints between sheets shall be covered with a 12-inch long sheet of insulation, centered on the joint. Any variation from the minimum depth requirements (six feet under pavement or four feet under cross country areas) must be granted a waiver prior to construction in accordance with the requirements of Env-Wq 716.02.
- **F.** Install building sewer service laterals, of sizes and in locations indicated or directed. Plug service at street right-of-way, connect to existing building sewer or terminate or connect to building sanitary drains at a point 5 feet beyond foundation exterior wall, as indicated or directed.
- **G.** When installing building sewer service laterals at depths less than 3 feet, install 2-inch thick extruded polystyrene over piping. Width of insulation shall extend minimum of 12 inches beyond each side of pipe. Install directly over and center on pipe center line.
- **H.** Tunneling: Install pipe under streets or other obstructions that cannot be disturbed, by tunneling, jacking, or a combination of both.

3.4 PIPE JOINT CONSTRUCTION AND INSTALLATION

- **A.** Join and install ductile-iron pipe with ductile-iron push-on joint fittings and rubber gaskets in accordance with AWWA C600.
- **B.** Join and install PVC pipe as follows:

- 1. Pipe and gasketed fittings, joining with elastomeric seals in accordance with ASTM D 3212.
- 2. Installation in accordance with ASTM D 2321.
- C. Join different types of pipe with standard manufactured couplings and fittings intended for that purpose.

3.5 MANHOLES

- **A.** General: Install manholes complete with accessories as indicated. Utilize overlapping joint type for pre-cast concrete construction. Construct continuous brick paved inverts and shelves between inlets and outlet. Set tops of frames and covers flush with finish surface where manholes occur in pavements. Elsewhere, set tops 3 inches above finish surface, unless otherwise indicated.
- **B.** Place pre-cast concrete manhole sections as indicated, and install in accordance with ASTM C 891.
- C. Provide a double row of bitumastic joint sealant at horizontal wall section joints.
- **D.** Apply bituminous mastic coating at joints of sections.

3.6 INSTALLATION OF IDENTIFICATION

A. Install continuous plastic metallic lined underground warning tape during back-filling of trench for underground water service piping. Locate two feet above pipe crown and centered on pipe.

3.7 FIELD QUALITY CONTROL

- **A.** Perform testing of sewer system in accordance with local authorities having jurisdiction.
- **B.** Gravity Sewer Testing: All new gravity sewers shall be tested for water tightness by the use of low-pressure air tests. The Engineer shall observe all testing. Low-pressure air testing shall be in conformance with ASTM F 1417-92 (2005) "Standard Test Methods for Installation Acceptance of Plastic Gravity Sewer Lines Using Low-Pressure Air"; or Uni-Bell PVC Pipe Association Uni-B-6, "Low-Pressure Air Testing of Installed Sewer Pipe" (1998). All new gravity sewer pipes shall be cleaned and visually inspected using a lamp and shall be true to line and grade following installation and prior to use. All new gravity sewer pipe shall be deflection tested not less than 30 days nor more than 90 days following installation. The maximum allowable deflection of flexible sewer pipe shall be 5% percent of average inside diameter. A rigid ball or mandrel with a diameter of at least 95% of the average inside pipe diameter shall be used for testing pipe deflection. The deflection test

- shall be conducted without mechanical pulling devices. Sections which do not permit ball passage shall be reinstalled to attain satisfactory results.
- C. Force Main and Low Pressure Sewer Testing: Force mains shall be tested in accordance with Section 5 of AWWA C600-10 "Installation of Cast Iron Water Mains and Their Appurtenances", at a pressure equal to the greater of 150 percent of the design operating total dynamic head or at least 100 psi. The Engineer shall observe all testing.

D. Manhole Testing

- (a) Manholes shall be tested for leakage using a vacuum test in accordance with the ASTM C1244 standard in effect when the testing is performed. A manhole may be backfilled prior to performing a vacuum test, but if the manhole fails the vacuum test, backfill shall be removed so repairs to the manhole can be made from the outside of the manhole prior to retesting.
- (b) The manhole vacuum test shall conform to the following:
 - (1) The initial vacuum gauge test pressure shall be 10 inches Hg; and
 - (2) The minimum acceptable test hold time for a 1-inch Hg pressure drop to 9 inches Hg shall be:
 - a. Not less than 2 minutes for manholes less than 10 feet deep in depth;
 - b. Not less than 2.5 minutes for manholes 10 to 15 feet deep; and
 - c. Not less than 3 minutes for manholes more than 15 feet deep;
- (c) The manhole shall be repaired and retested if the test hold times fail to achieve the acceptance limits specified in (b), above.
- (d) Inverts and shelves shall not be installed until after successful testing is completed.
- (e) Immediately following completion of the leakage test, the frame and cover shall be placed on the top of the manhole or some other means used to prevent accidental entry by unauthorized persons, children, or animals, until the contractor is ready to make final adjustment to grade.
 - 1. Cleaning: Clear interior of piping and structures of dirt and other superfluous material as work progresses. Place plugs in ends of uncompleted pipe at end of day or whenever work stops.
 - 2. Flush piping between manholes, if required by local authority, to remove collected debris.
- **E.** Interior Inspection: Inspect piping to determine whether line displacement or other damage has occurred.
 - 1. Make inspections after pipe between manholes and manhole locations has been installed and approximately 2 feet of backfill is in place, and again at completion of project.
 - 2. If inspection indicates poor alignment, debris, displaced pipe, infiltration or other defects correct such defects, and re-inspect.

3.8 PROTECTION OF WATER SUPPLIES

- **A.** There shall be no physical connection between a public or private potable water supply system and a sewer or sewer appurtenance which would permit the passage of sewage or polluted water into the potable supply. No water pipe shall pass through or come in contact with any part of a sewer or sewer manhole.
- **B.** No sewer shall be located within the well protective radii established in Env-Ws 300 for any public water supply wells or within 100 feet of any private water supply well.
- C. Sewers shall be located at least 10 feet horizontally from any existing or proposed water main.
- **D.** A deviation from the separation requirements of B or C above shall be allowed where necessary to avoid conflict with subsurface structures, utility chambers and building foundations, provided that the sewer is constructed in accordance with the force main construction requirements specified in Env-Wq 704.06.
- **E.** Whenever sewers must cross water mains, the sewer shall be constructed as follows:
 - 1. Vertical separation of the sewer and water main shall be not less than 18 inches, with the water above sewer; and,
 - 2. Sewer joints shall be located at least 6 feet horizontally from the water main.